



The City of San Diego

Staff Report

DATE ISSUED: October 12, 2017
TO: City Council – Budget & Government Efficiency Committee
FROM: Purchasing & Contracting Department – Equal Opportunity Contracting Program
SUBJECT: Fiscal Year 2017 Business Diversity Annual Report

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Council District(s): Citywide

OVERVIEW:

The Purchasing and Contracting and Public Works Departments (PWD) are pleased to provide this annual report which provides construction, consultant, and vendor diversity participation statistics. Also included in the report are programmatic updates/activities and achievements, goals, and plans for the future. The statistics provided in the report covers the period of July 1, 2016 through June 30, 2017.

PROPOSED ACTIONS:

This is an informational item. No action is required by the Committee or the City Council.

DISCUSSION OF ITEM:

The City adopted its Small Local Business Enterprise (SLBE) Program in 2010, with the intent of providing a race and gender neutral tool to help stimulate and promote the utilization of the City's local business community. Over the last seven years the program has allowed for significant opportunities within the City's procurement of goods and services, construction, and architectural and engineering (A&E) consultant contracts. Benefits of becoming certified as an SLBE or Emerging Local Business Enterprise (ELBE) include restricted bidding opportunities, bid discounts and preference points, as well as mandatory subcontracting participation goals specific to City certified firms in construction contracts.

Programmatic Enhancements

Throughout Fiscal Year 2017 and continuing into Fiscal Year 2018 staff in the Equal Opportunity Contracting (EOC) division in the Purchasing and Contracting Department has been updating elements of the SLBE Program. The goal is to reaffirm SLBE Program objectives are aligned with its purpose as a tool to expand opportunities for, and develop the capacity of, small and local firms so that all segments of the community may participate in the City contracts. Programmatic changes include updates to the SLBE certification process, project goal setting, and updates to the good faith effort (GFE) submittal requirements.

With regard to the certification process, EOC will require the submittal of IRS form 4506-T to verify income information is accurate. Another change that will be incorporated is the definition of what the City considers a "local" business. Specifically, the definition will require a business to be headquartered within San Diego County.

EOC is also adding a section to allow the City to suspend or revoke a certification if warranted. These changes aim to protect the integrity of the SLBE Program and keep it comprised of truly small and local firms.

Another major change to the SLBE program is the income cap requirement that must be met. As the City's Capital Improvement Program (CIP) continues to grow and contract sizing increase, EOC will be increasing the income cap

requirements. Raising the income cap requirements will help ensure the City maintains an adequate pool of certified firms.

EOC staff is working closely with the PWD representatives to review the current goal setting methodology used to establish subcontracting goals on public works projects. Subcontracting goals are established on a project by project basis by asset type and are based on availability. EOC and PWD staff are working to establish appropriate goal caps by asset type and ensure goals established are reflective of the true availability of certified firms that are ready and able to perform on City projects.

Finally, staff is currently conducting a benchmark study of other agencies' good faith effort requirements and reviewing best practices to help revise elements of the City's SLBE Good Faith Effort documentation requirements. Changes will help ensure the appropriate SLBE firms are solicited on applicable projects as well as consider new and innovative ways prime contractors are reaching out to potential subcontractors.

EOC understands the importance of including the community in these changes. Staff has conducted several meetings with key stakeholder groups presenting the proposed changes, answering questions, and listening to concerns and recommendations. Staff will continue to be inclusive as they work to enhance the SLBE Program.

Community Outreach Continues to Yield Positive Results

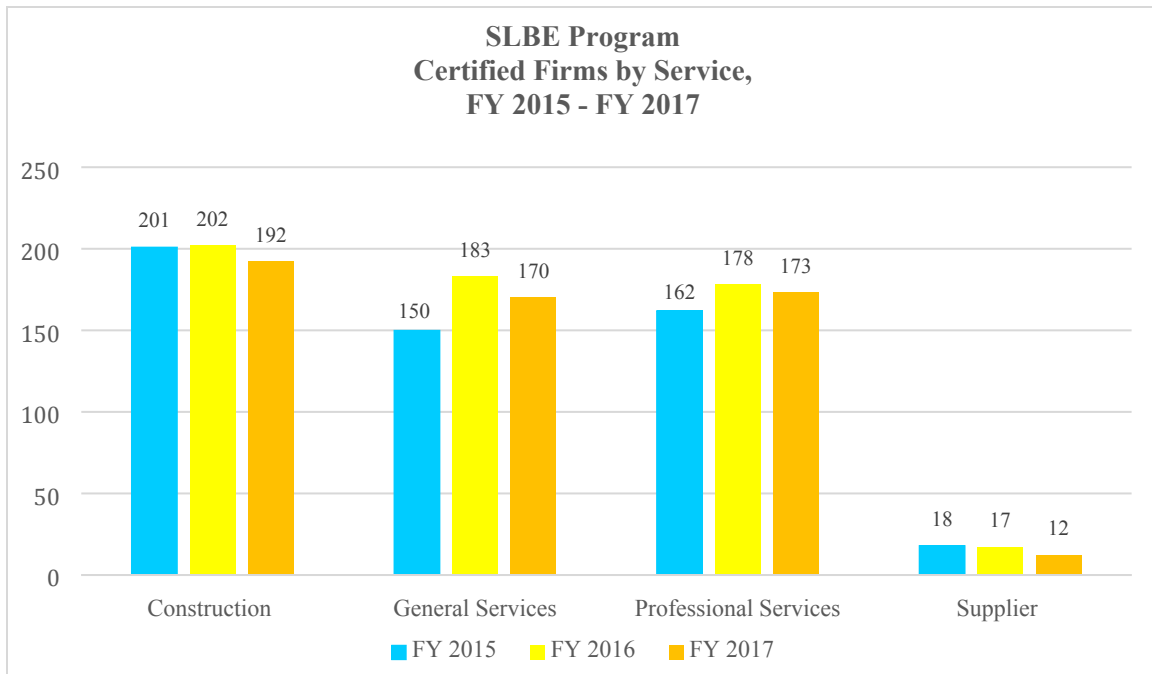
As efforts to enhance the program will continue, a specific focus for EOC during Fiscal Year 2017 was to establish a robust pool of certified firms within the SLBE program. Staff attended multiple outreach events alongside our Public Agency Consortium (PAC) counterparts and the Small Business Development Center to promote the benefits of becoming certified within the SLBE program. Attachment 1 lists the various locations throughout the County where staff spent time engaging small business with the goal of increasing the number of certified firms within the program.¹

Ongoing outreach and the dissemination of information about our SLBE Program has helped maintain the number of certified firms within the SLBE program. The SLBE program currently has 547 certified firms in various service categories. Firms seeking to become certified as a SLBE or ELBE firm must meet eligibility requirements including income documentation² and proof that the business is local.

The graph below shows the number of certified firms in the SLBE program during the last three fiscal years. Our program is steadily maintaining the pool of certified firms.

¹ The table in Attachment 1 provides detailed information on the events attended, including name, purpose, and number of attendees.

² Income documentation requirements are automatically waived for firms certified as a Micro Business or Disabled Veteran Business Enterprise (DVBE) by the California Department of General Services (DGS).



Vendor Success Stories Highlight the Effectiveness of City Efforts

As the City strives to afford opportunities to the small business sector of the community, it is important to highlight some of the SLBE and ELBE firms that have been awarded contracts, either in the prime or subcontractor capacity, of City procurement; including a brief statement of the services they provide and their feedback on the SLBE program.

Dick Miller, Inc.

Dick Miller, Inc. (DMI) is a certified SLBE and DVBE firm. DMI is a general engineering contractor who focuses on heavy civil and building construction projects. DMI has been in business for over 30 years, but only recently started working on City projects after being certified in the SLBE program in 2012. DMI has since worked on over 15 projects as an SLBE in both the prime and subcontractor capacity. DMI worked on the Juan Street Concrete Replacement project which received a 2017 American Public Works Association Award. When asked about the experiences and opportunities they received since becoming an SLBE, DMI replied:

“Although collectively our employees have a combination of over 30 years of experience in performing for both the public and private sectors in a variety of areas of projects within the community; the SLBE program has allowed the experience to be embodied within our company name. The SLBE program and certification has been a tremendous part in continuing to add to our resume, experience and as well as reference and qualifications in order to continue to grow our company and gain the exposure of Dick Miller Inc. throughout San Diego County and surrounding counties as well.”

- Jeanalyn Grace, General Manager

PROTEUS Consulting

PROTEUS Consulting, certified MBE, WBE, and ELBE, specializes in a Water, Wastewater, Recycled Water, and Pure Water engineering and management consulting. PROTEUS has been in business for seven years and became certified as an SLBE in 2011. The work PROTEUS has performed for the City primarily includes engineering and management consulting and has been on notable projects. Two high profile projects include the City’s IAM Asset Management contract where PROTEUS helped document existing business practices and systems associated with the City’s current asset management efforts as a subconsultant to Arcadis.

Proteus is also currently working on the City's high profile Pure Water Program as a subconsultant performing quality reviews and engineering services for the Design of North City Pure Water Facility. PROTEUS has been very engaged performing many detailed tasks and are quite pleased with the progress so far.

"As a small, woman-owned, minority-owned business, we have certifications from quite a few agencies, including San Diego County Water Authority, CalTrans, State of California, Small Business Administration, the Federal Government, California Public Utilities Commission, etc., but no other certification and/or program has helped us as much as the City's SLBE program to secure good work and deliver successful projects. Since 2011, when we became eligible for the SLBE program, we have been on several teams for A&E contracts for the City of San Diego as subconsultants. Some of these contracts afforded us work to perform, while some did not work out. We were also selected for the 2017 City, County, and Port of San Diego Consultant Rotation List as prime. In total, we have had nine contracts with the City, of which five have yielded real billable work. These contracts have helped us grow. Due to the increase in workload and revenue over the years, we now have a functional office space in Kearny Mesa, and full-time and part-time employees. We have also been able to leverage the experience we gained from the City's projects to secure business with other clients in the region."

- Soma Bhadra, Owner

Red Tail Monitoring & Research, Inc.

Red Tail Monitoring & Research, Inc. (Red Tail) is a certified MBE and SLBE that provides Native American monitoring and archaeological consulting. Red Tail has been a certified SLBE since 2015 and has worked on several City of San Diego, including as-needed contracts, Multiple Award Construction Contracts (MACC), and CIP projects. During fiscal year 2017, Red Tail was awarded seven subcontracts for various CIP projects.

A notable project for Red Tail was Sewer and Water Group 809, as multiple fragmented burials in both disturbed and intact states. Red Tail notes that the difficulty encountered on this project required them to revise their system, which in turn made his firm better and allowed for a respectful end to the remains that were exhumed.

"The SLBE program is great. For the first eight years we + were not certified but were doing business with the City. The certification allowed us to maintain work and has allowed Red Tail to work with great primes that see the value in using SLBEs on their projects, firms like Dudek, Rincon, Helix, and AECOM."

- Clint Linton, Owner

YBS Concrete, Inc.

YBS Concrete, Inc. (YBS) is a certified SLBE and has been in business for over 11 years. YBS has been a certified firm in the SLBE Program since 2011 and has performed work on over 50 City of San Diego projects as a subcontractor doing concrete flatwork. Some of their services include ADA ramps, sidewalks, curb & gutters, and bus pads. The largest contract that YBS was a part of was the UUP Resurfacing and Curb Ramp Installation project in which they were awarded \$1.3 million dollars to perform work as a concrete subcontractor. YBS attributes the SLBE Program to helping increase his business' revenue.

"Prior to becoming certified with the City, YBS was making about \$250,000 a year. After getting our SLBE certification we saw an increase in opportunities and work load. This growth has led YBS to increase revenues to about \$3 million a year."

- Rudy Sanchez, Owner

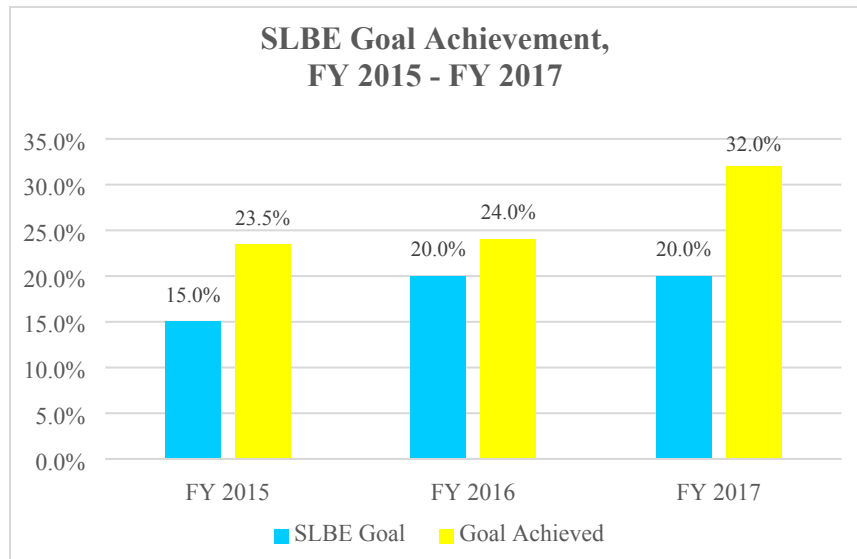
State Assembly Recognizes EOC Outreach Efforts

Assemblyman, and former Colonel, Rocky J. Chavez presented staff with a California Legislative Assembly Certificate of Recognition award for EOC's efforts in supporting small business within San Diego County during the past fiscal year. This is the second award in the last two years received by staff for helping to promote opportunities to small businesses.

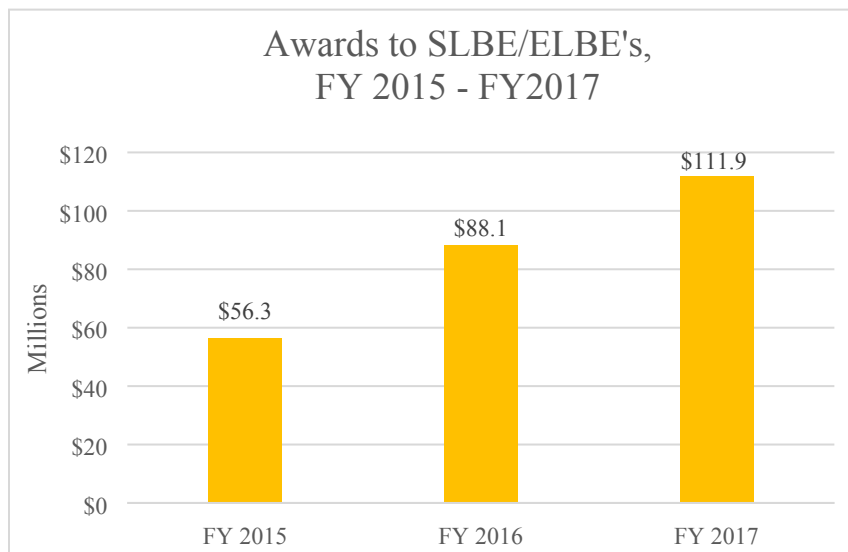
SLBE Participation Rates Continue to Exceed Goals

PWD continues to be a valued champion and partner of the SLBE program. For FY 2017, PWD continued to pursue a goal of 20 percent SLBE participation for CIP construction and A&E Consultant contracts. PWD continues to make every effort to package as many eligible CIP construction projects for restricted competition; sheltering and creating prime contracting opportunities for the City's certified small and emerging local businesses.

We are pleased to report that the City has exceeded the annual SLBE participation goal yet again. By engaging both internal and external stakeholders, contracting and consultant industries, and with the support of the City's executive team and Mayor, participation by SLBE/ELBE firms on CIP projects with SLBE requirements was 32 percent³. The graph below shows the extent to which the City has exceeded SLBE participation goals over the last three fiscal years.



Additionally, the dollar value of awards to SLBE/ELBEs has increased substantially from FY 2015 to FY 2017, as shown in the graph below.



³ Percentage is based on collective totals for Construction and A&E Consultant contracts that contained SLBE subcontracting program requirements.

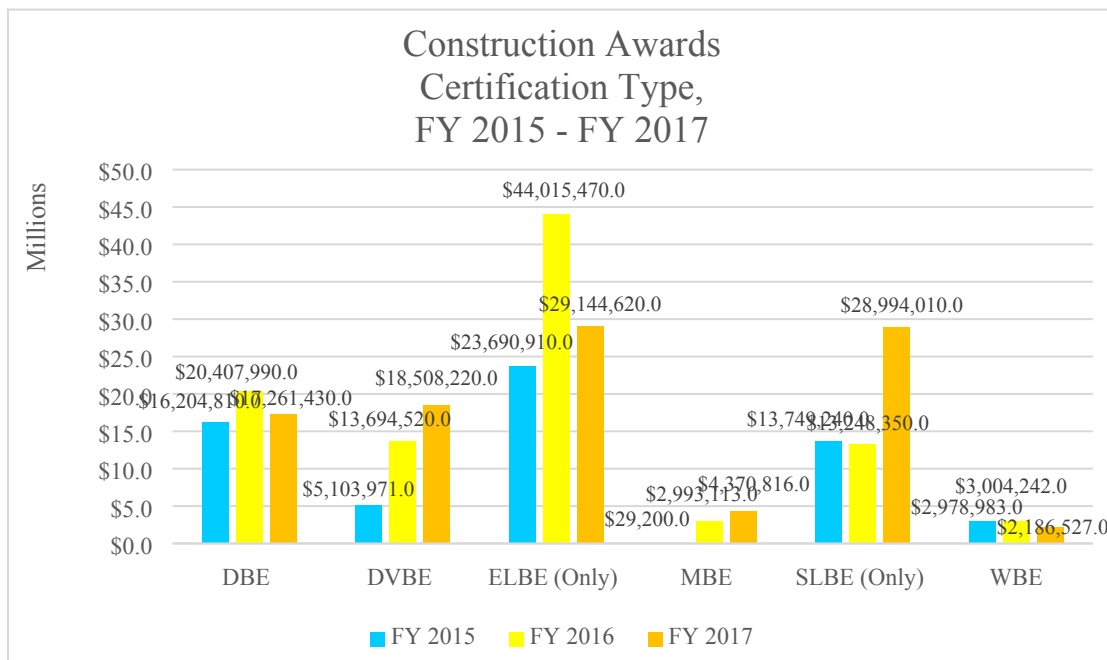
Awards to Certified Firms in Construction Contracts Continues to Increase

During FY 2017, PWD issued 121 contracts⁴ totaling \$295.9 million dollars. Of the \$295.9 million, \$87.2 million was awarded to City of San Diego SLBE or ELBE certified firms. While the total award dollars have slightly decreased from last year, the overall award to SLBE-ELBE firms is up by five percent from FY 2016. The certifications recognized by the City, aside from SLBE and ELBE, include Disadvantaged Business Enterprise (DBE), Disabled Veteran Business Enterprise (DVBE), Minority Business Enterprise (MBE), and Woman Business Enterprise.⁵

It is important to note year-over-year comparisons of the awarded dollars during each fiscal year will vary due to several factors, including:

1. Asset Type – The City does not issue the same number of contracts each year for the same type of asset (e.g. surface improvements, water/sewer pipelines, paving, etc.), which impacts the number of available certified firms eligible to participate on City contracts due to the scope of work.
2. Contract Sizing – To protect City interest, larger projects require contractors to have a larger bonding capacity. The larger the contract and bonding requirement, the less likely a smaller firm or qualified disadvantaged business will be able to participate on the contract as a prime contractor.
3. Funding Source – The City applies for grants and loans from various State and Federal agencies each year to be utilized for various projects. Each agency may have its own subcontracting program requirements and may impact the type of bidder that will submit a bid for the project and the utilization of the required certified firms. The State and/or Federal agency funds utilized on projects during this fiscal year is discussed later in this report.

The graphs below show the dollar value of construction contracts awarded to the various City recognized certified firms.⁶ Contract awards to DVBE firms has increased by approximately 15 million dollars over the last three fiscal years. The percentage of awards to certified firms has also remained relatively the same over the three fiscal years.



⁴ Contracts include major and minor construction contracts, sole source contracts (including emergency), and task orders as defined by SDMC §22.3003.

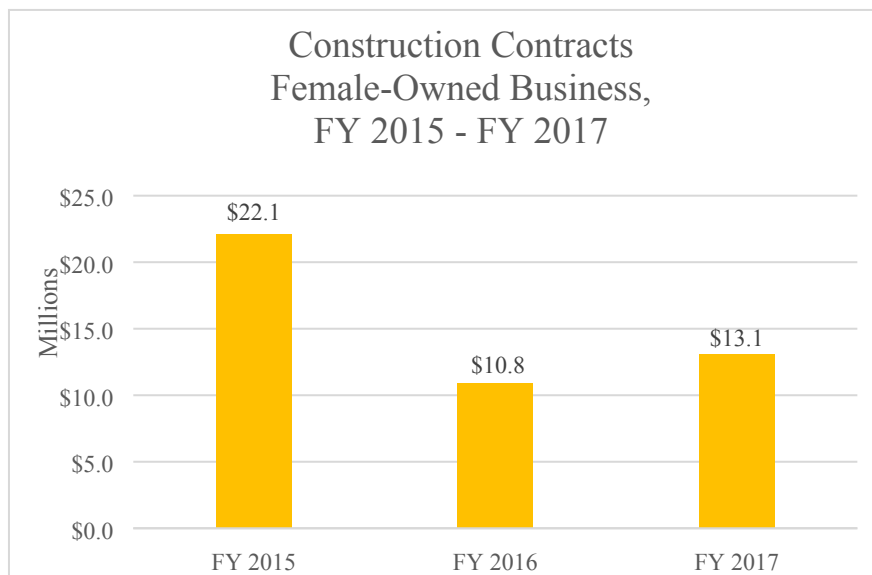
⁵ Certifying agencies for these designations include the California Department of Transportation (DOT); California Public Utilities Commission (CPUC) and California Department of General Services (DGS).

⁶ Information on Construction contract awards is available in table format in Attachment 2.

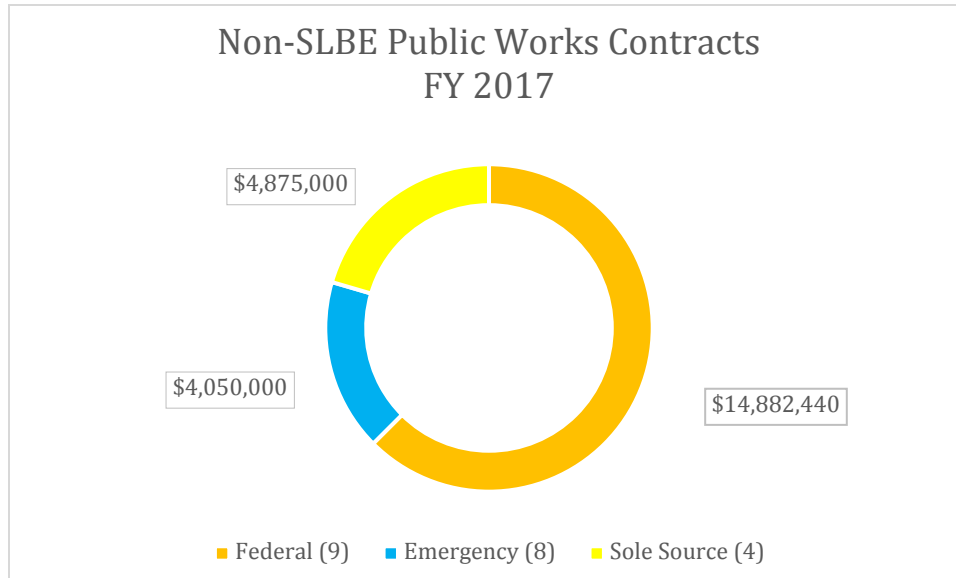
Although the SLBE Program is race and gender neutral, EOC tracks ethnicity of businesses once the bid has been awarded. The diversity of awards based on ethnic group for FY 2017 was \$35 million which appears to be a significant decrease from award totals for FY 2016, however, it is important to note the percentage of awards to ethnic groups for both FY 2016 and FY 2017 remained at 12 percent. The major variance between the two fiscal years is the \$140 million difference in total awards. The table below shows the diversity of contract awards for the last three fiscal years for all projects regardless of funding source (City, State, Federal).

Ethnicity	FY 2015		FY 2016		FY 2017	
	# Awarded	Dollar Amount	# Awarded	Dollar Amount	# Awarded	Dollar Amount
African-American	5	\$1,295,951	6	\$1,048,560	8	\$941,386
Asian Pacific	9	\$351,993	5	\$609,616	16	\$3,323,986
Asian Subcontinent	6	\$929,872	2	\$307,580	14	\$5,991,053
Caucasian	498	\$170,328,054	746	\$389,001,517	570	\$260,857,011
Latino	121	\$50,281,328	134	\$46,193,061	94	\$24,409,051
Native American	9	\$5,123,361	10	\$2,927,632	10	\$382,870
Total	648	\$228,310,559	903	\$440,087,966	712	\$295,905,358

Participation by female-owned firms during fiscal year 2017 totaled \$13.1 million dollars. This is an increase of \$3 million from the last fiscal year in which \$10.8 million dollars went to female-owned firms on construction contracts.



During FY 2017, 21 Public Works contracts totaling \$23.8 million were awarded with requirements other than SLBE subcontracting participation. Contracts may be exempt from SLBE requirements if there is an additional funding source (State and/or Federal) or if the contract is considered an Emergency or Sole Source. The breakdown of the 21 projects that were not subject to SLBE program requirements is shown below.



The Federal and/or State funding agencies utilized for Public Works projects during this fiscal year include:

1. Department of Transportation (DOT) - Federal Highway Administration (FHWA);
2. California State Water Resource Control Board (SWRCB) – Safe Drinking Water State Revolving Fund (SDWSRF);
3. Department of Housing & Urban Development (HUD) – Community Development Block Grant (CDBG);

Each of the agencies above requires their own subcontractor participation program, which precludes the City’s ability to incorporate the SLBE Program and mandatory SLBE subcontracting goals. The subcontracting requirements for each of the agencies is as follows:

1. Federal Highway Administration (FHWA) – Mandatory DBE goal established based on availability by work category code. Bidder is required to meet the goal or submit good faith effort documentation (GFE).
2. Safe Drinking Water State Revolving Fund (SDSRF) – 11 percent MBE; 4 percent WBE subcontracting fair share goal established by Environmental Protection Agency (EPA) for Region 9⁷. Bidder is to submit GFE documentation regardless if subcontracting goal has been met.
3. Community Development Block Grant (CDBG) – 5 percent Small Disadvantaged Business (SDB); 5 percent Women-Owned Small Business (WoSB); 3 percent HUBZone Small Business (HubZone); 3 percent Service Disabled Veteran-Owned Small Business (SDVoSB) subcontracting fair share goal established by HUD and the U.S. Small Business Administration (SBA). Bidder is to submit GFE documentation regardless if subcontracting goal has been met.

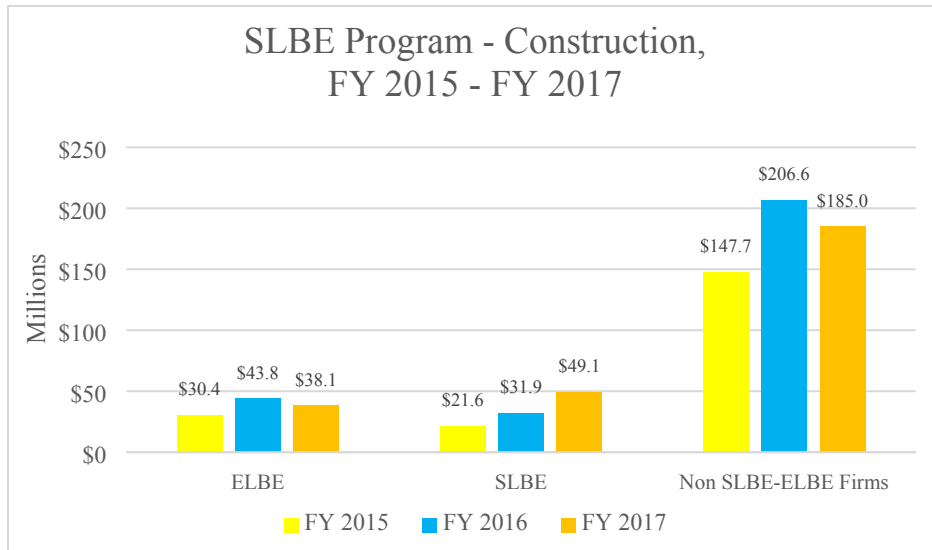
The remaining 100 Public Works contracts awarded during this fiscal year included 85 major contracts totaling \$269.7 million and 33 minor contracts totaling \$2.3 million dollars. The 85 major contracts identified contained mandatory SLBE subcontractor participation requirements, while the minor 15 minor contracts were restricted to SLBE/ELBE bidders only.

Below is a breakdown of the distribution of contract dollars to City-certified SLBE or ELBE firms, as well as non-SLBE/ELBE⁸ firms with respect to these contracts for the last three fiscal years. During FY 2017, total contract

⁷ EPA Region 9 consists of the following states: California, Nevada, Arizona, and Hawaii.

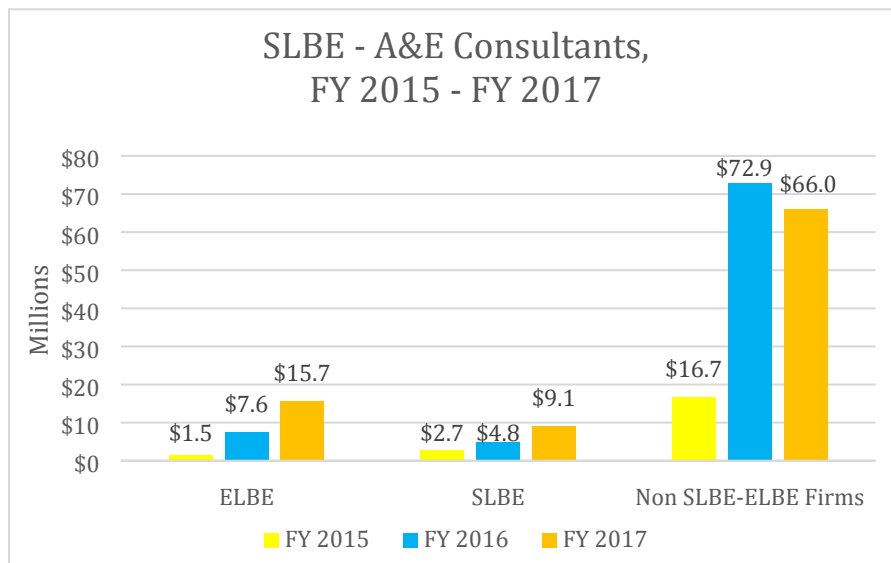
⁸ Non SLBE/ELBE firms include non-certified and other City recognized certified firms.

dollars to SLBE certified firms was \$87.2 million dollars,⁹ an increase of \$11.5 million from the previous fiscal year.



Architectural & Engineering (A&E) Consultant Contracts – Awards to Certified Firms Double

The Public Works Department awarded \$90.7 million¹⁰ in A&E consultant contracts during FY 2017, of which \$24.7 million¹¹ went to certified SLBE or ELBE primes or subconsultants.¹²



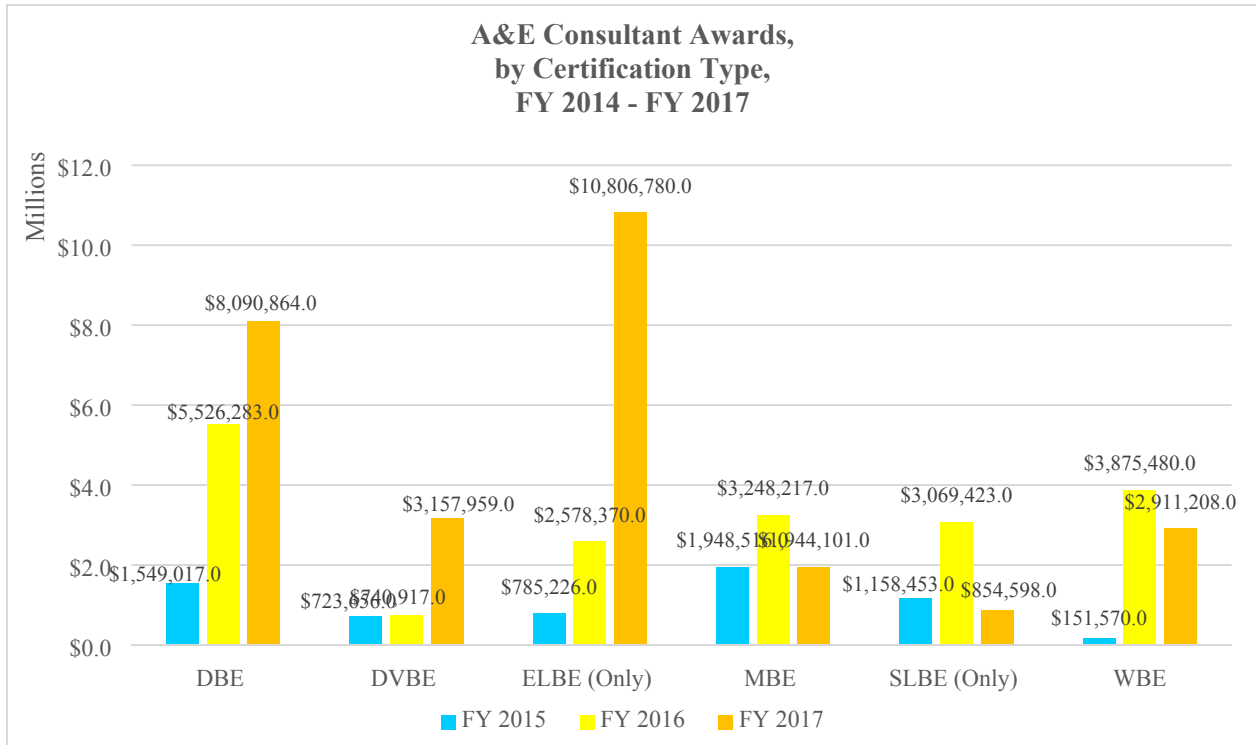
The following chart show the distribution of A&E Consultant contract awards based on certification type for the last three fiscal years.

⁹ Amount includes awards to both SLBE and ELBE firms.

¹⁰ Total award amount includes stand-alone contract awards, tasks issued under As-Needed contracts, and amendments to contracts awarded previously.

¹¹ Amount includes awards to both SLBE and ELBE firms.

¹² Information on A&E Consultant contract awards is available in table format in Attachment 3.



The following table shows the distribution of A&E Consultant contract awards based on ethnicity for the last three fiscal years.

Ethnicity	FY 2015		FY 2016		FY 2017	
	# Awarded	Dollar Amount	# Awarded	Dollar Amount	# Awarded	Dollar Amount
African-American	6	\$35,550	6	\$431,677	4	\$34,605
Asian Pacific	33	\$1,065,035	28	\$6,795,996	45	\$7,328,843
Asian Subcontinent	5	\$226,967	0	\$0	0	\$0
Caucasian	249	\$17,578,046	250	\$75,293,957	363	\$77,762,244
Latino	36	\$1,963,214	34	\$2,127,941	50	\$5,320,956
Native American	1	\$15,180	0	\$0	1	\$281,355
Total	330	\$20,883,993	318	\$85,279,572	463	\$90,728,003

SLBE in Goods and Services Contracts

The Purchasing & Contracting Department issued purchase orders totaling \$243.4 million for goods & services contracts that were formally advertised¹³ during FY 2017. Of this amount, \$9.2 million or 4 percent was awarded to certified DBE/DVBE/ELBE/MBE/SLBE/WBE firms. This is an increase from FY 2016 in which \$8.5 million was awarded to certified firms.

The table below depicts the distribution of formally solicited goods & services contracts based on certification type for the past three fiscal years.

¹³ Only contracts in which the Purchasing Agent is required to advertise for sealed bids or proposals in the City's official newspaper shall incorporate a 20 percent Voluntary SLBE Goal and 5 percent bid discount for bids OR up to an additional 12 percent of the total possible evaluation points for proposals based on SLBE participation. Refer to Council Policy 100-10 for SLBE Program applicability.

Certification	FY 2015		FY 2016		FY 2017	
	# Awarded	Dollar Amount	# Awarded	Dollar Amount	# Awarded*	Dollar Amount
DBE	26	\$1,308,046	6	\$478,167	8	\$392,148
DVBE	52	\$2,727,539	55	\$2,820,212	3	\$3,196,324
ELBE (Only)	0	\$0	15	\$1,106,882	3	\$299,712
MBE	78	\$4,165,463	15	\$712,400	8	\$1,660,248
SLBE (Only)	16	\$1,418,979	14	\$692,117	6	\$1,958,304
WBE	156	\$9,518,360	184	\$2,704,194	9	\$1,749,194
Non-Certified	8,509	\$191,031,843	9,514	\$274,413,671	409	\$234,208,949
Total	8,837	\$210,170,231	9,803	\$282,927,645	446	243,464,880

*Number of Awarded Firms

Contract Compliance: Pre-Award Activities

EOC staff continues to ensure vendors awarded contracts are in compliance with various Municipal Code and Council Policy requirements the division is tasked with overseeing.

The pre-award team of EOC is responsible for handling review of all action related documents (Mayoral & Council driven); analyzing work force reports, equal employment opportunity (EEO) plans, and verifying non-discrimination in contracting. During the advertisement phase of procurement, the pre-award team is involved in participating in pre-bid/pre-proposal meetings, addressing questions related to EOC and/or SLBE requirements via addendum, and conducting bid evaluations to ensure compliance with required subcontractor participation requirements.

The information below shows the number of compliance related activities pre-award staff engaged in during the fiscal year.

Compliance Activity	FY 2015	FY 2016	FY 2017
Bid Evaluations	116	230	146
City Good Faith Effort (GFE) Reviews	24	23	15
Federal GFE Reviews	7	12	7
Pre-Bid/Pre-Proposal Meetings	122	143	130
Proposal Evaluations	95	49	26
Proposal Interview Panels	3	12	8
Action Documents Processed			
PA2625 (Mayoral Action)	262	280	336
1544 (Mayoral Action)	75	105	129
E1472 (Council Action)	211	241	229
Equal Employment Opportunity (EEO) Plan ¹⁴	46	51	42

Contract Compliance: Post-Award Activities Are Increasing

The post-award team of EOC is responsible for contract compliance monitoring after the award of a contract which includes attending pre-construction/pre-job meetings, conducting site visits/employee interviews, monitoring subcontractor participation levels, monitoring payment of prevailing wages, and monitoring apprenticeship utilization.

Staff actively monitors all construction and service related contracts that include prevailing wage requirements and will report any work to resolve any issues including under payments, misclassification of employees or apprenticeship violations prior to engaging the Division of Labor and Standards Enforcement (DLSE).

The information below depicts the compliance related activities conducted by the post-award team:

¹⁴ Compliance activity for EEO plan includes request, review, and approval or denial of plan submitted.

Compliance Activity	FY 2015	FY 2016	FY 2017
Pre-Construction/Pre-Job Meeting	154	290	286
Certified Payroll Reviews	8,185	9,387	10,347
Delinquency Notices Sent	82	45	51
Labor Code Violations			
Labor Code Violations Sent	69	40	45
Labor Code Monies Received	\$62,969	\$28,443	\$114,451
Project Site Visits			
Site Visits Conducted	421	167	104
Employee Interviews Conducted	556	238	146
Monthly Invoicing Reports Reviewed	2,336	1,313	507
Monthly Employment Reports Reviewed	5,035	2,549	1848
Final Summary Report (FSR)			
Number of FSRs Received	70	85	124
Number of Verifications Sent	334	422	517
Subcontractor Substitution Requests	18	24	8
Public Contract Code Violations	2	5	1

State and Federal Project Audits Resulted in No Findings

The Davis-Bacon and Related Acts apply to contractors and subcontractors performing on federally funded or assisted contracts in excess of \$2,000 for the construction, alteration, or repair (including painting and decorating) of public buildings or public works. Like state prevailing wage requirements, Davis-Bacon and Related Act contractors and subcontractors must pay their laborers and mechanics employed under the contract no less than the locally prevailing wages and fringe benefits for corresponding work on similar projects in the area¹⁵. Davis-Bacon wage determinations are established by the Department of Labor. When a project utilizes federal monies or state monies derived from a federal agency, Davis-Bacon requirements will apply to the project. If there is no conflict on a wage determination for a particular craft between the state and federal agencies, the contractor and subcontractor must pay the higher of the two wages.

Agencies that award grants or provide financial assistance may conduct audits to determine whether the City complied with Davis-Bacon and Related Act requirements. Some of these requirements include: noticing and incorporating the appropriate DOL wage decision in contract documents, reviewing certified payroll records, and review of apprentice participation on projects.

During this fiscal year, Macias Gini & O'Connell LLP (MGO) performed single audit procurement testing on two projects in January 2017 which resulted in no findings:

1. K-12-5423-DBB-3-C: Harbor Drive Pipelines Replacement & Lindbergh Field 16 Inch Cast Iron Main Replacement (Safe Drinking Water State Revolving Fund)
2. K-15-1227-DBB-3: Brown Field (SDM) Airport Runway 8L26R Rehab (Federal Aviation Administration)

Training Opportunities Provided by EOC

Since the implementation of the Prevailing Wage Ordinance, EOC has set about offering training to both internal and external stakeholders. Internally, staff continues to visit City departments to help bring understanding and create procedures for implementing the prevailing wage requirements for both formal and informal solicitations.

Staff also provides training to Vendors awarded contracts with prevailing wage who may not understand the requirements or that may be new to using the City's labor and contract compliance software, PRISM©. In 2017, trainings were held bi-weekly at the computer lab located at the Metropolitan Operations Center (MOC) where vendors are able to participate interactively during the session.

¹⁵ Information on Davis-Bacon and Related Acts can be found here: <https://www.dol.gov/whd/govcontracts/dbra.htm>

Staff continues to utilize GoTo Meeting to facilitate online trainings. Participants are able to access training sessions remotely at no cost. The online training sessions allow staff the ability to train more vendors, as in person trainings can only accommodate up to 20 participants, while online trainings allow up to 50 participants during a single session.

Information on the trainings provided to both internal and external stakeholders since the implementation of the Ordinance can be found in Attachment 1 of this report.

Citizen's Equal Opportunity Commission (CEOC)

Equal Opportunity Contracting continues to facilitate the monthly meetings of the CEOC on the first Wednesday of each month at 6:00 p.m. on the 12th floor of the City Administration Building. The Commission is active in the promotion and support of Equal Opportunity in contracting and continue to provide valuable input with regards to critical policy decisions that impact equal opportunity.

Duties of the Commission include:

1. Monitor and/or evaluate the City's Equal Opportunity Program;
2. Advise the Mayor, City Council, Civil Service Commission and other appropriate agencies of City government on a continuous basis;
3. Submit written reports to the Rules Committee and City Council for review;
4. Assist in the recruitment of competent historically under represented individuals and/or businesses, women and persons with disabilities, and
5. Promote the City as an Equal Opportunity Employer to individuals and firms desiring to contract with the City.

Due to vacancies, commission meetings during the fiscal year were reduced. However, EOC staff is looking forward to working with the newly appointed commissioners in the upcoming fiscal year to encourage continued efforts with regard to diversity and equal opportunity for the City of San Diego.

The Commission will prepare their comprehensive annual report and submit to the Mayor and City Council for review during FY 2018.

Conclusion

EOC is proud of the progress that has been made during this fiscal year. Staff will continue to work closely with all vendors (construction, consultant, and goods & services) to promote and ensure equal opportunity, economic development, and inclusionary practices via outreach and education.

City Strategic Plan Goal(s)/Objective(s):

Goal # 3: Create and sustain a resilient and economically prosperous City.

Objective # 3: Diversify and grow the local economy.

Fiscal Considerations: N/A

Environmental Impact: N/A

Equal Opportunity Contracting Information (if applicable): N/A

Previous Council and/or Committee Actions: N/A

Key Stakeholders and Community Outreach Efforts: N/A

Kristina Peralta

Department Director

Ronald H. Villa

Deputy Chief Operating Officer

Date	Name of Event	Location	Purpose of Event	Attendance
7/8/2016	Meet the Buyers Tour - North San Diego SBDC	Time Warner Cable - 10450 Pacific Center Court, San Diego, CA 92121	Small business networking event	93
7/20/2016	Construction Expo - San Diego Unified School District	Stanley Foster School of Engineering, Innovation and Design	Small business networking event	196
7/27/2016	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association - 6125 Imperial Ave. San Diego, CA 92114	Receive and discuss programmatic input from construction industry	15
7/28/2016	California Construction Expo 2016	Long Beach Convention & Entertainment Center	Small business networking event	985
8/5/2016	Meet the Buyers Tour - North San Diego SBDC	Poway Council Chambers	Small business networking event	89
8/11/2016	Construction/A&E Networking EXPO	Balboa Park Club Ball Room	Small business networking event	216
8/31/2016	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association (BCA) - 6125 Imperial Ave. San Diego, CA 92114	Receive and discuss programmatic input from construction industry	20
9/16/2016	"Meet the Buyers" Tour - Celebrating Diversification	The Council for Supplier Diversity	Small business networking event	84
10/7/2016	"Be the Best Women in Business" Expo	SDG&E Energy Innovation Center	Small business networking event	79
10/19/2016	12th Annual Procurement and Resource Fair	Balboa Park Club Ballroom	Small business networking event	113
10/4/2016	Turner Construction School (City present on SLBE/ELBE Program)	Commuter Terminal - 2nd Floor	SLBE Program Presentation	40
9/28/2016	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association	Receive and discuss programmatic input from construction industry	13

10/20/2016	Blue Book Building and Construction Expo	Petco Park	Small business networking event	247
10/26/2016	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association	Receive and discuss programmatic input from construction industry	14
11/4/2016	"Meet the Buyers" Tour - Celebrating the Year of the Veteran	ViaSat	Small business networking event	67
11/16/2016	SLBE/ELBE Quarterly Meeting	Balboa Park Club Ballroom		43
1/25/2017	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association - 6125 Imperial Ave. San Diego, CA 92114	Receive and discuss programmatic input from construction industry	18
2/8/2017	Public Agency Consortium Meeting (PAC)	1010 Second Avenue, Suite 1400, San Diego, CA 92101	Meet with other agencies to discuss upcoming projects, industry standards, and outreach events	17
2/10/2017	Meet the Buyers Tour	Escondido Council Chambers - 201 North Broadway, Escondido, CA 92025	Small business networking event	113
2/22/2017	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association - 6125 Imperial Ave. San Diego, CA 92114	Receive and discuss programmatic input from construction industry	14
3/10/2017	Meet the Buyers and "Connecting with Capital Expo" (Double-Header)	Poway City Council Chambers - 13325 Civic Center Drive Poway, CA 92064.	Small business networking event	138
3/15/2017	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association - 6125 Imperial Ave. San Diego, CA 92114	Receive and discuss programmatic input from construction industry	17
3/29/2017	Joint County of San Diego / City of San Diego Supplier Outreach Event	5520 Overland Ave, San Diego, CA, 92123 - Conference Center Hearing Room	Small business networking event	148

4/4/2017	San Diego Housing Commission (SDHC): Bridging the Gap - Outreach Event for Small and Diverse Businesses	Balboa Park Club	Small business networking event	93
4/11/2017	Turner Construction School (City present on SLBE/ELBE Program)	Commuter Terminal - 2nd Floor	SLBE Program Presentation	40
4/26/2017	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association - 6125 Imperial Ave. San Diego, CA 92114	Receive and discuss programmatic input from construction industry	20
5/2/2017	SDRCOC Small Business Awards, Expo & Mixer	Sheraton Hotel & Marina: 1590 Harbor Island Drive, San Diego, 92101	Small business networking event	137
5/4/2017	2017 SBA Awards Invitation	San Diego Marriott - La Jolla located at 4240 La Jolla Village Drive, La Jolla, California, 92037	Small business networking event	94
5/5/2017	California Procurement Event - North San Diego SBDC and SOCAL VBOC Hosted by MiraCosta College	SDG&E Energy Innovation Center - 4760 Clairmont Mesa Blvd., San Diego, CA 92117	Small business networking event	104
5/31/2017	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association - 6125 Imperial Ave. San Diego, CA 92114	Receive and discuss programmatic input from construction industry	15
6/9/2017	Meet the Buyers Tour	Oceanside City Complex - Library Community Rooms - 300 N. Coast Hwy, Oceanside, CA 92054	Small business networking event	109
6/13/2017	City of San Diego Certification at SDCOC/PTAC	San Diego Contracting Opportunities Center (SDCOC) - 880 National City Blvd, Suite 7100 National City, CA 91950	Small business networking event	20
6/14/2017	Public Agency Consortium Meeting (PAC)	Carlsbad Desalination Plant - 4600 Carlsbad Blvd, Carlsbad, CA 92008	Meet with other agencies to discuss upcoming projects, industry standards, and outreach events	16

6/14/2017	PAC Meeting & Desalination Plant Tour	Carlsbad Desalination Plant - 4600 Carlsbad Blvd, Carlsbad, CA 92008	Meet with other agencies to discuss upcoming projects, industry standards, and outreach events	23
6/28/2017	Huddle News - Minority Construction Industry Coalition	Black Contractors' Association - 6125 Imperial Ave. San Diego, CA 92114	Receive and discuss programmatic input from construction industry	16

Prevailing Wage & PRISM Workshop 2017

Date	Audience	Number of Participants
7/15/2016	Vendors	10
7/29/2016	Vendors	8
8/12/2016	Vendors	2
8/26/2016	Vendors	5
9/9/2016	Vendors	5
10/7/2016	Vendors	6
10/21/2016	Vendors	6
11/4/2016	Vendors	6
2/24/2017	Vendors	24
3/30/2017	Vendors	19
4/28/2017	Vendors	7
5/25/2017	Vendors	18
6/14/2017	Vendors	40
6/30/2017	Vendors	18

CONSTRUCTION

FY 17

GENDER			
	# of Award	Dollar Amount	Percent
Male	635	\$282,837,049	96%
Female	77	\$13,068,309	4%
Total	712	\$295,905,358	100%

FY 16

GENDER			
	# of Award	Dollar Amount	Percent
	799	\$429,206,449	98%
	104	\$10,881,517	2%
Total	903	\$440,087,966	100%

FY 15

GENDER			
	# of Award	Dollar Amount	Percent
	545	\$206,205,197	90%
	103	\$22,105,363	10%
Total	648	\$228,310,559	100%

CERTIFICATIONS			
DVBE	45	\$18,508,217	6%
ELBE (Only)	115	\$29,144,615	10%
DBE	97	\$17,261,434	6%
MBE	26	\$4,370,816	1%
SLBE (Only)	55	\$28,994,009	10%
WBE	16	\$2,186,527	1%
Sub Total (Certified Firms)	354	\$100,465,619	34%
Non Certified	358	\$195,439,739	66%
Total	712	\$295,905,358	100%

CERTIFICATIONS			
	44	\$13,694,518	3%
	163	\$44,015,472	10%
	114	\$20,407,987	5%
	19	\$2,933,113	1%
	102	\$13,248,347	3%
	39	\$3,004,242	1%
Total	481	\$97,303,679	22%
	422	\$342,784,287	78%
Total	903	\$440,087,966	100%

CERTIFICATIONS			
	29	\$5,103,971	2%
	137	\$23,690,912	10%
	106	\$16,204,806	7%
	1	\$29,200	0%
	85	\$13,749,240	6%
	27	\$2,978,983	1%
Total	385	\$61,757,112	27%
	263	\$166,553,448	73%
Total	648	\$228,310,559	100%

African-American	8	\$ 941,386.00	0%
Asian Pacific	16	\$ 3,323,986.00	1%
Asian Subcontinent	14	\$ 5,991,053.49	2%
Caucasian	570	\$260,857,011.35	88%
Latino	94	\$ 24,409,050.85	8%
Native American	10	\$ 382,870.09	0%
Total	712	\$295,905,358.07	100%

	6	\$1,048,560	0%
	5	\$609,616	0%
	2	\$307,580	0%
	746	\$389,001,517	88%
	134	\$46,193,061	11%
	10	\$2,927,632	1%
Total	903	\$440,087,966	100%

	5	\$1,295,951	1%
	9	\$351,993	0%
	6	\$929,872	0%
	498	\$170,328,054	75%
	121	\$50,281,328	22%
	9	\$5,123,361	2%
Total	648	\$228,310,559	100%

SLBE-ELBE PROGRAM¹			
ELBE	140	\$38,146,841	14%
SLBE	121	\$49,075,000	18%
Sub Total (SLBE-ELBE)	261	\$87,221,841	32%
Non SLBE-ELBE Firms	347	\$184,976,082	68%
Total	608	\$272,197,923	100%
African-American	2	\$685,991	1%
Asian Pacific	4	\$366,788	0%
Asian Subcontinent	14	\$5,991,053	7%
Caucasian	184	\$64,608,256	74%
Latino	49	\$15,323,400	18%
Native American	8	\$246,353	0%
Total	261	\$87,221,841	100%

SLBE-ELBE PROGRAM¹			
	198	\$43,838,280	16%
	182	\$31,860,398	11%
Total	380	\$75,698,677	27%
	397	\$206,626,200	73%
Total	777	\$282,324,877	100%
	4	\$549,682	1%
	0	\$0	0%
	2	\$307,580	0%
	283	\$54,165,317	72%
	82	\$17,823,466	24%
	9	\$2,852,632	4%
Total	380	\$75,698,677	100%

SLBE-ELBE PROGRAM¹			
	190	\$30,447,704	15%
	148	\$21,621,951	11%
Total	338	\$52,069,655	26%
	275	\$147,711,664	74%
Total	613	\$199,781,319	100%
	4	\$1,095,951	2%
	6	\$243,500	0%
	6	\$929,872	2%
	234	\$33,093,834	64%
	80	\$11,601,698	22%
	8	\$5,104,800	10%
Total	338	\$52,069,655	100%

¹Does not include federally funded contracts, emergency contracts, and sole source contracts

A&E

FY 17

GENDER			
	# of Award	Dollar Amount	Percent
Male	377	\$85,301,432	94%
Female	86	\$5,426,571	6%
Total	463	\$90,728,003	100%

CERTIFICATIONS			
DVBE	26	\$3,157,959	3%
ELBE (Only)	51	\$10,806,784	12%
DBE	97	\$8,090,864	9%
MBE	20	\$1,944,101	2%
SLBE (Only)	36	\$854,598	1%
WBE	31	\$2,911,208	3%
Sub Total (Certified Firms)	261	\$27,765,515	31%
Non Certified	202	\$62,962,489	69%
Total	463	\$90,728,003	100%
African-American	4	\$34,605	0%
Asian Pacific	45	\$7,328,843	8%
Asian Subcontinent	0	\$0	0%
Caucasian	363	\$77,762,244	86%
Latino	50	\$5,320,956	6%
Native American	1	\$281,355	0%
Total	463	\$90,728,003	100%

SLBE-ELBE PROGRAM¹			
ELBE	118	\$15,657,853	17%
SLBE	103	\$9,059,186	10%
Sub Total (SLBE-ELBE)	221	\$24,717,039	27%
Non SLBE-ELBE Firms	242	\$66,010,964	73%
Total	463	\$90,728,003	100%
African-American	4	\$34,605	0%
Asian Pacific	35	\$6,774,979	27%
Asian Subcontinent	0	\$0	0%
Caucasian	143	\$13,783,289	56%
Latino	38	\$3,842,811	16%
Native American	1	\$281,355	1%
Total	221	\$24,717,039	100%

FY 16

GENDER			
	# of Award	Dollar Amount	Percent
	257	\$75,861,644	89%
	61	\$9,417,928	11%
Total	318	\$85,279,572	100%

CERTIFICATIONS			
	11	\$740,917	1%
	21	\$2,578,370	3%
	57	\$5,526,283	6%
	15	\$3,248,217	4%
	34	\$3,069,423	4%
	14	\$3,875,480	5%
Total	152	\$19,038,689	22%
	166	\$66,240,882	78%
Total	318	\$85,279,572	100%
	6	\$431,677	1%
	28	\$6,795,996	8%
	0	\$0	0%
	250	\$75,923,957	89%
	34	\$2,127,941	3%
	0	\$0	0%
Total	318	\$85,279,572	100%

SLBE-ELBE PROGRAM¹			
	55	\$7,563,867	9%
	68	\$4,820,518	6%
Total	123	\$12,384,385	15%
	195	\$72,895,187	85%
Total	318	\$85,279,572	100%
	4	\$342,554	3%
	18	\$4,533,718	37%
	0	\$0	0%
	77	\$6,020,870	49%
	24	\$1,487,243	12%
	0	\$0	0%
Total	123	\$12,384,385	100%

FY 15

GENDER			
	# of Award	Dollar Amount	Percent
	268	\$19,201,582	92%
	62	\$1,682,411	8%
Total	330	\$20,883,993	100%

CERTIFICATIONS			
	14	\$723,656	3%
	30	\$785,226	4%
	54	\$1,549,017	7%
	34	\$1,948,516	9%
	34	\$1,158,453	6%
	12	\$151,570	1%
Total	178	\$6,316,438	30%
	152	\$14,567,554	70%
Total	330	\$20,883,993	100%
	6	\$35,550	0%
	33	\$1,065,035	5%
	5	\$226,967	1%
	249	\$17,578,046	84%
	36	\$1,963,214	9%
	1	\$15,180	0%
Total	330	\$20,883,993	100%

SLBE-ELBE PROGRAM¹			
	64	\$1,530,489	7%
	67	\$2,688,565	13%
Total	131	\$4,219,053	20%
	199	\$16,664,940	80%
Total	330	\$20,883,993	100%
	5	\$33,900	1%
	12	\$169,541	4%
	4	\$226,967	5%
	88	\$2,993,955	71%
	22	\$794,690	19%
	0	\$0	0%
Total	131	\$4,219,053	100%

¹Does not include federally funded contracts, emergency contracts, and sole source contracts