

AUDIT CHECKLIST

4.4 IMPLEMENTATION AND OPERATION

4.4.6 OPERATIONAL CONTROL

ISO 14001 STANDARD REQUIREMENTS

OVERALL CONFORMANCE: YES NO

4.4.6	Conforms (Y/N)
The organization shall identify and plan those operations that are associated with the identified significant environmental aspects consistent with its environmental policy, objectives and targets, in line with its policy, as well as objectives and targets in order to ensure that they are carried out under specified conditions by	
a) establishing, implementing and maintaining a documented procedure(s) to control situations where their absence could lead to deviations from the environmental policy and the objectives and targets;	
b) stipulating operating criteria in the procedure(s), and	
c) establishing, implementing and maintaining procedures related to the identified significant environmental aspects of goods and services used by the organization and communicating relevant procedures and requirements to suppliers, including contractors.	

DOCUMENT REVIEW

<ul style="list-style-type: none"> <input type="checkbox"/> <u>Operating procedures for activities where their absence could lead to deviations from the environmental policy and objectives and targets.</u> Check these procedures to be sure they contain operating criteria, where appropriate. <input type="checkbox"/> <u>Procedures related to the significant aspects</u> of the goods and services used by the organization. <input type="checkbox"/> <u>Maintenance procedures/plans.</u> <input type="checkbox"/> Procedures related to communicating pertinent procedures to suppliers and contractors. <input type="checkbox"/> List of suppliers and contractors. <input type="checkbox"/> Evidence that pertinent operational control procedures or other requirements were communicated to suppliers and contractors. <input type="checkbox"/> <u>Records:</u> maintenance records, log books, inspection forms, contracts with environmental performance criteria and requirements.

EMS LINKS:

- Policy
- Environmental Aspects
- Objectives, Targets and Programs
- Competence, Training and Awareness
- Monitoring and Measurement

Supplemental Questions by Function

4.4.6 Operational Control:		
<i>DEPUTY DIRECTOR</i>		OBJECTIVE EVIDENCE
a.	Which operations or activities are associated with the identified significant aspects? (see list of significant aspects)	
b.	Are there standard operating procedures in place for the operations that have identified significant aspects?	

<i>EMS REPRESENTATIVE</i>		OBJECTIVE EVIDENCE
a.	Does the Division have documented procedures for performing activities that have identified significant aspects?	
b.	How were those procedures developed?	
c.	How does the Division determine what controls are appropriate?	
d.	Have procedures been established for communicating your environmental goals to suppliers and contractors?	
e.	Who has the responsibility for relating environmental goals to suppliers and contractors? Where is this documented?	

<i>SENIOR STAFF</i>		OBJECTIVE EVIDENCE
a.	Are there any activities within your section that have been identified as having significant aspects?	
b.	Have standard operating procedures been developed for the activities surrounding these aspects? May I see them?	
c.	How do you plan to mitigate these aspects?	

Supplemental Questions by Function

4.4.6 Operational Control:		
<i>DIVISION PERSONNEL</i>		OBJECTIVE EVIDENCE
a.	In the job you do everyday, what procedures or work instructions ensure that your operations are carried out under planned conditions?	
b.	What are the most significant environmental impacts that come from doing your job?	

NOTES: