

**Qualification No. 10066953-16-A**  
**RFSQ Investment Banking Services – Underwriter Pool**  
**Pool Term: February 2016 – January 2021**

Firms currently in the pool as of December 11, 2018:

**Senior Manager**

Barclays Capital  
Citigroup Public Finance  
Goldman, Sachs, & Co.  
Hilltop Securities  
Jefferies LLC  
JP Morgan Securities LLC  
Loop Capital Markets  
Merrill Lynch Pierce Fenner & Smith Inc.  
Morgan Stanley & Co.  
MUFG Securities Americas Inc.  
Piper Jaffrey  
Ramirez & Co.  
Raymond James & Associates, Inc.  
RBC Capital Markets, LLC  
Siebert Brandford Shank & Co., LLC  
Stifel, Nicolaus & Co., Inc.  
UBS Financial Services Inc.  
US Bancorp  
Wells Fargo Bank

**Co-Manager Only**

280 Securities  
Academy Securities, Inc.  
Alamo Capital  
Backstrom McCarley Berry & Co.  
Blaylock Beal Van, LLC  
Cabrera Capital Markets  
Fidelity Capital Markets  
Hutchinson, Shockey, Erley & Co.  
Janney Montgomery Scott LLC  
Key Banc Capital Markets  
Mesirow Financial, Inc.  
Rice Financial Products Company  
Stern Brothers & Co.  
Tribal Capital Markets, LLC