UPDATE GEOTECHNICAL REPORT

RANCHO LAS BRISAS – LOT 1 RESIDENTIAL (OCEANVIEW HILLS – PA 61) SAN DIEGO, CALIFORNIA

PREPARED FOR

TRIPOINTE HOMES SAN DIEGO, CALIFORNIA

OCTOBER 7, 2021 PROJECT NO. 07955-42-03



GEOTECHNICAL ENVIRONMENTAL MATERIALS



Project No. 07955-42-03 October 7, 2021

Tri Pointe Homes 13400 Sabre Springs Parkway, Suite 200 San Diego, California 92128

Attention: Ms. April Tornillo

Subject: UPDATE GEOTECHNICAL REPORT RANCHO LAS BRISAS – LOT 1 RESIDENTIAL (OCEANVIEW HILLS – PA 61) SAN DIEGO, CALIFORNIA

Dear Ms. Tornillo:

In accordance with your request, we have prepared this update geotechnical report for the proposed Lot 1 residential expansion planned for the former commercial portion of the Rancho Las Brisas development. The accompanying report presents our conclusions and recommendations pertaining to geotechnical aspects of the project. Provided the recommendations of this report are followed, it is our opinion that the planned development can be constructed as proposed.

Should you have questions regarding this report, or if we may be of further service, please contact the undersigned at your convenience.

Very truly yours,

GEOCON INCORPORATED

Noel G. Boria Rodney C. Mikesell Garry W. Cannon GE 2533 CEG 2201, RCE 56468 Senior Staff Engineer NGB:RCM:RSA:dmc utime. (e-mail) Addressee No. C 0564 (e-mail) Civil Sense, Inc. Attention: Mr. Inh Ling

TABLE OF CONTENTS

1.	PURPOSE AND SCOPE
2.	PREVIOUS GRADING1
3.	SITE AND PROJECT DESCRIPTION
4.	GEOLOGIC SETTING
5.	SOIL AND GEOLOGIC CONDITIONS25.1Compacted Fill (Qcf)5.2Very Old Terrace Deposits (Qvop)3
6.	GROUNDWATER
7.	GEOLOGIC HAZARDS37.1Geologic Hazard Category37.2Ground Rupture37.3Seismicity47.4Liquefaction and Seismically Induced Settlement47.5Landslides47.6Subsidence47.7Seiche and Tsunami47.8Flooding4
8.	CONCLUSIONS AND RECOMMENDATIONS58.1General8.2Excavation and Soil Characteristics8.3Subdrains78.4Grading78.5Seismic Design Criteria – 2019 California Building Code8.6Foundation and Concrete Slabs-On-Grade Recommendations8.7Retaining Walls8.8Lateral Loading8.9Site Drainage and Moisture Protection8.10Grading and Foundation Plan Review
LIN	IITATIONS AND UNIFORMITY OF CONDITIONS
MA	PS AND ILLUSTRATIONS Figure 1, Vicinity Map Figure 2, Geologic Map
API	PENDIX A

LABORATORY TESTING

APPENDIX B RECOMMENDED GRADING SPECIFICATIONS

LIST OF REFERENCES

UPDATE GEOTECHNICAL INVESTIGATION

1. PURPOSE AND SCOPE

This report presents the results of an update geotechnical report specific to the former commercial area located on the west side of the Rancho Las Brisas development in the Otay Mesa area of San Diego, California (see Vicinity Map, Figure 1). The purpose of this update geotechnical report is to evaluate surface and subsurface soil conditions, general site geology, identify geotechnical and geological constraints (if any) that may impact development of the property as proposed, and to provide geotechnical recommendations for design and construction of the project.

To aid in the preparing of this report, we reviewed the following plans and geotechnical report:

- 1. *Planning Area 61 Lot 1 Residential, Marea Product Plotting, San Diego, California,* prepared by Civil Sense, revised date August 13, 2021.
- 2. Interim Report of Testing and Observation Services Performed During Site Grading, Rancho Las Brisas (Ocean View Hills PA-61), San Diego, California, prepared by Geocon Incorporated, dated July 24, 2020 (Project No. 07955-42-03.
- 3. Update Geotechnical Recommendations, 2019 CBC Seismic Design, Oceanview Hills PA 61, San Diego, California, prepared by Geocon Incorporated dated February 12, 2018 (Project No. 07955-42-02).
- 4. *Update Geotechnical Investigation, Oceanview Hills PA 61, San Diego, California,* prepared by Geocon Incorporated dated March 15, 2018 (Project No. 07955-42-02).

The base map utilized to depict site soil and geologic conditions on the Geologic Map, Figure 2, consists of a reproducible copy (AutoCad File) of the project civil plans (Reference No. 1). Selected laboratory data specific to the site and adjacent area from recent grading activities are presented in Appendix A.

Recommendations presented herein are based on analysis of data obtained from referenced investigations, observation and testing performed during recent grading, and our understanding of proposed development.

2. PREVIOUS GRADING

The Lot 1 Residential area is located on the west end of the overall Rancho Las Brises (PA 61) project located southeast of the intersection of Caliente Avenue and Old Otay Mesa Road in San Diego, California. The project site covers approximately 4.5 acres of the overall Ranch Las Brises 13.7-acre property.

Grading for the subject site occurred during grading of the overall Rancho Las Brises project. Grading began with removal of deleterious material and vegetation. Deleterious material generated during stripping and/or site demolition was exported from the site. Undocumented fill, topsoil, and the upper portion of the Very Old Terrace Deposits were then removed to expose the underlying sandy terrace deposits. Remedial removal depths of approximately 3 to 7 feet occurred across the lot. A portion of the eastern edge of the lot was mined to a depth of approximately 14 feet below original grade to generate capping soils. The approximate bottom elevation of remedial removals and mined excavations are shown on the Geologic Map, Figure 2.

3. SITE AND PROJECT DESCRIPTION

The property has been utilized as a disposal area for the adjacent residential project. Stockpiles were periodically spread and placed as compacted fill under the observation and testing services of Geocon Incorporated. The surface elevations on the property as of the date of this report were not available. The surface grades shown on Figure 2 are the elevations on the property in March 2021 and represent an interim condition. Future grading will occur to reach finish pad grades shown on the project grading plan.

We understand the site will be developed to accommodate 12, multi-family structures with associated utilities, streets and alleys, concrete hardscape walkways, a tot lot, and landscaping.

The above locations, site descriptions, and proposed development are based on a site reconnaissance, review of published geologic literature, our field investigations, and discussions with you. If development plans differ from those described herein, we should be contacted to review the plans and provide revisions to this report as needed.

4. **GEOLOGIC SETTING**

The site is located in the coastal plain within the southern portion of the Peninsular Ranges Geomorphic Province of southern California. The province extends from the Imperial Valley to the Pacific Ocean and from the Transverse Ranges to the north and into Baja California, Mexico to the south. The coastal plain of San Diego County is underlain by a sequence of sedimentary rocks that thicken to the west and range in age from Upper Cretaceous through the Pleistocene. The sedimentary rocks were deposited on Cretaceous to Jurassic age igneous and metamorphic rocks. The coastal plain is traversed by the active Rose Canyon Fault Zone.

5. SOIL AND GEOLOGIC CONDITIONS

The site is underlain by compacted fill overlying Very Old Terrace Deposits (Geocon, 2020). Each of the soil types are discussed below. At the completion of grading, we expect compacted fill depths ranging from 3 to 14 feet across the site. Figure 2 provides a geologic map of the project.

5.1 Compacted Fill (Qcf)

Compacted fill from recent grading exists across the site. Fill depths are estimated to range from approximately 3 to 10 feet. In general, the fill consists of silty to clayey, fine to coarse-grained sands, with varying cobble content. Highly expansive clays derived from excavations in Quaternary-age terrace deposits were mixed with less expansive soils during previous grading. Samples taken during previous grading operations indicated the near surface soils have a *low* to *medium* expansion potential. Compacted fills are suitable for support of additional fill and/or structural loading.

5.2 Very Old Terrace Deposits (Qvop)

Very Old Terrace Deposits (Kennedy and Tan, 2008), underlie the compacted fill. The Very Old Terrace Deposits in this area are generally comprised of highly expansive clay underlain by dense to very dense, silty to clayey sand with varying gravel and cobble content. During grading, the upper, highly expansive portion of the Very Old Terrace Deposits was removed and replaced as compacted fill. The Very Old Terrace Deposits are suitable for support of compacted fill and structural loading.

6. **GROUNDWATER**

We did not encounter groundwater during grading (Geocon, 2020). We do not expect groundwater will be encountered or have an adverse impact on the project; however, it is not uncommon for groundwater or seepage conditions to develop where none previously existed. Groundwater elevations are dependent on seasonal precipitation, irrigation, land use, among other factors, and vary as a result. Proper surface drainage will be important to future performance of the project.

7. GEOLOGIC HAZARDS

7.1 Geologic Hazard Category

The City of San Diego (2008) assigns the site with a *Hazard Category 53: Level or sloping terrain, unfavorable geologic structure, Low to moderate risk.*

7.2 Ground Rupture

No evidence of faulting was observed during grading of the site in 2020. The USGS (2016), City of San Diego (2008), and Kennedy & Tan (2008) show that there are no mapped Quaternary faults crossing or trending toward the property. The site is not located within a currently established Alquist-Priolo Earthquake Fault Zone (CGS, 2021). No active faults are known to exist at the site. The risk associated with ground rupture hazard is low.

7.3 Seismicity

Considerations important in seismic design include the frequency and duration of motion and the soil conditions underlying the site. Seismic design of structures should be evaluated in accordance with the California Building Code (CBC) guidelines currently adopted by the local agency. The risk associated with strong ground motion due to earthquake at the site is high; however, the risk is no greater than that for the region as a whole.

7.4 Liquefaction and Seismically Induced Settlement

The risk associated with liquefaction hazard is low due to the lack of shallow groundwater and dense nature and age of the underlying geologic units.

7.5 Landslides

No evidence of landslide was encountered at the site during previous grading. City of San Diego (2008), and Kennedy & Tan (2008) do not show any mapped landslides at the site or in areas that would impact the site. The risk associated with ground movement hazard due to landslide is low.

7.6 Subsidence

Based on the subsurface soil conditions encountered during our field investigation, the risk associated with ground subsidence is low.

7.7 Seiche and Tsunami

The site is not located within a tsunami inundation zone as defined by California Geological Survey (CGS). There are no lakes or reservoirs located near the site. The risk associated with inundation hazard due to tsunami or seiche is low.

7.8 Flooding

The site is not located within a designated drainage or floodplain area (FEMA, 2012). The risk associated with flooding hazard is low.

8. CONCLUSIONS AND RECOMMENDATIONS

8.1 General

- 8.1.1 From a geotechnical engineering standpoint, it is our opinion that the site is suitable for the proposed development provided the recommendations presented herein are implemented in design and construction of the project.
- 8.1.2 The site is underlain by compacted fill overlying Very Old Terrace Deposits. The near surface soils have a "low" to "medium" expansion potential (EI of 90 or less). Grading is ongoing. At the completion of grading, we expect compacted fill thicknesses across the site to range from 3 feet to 15 feet below finish pad grade elevations.
- 8.1.3 We did not encounter groundwater during grading. We do not expect groundwater to have an adverse impact on the project as currently proposed.
- 8.1.4 Active, potentially active, or presumed inactive faults do not cross the site.
- 8.1.5 With the exception of possible strong seismic shaking, we did not observe or know of significant geologic hazards that would adversely affect the proposed development.
- 8.1.6 The risks associated with soil liquefaction and flooding hazards are low.
- 8.1.7 The proposed residential structures can be supported on a shallow foundation system founded entirely on properly compacted fill soil.
- 8.1.8 Geocon Incorporated should review the foundation and improvement plans prior to finalizing. If plans differ significantly from those described herein, Geocon should be contacted to check if additional analyses will be required.
- 8.1.9 With the exception of subdrains for retaining walls, no other subdrains are required for this project.

8.2 Excavation and Soil Characteristics

- 8.2.1 Excavation of the onsite soils should be possible with moderate to heavy effort using conventional, heavy-duty equipment during grading and trenching operations.
- 8.2.2 Based on recent grading operations, the majority of the on-site soil is considered "expansive" (Expansion Index [EI] greater than 20) as defined by 2019 California Building

Code (CBC) Section 1803.5.3. Table 8.2.1 presents soil classifications based on the expansion index.

Expansion Index (EI)	ASTM D 4829 Expansion Classification	2019 CBC Expansion Classification
0 - 20	Very Low	Non-Expansive
21 - 50	Low	
51 - 90	Medium	D
91 - 130	High	Expansive
Greater Than 130	Very High	

TABLE 8.2.1 EXPANSION CLASSIFICATION BASED ON EXPANSION INDEX

8.2.3 We performed laboratory tests on samples of the site materials during grading for the original residential portion of the site to evaluate the percentage of water-soluble sulfate content. The previous test results indicate the on-site materials at the locations tested may possess a "S0" or "S1" sulfate exposure to concrete structures as defined by 2019 CBC Section 1904 and ACI 318-08 Sections 4.2 and 4.3. Table 8.2.2 presents a summary of concrete requirements set forth by 2019 CBC Section 1904 and ACI 318-19. We recommend ACI guidelines be followed when determining the type of concrete used for the project. The presence of water-soluble sulfates is not a visually discernible characteristic; therefore, other soil samples from the site could yield different concentrations. Additionally, over time landscaping activities (i.e., addition of fertilizers and other soil nutrients) may affect the concentration.

SULFATE-CONTAINING SOLUTIONS						
Exposure Class		Water-Soluble Sulfate (SO ₄) Percent by Weight	Cement Type (ASTM C 150)	Maximum Water to Cement Ratio by Weight ¹	Minimum Compressive Strength (psi)	
	S0	SO4<0.10	No Type Restriction	n/a	2,500	
S1		0.10 <u><</u> SO ₄ <0.20	II	0.50	4,000	
S 2		0.20 <u><</u> SO ₄ <u><</u> 2.00	V	0.45	4,500	
S 3	Option 1	SO ₄ >2.00	V+Pozzolan or Slag	0.45	4,500	
	Option 2		V	0.40	5,000	

TABLE 8.2.2 REQUIREMENTS FOR CONCRETE EXPOSED TO SULFATE-CONTAINING SOLUTIONS

¹ Maximum water to cement ratio limits do not apply to lightweight concrete

- 8.2.4 We performed laboratory tests on selected soil samples to check the corrosion potential to subsurface metal structures. A site is considered corrosive if the chloride ion concentration is 500 parts per million (ppm) or greater, water-soluble sulfate concentration is 2,000 ppm (0.2%) or greater, or the pH is 5.5 or less according to Caltrans *Corrosion Guidelines*, dated September 2003. The laboratory test results are presented in Appendix A. It appears some of the site soils have relatively high chloride contents.
- 8.2.5 Geocon Incorporated does not practice in the field of corrosion engineering. Therefore, further evaluation by a corrosion engineer may be performed if improvements susceptible to corrosion are planned.

8.3 Subdrains

8.3.1 With the exception of subdrains for retaining walls, no other subdrains will be required.

8.4 Grading

- 8.4.1 All grading should be performed in accordance with the *Recommended Grading Specifications* contained in Appendix B. Where the recommendations of Appendix B conflict with this section of the report, the recommendations of this section take precedence.
- 8.4.2 Prior to commencing grading, a preconstruction conference should be held at the site with the owner or developer, grading contractor, civil engineer, and geotechnical engineer in attendance. Special soil handling and/or the grading plans can be discussed at that time.
- 8.4.3 Grading should be performed in conjunction with the observation and compaction testing services of Geocon Incorporated. Fill soil should be observed on a full-time basis during placement and tested to check in-place dry density and moisture content.
- 8.4.4 Site preparation should begin with removal of all deleterious material and vegetation. The depth of removal should be such that material exposed in cut areas or soil to be used for fill is relatively free of organic matter. Deleterious material generated during stripping and/or site demolition should be exported from the site.
- 8.4.5 Prior to placing fill, the surface of the compacted fill should be scarified to a depth of 12 inches, moisture conditioned, and recompacted to at least 90 percent of the maximum dry density at or slightly above optimum moisture content as determined by ASTM D 1557.
- 8.4.6 Because retaining walls are being constructed within existing slopes, loose or otherwise unsuitable soil may exist at the base of retaining wall footings. A representative of Geocon

Incorporated should observe the soil conditions during footing excavation to evaluate if remedial grading measures or modified foundation recommendations are needed based on soil conditions encountered.

- 8.4.7 Fill (including scarified ground surfaces, new fill, wall backfill, and utility trench backfill, and other soil beneath improvements) should be compacted to at least 90 percent of maximum dry density near to or slightly above optimum moisture content as determined by ASTM D 1557. Fill soils should be placed and compacted in layers to design finish-grade elevations. The layers should be no thicker than will allow for adequate bonding and compaction.
- 8.4.8 Rocks or concretions greater than 12 inches in maximum dimension but less than 2 feet can be incorporated in the fill if they are kept at a depth of at least 3 feet below finish grade. Rocks greater than 2 feet should be exported.
- 8.4.9 Imported fill, if needed, should consist of granular soil with a "very low" to "low" expansion potential (EI of 50 or less) free of deleterious material or cobble larger than three inches and should be compacted as recommended herein. Geocon Incorporated should be notified of the imported soil source and should be provided samples for laboratory testing and approval prior to its arrival at the site. Laboratory testing is expected to take 1 week to complete for each sample source.

8.5 Seismic Design Criteria – 2019 California Building Code

8.5.1 Table 8.5.1 summarizes site-specific design criteria obtained from the 2019 California Building Code (CBC; Based on the 2018 International Building Code [IBC] and ASCE 7-16), Chapter 16 Structural Design, Section 1613 Earthquake Loads. We used the computer program Seismic Design Maps, provided by the Structural Engineers Association (SEA) to calculate the seismic design parameters. The short spectral response uses a period of 0.2 second. We evaluated the Site Class based on the discussion in Section 1613.2.2 of the 2019 CBC and Table 20.3-1 of ASCE 7-16. The values presented herein are for the risk-targeted maximum considered earthquake (MCER).

Parameter	Value	2016 CBC Reference
Site Class	D	Section 1613.2.2
MCE_R Ground Motion Spectral Response Acceleration – Class B (short), S _S	0.819g	Figure 1613.2.1(1)
MCE_R Ground Motion Spectral Response Acceleration – Class B (1 sec), S ₁	0.293g	Figure 1613.2.1(2)
Site Coefficient, F _A	1.172	Table 1613.2.3(1)
Site Coefficient, Fv	2.013*	Table 1613.2.3(2)
Site Class Modified MCE _R Spectral Response Acceleration (short), S _{MS}	0.960g	Section 1613.2.3 (Eqn 16-36)
Site Class Modified MCE _R Spectral Response Acceleration – (1 sec) , S _{M1}	0.591g*	Section 1613.2.3 (Eqn 16-37)
5% Damped Design Spectral Response Acceleration (short), S _{DS}	0.640g	Section 1613.2.4 (Eqn 16-38)
5% Damped Design Spectral Response Acceleration (1 sec), S _{D1}	0.394g*	Section 1613.2.4 (Eqn 16-39)

TABLE 8.5.12019 CBC SEISMIC DESIGN PARAMETERS

*Using the code-based values presented in this table, in lieu of a performing a ground motion hazard analysis, requires the exceptions outlined in ASCE 7-16 Section 11.4.8 be followed by the project structural engineer. Per Section 11.4.8 of ASCE/SEI 7-16, a ground motion hazard analysis should be performed for projects for Site Class "E" sites with Ss greater than or equal to 1.0g and for Site Class "D" and "E" sites with S1 greater than 0.2g. Section 11.4.8 also provides exceptions which indicates that the ground motion hazard analysis may be waived provided the exceptions are followed.

8.5.2 Table 8.5.2 presents additional seismic design parameters for projects located in Seismic Design Categories of D through F in accordance with ASCE 7-10 for the mapped maximum considered geometric mean (MCE_G).

Parameter	Value	ASCE 7-16 Reference
Site Class	D	Section 1613.2.2 (2019 CBC)
Mapped MCE _G Peak Ground Acceleration, PGA	0.358g	Figure 22-7
Site Coefficient, FPGA	1.242	Table 11.8-1
Site Class Modified MCE _G Peak Ground Acceleration, PGA _M	0.445g	Section 11.8.3 (Eqn 11.8-1)

TABLE 8.5.2ASCE 7-16 PEAK GROUND ACCELERATION

8.5.3 Conformance to the criteria in Tables 8.5.1 and 8.5.2 for seismic design does not constitute any kind of guarantee or assurance that significant structural damage or ground failure will not occur if a maximum level earthquake occurs. The primary goal of seismic design is to protect life and not to avoid all damage, since such design may be economically prohibitive.

8.6 Foundation and Concrete Slabs-On-Grade Recommendations

8.6.1 The foundation recommendations herein are for one- to three-story residential structures and are separated into three categories dependent on the thickness and geometry of the underlying fill soils as well as the expansion index of the prevailing subgrade soils of a particular building pad. The foundation category criteria are presented in Table 8.6.1. Foundation categories for each building pad will be provided at the completion of grading.

Foundation Category	Maximum Fill Thickness, T (feet)	Differential Fill Thickness, D (feet)	Expansion Index (EI)
Ι	T<20		EI <u><</u> 50
II	20 <u><</u> T<50	10 <u><</u> D<20	50 <ei<u><90</ei<u>
III	T <u>></u> 50	D <u>></u> 20	90 <ei<u><130</ei<u>

TABLE 8.6.1FOUNDATION CATEGORY CRITERIA

8.6.2 Table 8.6.2 presents minimum foundation and interior concrete slab design criteria for conventional foundation systems.

Foundation Category	Minimum Footing Embedment Depth (inches)	Continuous Footing Reinforcement	Interior Slab Reinforcement
Ι	12	Two No. 4 bars, one top and one bottom	6 x 6 - 10/10 welded wire mesh at slab mid-point
П	18	Four No. 4 bars, two top and two bottom	No. 3 bars at 24 inches on center, both directions
III	24	Four No. 5 bars, two top and two bottom	No. 3 bars at 18 inches on center, both directions

TABLE 8.6.2 CONVENTIONAL FOUNDATION RECOMMENDATIONS BY CATEGORY

8.6.3 The embedment depths presented in Table 8.6.2 should be measured from the lowest adjacent pad grade for both interior and exterior footings. The conventional foundations should have a minimum width of 12 inches and 24 inches for continuous and isolated footings, respectively. A Typical Wall/Column Footing Detail is presented below.



Wall/Column Footing Dimension Detail

- 8.6.4 The concrete slab-on-grade should be a minimum of 4 inches thick for Foundation Categories I and II and 5 inches thick for Foundation Category III.
- 8.6.5 A vapor retarder should underlie slabs that may receive moisture-sensitive floor coverings or may be used to store moisture-sensitive materials. The vapor retarder design should be consistent with the guidelines presented in the American Concrete Institute's (ACI) *Guide for Concrete Slabs that Receive Moisture-Sensitive Flooring Materials* (ACI 302.2R-06). The membrane should be installed in a manner that prevents puncture in accordance with manufacturer's recommendations and ASTM requirements.
- 8.6.6 The project architect or developer should specify the vapor retarder used based on the type of floor covering that will be installed and if the structure will possess a humidity-controlled environment.
- 8.6.7 The project foundation engineer, architect, and/or developer should determine the bedding sand thickness. However, we should be contacted to provide recommendations if the bedding sand is thicker than 6 inches. It is common to see 3 inches and 4 inches of sand below the concrete slab-on-grade for 5-inch and 4-inch thick slabs, respectively, in the southern California area.
- 8.6.8 The foundation design engineer should provide appropriate concrete mix design criteria and curing measures to assure proper curing of the slab by reducing the potential for rapid moisture loss and subsequent cracking and/or slab curl. We suggest that the foundation design engineer present the concrete mix design and proper curing methods on the foundation plans. It is critical that the foundation contractor understands and follows the recommendations presented on the foundation plans.

8.6.9 As an alternative to the conventional foundation recommendations, consideration should be given to the use of post-tensioned concrete slab and foundation systems for the support of the proposed structures. The post-tensioned systems should be designed by a structural engineer experienced in post-tensioned slab design and design criteria of the Post-Tensioning Institute (PTI) DC 10.5-12 *Standard Requirements for Design and Analysis of Shallow Post-Tensioned Concrete Foundations on Expansive Soils* or *WRI/CRSI Design of Slab-on-Ground Foundations*, as required by the 2019 California Building Code (CBC Section 1808.6.2). Although this procedure was developed for expansive soil conditions, it can also be used to reduce the potential for foundation distress due to differential fill settlement. The post-tensioned design should incorporate the geotechnical parameters presented in Table 8.6.3 for the particular Foundation Category designated. The parameters presented in Table 8.6.3 are based on the guidelines presented in the PTI DC 10.5 design manual.

Post-Tensioning Institute (PTI),	Foundation Category		
Third Edition Design Parameters	Ι	Π	Ш
Thornthwaite Index	-20	-20	-20
Equilibrium Suction	3.9	3.9	3.9
Edge Lift Moisture Variation Distance, e _M (feet)	5.3	5.1	4.9
Edge Lift, y _M (inches)	0.61	1.10	1.58
Center Lift Moisture Variation Distance, e _M (feet)	9.0	9.0	9.0
Center Lift, y _M (inches)	0.30	0.47	0.66

TABLE 8.6.3 POST-TENSIONED FOUNDATION SYSTEM DESIGN PARAMETERS

- 8.6.10 The foundations for the post-tensioned slabs should be embedded in accordance with the recommendations of the structural engineer. If a post-tensioned mat foundation system is planned, the slab should possess a thickened edge with a minimum width of 12 inches and extend at least 6 inches below the clean sand or crushed rock layer.
- 8.6.11 If the structural engineer proposes a post-tensioned foundation design method other than PTI, Third Edition:
 - The deflection criteria presented in Table 8.6.3 are still applicable.
 - Interior stiffener beams should be used for Foundation Categories II and III.
 - The width of the perimeter foundations should be at least 12 inches.
 - The perimeter footing embedment depths should be at least 12 inches, 18 inches and 24 inches for foundation categories I, II, and III, respectively. The embedment depths should be measured from the lowest adjacent pad grade.

- 8.6.12 Our experience indicates post-tensioned slabs may be susceptible to excessive edge lift, regardless of the underlying soil conditions. Placing reinforcing steel at the bottom of the perimeter footings and the interior stiffener beams may mitigate this potential. The structural engineer should design the foundation system to reduce the potential of edge lift occurring for the proposed structures.
- 8.6.13 During the construction of the post-tension foundation system, the concrete should be placed monolithically. Under no circumstances should cold joints form between the footings/grade beams and the slab during the construction of the post-tension foundation system.
- 8.6.14 Category I, II, or III foundations may be designed for an allowable soil bearing pressure of 2,000 pounds per square foot (psf) (dead plus live load). This bearing pressure may be increased by one-third for transient loads due to wind or seismic forces. The estimated maximum total and differential settlement for the planned structures due to foundation loads is 1 inch and ½ inch, respectively. Differential settlement is estimated to occur over a span of 40 feet.
- 8.6.15 Isolated footings outside of the slab area, if present, should have the minimum embedment depth and width recommended for conventional foundations for a particular foundation category. The use of isolated footings, which are located beyond the perimeter of the building and support structural elements connected to the building, are not recommended for Category III. Where this condition cannot be avoided, the isolated footings should be connected to the building foundation system with grade beams.
- 8.6.16 Interior stiffening beams should be incorporated into the design of the foundation system in accordance with the PTI design procedures.
- 8.6.17 Special subgrade presaturation is not deemed necessary prior to placing concrete; however, the exposed foundation and slab subgrade soil should be moisture conditioned, as necessary, to maintain a moist condition as would be expected in any such concrete placement.
- 8.6.18 Where buildings or other improvements are planned near the top of a slope 3:1 (horizontal:vertical) or steeper, special foundation and/or design considerations are recommended due to the tendency for lateral soil movement to occur.
 - For fill slopes less than 20 feet high or cut slopes regardless of height, footings should be deepened such that the bottom outside edge of the footing is at least 7 feet horizontally from the face of the slope.
 - When located next to a descending 3:1 (horizontal:vertical) fill slope or steeper, the foundations should be extended to a depth where the minimum horizontal distance

is equal to H/3 (where H equals the vertical distance from the top of the fill slope to the base of the fill soil) with a minimum of 7 feet but need not exceed 40 feet. The horizontal distance is measured from the outer, deepest edge of the footing to the face of the slope. A post-tensioned slab and foundation system or mat foundation system can be used to reduce the potential for distress in the structures associated with strain softening and lateral fill extension. Specific design parameters or recommendations for either of these alternatives can be provided once the building location and fill slope geometry have been determined.

- If swimming pools are planned, Geocon Incorporated should be contacted for a review of specific site conditions.
- Swimming pools located within 7 feet of the top of cut or fill slopes are not recommended. Where such a condition cannot be avoided, the portion of the swimming pool wall within 7 feet of the slope face be designed assuming that the adjacent soil provides no lateral support. This recommendation applies to fill slopes up to 30 feet in height, and cut slopes regardless of height. For swimming pools located near the top of fill slopes greater than 30 feet in height, additional recommendations may be required and Geocon Incorporated should be contacted for a review of specific site conditions.
- Although other improvements, which are relatively rigid or brittle, such as concrete flatwork or masonry walls, may experience some distress if located near the top of a slope, it is generally not economical to mitigate this potential. It may be possible, however, to incorporate design measures which would permit some lateral soil movement without causing extensive distress. Geocon Incorporated should be consulted for specific recommendations.
- 8.6.19 The recommendations of this report are intended to reduce the potential for cracking of slabs and foundations due to expansive soil (if present), differential settlement of fill soil with varying thicknesses. However, even with the incorporation of the recommendations presented herein, foundations, stucco walls, and slabs-on-grade placed on such conditions may still exhibit some cracking due to soil movement and/or shrinkage. The occurrence of concrete shrinkage cracks is independent of the supporting soil characteristics. Their occurrence may be reduced by limiting the slump of the concrete, proper concrete placement and curing, and by the placement of crack control joints at periodic intervals, in particular, where re-entrant slab corners occur.
- 8.6.20 Concrete slabs should be provided with adequate crack-control joints, construction joints and/or expansion joints to reduce unsightly shrinkage cracking. The design of joints should consider criteria of the American Concrete Institute (ACI) when establishing crack-control spacing. Additional reinforcing, concrete admixtures and/or closer crack control joint spacing should be considered where concrete-exposed finished floors are planned.
- 8.6.21 Geocon Incorporated should be consulted to provide additional design parameters as required by the structural engineer.

8.7 Retaining Walls

8.7.1 Retaining walls that are allowed to rotate more than 0.001H (where H equals the height of the retaining portion of the wall) at the top of the wall should be designed using the values presented in Table 8.7.1. Soil with an expansion index (EI) of greater than 50 should not be used as backfill material behind retaining walls.

Parameter	Value
Active Soil Pressure, A (Fluid Density, Level Backfill)	35 pcf
Active Soil Pressure, A (Fluid Density, 2:1 Sloping Backfill)	50 pcf
Seismic Pressure, S	16H psf
At-Rest/Restrained Walls Additional Uniform Pressure (0 to 8 Feet High)	7H psf
At-Rest/Restrained Walls Additional Uniform Pressure (8+ Feet High)	14H psf
Expected Expansion Index for the Subject Property	EI <u><</u> 50

TABLE 8.7.1 RETAINING WALL DESIGN RECOMMENDATIONS

H equals the height of the retaining portion of the wall

8.7.2 The project retaining walls should be designed as shown in the Retaining Wall Loading Diagram.



Retaining Wall Loading Diagram

- 8.7.3 Where walls are restrained from movement at the top (at-rest condition), an additional uniform pressure should be applied to the wall. For retaining walls subject to vehicular loads within a horizontal distance equal to two-thirds the wall height, a surcharge equivalent to 2 feet of fill soil should be added.
- 8.7.4 The structural engineer should determine the Seismic Design Category for the project in accordance with Section 1613.3.5 of the 2019 CBC or Section 11.6 of ASCE 7-10. For structures assigned to Seismic Design Category of D, E, or F, retaining walls that support more than 6 feet of backfill should be designed with seismic lateral pressure in accordance with Section 1803.5.12 of the 2019 CBC. The seismic load is dependent on the retained height where H is the height of the wall, in feet, and the calculated loads result in pounds per square foot (psf) exerted at the base of the wall and zero at the top of the wall.
- 8.7.5 Retaining walls should be designed to ensure stability against overturning, sliding, and excessive foundation pressure. Where a keyway is extended below the wall base with the intent to engage passive pressure and enhance sliding stability, it is not necessary to consider active pressure on the keyway.
- 8.7.6 Drainage openings through the base of the wall (weep holes) should not be used where the seepage could be a nuisance or otherwise adversely affect the property adjacent to the base of the wall. The recommendations herein assume a properly compacted granular (EI of 90 or less) free-draining backfill material with no hydrostatic forces or imposed surcharge load. The retaining wall should be properly drained as shown in the Typical Retaining Wall Drainage Detail. If conditions different than those described are expected, or if specific drainage details are desired, Geocon Incorporated should be contacted for additional recommendations.



Typical Retaining Wall Drainage Detail

- 8.7.7 The retaining walls may be designed using either the active and restrained (at-rest) loading condition or the active and seismic loading condition as suggested by the structural engineer. Typically, it appears the design of the restrained condition for retaining wall loading may be adequate for the seismic design of the retaining walls. However, the active earth pressure combined with the seismic design load should be reviewed and also considered in the design of the retaining walls.
- 8.7.8 In general, wall foundations should be designed in accordance with Table 8.7.2. The proximity of the foundation to the top of a slope steeper than 3:1 could impact the allowable soil bearing pressure. Therefore, retaining wall foundations should be deepened such that the bottom outside edge of the footing is at least 7 feet horizontally from the face of the slope.

Parameter	Value
Minimum Retaining Wall Foundation Width	12 inches
Minimum Retaining Wall Foundation Depth	12 Inches
Minimum Concrete Reinforcement	Per Structural Engineer
Allowable Bearing Capacity	2,000 psf
Estimated Total Settlement	1 Inch
Estimated Differential Settlement	¹ / ₂ Inch in 40 Feet

TABLE 8.7.2 SUMMARY OF RETAINING WALL FOUNDATION RECOMMENDATIONS

- 8.7.9 The recommendations presented herein are generally applicable to the design of rigid concrete or masonry retaining walls. In the event that other types of walls (such as mechanically stabilized earth [MSE] walls, soil nail walls, or soldier pile walls) are planned, Geocon Incorporated should be consulted for additional recommendations.
- 8.7.10 Unrestrained walls will move laterally when backfilled and loading is applied. The amount of lateral deflection is dependent on the wall height, the type of soil used for backfill, and loads acting on the wall. The retaining walls and improvements above the retaining walls should be designed to incorporate an appropriate amount of lateral deflection as determined by the structural engineer.
- 8.7.11 Soil contemplated for use as retaining wall backfill, including import materials, should be identified in the field prior to backfill. At that time, Geocon Incorporated should obtain samples for laboratory testing to evaluate its suitability. Modified lateral earth pressures may be necessary if the backfill soil does not meet the required expansion index or shear

strength. City or regional standard wall designs, if used, are based on a specific active lateral earth pressure and/or soil friction angle. In this regard, on-site soil to be used as backfill may or may not meet the values for standard wall designs. Geocon Incorporated should be consulted to assess the suitability of the on-site soil for use as wall backfill if standard wall designs will be used.

8.8 Lateral Loading

8.8.1 Table 8.8 should be used to help design the proposed structures and improvements to resist lateral loads for the design of footings or shear keys. The allowable passive pressure assumes a horizontal surface extending at least 5 feet, or three times the surface generating the passive pressure, whichever is greater. The upper 12 inches of material in areas not protected by floor slabs or pavement should not be included in design for passive resistance.

 TABLE 8.8

 SUMMARY OF LATERAL LOAD DESIGN RECOMMENDATIONS

Parameter	Value
Passive Pressure Fluid Density	300 pcf
Coefficient of Friction (Concrete and Soil)	0.4
Coefficient of Friction (Along Vapor Barrier)	0.2 to 0.25*

*Per manufacturer's recommendations.

8.8.2 The passive and frictional resistant loads can be combined for design purposes. The lateral passive pressures may be increased by one-third when considering transient loads due to wind or seismic forces.

8.9 Site Drainage and Moisture Protection

- 8.9.1 Adequate site drainage is critical to reduce the potential for differential soil movement, erosion and subsurface seepage. Under no circumstances should water be allowed to pond adjacent to footings. The site should be graded and maintained such that surface drainage is directed away from structures in accordance with 2019 CBC 1804.4 or other applicable standards. In addition, surface drainage should be directed away from the top of slopes into swales or other controlled drainage devices. Roof and pavement drainage should be directed into conduits that carry runoff away from the proposed structure.
- 8.9.2 In the case of basement walls or building walls retaining landscaping areas, a water-proofing system should be used on the wall and joints, and a Miradrain drainage panel (or similar)

should be placed over the waterproofing. The project architect or civil engineer should provide detailed specifications on the plans for all waterproofing and drainage.

- 8.9.3 Underground utilities should be leak free. Utility and irrigation lines should be checked periodically for leaks, and detected leaks should be repaired promptly. Detrimental soil movement could occur if water is allowed to infiltrate the soil for prolonged periods of time.
- 8.9.4 Landscaping planters adjacent to paved areas are not recommended due to the potential for surface or irrigation water to infiltrate the pavement's subgrade and base course. Area drains to collect excess irrigation water and transmit it to drainage structures or impervious above-grade planter boxes can be used. In addition, where landscaping is planned adjacent to the pavement, construction of a cutoff wall along the edge of the pavement that extends at least 6 inches below the bottom of the base material should be considered.

8.10 Grading and Foundation Plan Review

8.10.1 Geocon Incorporated should review the grading and foundation plans for the project prior to final design submittal to determine if additional analysis and/or recommendations are required.

LIMITATIONS AND UNIFORMITY OF CONDITIONS

- 1. The firm that performed the geotechnical investigation for the project should be retained to provide testing and observation services during construction to provide continuity of geotechnical interpretation and to check that the recommendations presented for geotechnical aspects of site development are incorporated during site grading, construction of improvements, and excavation of foundations. If another geotechnical firm is selected to perform the testing and observation services during construction operations, that firm should prepare a letter indicating their intent to assume the responsibilities of project geotechnical engineer of record. A copy of the letter should be provided to the regulatory agency for their records. In addition, that firm should provide revised recommendations concerning the geotechnical aspects of the proposed development, or a written acknowledgement of their concurrence with the recommendations presented in our report. They should also perform additional analyses deemed necessary to assume the role of Geotechnical Engineer of Record.
- 2. The recommendations of this report pertain only to the site investigated and are based upon the assumption that the soil conditions do not deviate from those disclosed in the investigation. If any variations or undesirable conditions are encountered during construction, or if the proposed construction will differ from that anticipated herein, Geocon Incorporated should be notified so that supplemental recommendations can be given. The evaluation or identification of the potential presence of hazardous or corrosive materials was not part of the scope of services provided by Geocon Incorporated.
- 3. This report is issued with the understanding that it is the responsibility of the owner or his representative to ensure that the information and recommendations contained herein are brought to the attention of the architect and engineer for the project and incorporated into the plans, and the necessary steps are taken to see that the contractor and subcontractors carry out such recommendations in the field.
- 4. The findings of this report are valid as of the present date. However, changes in the conditions of a property can occur with the passage of time, whether they be due to natural processes or the works of man on this or adjacent properties. In addition, changes in applicable or appropriate standards may occur, whether they result from legislation or the broadening of knowledge. Accordingly, the findings of this report may be invalidated wholly or partially by changes outside our control. Therefore, this report is subject to review and should not be relied upon after a period of three years.









APPENDIX A

LABORATORY TESTING

Presented herewith are laboratory test results performed as part of the previous grading that was performed in the residential building areas at the east side of the overall site. Laboratory tests were performed in accordance with the American Society for Testing and Materials (ASTM) or other suggested procedures. Soil samples were tested for their compaction, expansion, and corrosion characteristics.

TABLE I SUMMARY OF LABORATORY MAXIMUM DRY DENSITY AND OPTIMUM MOISTURE CONTENT TEST RESULTS ASTM D 1557

Sample No.	Description	Maximum Dry Density (pcf)	Optimum Moisture Content (% dry weight)
1	Dark brown, Silty CLAY	115.6	15.1
2	Light brown, Clayey, fine to coarse SAND; trace of gravel	118.4	13.8
3	Gray brown, Clayey, fine to coarse SAND	119.8	11.4
4	Gray brown, Clayey, fine to coarse SAND	115.7	12.8
5	Gray, FAT CLAY	114.1	14.6
6	Pale brown, Clayey, fine to coarse SAND; trace gravel	102.7	21.1

Moisture Content (%)		Dry		ASTM
Before	After	Density (pcf)	Expansion Index	Classification
12.0	22.7	102.0	50	
12.0	23.7	102.9	52	Medium
11.2	23.0	104.6	42	Low
9.7	17.0	109.9	10	Very Low
9.5	16.1	110.6	46	Low
9.4	16.2	112.4	9	Very Low
10.5	22.4	106.1	58	Medium
9.9	21.1	108.6	56	Medium
9.8	18.1	110.0	27	Low
9.8	17.9	109.8	20	Very Low
9.2	18.2	111.0	22	Low
10.6	22.5	105.2	43	Low
10.4	19.5	108.7	33	Low
10.1	19.4	108.8	31	Low
10.0	17.4	110.6	16	Low
9.7	18.6	109.7	23	Low
10.9	23.3	105.3	67	Low
11.0	26.5	104.1	78	Medium
10.9	20.3	107.0	35	Low
10.2	18.7	108.3	30	Low
10.1	18.7	107.7	23	Low
11.7	19.7	104.6	11	Very Low
10.3	19.9	107.1	26	Low
10.4	22.5	106.4	56	Medium
11.6	25.7	103.0	77	Medium
10.8	20.3	107.7	42	Low
10.0	19.7	108.8	39	Low
10.2	20.0	109.5	40	Low
10.1	20.3	107.6	40	Low
10.8	23.5	106.0	73	Medium
10.5	19.4	108.5	31	Low
9.8	19.5	108.8	43	Low
99	18.7	110.3	40	Low
11.4	25.2	103.0	73	Medium
	Moisture C Before Test 12.0 11.2 9.7 9.5 9.4 10.5 9.9 9.8 9.2 10.6 10.4 10.1 10.0 9.7 10.6 10.4 10.1 10.0 9.7 10.9 10.1 10.3 10.4 10.5 9.8 9.7 10.9 11.0 10.1 10.2 10.1 10.3 10.4 11.6 10.8 10.0 10.2 10.1 10.8 10.5 9.8 9.9 11.4	Moisture Content (%)Before TestAfter Test12.023.711.223.09.717.09.516.19.416.210.522.49.921.19.818.19.817.99.218.210.622.510.419.510.119.410.017.49.718.610.923.311.026.510.118.710.118.710.118.710.118.710.319.910.422.511.625.710.820.310.019.710.319.910.422.511.625.710.820.310.910.425.119.49.819.59.918.711.425.2	Moisture Content (%) Dry Density (pcf) Before Test After Test Density (pcf) 12.0 23.7 102.9 11.2 23.0 104.6 9.7 17.0 109.9 9.5 16.1 110.6 9.4 16.2 112.4 10.5 22.4 106.1 9.9 21.1 108.6 9.8 18.1 110.0 9.8 17.9 109.8 9.2 18.2 111.0 10.6 22.5 105.2 10.1 19.4 108.8 10.0 17.4 110.6 9.7 18.6 109.7 10.1 19.4 108.8 10.0 17.4 110.6 9.7 18.6 109.7 10.9 20.3 107.0 10.2 18.7 108.3 10.1 18.7 107.7 11.7 19.7 104.6 10.3 19.9<	Moisture Content (%) Dry Test Dry Test Expansion Index 12.0 23.7 102.9 52 11.2 23.0 104.6 42 9.7 17.0 109.9 10 9.5 16.1 110.6 46 9.4 16.2 112.4 9 10.5 22.4 106.1 58 9.9 21.1 108.6 56 9.8 18.1 110.0 27 9.8 17.9 109.8 20 9.2 18.2 111.0 22 10.6 22.5 105.2 43 10.4 19.5 108.7 33 10.1 19.4 108.8 31 10.0 17.4 110.6 16 9.7 18.6 109.7 23 10.9 20.3 107.0 35 10.2 18.7 108.3 30 10.1 18.7 107.7 23

TABLE IISUMMARY OF LABORATORY FINISH GRADE EXPANSION INDEX TEST RESULTSASTM D 4829

TABLE III SUMMARY OF LABORATORY FINISH GRADE WATER-SOLUBLE SULFATE TEST RESULTS CALIFORNIA TEST NO. 417

Sample No.	Water-Soluble Sulfate (%)	Sulfate Exposure
EI-3	0.032	SO
EI-4	0.026	S0
EI-5	0.033	S0
EI-6	0.038	SO
EI-7	0.036	SO
EI-8	0.033	SO
EI-9	0.036	SO
EI-10	0.033	SO
EI-11	0.032	SO
EI-12	0.031	SO
EI-13	0.037	SO
EI-14	0.034	SO
EI-15	0.044	SO
EI-16	0.040	SO
EI-17	0.040	SO
EI-18	0.037	SO
EI-19	0.043	SO
EI-20	0.040	SO
EI-21	0.037	SO
EI-22	0.028	SO
EI-23	0.050	SO
EI-24	0.111	S1
EI-25	0.052	SO
EI-26	0.069	SO
EI-27	0.038	SO
EI-28	0.041	SO
EI-29	0.049	S0
EI-30	0.038	S 0
EI-31	0.040	S0
EI-32	0.033	S0
EI-33	0.045	S 0

Sample No.	Chloride Content (ppm)	Chloride Content (%)
EI-3	710	0.071
EI-5	592	0.059
EI-7	680	0.068
EI-9	772	0.077
EI-11	927	0.093
EI-14	892	0.089
EI-15	739	0.074
EI-17	1,027	0.103
EI-18	896	0.090
EI-20	1,048	0.105
EI-21	1,112	0.111
EI-23	1,339	0.134
EI-25	987	0.099
EI-28	1,081	0.108
EI-30	773	0.077

TABLE IV SUMMARY OF LABORATORY CHLORIDE CONTENT TEST RESULTS AASHTO TEST NO. T291



APPENDIX B

RECOMMENDED GRADING SPECIFICATIONS

FOR

RANCHO LAS BRISAS – LOT 1 RESIDENTIAL (OCEANVIEW HILLS – PA 61) SAN DIEGO, CALIFORNIA

PROJECT NO. 07955-42-03

RECOMMENDED GRADING SPECIFICATIONS

1. **GENERAL**

- 1.1 These Recommended Grading Specifications shall be used in conjunction with the Geotechnical Report for the project prepared by Geocon. The recommendations contained in the text of the Geotechnical Report are a part of the earthwork and grading specifications and shall supersede the provisions contained hereinafter in the case of conflict.
- 1.2 Prior to the commencement of grading, a geotechnical consultant (Consultant) shall be employed for the purpose of observing earthwork procedures and testing the fills for substantial conformance with the recommendations of the Geotechnical Report and these specifications. The Consultant should provide adequate testing and observation services so that they may assess whether, in their opinion, the work was performed in substantial conformance with these specifications. It shall be the responsibility of the Contractor to assist the Consultant and keep them apprised of work schedules and changes so that personnel may be scheduled accordingly.
- 1.3 It shall be the sole responsibility of the Contractor to provide adequate equipment and methods to accomplish the work in accordance with applicable grading codes or agency ordinances, these specifications and the approved grading plans. If, in the opinion of the Consultant, unsatisfactory conditions such as questionable soil materials, poor moisture condition, inadequate compaction, and/or adverse weather result in a quality of work not in conformance with these specifications, the Consultant will be empowered to reject the work and recommend to the Owner that grading be stopped until the unacceptable conditions are corrected.

2. **DEFINITIONS**

- 2.1 **Owner** shall refer to the owner of the property or the entity on whose behalf the grading work is being performed and who has contracted with the Contractor to have grading performed.
- 2.2 **Contractor** shall refer to the Contractor performing the site grading work.
- 2.3 **Civil Engineer** or **Engineer of Work** shall refer to the California licensed Civil Engineer or consulting firm responsible for preparation of the grading plans, surveying and verifying as-graded topography.
- 2.4 **Consultant** shall refer to the soil engineering and engineering geology consulting firm retained to provide geotechnical services for the project.

- 2.5 **Soil Engineer** shall refer to a California licensed Civil Engineer retained by the Owner, who is experienced in the practice of geotechnical engineering. The Soil Engineer shall be responsible for having qualified representatives on-site to observe and test the Contractor's work for conformance with these specifications.
- 2.6 **Engineering Geologist** shall refer to a California licensed Engineering Geologist retained by the Owner to provide geologic observations and recommendations during the site grading.
- 2.7 **Geotechnical Report** shall refer to a soil report (including all addenda) which may include a geologic reconnaissance or geologic investigation that was prepared specifically for the development of the project for which these Recommended Grading Specifications are intended to apply.

3. MATERIALS

- 3.1 Materials for compacted fill shall consist of any soil excavated from the cut areas or imported to the site that, in the opinion of the Consultant, is suitable for use in construction of fills. In general, fill materials can be classified as *soil* fills, *soil-rock* fills or *rock* fills, as defined below.
 - 3.1.1 **Soil fills** are defined as fills containing no rocks or hard lumps greater than 12 inches in maximum dimension and containing at least 40 percent by weight of material smaller than ³/₄ inch in size.
 - 3.1.2 **Soil-rock fills** are defined as fills containing no rocks or hard lumps larger than 4 feet in maximum dimension and containing a sufficient matrix of soil fill to allow for proper compaction of soil fill around the rock fragments or hard lumps as specified in Paragraph 6.2. **Oversize rock** is defined as material greater than 12 inches.
 - 3.1.3 **Rock fills** are defined as fills containing no rocks or hard lumps larger than 3 feet in maximum dimension and containing little or no fines. Fines are defined as material smaller than ³/₄ inch in maximum dimension. The quantity of fines shall be less than approximately 20 percent of the rock fill quantity.
- 3.2 Material of a perishable, spongy, or otherwise unsuitable nature as determined by the Consultant shall not be used in fills.
- 3.3 Materials used for fill, either imported or on-site, shall not contain hazardous materials as defined by the California Code of Regulations, Title 22, Division 4, Chapter 30, Articles 9

and 10; 40CFR; and any other applicable local, state or federal laws. The Consultant shall not be responsible for the identification or analysis of the potential presence of hazardous materials. However, if observations, odors or soil discoloration cause Consultant to suspect the presence of hazardous materials, the Consultant may request from the Owner the termination of grading operations within the affected area. Prior to resuming grading operations, the Owner shall provide a written report to the Consultant indicating that the suspected materials are not hazardous as defined by applicable laws and regulations.

- 3.4 The outer 15 feet of *soil-rock* fill slopes, measured horizontally, should be composed of properly compacted *soil* fill materials approved by the Consultant. *Rock* fill may extend to the slope face, provided that the slope is not steeper than 2:1 (horizontal:vertical) and a soil layer no thicker than 12 inches is track-walked onto the face for landscaping purposes. This procedure may be utilized provided it is acceptable to the governing agency, Owner and Consultant.
- 3.5 Samples of soil materials to be used for fill should be tested in the laboratory by the Consultant to determine the maximum density, optimum moisture content, and, where appropriate, shear strength, expansion, and gradation characteristics of the soil.
- 3.6 During grading, soil or groundwater conditions other than those identified in the Geotechnical Report may be encountered by the Contractor. The Consultant shall be notified immediately to evaluate the significance of the unanticipated condition.

4. CLEARING AND PREPARING AREAS TO BE FILLED

- 4.1 Areas to be excavated and filled shall be cleared and grubbed. Clearing shall consist of complete removal above the ground surface of trees, stumps, brush, vegetation, man-made structures, and similar debris. Grubbing shall consist of removal of stumps, roots, buried logs and other unsuitable material and shall be performed in areas to be graded. Roots and other projections exceeding 1½ inches in diameter shall be removed to a depth of 3 feet below the surface of the ground. Borrow areas shall be grubbed to the extent necessary to provide suitable fill materials.
- 4.2 Asphalt pavement material removed during clearing operations should be properly disposed at an approved off-site facility or in an acceptable area of the project evaluated by Geocon and the property owner. Concrete fragments that are free of reinforcing steel may be placed in fills, provided they are placed in accordance with Section 6.2 or 6.3 of this document.

- 4.3 After clearing and grubbing of organic matter and other unsuitable material, loose or porous soils shall be removed to the depth recommended in the Geotechnical Report. The depth of removal and compaction should be observed and approved by a representative of the Consultant. The exposed surface shall then be plowed or scarified to a minimum depth of 6 inches and until the surface is free from uneven features that would tend to prevent uniform compaction by the equipment to be used.
- 4.4 Where the slope ratio of the original ground is steeper than 5:1 (horizontal:vertical), or where recommended by the Consultant, the original ground should be benched in accordance with the following illustration.



TYPICAL BENCHING DETAIL

No Scale

- DETAIL NOTES: (1) Key width "B" should be a minimum of 10 feet, or sufficiently wide to permit complete coverage with the compaction equipment used. The base of the key should be graded horizontal, or inclined slightly into the natural slope.
 - (2) The outside of the key should be below the topsoil or unsuitable surficial material and at least 2 feet into dense formational material. Where hard rock is exposed in the bottom of the key, the depth and configuration of the key may be modified as approved by the Consultant.
- 4.5 After areas to receive fill have been cleared and scarified, the surface should be moisture conditioned to achieve the proper moisture content, and compacted as recommended in Section 6 of these specifications.

5. COMPACTION EQUIPMENT

- 5.1 Compaction of *soil* or *soil-rock* fill shall be accomplished by sheepsfoot or segmented-steel wheeled rollers, vibratory rollers, multiple-wheel pneumatic-tired rollers, or other types of acceptable compaction equipment. Equipment shall be of such a design that it will be capable of compacting the *soil* or *soil-rock* fill to the specified relative compaction at the specified moisture content.
- 5.2 Compaction of *rock* fills shall be performed in accordance with Section 6.3.

6. PLACING, SPREADING AND COMPACTION OF FILL MATERIAL

- 6.1 *Soil* fill, as defined in Paragraph 3.1.1, shall be placed by the Contractor in accordance with the following recommendations:
 - 6.1.1 *Soil* fill shall be placed by the Contractor in layers that, when compacted, should generally not exceed 8 inches. Each layer shall be spread evenly and shall be thoroughly mixed during spreading to obtain uniformity of material and moisture in each layer. The entire fill shall be constructed as a unit in nearly level lifts. Rock materials greater than 12 inches in maximum dimension shall be placed in accordance with Section 6.2 or 6.3 of these specifications.
 - 6.1.2 In general, the *soil* fill shall be compacted at a moisture content at or above the optimum moisture content as determined by ASTM D 1557.
 - 6.1.3 When the moisture content of *soil* fill is below that specified by the Consultant, water shall be added by the Contractor until the moisture content is in the range specified.
 - 6.1.4 When the moisture content of the *soil* fill is above the range specified by the Consultant or too wet to achieve proper compaction, the *soil* fill shall be aerated by the Contractor by blading/mixing, or other satisfactory methods until the moisture content is within the range specified.
 - 6.1.5 After each layer has been placed, mixed, and spread evenly, it shall be thoroughly compacted by the Contractor to a relative compaction of at least 90 percent. Relative compaction is defined as the ratio (expressed in percent) of the in-place dry density of the compacted fill to the maximum laboratory dry density as determined in accordance with ASTM D 1557. Compaction shall be continuous over the entire area, and compaction equipment shall make sufficient passes so that the specified minimum relative compaction has been achieved throughout the entire fill.

- 6.1.6 Where practical, soils having an Expansion Index greater than 50 should be placed at least 3 feet below finish pad grade and should be compacted at a moisture content generally 2 to 4 percent greater than the optimum moisture content for the material.
- 6.1.7 Properly compacted *soil* fill shall extend to the design surface of fill slopes. To achieve proper compaction, it is recommended that fill slopes be over-built by at least 3 feet and then cut to the design grade. This procedure is considered preferable to track-walking of slopes, as described in the following paragraph.
- 6.1.8 As an alternative to over-building of slopes, slope faces may be back-rolled with a heavy-duty loaded sheepsfoot or vibratory roller at maximum 4-foot fill height intervals. Upon completion, slopes should then be track-walked with a D-8 dozer or similar equipment, such that a dozer track covers all slope surfaces at least twice.
- 6.2 *Soil-rock* fill, as defined in Paragraph 3.1.2, shall be placed by the Contractor in accordance with the following recommendations:
 - 6.2.1 Rocks larger than 12 inches but less than 4 feet in maximum dimension may be incorporated into the compacted *soil* fill, but shall be limited to the area measured 15 feet minimum horizontally from the slope face and 5 feet below finish grade or 3 feet below the deepest utility, whichever is deeper.
 - 6.2.2 Rocks or rock fragments up to 4 feet in maximum dimension may either be individually placed or placed in windrows. Under certain conditions, rocks or rock fragments up to 10 feet in maximum dimension may be placed using similar methods. The acceptability of placing rock materials greater than 4 feet in maximum dimension shall be evaluated during grading as specific cases arise and shall be approved by the Consultant prior to placement.
 - 6.2.3 For individual placement, sufficient space shall be provided between rocks to allow for passage of compaction equipment.
 - 6.2.4 For windrow placement, the rocks should be placed in trenches excavated in properly compacted *soil* fill. Trenches should be approximately 5 feet wide and 4 feet deep in maximum dimension. The voids around and beneath rocks should be filled with approved granular soil having a Sand Equivalent of 30 or greater and should be compacted by flooding. Windrows may also be placed utilizing an "open-face" method in lieu of the trench procedure, however, this method should first be approved by the Consultant.

- 6.2.5 Windrows should generally be parallel to each other and may be placed either parallel to or perpendicular to the face of the slope depending on the site geometry. The minimum horizontal spacing for windrows shall be 12 feet center-to-center with a 5-foot stagger or offset from lower courses to next overlying course. The minimum vertical spacing between windrow courses shall be 2 feet from the top of a lower windrow to the bottom of the next higher windrow.
- 6.2.6 Rock placement, fill placement and flooding of approved granular soil in the windrows should be continuously observed by the Consultant.
- 6.3 *Rock* fills, as defined in Section 3.1.3, shall be placed by the Contractor in accordance with the following recommendations:
 - 6.3.1 The base of the *rock* fill shall be placed on a sloping surface (minimum slope of 2 percent). The surface shall slope toward suitable subdrainage outlet facilities. The *rock* fills shall be provided with subdrains during construction so that a hydrostatic pressure buildup does not develop. The subdrains shall be permanently connected to controlled drainage facilities to control post-construction infiltration of water.
 - 6.3.2 *Rock* fills shall be placed in lifts not exceeding 3 feet. Placement shall be by rock trucks traversing previously placed lifts and dumping at the edge of the currently placed lift. Spreading of the *rock* fill shall be by dozer to facilitate *seating* of the rock. The *rock* fill shall be watered heavily during placement. Watering shall consist of water trucks traversing in front of the current rock lift face and spraying water continuously during rock placement. Compaction equipment with compactive energy comparable to or greater than that of a 20-ton steel vibratory roller or other compaction equipment providing suitable energy to achieve the required compaction or deflection as recommended in Paragraph 6.3.3 shall be utilized. The number of passes to be made should be determined as described in Paragraph 6.3.3. Once a *rock* fill lift has been covered with *soil* fill, no additional *rock* fill lifts will be permitted over the *soil* fill.
 - 6.3.3 Plate bearing tests, in accordance with ASTM D 1196, may be performed in both the compacted *soil* fill and in the *rock* fill to aid in determining the required minimum number of passes of the compaction equipment. If performed, a minimum of three plate bearing tests should be performed in the properly compacted *soil* fill (minimum relative compaction of 90 percent). Plate bearing tests shall then be performed on areas of *rock* fill having two passes, four passes and six passes of the compaction equipment, respectively. The number of passes required for the *rock* fill shall be determined by comparing the results of the plate bearing tests for the *soil* fill and the *rock* fill and by evaluating the deflection

GI rev. 07/2015

variation with number of passes. The required number of passes of the compaction equipment will be performed as necessary until the plate bearing deflections are equal to or less than that determined for the properly compacted *soil* fill. In no case will the required number of passes be less than two.

- 6.3.4 A representative of the Consultant should be present during *rock* fill operations to observe that the minimum number of "passes" have been obtained, that water is being properly applied and that specified procedures are being followed. The actual number of plate bearing tests will be determined by the Consultant during grading.
- 6.3.5 Test pits shall be excavated by the Contractor so that the Consultant can state that, in their opinion, sufficient water is present and that voids between large rocks are properly filled with smaller rock material. In-place density testing will not be required in the *rock* fills.
- 6.3.6 To reduce the potential for "piping" of fines into the *rock* fill from overlying *soil* fill material, a 2-foot layer of graded filter material shall be placed above the uppermost lift of *rock* fill. The need to place graded filter material below the *rock* should be determined by the Consultant prior to commencing grading. The gradation of the graded filter material will be determined at the time the *rock* fill is being excavated. Materials typical of the *rock* fill should be submitted to the Consultant in a timely manner, to allow design of the graded filter prior to the commencement of *rock* fill placement.
- 6.3.7 *Rock* fill placement should be continuously observed during placement by the Consultant.

7. SUBDRAINS

7.1 The geologic units on the site may have permeability characteristics and/or fracture systems that could be susceptible under certain conditions to seepage. The use of canyon subdrains may be necessary to mitigate the potential for adverse impacts associated with seepage conditions. Canyon subdrains with lengths in excess of 500 feet or extensions of existing offsite subdrains should use 8-inch-diameter pipes. Canyon subdrains less than 500 feet in length should use 6-inch-diameter pipes.

TYPICAL CANYON DRAIN DETAIL





1.....8-INCH DIAMETER, SCHEDULE 80 PVC PERFORATED PIPE FOR FILLS IN EXCESS OF 100-FEET IN DEPTH OR A PIPE LENGTH OF LONGER THAN 500 FEET.

2.....6-INCH DIAMETER, SCHEDULE 40 PVC PERFORATED PIPE FOR FILLS LESS THAN 100-FEET IN DEPTH OR A PIPE LENGTH SHORTER THAN 500 FEET.

NO SCALE

7.2 Slope drains within stability fill keyways should use 4-inch-diameter (or lager) pipes.

TYPICAL STABILITY FILL DETAIL



NOTES:

1.....EXCAVATE BACKCUT AT 1:1 INCLINATION (UNLESS OTHERWISE NOTED).

2.....BASE OF STABILITY FILL TO BE 3 FEET INTO FORMATIONAL MATERIAL, SLOPING A MINIMUM 5% INTO SLOPE.

3.....STABILITY FILL TO BE COMPOSED OF PROPERLY COMPACTED GRANULAR SOIL.

4.....CHIMNEY DRAINS TO BE APPROVED PREFABRICATED CHIMNEY DRAIN PANELS (MIRADRAIN G200N OR EQUIVALENT) SPACED APPROXIMATELY 20 FEET CENTER TO CENTER AND 4 FEET WIDE. CLOSER SPACING MAY BE REQUIRED IF SEEPAGE IS ENCOUNTERED.

5.....FILTER MATERIAL TO BE 3/4-INCH, OPEN-GRADED CRUSHED ROCK ENCLOSED IN APPROVED FILTER FABRIC (MIRAFI 140NC).

6.....COLLECTOR PIPE TO BE 4-INCH MINIMUM DIAMETER, PERFORATED, THICK-WALLED PVC SCHEDULE 40 OR EQUIVALENT, AND SLOPED TO DRAIN AT 1 PERCENT MINIMUM TO APPROVED OUTLET.

NO SCALE

- 7.3 The actual subdrain locations will be evaluated in the field during the remedial grading operations. Additional drains may be necessary depending on the conditions observed and the requirements of the local regulatory agencies. Appropriate subdrain outlets should be evaluated prior to finalizing 40-scale grading plans.
- 7.4 *Rock* fill or *soil-rock* fill areas may require subdrains along their down-slope perimeters to mitigate the potential for buildup of water from construction or landscape irrigation. The subdrains should be at least 6-inch-diameter pipes encapsulated in gravel and filter fabric. *Rock* fill drains should be constructed using the same requirements as canyon subdrains.

7.5 Prior to outletting, the final 20-foot segment of a subdrain that will not be extended during future development should consist of non-perforated drainpipe. At the non-perforated/ perforated interface, a seepage cutoff wall should be constructed on the downslope side of the pipe.

TYPICAL CUT OFF WALL DETAIL

FRONT VIEW



SIDE VIEW



7.6 Subdrains that discharge into a natural drainage course or open space area should be provided with a permanent headwall structure.

TYPICAL HEADWALL DETAIL



7.7 The final grading plans should show the location of the proposed subdrains. After completion of remedial excavations and subdrain installation, the project civil engineer should survey the drain locations and prepare an "as-built" map showing the drain locations. The final outlet and connection locations should be determined during grading operations. Subdrains that will be extended on adjacent projects after grading can be placed on formational material and a vertical riser should be placed at the end of the subdrain. The grading contractor should consider videoing the subdrains shortly after burial to check proper installation and functionality. The contractor is responsible for the performance of the drains.

8. OBSERVATION AND TESTING

- 8.1 The Consultant shall be the Owner's representative to observe and perform tests during clearing, grubbing, filling, and compaction operations. In general, no more than 2 feet in vertical elevation of *soil* or *soil-rock* fill should be placed without at least one field density test being performed within that interval. In addition, a minimum of one field density test should be performed for every 2,000 cubic yards of *soil* or *soil-rock* fill placed and compacted.
- 8.2 The Consultant should perform a sufficient distribution of field density tests of the compacted *soil* or *soil-rock* fill to provide a basis for expressing an opinion whether the fill material is compacted as specified. Density tests shall be performed in the compacted materials below any disturbed surface. When these tests indicate that the density of any layer of fill or portion thereof is below that specified, the particular layer or areas represented by the test shall be reworked until the specified density has been achieved.
- 8.3 During placement of *rock* fill, the Consultant should observe that the minimum number of passes have been obtained per the criteria discussed in Section 6.3.3. The Consultant should request the excavation of observation pits and may perform plate bearing tests on the placed *rock* fills. The observation pits will be excavated to provide a basis for expressing an opinion as to whether the *rock* fill is properly seated and sufficient moisture has been applied to the material. When observations indicate that a layer of *rock* fill or any portion thereof is below that specified, the affected layer or area shall be reworked until the *rock* fill has been adequately seated and sufficient moisture applied.
- 8.4 A settlement monitoring program designed by the Consultant may be conducted in areas of *rock* fill placement. The specific design of the monitoring program shall be as recommended in the Conclusions and Recommendations section of the project Geotechnical Report or in the final report of testing and observation services performed during grading.
- 8.5 We should observe the placement of subdrains, to check that the drainage devices have been placed and constructed in substantial conformance with project specifications.
- 8.6 Testing procedures shall conform to the following Standards as appropriate:

8.6.1 Soil and Soil-Rock Fills:

8.6.1.1 Field Density Test, ASTM D 1556, Density of Soil In-Place By the Sand-Cone Method.

- 8.6.1.2 Field Density Test, Nuclear Method, ASTM D 6938, Density of Soil and Soil-Aggregate In-Place by Nuclear Methods (Shallow Depth).
- 8.6.1.3 Laboratory Compaction Test, ASTM D 1557, Moisture-Density Relations of Soils and Soil-Aggregate Mixtures Using 10-Pound Hammer and 18-Inch Drop.
- 8.6.1.4. Expansion Index Test, ASTM D 4829, Expansion Index Test.

9. PROTECTION OF WORK

- 9.1 During construction, the Contractor shall properly grade all excavated surfaces to provide positive drainage and prevent ponding of water. Drainage of surface water shall be controlled to avoid damage to adjoining properties or to finished work on the site. The Contractor shall take remedial measures to prevent erosion of freshly graded areas until such time as permanent drainage and erosion control features have been installed. Areas subjected to erosion or sedimentation shall be properly prepared in accordance with the Specifications prior to placing additional fill or structures.
- 9.2 After completion of grading as observed and tested by the Consultant, no further excavation or filling shall be conducted except in conjunction with the services of the Consultant.

10. CERTIFICATIONS AND FINAL REPORTS

- 10.1 Upon completion of the work, Contractor shall furnish Owner a certification by the Civil Engineer stating that the lots and/or building pads are graded to within 0.1 foot vertically of elevations shown on the grading plan and that all tops and toes of slopes are within 0.5 foot horizontally of the positions shown on the grading plans. After installation of a section of subdrain, the project Civil Engineer should survey its location and prepare an *as-built* plan of the subdrain location. The project Civil Engineer should verify the proper outlet for the subdrains and the Contractor should ensure that the drain system is free of obstructions.
- 10.2 The Owner is responsible for furnishing a final as-graded soil and geologic report satisfactory to the appropriate governing or accepting agencies. The as-graded report should be prepared and signed by a California licensed Civil Engineer experienced in geotechnical engineering and by a California Certified Engineering Geologist, indicating that the geotechnical aspects of the grading were performed in substantial conformance with the Specifications or approved changes to the Specifications.

LIST OF REFERENCES

- CGS (2021), *EQ Zapp: California Earthquake Hazards Zone Application*, online map that queries California Geological Survey mapped earthquake hazard zones, <u>https://www.conservation.ca.gov/cgs/geohazards/eq-zapp</u>, accessed October 7, 2021;
- City of San Diego (2008), Seismic Safety Study, Geologic Hazards and Faults, Grid Tile 7;
- FEMA (2012), Flood Insurance Rate Map (FIRM) Map Number 06073C2159G, Effective May 16, 2012, http://www.fema.gov, accessed October 7, 2021;
- Geocon (2020), Interim Report of Testing and Observation Services Performed During Site Grading, Rancho Las Brisas (Ocean View Hills – PA-61), San Diego, California, dated July 24, 2020 (Project No. 07955-42-03;
- Kennedy, M. P., and Tan, S. S., (2008), *Geologic Map of the San Diego 30' x 60' Quadrangle, California*, USGS Regional Geologic Map Series, 1:100,000 Scale, Map No. 3;
- SEAOCC (2018), Seismic Design Maps, website interface that queries the U.S. Geological Survey (USGS) web servers and retrieves the seismic design variables using ASCE 7-16, ASCE 7-10, ASCE 41-13, ASCE 41-17, IBC 2015, IBC 2012, NEHRP-2015, and NEHRP 2009 seismic design map data, <u>http://seismicmaps.org;</u>
- USGS (2016), *Quaternary Fault and Fold Database of the United States:* U.S. Geological Survey website, http://earthquakes,usgs.gov/hazards/qfaults, accessed October 7, 2021.