

Department of Finance – Debt Management

Qualification No. 10089751-21-W

**RFSQ Investment Banking Services - Underwriter and Commercial Paper
Dealer Pool**

Pool Expiration: March 31, 2026

Firms currently in the pool as of October 21, 2021:

Senior Manager

Academy Securities, Inc.	Piper Sandler & Co.
Barclays Capital Inc.	Raymond James & Associates, Inc.
BofA Securities, Inc	RBC Capital Markets, LLC
Cabrera Capital Markets LLC	Samuel A. Ramirez & Co., Inc.
Citigroup Global Markets Inc.	Siebert Williams Shank & Co., LLC
D.A. Davidson & Co.	Stern Brothers & Co.
Goldman Sachs & Co. LLC	Stifel, Nicolaus & Company, Incorporated
Jefferies LLC	UBS Financial Services Inc.
JP Morgan Securities LLC	Wells Fargo Securities
Loop Capital Markets	
Morgan Stanley & Co. LLC	

Co-Manager

Academy Securities, Inc.	Mischler Financial Group, Inc.
Alamo Capital	Morgan Stanley & Co. LLC
American Veterans Group, PBC	Multi-Bank Securities, INC.
Barclays Capital Inc.	Piper Sandler & Co.
BofA Securities, Inc	Samuel A. Ramirez & Co., Inc.
Blaylock Van, LLC	Raymond James & Associates, Inc.
Cabrera Capital Markets LLC	RBC Capital Markets, LLC
Citigroup Global Markets Inc.	Rice Securities, LLC
D.A. Davidson & Co.	Siebert Williams Shank & Co., LLC
Drexel Hamilton, LLC	Stern Brothers & Co.
Goldman Sachs & Co. LLC	Stifel, Nicolaus & Company, Incorporated
InspereX LLC (Formerly known as 280 Securities)	UBS Financial Services Inc.
Jefferies LLC	UMB Bank, N.A
JP Morgan Securities LLC	Wells Fargo Securities
Loop Capital Markets	

Commercial Paper Dealer

Barclays Capital Inc.

BofA Securities, Inc

CitiGroup Global Markets Inc.

Goldman Sachs & Co. LLC

Jefferies LLC

JP Morgan Securities LLC

Morgan Stanley & Co. LLC

Piper Sandler & Co.

RBC Capital Markets, LLC

Siebert Williams Shank & Co., LLC

UBS Financial Services Inc.

Wells Fargo Securities