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# CITY AUDITOR'S QUARTERLY FRAUD HOTLINE REPORT

*Fiscal Year 2022*

*Quarter 4*

Office of the City  
Auditor

City of San Diego



## About the Fraud Hotline:

The Office of the City Auditor administers the City's Fraud Hotline program. The primary objective of the Fraud Hotline is to provide a means for a City employee or resident to confidentially report any activity or conduct—related to or involving City personnel, resources, or operations—for which he or she suspects instances of fraud, waste, or abuse.

The City's Fraud, Waste, and Abuse Hotline is operated pursuant to California Government Code §53087.6. The Statute defines fraud, waste, or abuse as "any activity by a local agency or employee that is undertaken in the performance of the employee's official duties, including activities deemed to be outside the scope of his or her employment, that is in violation of any local, state, or federal law or regulation relating to corruption, malfeasance, bribery, theft of government property, fraudulent claims, fraud, coercion, conversion, malicious prosecution, misuse of government property, or willful omission to perform duty, is economically wasteful, or involves gross misconduct."

The statute also requires that investigations conducted pursuant to its authority be confidential except to issue any report of an investigation that has been substantiated, or to release any findings resulting from a completed investigation that are deemed necessary to serve the interests of the public. In any event, the identity of the individual or individuals reporting the improper government activity, and the subject employee or employees, shall be kept confidential.

NAVEX, an independent third-party provider, accepts calls from City employees and the public at **(866) 809-3500** or online at [www.sandiego.gov/fraudhotline](http://www.sandiego.gov/fraudhotline). Callers can choose to remain anonymous and all information provided via the Hotline will remain confidential. NAVEX prepares a report for each report received and sends them to the Office of the City Auditor via email notification. Reports can also be submitted directly to the Office of the City Auditor, or online by following a link labeled "Fraud, Waste, and Abuse Hotline" on the City Auditor's home page.



## THE CITY OF SAN DIEGO

DATE: July 20, 2022

TO: Honorable Members of the Audit Committee

FROM: Andy Hanau, City Auditor

SUBJECT: City Auditor's Quarterly Fraud Hotline Report – Fiscal Year 2022 Quarter 4

### **Reports Received in the Fourth Quarter of Fiscal Year 2022**

During the fourth quarter of Fiscal Year 2022 (April – June 2022), we received 57 Fraud Hotline reports. Nine of the new reports will be investigated by the Office of the City Auditor, and we presented 18 reports to the Intake and Review Committee to be referred to City Departments for investigation and resolution. We also found that 30 reports were not in the purview of the Fraud Hotline and they were closed. **Table 1** on the following page summarizes the types of reports received during Fiscal Year 2022.



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**TO REPORT FRAUD, WASTE, OR ABUSE, CALL OUR FRAUD HOTLINE: (866) 809-3500**



**Table 1:****Reports Received in Fiscal Year 2022**

<b>City Auditor Investigations</b>	<b>Qtr 1</b>	<b>Qtr 2</b>	<b>Qtr 3</b>	<b>Qtr 4</b>
Abuse	4	2	5	5
Fraud	1	3	0	1
Safety and Sanitation	0	0	0	1
Waste	1	0	1	2
<b>Subtotal OCA Investigations</b>	<b>6</b>	<b>5</b>	<b>6</b>	<b>9</b>
<b>City Department Investigations</b>				
Abuse	25	12	18	15
Customer Relations	0	1	0	0
Discrimination	0	0	1	0
Employee Relations	0	0	1	0
Fraud	1	6	5	3
Safety and Sanitation	2	1	0	0
Theft of Goods/Services	0	1	0	0
Waste	2	3	0	0
<b>Subtotal Department Investigations</b>	<b>30</b>	<b>24</b>	<b>25</b>	<b>18</b>
<b>Total Reports Received in Purview of Fraud Hotline</b>	<b>36</b>	<b>29</b>	<b>31</b>	<b>27</b>
Direct Referrals, Non-City Reports or Reports Not in Purview of Fraud Hotline	<b>17</b>	<b>26</b>	<b>32</b>	<b>30</b>
<b>Total Reports Received in FY 22</b>	<b>53</b>	<b>55</b>	<b>63</b>	<b>57</b>

### Status of Hotline Reports

A total of 57 reports were filed with the Fraud Hotline between April 1, 2022 and June 30, 2022. We determined that 30 of these reports were not in the purview of the Fraud Hotline, leaving 27 reports that were added to the inventory.

In addition to the receipt of 27 new reports requiring investigation, 44 City-related reports remained open and unresolved at the end of the previous quarter, resulting in an active inventory of 71 reports during the fourth quarter of Fiscal Year 2022. **Table 2**, below, summarizes the Fraud Hotline activity for the fourth quarter of Fiscal Year 2022.

Of the 71 active reports in our inventory, 42 remain open and unresolved, and 29 were closed. Four City Auditor investigations were completed and the allegations were determined to be unsubstantiated. The allegations made in eight Department investigations were found to be substantiated, six were closed with corrective action, and 11 were unsubstantiated or otherwise resolved with no further action necessary.

### Table 2:

### Status of Fraud Hotline Reports

Report Status	City Auditor Investigations	Referred to Dept.	Total City-Related	Not in Hotline's Purview	Total
Unresolved on 4/1/22 <sup>1</sup>	10	34	<b>44</b>	2	46
Received in 4 <sup>th</sup> Quarter	9	18	<b>27</b>	30	57
Subtotal – Active Inventory	<b>19</b>	<b>52</b>	<b>71</b>	<b>32</b>	<b>103</b>
Reports Closed	<b>-4</b>	<b>-25</b>	<b>-29</b>	<b>-32</b>	<b>-61</b>
Substantiated	-0	-8	-8	-0	-8
Corrective Action	-0	-6	-6	-0	-6
Unsubstantiated/other	-4	-11	-15	-32	-47
Unresolved on 6/30/22	<b>15</b>	<b>27</b>	<b>42</b>	<b>0</b>	<b>42</b>

<sup>1</sup> Reports 388894633801 and 543043911301 were previously reported as Department investigations, but they were closed during the quarter with no further action necessary after additional review. Report 772394825901 was transferred from the OCA's caseload to the Department's. Report 907725140901 was transferred from the Department's caseload to the OCA's.

### City Auditor Investigations Summary

**Table 3** summarizes the status of the 19 active City Auditor Fraud Hotline investigations during the fourth quarter of Fiscal Year 2022, including the incident type, report number, date the report was received, a general description of the report, case status, and the final resolution date.

**Table 3:**

#### Status of City Auditor Hotline Investigations

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
1	Abuse	997979216501	Received	7/15/21	Unsubstantiated	5/11/22
	An allegation of management circumventing an established contracting process was investigated and determined to be unsubstantiated.					
2	Abuse	206167467101	Received	1/26/22	Unsubstantiated	5/13/22
	An allegation of retaliation against a whistleblower for reporting suspected fraud was investigated and determined to be unsubstantiated.					
3	Abuse	637000862501	Received	3/29/22	Unsubstantiated	5/10/22
	An allegation of abuse of discretion by disposing of confidential information in a public bin was investigated and determined to be unsubstantiated.					
4	Abuse	925342672401	Received	4/21/22	Unsubstantiated	6/30/22
	An allegation of abuse of discretion and retaliation by a department director was investigated and determined to be unsubstantiated.					
5	Abuse	355963460201	Received	7/1/19	Open/Unresolved	
	Allegation of Public Records Act abuse at a City department. Investigation suspended to avoid interference with pending litigation.					
6	Abuse	963347224301	Received	9/22/21	Open/Unresolved	
	Allegation that safety violations at a City building were not properly disclosed. Investigation suspended to avoid interference with pending litigation.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
7	Fraud	617297164201	Received	11/23/21	Open/Unresolved	
	Allegation of fraud related to mandatory COVID-19 vaccine documentation.					
8	Abuse	970974628601	Received	2/4/22	Open/Unresolved	
	Allegation of City staff bypassing internal controls to make purchases.					
9	Abuse	617896733501	Received	2/16/22	Open/Unresolved	
	Allegation of abuse of discretion related to an employment action and appeal.					
10	Abuse	907725140901	Received	2/25/22	Open/Unresolved	
	Allegation of abuse of discretion related to employee disciplinary actions.					
11	Abuse	731985815201	Received	2/25/22	Open/Unresolved	
	Allegation of abuse of discretion in assignment of work duties.					
12	Waste	441856121801	Received	4/12/22	Open/Unresolved	
	Allegation of waste of City funds related to unclassified employee salaries.					
13	Waste	417447272201	Received	5/23/22	Open/Unresolved	
	Allegation of waste in a City department.					
14	Safety and Sanitation	643441852601	Received	5/23/22	Open/Unresolved	
	Allegation of non-emergency City vehicles driving unsafely.					
15	Abuse	883557141701	Received	5/26/22	Open/Unresolved	
	Allegation of abuse of discretion related to Covid protocols.					
16	Abuse	812524314701	Received	6/3/22	Open/Unresolved	
	Allegation of abuse of discretion related to a City contract.					
17	Fraud	700429376201	Received	6/21/22	Open/Unresolved	
	Allegation of fraudulent discounts for City services.					

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
Allegation/Outcome						
18	Abuse	633752984301	Received	6/21/22	Open/Unresolved	
Allegation of abuse of discretion related to a City contract.						
19	Abuse	859278059601	Received	6/21/22	Open/Unresolved	
Allegation of abuse of discretion regarding contract payments.						

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### City Department Investigations Summary

The City Auditor, through the Intake and Review Committee, reviews the final resolution of reports investigated by the departments to ensure that the department has taken the proper actions to resolve the report. A report whose allegations cannot be substantiated can still result in the department taking corrective, proactive, or preventative measures to reinforce a policy or procedure, improve internal controls, or to improve City operations.

**Table 4** below summarizes the status of the 52 active Fraud Hotline investigations conducted by the Departments during the fourth quarter of Fiscal Year 2022, including the incident type, a general description of the report, and the case status.

**Table 4:**

#### Status of Department-Investigated Fraud Hotline Reports

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
1	Abuse	674862983601	Received	8/27/21	Substantiated	5/11/22
	An allegation of an offensive anti-vaccine social media post by a City employee was investigated and determined to be substantiated. The department took the appropriate corrective action with respect to the identified employee.					
2	Abuse	804078784101	Received	9/7/21	Substantiated	5/25/22
	An allegation of department employees displaying inappropriate symbols in their email signatures was investigated and determined to be substantiated. The department took the appropriate corrective action with respect to the identified employee and City guidelines were reinforced with staff.					
3	Abuse	242673024501	Received	9/9/21	Substantiated	5/11/22
	An allegation of inappropriate behavior by a now-former department director at a staff meeting was investigated and determined to be substantiated. Current management staff were reminded of City policy and workplace expectations.					
4	Fraud	632433172001	Received	12/14/21	Substantiated	5/25/22
	An allegation of incorrect billing by a City contractor was investigated and determined to be substantiated. The department took corrective action to ensure that all billing invoices were accurate.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
5	Abuse	453887128201	Received	3/3/22	Substantiated	5/11/22
	An allegation of abuse of discretion related to an employee's telework arrangement was investigated and determined to be substantiated. The department took appropriate corrective action to ensure compliance with City policy.					
6	Abuse	208338706601	Received	3/15/22	Substantiated	4/28/22
	An allegation of employees violating confidentiality rules regarding a departmental disciplinary investigation was investigated and determined to be substantiated. The department took appropriate corrective action with respect to the identified employees.					
7	Abuse	200316123201	Received	3/23/22	Substantiated	4/27/22
	An allegation of a City employee using City assets as part of a private promotional message was investigated and determined to be substantiated. The department took the appropriate corrective action with respect to the identified employee and all other employees in the same classification were reminded of City policy.					
8	Abuse	705263709801	Received	5/23/22	Substantiated	6/9/22
	An allegation of personal use of a City vehicle was investigated and determined to be substantiated. The department took the appropriate corrective action with respect to the identified employee.					
9	Abuse	426958793601	Received	9/8/21	Corrective Action	5/25/22
	An allegation of City employees using work hours to conduct non-City activities was investigated and resulted in corrective action. Staff were reminded of City policy but the reported activities could not be confirmed.					
10	Fraud	867071357701	Received	12/29/21	Corrective Action	6/9/22
	An allegation of timecard fraud by a City employee was investigated and determined to be unsubstantiated. However, the department took corrective action to reinforce existing vehicle inspection documentation procedures.					

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
11	Abuse	448167833601	Received	2/9/22	Corrective Action	4/1/22
	An allegation of favoritism by a supervisor at a City department was investigated and was not substantiated. However, the department took corrective action to provide additional training to all staff regarding performance issues, including the issues identified in the allegation.					
12	Abuse	411512586501	Received	3/25/22	Corrective Action	5/25/22
	An allegation of a City employee violating a department policy was investigated and resulted in corrective action. The policy violation was not confirmed but the identified employee was reminded of existing City policy.					
13	Abuse	410447392701	Received	4/12/22	Corrective Action	4/27/22
	An allegation regarding improper charges for fire protection system inspections was investigated and resulted in corrective action. A non-City contractor misstated the non-City document processing fee amount and mischaracterized it. City staff clarified the charges for the business owner.					
14	Abuse	914555551501	Received	5/6/22	Corrective Action	6/23/22
	An allegation of abusive behavior involving a City employee was investigated and resulted in corrective action. Staff were provided with resources and training related to the incident in question.					
15	Abuse	358549969501	Received	6/3/20	Unsubstantiated	4/28/22
	An allegation of abuse related to overtime use was investigated and determined to be unsubstantiated.					
16	Abuse	363675075201	Received	5/20/21	Unsubstantiated	5/11/22
	An allegation of favoritism by management was investigated and determined to be unsubstantiated.					
17	Abuse	924184806601	Received	6/10/21	Unsubstantiated	5/11/22
	An allegation of abuse of discretion by management in requiring training for a department was investigated and determined to be unsubstantiated.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
18	Waste	375277208201	Received	10/17/21	Unsubstantiated	4/13/22
	An allegation of waste regarding document management was investigated and determined to be unsubstantiated.					
19	Fraud	685428897101	Received	1/5/22	Unsubstantiated	6/23/22
	An allegation of wage and performance fraud by a City contractor was investigated and determined to be unsubstantiated.					
20	Abuse	832765983001	Received	1/20/22	Unsubstantiated	4/14/22
	An allegation of unfair hiring practices was investigated and determined to be unsubstantiated.					
21	Fraud	288300836101	Received	2/16/22	Unsubstantiated	4/28/22
	An allegation of fraud related to a City contract was investigated and determined to be unsubstantiated.					
22	Abuse	646969086701	Received	4/22/22	Unsubstantiated	5/25/22
	An allegation of a City vehicle parked illegally was investigated and determined to be unsubstantiated.					
23	Abuse	326350734901	Received	4/29/22	Unsubstantiated	6/23/22
	An allegation of unsafe driving by a City employee was investigated and determined to be unsubstantiated.					
24	Abuse	844622451901	Received	6/1/22	Unsubstantiated	6/23/22
	An allegation of personal use of a City vehicle was investigated and determined to be unsubstantiated.					
25	Fraud	679500427501	Received	3/24/22	No Further Action Necessary	4/13/22
	An allegation of a City employee cheating on a state certification exam was referred to the appropriate agency for review and possible investigation.					

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
26	Abuse	277610519401	Received	2/14/20	Open/Unresolved	
	Allegation regarding abuse of discretion related to confined space pay. Resolution pending meet-and-confer process with recognized employee organizations.					
27	Safety and Sanitation	694445475301	Received	9/25/20	Open/Unresolved	
	Allegation regarding unfair social distancing policy enforcement at a City department.					
28	Abuse	823960559201	Received	8/14/21	Open/Unresolved	
	Allegation of abuse of discretion by a supervisor.					
29	Abuse	773860060501	Received	8/14/21	Open/Unresolved	
	Allegation of failure to report environmental hazards.					
30	Abuse	671126660601	Received	8/20/21	Open/Unresolved	
	Allegation of cover-up of a hazardous materials leak.					
31	Abuse	425631108801	Received	8/30/21	Open/Unresolved	
	Allegation of abuse of discretion and favoritism by a supervisor.					
32	Fraud	847765889301	Received	9/2/21	Open/Unresolved	
	Allegation of a City employee working another job on City time.					
33	Fraud	277199349101	Received	12/17/21	Open/Unresolved	
	Allegation of workers compensation fraud by a City employee.					
34	Employee Relations	289315400701	Received	2/2/22	Open/Unresolved	
	Allegation of intimidating and threatening behavior towards a coworker.					
35	Discrimination	639532919601	Received	2/12/22	Open/Unresolved	
	Allegation of age discrimination by management at a City department.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
36	Waste	772394825901	Received	2/15/22	Open/Unresolved	
	Allegation of waste at a City agency.					
37	Abuse	468111533501	Received	2/19/22	Open/Unresolved	
	Allegation of theft of time by an employee.					
38	Abuse	952184922601	Received	3/18/22	Open/Unresolved	
	Allegation of poor customer service in a City department.					
39	Abuse	622385879301	Received	3/19/22	Open/Unresolved	
	Allegation of abuse of discretion related to a City department's compliance with state law.					
40	Abuse	358698633901	Received	3/20/22	Open/Unresolved	
	Allegation of personal use of a City vehicle.					
41	Fraud	431781530301	Received	4/15/22	Open/Unresolved	
	Allegation of workers compensation fraud by a City employee.					
42	Abuse	294038016901	Received	5/10/22	Open/Unresolved	
	Allegation of favoritism in assigning overtime.					
43	Abuse	938313897001	Received	5/18/22	Open/Unresolved	
	Allegation of a residential water meter that has been broken for over eight months without resolution after multiple calls and emails.					
44	Abuse	725462411501	Received	5/20/22	Open/Unresolved	
	Allegation of abuse of discretion in hiring.					
45	Abuse	205638078901	Received	6/7/22	Open/Unresolved	
	Allegation of abuse of discretion related to City employees mischarging work time on timecards.					
46	Abuse	820517573401	Received	6/10/22	Open/Unresolved	
	Allegation of unpermitted work on a residential home.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
47	Fraud	839788264301	Received	6/15/22	Open/Unresolved	
	Allegation of industrial leave fraud by a City employee.					
48	Abuse	542605788801	Received	6/21/22	Open/Unresolved	
	Allegation regarding payments made to a City department.					
49	Fraud	672439358901	Received	6/21/22	Open/Unresolved	
	Allegation regarding an applicant for a City job falsifying information.					
50	Abuse	840639331601	Received	6/28/22	Open/Unresolved	
	Allegation of abuse of taxpayer funds related to a political advertisement.					
51	Abuse	374523349301	Received	6/28/22	Open/Unresolved	
	An allegation of lack of response to customer complaints.					
52	Abuse	990529248401	Received	6/28/22	Open/Unresolved	
	Allegation of fiscal mismanagement at a City department.					

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### Not in Purview Reports Summary

**Table 5**, below, summarizes the results of the 32 Fraud Hotline reports that were received during the fourth quarter of Fiscal Year 2022, but were determined to be not within the purview of the Fraud Hotline and were closed.

**Table 5:**

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#### Status of Not in Purview Fraud Hotline Reports

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
1	Abuse	388894633801	Received	3/2/22	No Further Action Necessary	4/4/22
	A report regarding construction signs left up while no work was in progress lacked sufficient detail to permit an investigation. No response to our request for details was received within 30 days. No City project was identified through our research. Per our procedures, the report was closed.					
2	Abuse	543043911301	Received	3/29/22	No Further Action Necessary	4/1/22
	An allegation of mismanagement at a non-City entity was not in the purview of the Fraud Hotline and was referred to the appropriate agency.					
3	Abuse	807755501101	Received	4/4/22	No Further Action Necessary	4/11/22
	An allegation of abuse of discretion by management in a non-City business was not in the purview of the City's Fraud Hotline. The allegation was forwarded to the appropriate agency for review and potential investigation.					
4	Fraud	531850623701	Received	4/5/22	No Further Action Necessary	4/6/22
	An allegation of bank fraud was not in the purview of the Fraud Hotline. The reporter was provided a referral through the Fraud Hotline system.					
5	Safety and Sanitation	866290582801	Received	4/10/22	No Further Action Necessary	4/14/22
	An allegation of a sanitation issue was not in the purview of the City's Fraud Hotline. The issue was reported to the appropriate agency.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
6	Fraud	485912718701	Received	4/11/22	No Further Action Necessary	4/12/22
	An allegation of theft by a non-City resident was previously reported to police. Based on our review of the hotline report, we determined that no further action was necessary. Per our procedures, the report was closed with no further action necessary.					
7	Abuse	486722850501	Received	4/12/22	No Further Action Necessary	5/31/22
	An allegation of a City employee sharing job interview questions and answers lacked sufficient detail to permit an investigation. No additional details were provided in over 30 days. Per our procedures, the report was closed with no further action.					
8	Fraud	548326284401	Received	4/13/22	No Further Action Necessary	4/14/22
	An allegation of identity theft and credit card fraud was not in the purview of the Fraud Hotline. The reporter was provided with referral information.					
9	Fraud	911357948101	Received	4/15/22	No Further Action Necessary	4/15/22
	An allegation of mislabeled food was not in the purview of the City's Fraud Hotline. The reporter was referred to the appropriate agency.					
10	Miscellaneous	763125322201	Received	4/19/22	No Further Action Necessary	4/20/22
	A partial allegation regarding a non-City issue was incomplete and was closed with no further action necessary because the call was terminated before the necessary information was obtained and there was no way for the reporter to provide additional information.					
11	Fraud	395159854101	Received	4/20/22	No Further Action Necessary	4/26/22
	An allegation of identity theft was reviewed and closed as not in purview of the fraud hotline. The reporter was provided with referral information.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
12	Abuse	235271667201	Received	4/22/22	No Further Action Necessary	4/27/22
	A City employee's allegation was received and reviewed by the Fraud Hotline Intake and Review committee and determined to be outside the purview of the City's Fraud Hotline since existing procedures are in place to address the reported procedural complaint. The employee was notified of the determination.					
13	Abuse	501565581901	Received	4/25/22	No Further Action Necessary	4/29/22
	An allegation regarding a potential violation of the Ethics Ordinance was referred to the Ethics Commission for review and possible investigation.					
14	Abuse	827546601101	Received	4/27/22	No Further Action Necessary	4/27/22
	Duplicate of 235271667201					
15	Abuse	927341471101	Received	5/5/22	No Further Action Necessary	5/9/22
	A report of an odor at a private residence was not in the purview of the Fraud Hotline. The anonymous reporter was referred to the appropriate agency. Per our procedures, the report was closed.					
16	Fraud	901295213101	Received	5/16/22	No Further Action Necessary	5/17/22
	A partial allegation regarding a City contractor was incomplete and was closed with no further action necessary because the call was terminated before the necessary information was obtained and there was no way for the reporter to provide additional information.					
17	Abuse	738885571001	Received	5/16/22	No Further Action Necessary	5/19/22
	Duplicate of 294038016901					
18	Abuse	222907706401	Received	5/17/22	No Further Action Necessary	5/19/22
	Duplicate of 294038016901					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
19	Abuse	471291461601	Received	5/20/22	No Further Action Necessary	5/23/22
	An allegation of disputed charge on personal credit card was not in the purview of the Fraud Hotline. The anonymous reporter was referred to the appropriate agency. Per our procedures, the report was closed.					
20	Miscellaneous	391082284401	Received	5/23/22	No Further Action Necessary	5/31/22
	A non-City issue was referred to the appropriate agency for review and possible investigation.					
21	Abuse	399135445501	Received	5/27/22	No Further Action Necessary	5/31/22
	A partial allegation regarding Covid protocols was incomplete and was closed with no further action necessary because the call was terminated before the necessary information was obtained and there was no way for the reporter to provide additional information.					
22	Abuse	401526807401	Received	5/28/22	No Further Action Necessary	5/31/22
	An allegation regarding a non-City issue was not in the purview of the Fraud Hotline. Per our procedures, the report was reviewed and closed with no further action necessary.					
23	Abuse	431376331801	Received	6/8/22	No Further Action Necessary	6/14/22
	Duplicate of 424939462301					
24	Abuse	776801476301	Received	6/8/22	No Further Action Necessary	6/14/22
	An allegation of non-City issue was closed per our procedures. The Fraud Hotline reporter was provided with contact information to make a report of fraud, waste, or abuse to the appropriate agency.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
25	Fraud	319153982601	Received	6/14/22	No Further Action Necessary	6/22/22
	An allegation regarding a non-City issue was not in the purview of the Fraud Hotline. Per our procedures, the report was reviewed and closed. The reporter was referred to the appropriate agency.					
26	Miscellaneous	275967782401	Received	6/15/22	No Further Action Necessary	6/16/22
	A partial report was terminated before all necessary information was collected. No further action is necessary.					
27	Abuse	990195603001	Received	6/16/22	No Further Action Necessary	6/23/22
	An allegation of abuse of discretion by a City employee was reviewed and determined to be not within the purview of the Fraud Hotline. Per our procedures, the report was closed with no further action necessary.					
28	Fraud	487895690201	Received	6/20/22	No Further Action Necessary	6/22/22
	An allegation of a non-City issue was closed per our procedures. The Fraud Hotline reporter was provided with contact information to make a report of fraud, waste, or abuse to the appropriate agency.					
29	Fraud	405570696301	Received	6/21/22	No Further Action Necessary	6/22/22
	An allegation regarding a non-City entity was reviewed and closed as not within the purview of the Fraud Hotline. The reporter was provided with contact information to make a report of fraud, waste, or abuse to the appropriate agency.					
30	Theft of Goods/Services	219348634001	Received	6/26/22	No Further Action Necessary	6/28/22
	An allegation regarding an online merchant that did not involve City operations was reviewed and closed as not within the purview of the Fraud Hotline. The reporter was referred to the appropriate resource.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
31	Abuse	575831849701	Received	6/27/22	No Further Action Necessary	6/28/22
	Duplicate of 235271667201					
32	Fraud	863012676501	Received	6/27/22	No Further Action Necessary	6/28/22
	An allegation of unemployment compensation fraud by a non-City employee was not within the purview of the Fraud Hotline. The reporter was referred to the appropriate agency and the report was closed.					

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## **Conclusion**

The Office of the City Auditor is dedicated to ensuring that all reported claims of fraud, waste, and abuse related to City operations are investigated and properly resolved.

In Fiscal Year 2022, we applied approximately 3,381 hours administering the Fraud Hotline, coordinating Intake and Review Committee activities, and investigating Fraud Hotline reports. A total of 2,960 hours was budgeted for the year.

I will be prepared to discuss this report at the next available Audit Committee meeting.

Respectfully submitted,



Andy Hanau  
City Auditor

cc:      Honorable Mayor Todd Gloria  
            Honorable Members of the City Council  
            Honorable Mara Elliott, City Attorney  
            Jay Goldstone, Chief Operating Officer  
            Charles Modica, Independent Budget Analyst

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