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1	SHARON SPIVAK, Executive Director City of San Diego Ethics Commission 451 A Street, Suite 1410			
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3	San Diego, CA 92101 Telephone: (619) 533-3476			
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5	Petitioner			
6				
7	BEFORE THE CITY OF SAN DIEGO			
8	ETHICS COMMISSION			
9				
10	In re the Matter of:) Case Nos.: 2023-16		
11	TODD GLORIA,) STIPULATION, DECISION, AND		
12	Respondent.) ORDER)		
13))		
14)		
15	STIPULATION			
16	THE PARTIES STIPULATE:			
17	Petitioner Sharon Spivak is the Executive Director of the City of San Diego			
18	Ethics Commission (Ethics Commission). The Ethics Commission is charged with			
19	administering, implementing, and enforcing local governmental ethics laws in the			
20	San Diego Municipal Code (SDMC) relating to, among other things, the provisions of the			
21	Ethics Ordinance, SDMC section 27.3501, <i>et seq</i> .			
22	At all times referenced in this Stipulation, Todd Gloria was the elected Mayor			
23	of the City of San Diego. Mayor Gloria is referred to herein as "Respondent."			
24	This Stipulation will be submitt	ed to the Ethics Commission for consideration		
25	at its next meeting. The agreements in this Stipulation are contingent upon the Ethics			
26	Commission's approval of the Stipulation and the accompanying Decision and Order.			
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	, J STIPULATION, DE	CISION, AND ORDER		

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III

Summary of Law and Facts

- 10. The City's Ethics Ordinance requires elected City Officials to file a Fair Political Practices Commission Form 803 Behested Payment Report (Form 803) whenever a person or entity makes payments totaling \$5,000 or more in a calendar year for a legislative, governmental, or charitable purpose at the behest of the City Official. The Form 803 must be filed with the City Clerk within 30 calendar days of a donor meeting the \$5,000 threshold and within 30 calendar days of any subsequent payments made by the same donor. (SDMC § 27.3515.)
- 11. The definition of a City Official includes any elected City officeholder. (SDMC § 27.3503). As the elected Mayor of San Diego, Respondent was a City Official at all times referenced in this Stipulation.
- 12. In early 2021, For All of Us (FAU) was established as a charitable organization that "may contribute to activities on behalf of the Mayor of San Diego." FAU has no corporate identity in and of itself, but at the time the events in this Stipulation occurred, FAU operated under a fiscal sponsor called Mission Edge (ME). ME is a registered 501(c)(3) organization that allowed its sponsored entity to operate as a 501(c)(3) without having to separately register with the Internal Revenue Service.
- 13. A review of Forms 803 filed by Respondent between January 1, 2021 and August 15, 2023, revealed that Forms 803 reporting the following payments made for charitable, legislative, or governmental purposes at Respondent's behest were not timely filed:
- A. On June 16, 2021, FAU paid Behind the Scenes Hospitality \$5,748.19 to cater a frontline worker "thank you" event. Respondent filed a Form 803 to disclose this payment on May 25, 2023, 679 days late.
- B. On August 8, 2021, FAU paid Shake Shack \$3,000 to cater a back-toschool event and paid Amazon \$2,974.31 for school supplies. Respondent filed a Form 803 to disclose these payments on May 25, 2023, 626 days late.

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III

1	<u>Counts</u>			
2		Counts 1 through 10	- Violations of SDMC Section 27.3	515
3	14.	Respondent violated S	SDMC section 27.3515 by failing to time	nely file Forms
4	803 to discle	803 to disclose behested payments, as described in paragraph 13(A-J).		
5		<u>F:</u>	actors in Mitigation	
6	15.	Respondent fully coop	perated with Ethics Commission staff.	
7	16.	Respondent's staff file	d the late Forms 803 upon discovering	g that they had
8	not been filed, before being contacted by Ethics Commission staff.			
9	17.	Respondent had an es	stablished procedure in place to ensur	e that FAU
10	provided info	ormation to his staff to er	nable them to timely file the Forms 803	3.
11	<u>Conclusion</u>			
12	18.	Respondent agrees to	take necessary and prudent precaution	ons to ensure
13	compliance with all provisions of the Ethics Ordinance in the future.			
14	19.	Respondent acknowle	dges that the Ethics Commission may	impose
15	increased fines in connection with any future violations of the City's ethics laws.			
16	20.	Respondent agrees to	pay a fine in the amount of \$10,500 f	or violations of
17	SDMC section 27.3515. This amount must be paid by April 2, 2024, by check or money			
18	order payab	order payable to the City Treasurer. The submitted payment will be held pending		
19	Commission approval of this Stipulation and execution of the Decision and Order portion set			
20	forth below.			
21	DATED:		REDACTED]	
22			Sharon Spivak, Petitioner	
23		S	SAN DIEGO ETHICS COMMISSION	
24		[F	REDACTED]	
25	DATED:		Mayor Todd Gloria	
26			RESPONDENT	
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1	DECISION AND ORDER		
2	The Ethics Commission considered the above Stipulation at its April 11, 2024		
3	meeting. The Ethics Commission hereby approves the Stipulation and orders that, per the		
4	Stipulation, the Respondent pay a fine in the amount of \$10,500.		
5		IDEDACTED!	
6	DATED:	[REDACTED]	
7		Caridad Sanchez, Chair SAN DIEGO ETHICS COMMISSION	
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