

## HORTON PLAZA THEATRES FOUNDATION

### CONFLICT OF INTEREST CODE APPENDIX A DESIGNATED EMPLOYEES & POSITIONS DISCLOSURE CATEGORIES

#### Designated Employees

The designated employees and officials listed in Column I are required to disclose business positions and financial and/or ownership interests in all and all of the categories set forth in Column II.

| COLUMN I<br>FUNCTION/ TITLE | COLUMN II<br>DISCLOSURE CATEGORIES |
|-----------------------------|------------------------------------|
| President                   | 1,2,3,4,5                          |
| Vice President              | 1,2,3,4,5                          |
| Secretary                   | 1,2,3,4,5                          |
| Treasurer                   | 1,2,3,4,5                          |
| Directors                   | 1,2,3,4,5                          |
| Corporation Counsel         | 1,2                                |
| Consultants                 | 1,2,3,4,5*                         |

#### Disclosure Categories

The disclosure categories listed below identify the types of investments, business entities, sources of income, including gifts, loans and travel payments, or real property which the designated employee or official must disclose for each disclosure category to which he or she is assigned.

Category 1: All investments and business positions in business entities, and sources of income located in, that do business in or own real property within the jurisdiction of the Horton Plaza redevelopment project area during the applicable reporting period.

Category 2: All interests in real property which is located in whole or in part within, or not more than two (2) miles outside, the Horton Plaza redevelopment project area.

Category 3: All investments and business positions in, and sources of income from, business entities that are engaged in land development, construction or the acquisition or sale of real property within the Horton Plaza redevelopment project area.

Category 4: All investments and business positions in, and sources of income from, business entities that are banking, savings and loan, or other financial institutions, or insurance companies that supply goods or services to the Foundation.

Category 5: All investments and business positions in, and sources of income from, business entities that provide services, supplies, materials, machinery, vehicles or equipment of a type purchased or leased by the Foundation, including but not limited to: office equipment or services, public relations and advertising, and theatrical supplies, equipment and services.

\*Consultants

The President may determine in writing that a particular consultant, although a “designated employee,” is hired to perform a range of duties that is limited in scope and is thus not required to fully comply with the disclosure requirements described in this Appendix A. Such written determination shall include a description of the consultant’s duties, and based upon that description, a statement of the extent of disclosure requirements. The President’s determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

Consultants on retainer who: (i) serve in a staff (or equivalent to staff) capacity; and/or (ii) provide services to the Corporation on an ongoing basis, are included in the list of designated employees and shall disclose pursuant to the scope of disclosure determined at the time of formation of the contract, subject to the following limitations:

The Corporation has determined that the following consultants are not subject to its Conflict of Interest Code and shall not be required to file economic disclosure forms:

- (1) Consultants who volunteer services to the Corporation;
- (2) Consultants who provide limited duties for specific litigation or special projects;
- (3) Expert witnesses; and
- (4) Consultants who provide expertise in limited areas for specific litigation or special projects.