

**DUPLICATE ORIGINAL**

**AGREEMENT BETWEEN  
THE CITY OF SAN DIEGO  
AND  
KLEINFELDER, INC.**

**FOR**

**AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES  
- CONTRACT 1**

**CONTRACT NUMBER: H166787**

DOCUMENT NO. RR-311185  
FILED JUN 20 2017  
OFFICE OF THE CITY CLERK  
SAN DIEGO, CALIFORNIA

# AGREEMENT FOR DESIGN PROFESSIONAL SERVICES

## TABLE OF CONTENTS

### ARTICLE I DESIGN PROFESSIONAL SERVICES

1.1	Scope of Services .....	1
1.2	Task Administrator .....	2
1.3	City Modification of Scope of Services .....	2
1.4	Written Authorization .....	2
1.5	Confidentiality of Services .....	2
1.6	Competitive Bidding .....	3

### ARTICLE II DURATION OF AGREEMENT

2.1	Term of Agreement .....	3
2.2	Time of Essence.....	3
2.3	Notification of Delay.....	3
2.4	Delay.....	3
2.5	City's Right to Suspend for Convenience. ....	4
2.6	City's Right to Terminate for Convenience. ....	4
2.7	City's Right to Terminate for Default.....	4

### ARTICLE III COMPENSATION

3.1	Amount of Compensation .....	4
3.2	Manner of Payment .....	5
3.3	Additional Costs.....	5
3.4	Eighty Percent Notification.....	5

### ARTICLE IV DESIGN PROFESSIONAL'S OBLIGATIONS

4.1	Industry Standards .....	5
4.2	Right to Audit. ....	5
4.3	Insurance. ....	6
4.4	Subcontractors .....	9
4.5	Contract Records Reports. ....	10
4.6	Non-Discrimination Requirements. ....	10
4.7	Drug-Free Workplace. ....	11
4.8	Title 24/Americans with Disabilities Act Requirements.....	12
4.9	Product Endorsement .....	12
4.10	Conflict of Interest.....	12
4.11	Mandatory Assistance.....	13

4.12	Compensation for Mandatory Assistance.....	13
4.13	Attorney Fees related to Mandatory Assistance.....	13
4.14	Energy Conservation Specifications.....	14
4.15	Notification of Increased Construction Cost. ....	14
4.16	Sustainable Building Policy .....	14
4.17	Design-Build Competition Eligibility.....	14
4.18	Storm Water Management Discharge Control.....	14
4.19	ADA Certification .....	15
4.20	Prevailing Wage Rates .....	15

**ARTICLE V  
RESERVED**

**ARTICLE VI  
INDEMNIFICATION**

6.1	Indemnification.....	18
6.2	Design Professional Services Indemnification and Defense.....	18
6.3	Insurance .....	18
6.4	Enforcement Costs.....	18

**ARTICLE VII  
MEDIATION**

7.1	Mandatory Non-binding Mediation.....	19
7.2	Mandatory Mediation Costs.....	19
7.3	Selection of Mediator.....	19
7.4	Conduct of Mediation Sessions .....	19

**ARTICLE VIII  
INTELLECTUAL PROPERTY RIGHTS**

8.1	Work for Hire .....	20
8.2.	Rights in Data.....	20
8.3	Intellectual Property Rights Assignment.....	20
8.4	Moral Rights.....	20
8.5	Subcontracting.....	21
8.6	Publication Design.....	21
8.7	Intellectual Property Warranty and Indemnification .....	21
8.8	Enforcement Costs.....	21

**ARTICLE IX  
MISCELLANEOUS**

9.1	Notices.....	21
9.2	Headings.....	22
9.3	Non-Assignment .....	22
9.4	Independent Contractors .....	22

9.5	Design Professional and Subcontractor Principals for Professional Services.....	22
9.6	Additional Design Professionals or Contractors.....	22
9.7	Employment of City Staff.....	22
9.8	Covenants and Conditions. ....	22
9.9	Compliance with Controlling Law.....	23
9.10	Jurisdiction.....	23
9.11	Successors in Interest.....	23
9.12	Integration.....	23
9.13	Counterparts.....	23
9.14	No Waiver.....	23
9.15	Severability.....	23
9.16	Municipal Powers. ....	23
9.17	Drafting Ambiguities.....	23
9.18	Conflicts Between Terms.....	24
9.19	Design Professional Evaluation.....	24
9.20	Exhibits Incorporated.....	24
9.21	Survival of Obligations.....	24
9.22	Contractor Standards.....	24
9.23	Equal Benefits Ordinance.....	24
9.24	Public Records.....	24
9.25	Precluded Participation.....	24

**DESIGN PROFESSIONAL AS\_NEEDED EXHIBITS**

- Exhibit A - Scope of Services
- Exhibit B - Task Order Authorization
- Exhibit C - Compensation and Fee Schedule
- Exhibit D - City's Equal Opportunity Contracting Program Consultant Requirements
  - (AA) Disclosure of Discrimination Complaints
  - (BB) Work Force Report
  - (CC) Subcontractors List
- Exhibit E - Consultant Certification for a Drug-Free Workplace
- Exhibit F - Determination Form
- Exhibit G - City Council Green Building Policy 900-14
- Exhibit H - Consultant Evaluation Form
- Exhibit I - Contractor Standards Pledge of Compliance
- Exhibit J - Regarding Information Requested under the California Public Records Act
- Exhibit K - Americans With Disabilities Act (ADA) Compliance Certification

**AS-NEEDED AGREEMENT BETWEEN  
THE CITY OF SAN DIEGO  
AND KLEINFELDER, INC.  
FOR DESIGN PROFESSIONAL SERVICES**

THIS Agreement is made and entered into between the City of San Diego, a municipal corporation [City], and Kleinfelder, Inc. [Design Professional] to provide Professional Services to the City for groundwater management and planning on an as-needed basis.

**RECITALS**

The City wants to retain the services of a professional groundwater management and planning firm to provide the Professional Services on an as-needed, hourly fee basis.

The Design Professional represents that it has the expertise, experience and personnel necessary to provide the Professional Services on an as-needed, hourly fee basis.

The City and the Design Professional [Parties] want to enter into an Agreement whereby the City will retain the Design Professional to provide, and the Design Professional shall provide, the Professional Services on an as-needed, hourly fee basis [Agreement].

In consideration of the above recitals and the mutual covenants and conditions set forth herein, and for good and valuable consideration, the sufficiency of which are hereby acknowledged, the Parties hereby set forth their mutual covenants and understandings as follows:

**ARTICLE I  
DESIGN PROFESSIONAL SERVICES**

The above-listed recitals are true and correct and are hereby incorporated by reference.

**1.1 Scope of Services.** The scope of services will be determined by the City on an as-needed basis and presented to Professional as an individual Task [Task]. The Design Professional shall perform the Professional Services at the direction of the City and as generally set forth in the Scope of Services [Exhibit A] and as more specifically described in each Task Order Authorization [Task Order] [Exhibit B].

**1.1.1 Task Order.** Prior to beginning performance in response to a Task Order, Design Professional shall complete and execute the Task Order which must be approved in writing by the City. Each Task Order shall include a scope of Professional Services, a cost estimate, and the time for completion. The scope of Professional Services shall include all activities or work reasonably anticipated as necessary for successful completion of each Task presented by the City.

**1.1.2 Non-Exclusivity.** The Design Professional agrees that this Agreement is non-exclusive and that the City may enter into agreements with other Design Professionals to perform the same or similar Professional Services during the term of this Agreement.

**1.1.3 Issuance of Task Orders for Multiple Contracts with the Same Services.**

When multiple As-Needed Agreements exist for the same discipline, the Contract Manager will rotate award of Task Orders between the multiple agreements until the contract duration expires or the contract authorization amount is reached. After a Design Professional has been issued a Task Order, that Design Professional will be placed at the end of the list for consideration to perform the next Task Order.

**1.2 Task Administrator.** The Public Utilities Department is the task administrator for this Agreement. The Design Professional shall provide the Professional Services under the direction of a designated representative of the Public Utilities Department. The City's designated representative will communicate with the Design Professional on all matters related to the administration of this Agreement and the Design Professional's performance of the Professional Services rendered hereunder. When this Agreement refers to communications to or with the City, those communications will be with the designated representative, unless the designated representative or the Agreement specifies otherwise. Further, when this Agreement refers to an act or approval to be performed by the City, that act or approval shall be performed by the Mayor or designee, unless the Agreement specifies otherwise.

**1.3 City Modification of Scope of Services.** The City may, without invalidating this Agreement, order changes in any Task by altering, adding to or deducting from the Professional Services to be performed. All such changes shall be in writing and shall be performed in accordance with the provisions of this Agreement. If any such changes cause an increase or decrease in the Design Professional's cost of, or the time required for, the performance of any of the Professional Services, the Design Professional shall immediately notify the City. If the City deems it appropriate, an equitable adjustment to the Design Professional's compensation may be made, provided that any adjustment must be approved by both Parties in writing in accordance with Section 9.1 of this Agreement.

**1.4 Written Authorization.** Prior to performing any Professional Services in connection with the Tasks, the Design Professional shall obtain from the City a written authorization to proceed. Further, throughout the term of this Agreement, the Design Professional shall immediately advise the City in writing of any anticipated changes to any Task, including any changes to the time for completion or the Compensation and Fee Schedule, and shall obtain the City's written consent to the change prior to making any changes. In no event shall the City's consent be construed to relieve the Design Professional from its duty to render all Professional Services in accordance with applicable laws and accepted industry standards.

**1.5 Confidentiality of Services.** All Professional Services performed by the Design Professional, including but not limited to all drafts, data, correspondence, proposals, reports, and estimates compiled or composed by the Design Professional, pursuant to this Agreement, are for the sole use of the City, its agents and employees. Neither the documents nor their contents shall be released to any third party without the prior written consent of the City. This provision does not apply to information that (a) was publicly known, or otherwise known to the Design Professional, at the time that it was disclosed to the Design Professional by the City, (b) subsequently becomes publicly known through no act or omission of the Design Professional, or (c) otherwise becomes known to the Design Professional other than through disclosure by the City. Except for Subcontractors covered by Section 4.4, neither the documents nor their contents shall be released to any third party without the prior written consent of the City.

**1.6 Competitive Bidding.** The Design Professional shall ensure that any plans and specifications prepared, required, or recommended under this Agreement allow for competitive bidding. The Design Professional shall design such plans or specifications so that procurement of services, labor or materials are not available from only one source, and shall not design plans and specifications around a single or specific product, piece of major equipment or machinery, a specific patented design, or a proprietary process, unless required by principles of sound engineering practice and supported by a written justification that has been approved in writing by the City. The Design Professional shall submit this written justification to the City prior to beginning work on such plans or specifications. Whenever the Design Professional recommends a specific product or equipment for competitive procurement, such recommendation shall include at least two brand names of products that are capable of meeting the functional requirements applicable to the Task.

## **ARTICLE II DURATION OF AGREEMENT**

**2.1 Term of Agreement.** This Agreement shall be effective on the date it is executed by the last Party to sign the Agreement, and approved by the City Attorney in accordance with San Diego Charter Section 40. Unless otherwise terminated, this Agreement shall be effective for issuing and completing Task Orders for no more than sixty (60) months following the date of its execution by the City, unless said duration is modified in writing by an amendment to this Agreement. Any extension beyond sixty (60) months will require City Council approval via Ordinance.

**2.2 Time of Essence.** Time is of the essence for each provision of this Agreement, unless otherwise specified in this Agreement. The time for performance of any Task shall be set forth in the Task Order and shall not exceed the contract duration.

**2.3 Notification of Delay.** The Design Professional shall immediately notify the City in writing if Design Professional experiences or anticipates experiencing a delay in performing the Professional Services within the time frames set forth in the Task Order. The written notice shall include an explanation of the cause for, and a reasonable estimate of the length of, the delay. If in the opinion of the City, the delay affects a material part of the Task, the City may exercise its rights under Sections 2.5-2.7 of this Agreement.

**2.4 Delay.** If delays in the performance of the Professional Services are caused by unforeseen events beyond the control of the Parties, such delay may entitle the Design Professional to a reasonable extension of time, but such delay shall not entitle the Design Professional to damages or additional compensation. Any such extension of time must be approved in writing by the City. The following conditions may constitute such a delay: war; changes in law or government regulation; labor disputes; strikes; fires, floods, adverse weather or other similar condition of the elements necessitating cessation of the Design Professional's work; inability to obtain materials, equipment or labor; required additional Professional Services; or other specific reasons agreed to between the City and the Design Professional; provided, however, that: (a) this provision shall not apply to, and the Design Professional shall not be entitled to an extension of time for, a delay caused by the acts or omissions of the Design Professional; and (b) a delay caused by the inability to obtain materials, equipment, or labor shall not entitle the Design Professional to an extension of time unless the Design Professional furnishes the City, in a timely manner, documentary proof satisfactory to the City of the Design Professional's inability to obtain materials, equipment, or labor.



**2.5 City's Right to Suspend for Convenience.** The City may, at its sole option and for its convenience, suspend all or any portion of the Design Professional's performance of the Professional Services, for a reasonable period of time not to exceed six months. In accordance with the provisions of this Agreement, the City will give written notice to the Design Professional of such suspension. In the event of such a suspension, in accordance with the provisions of Article III of this Agreement, the City shall pay to the Design Professional a sum equivalent to the reasonable value of the Professional Services the Design Professional has performed up to the date of suspension. Thereafter, the City may rescind such suspension by giving written notice of rescission to the Design Professional. The City may then require the Design Professional to resume performance of the Professional Services in compliance with the terms and conditions of this Agreement; provided, however, that the Design Professional shall be entitled to an extension of time equal to the length of the suspension, unless otherwise agreed to in writing by the Parties.

**2.6 City's Right to Terminate for Convenience.** The City may, at its sole option and for its convenience, terminate all or any portion of the Professional Services agreed to pursuant to this Agreement by giving written notice of such termination to the Design Professional. Such notice shall be delivered by certified mail with return receipt for delivery to the City. The termination of the Professional Services shall be effective upon receipt of the notice by the Design Professional. After termination of this Agreement, the Design Professional shall complete any and all additional work necessary for the orderly filing of documents and closing of the Design Professional's Professional Services under this Agreement. For services rendered in completing the work, the Design Professional shall be entitled to fair and reasonable compensation for the Professional Services performed by the Design Professional before the effective date of termination. After filing of documents and completion of performance, the Design Professional shall deliver to the City all drawings, plans, calculations, specifications and other documents or records related to the Design Professional's Professional Services on all Task(s). By accepting payment for completion, filing and delivering documents as called for in this paragraph, the Design Professional discharges the City of all of the City's payment obligations and liabilities under this Agreement.

**2.7 City's Right to Terminate for Default.** If the Design Professional fails to perform or adequately perform any obligation required by this Agreement, the Design Professional's failure constitutes a Default. A Default includes the Design Professional's failure to complete the Professional Services within the time for completion as set forth in the Task Order. If the Design Professional fails to satisfactorily cure a Default within ten calendar days of receiving written notice from the City specifying the nature of the Default, the City may immediately cancel and/or terminate this Agreement, and terminate each and every right of the Design Professional, and any person claiming any rights by or through the Design Professional under this Agreement. The rights and remedies of the City enumerated in this Section are cumulative and shall not limit, waive, or deny any of the City's rights under any other provision of this Agreement. Nor does this Section otherwise waive or deny any right or remedy, at law or in equity, existing as of the date of this Agreement or hereinafter enacted or established, that may be available to the City against the Design Professional.

### **ARTICLE III COMPENSATION**

**3.1 Amount of Compensation.** The City shall pay the Design Professional for performance of all Professional Services rendered in accordance with this Agreement,

including all reasonably related expenses, in an amount not to exceed \$4,000,000. The City agrees to issue at least one or more Task Orders with a minimum aggregate value of \$1,000.00 to the Design Professional.

**3.2 Manner of Payment.** The City shall pay the Design Professional in accordance with the Compensation and Fee Schedule [Exhibit C]. For the duration of this Agreement, the Design Professional shall not be entitled to fees, including fees for expenses, that exceed the amounts specified in the Compensation and Fee Schedule. The Design Professional shall submit one invoice per calendar month in a form acceptable to the City in accordance with the Compensation and Fee Schedule. The Design Professional shall include with each invoice a description of completed Professional Services, reasonably related expenses, if any, and all other information, including but not limited to: the progress percentage of the Scope of Services and/or deliverables completed prior to the invoice date, as required by the City. The City will pay undisputed portions of invoices within thirty calendar days of receipt.

**3.3 Additional Costs.** Additional Costs are those costs that can be reasonably determined to be related to the Design Professional's errors or omissions, and may include Design Professional, City, or Subcontractor overhead, construction, materials, demolition, and related costs. The Design Professional shall not be paid for the Professional Services required due to the Design Professional's errors or omissions, and the Design Professional shall be responsible for any Additional Costs associated with such errors or omissions. These Additional Costs may be deducted from monies due, or that become due, the Design Professional. Whether or not there are any monies due, or becoming due, the Design Professional shall reimburse the City for Additional Costs due to the Design Professional's errors or omissions.

**3.4 Eighty Percent Notification.** The Design Professional shall promptly notify the City in writing of any potential cost overruns. Cost overruns include, but are not limited to the following: (1) where anticipated costs to be incurred in the next sixty calendar days, when added to all costs previously incurred, will exceed 80 percent of the maximum compensation for this Agreement or for any issued Task Order; or (2) where the total anticipated cost for performance of the Scope of Services may be greater than the maximum compensation for this Agreement or for any Task Order.

#### **ARTICLE IV DESIGN PROFESSIONAL'S OBLIGATIONS**

**4.1 Industry Standards.** The Design Professional agrees that the Professional Services rendered under this Agreement shall be performed in accordance with the standards customarily adhered to by an experienced and competent professional groundwater management and planning firm using the degree of care and skill ordinarily exercised by reputable professionals practicing in the same field of service in the State of California. Where approval by the City, the Mayor or his designee, or other representatives of the City is required, it is understood to be general approval only and does not relieve the Design Professional of responsibility for complying with all applicable laws, codes, and good consulting practices.

#### **4.2 Right to Audit.**

**4.2.1 Access.** The City retains the right to review and audit, and the reasonable right of access to Design Professional's and any Subcontractor's premises to review and audit the Design Professional's or Subcontractor's compliance with the

provisions of this Agreement [City's Right]. The City's Right includes the right to inspect and photocopy same, and to retain copies, outside of the Design Professional's premises, of any and all Project related records with appropriate safeguards, if such retention is deemed necessary by the City in its sole discretion. This information shall be kept by the City in the strictest confidence allowed by law.

**4.2.2 Audit.** The City's Right includes the right to examine any and all books, records, documents and any other evidence of procedures and practices that the City determines are necessary to discover and verify that the Design Professional or Subcontractor is in compliance with all requirements under this Agreement.

**4.2.2.1 Cost Audit.** If there is a claim for additional compensation or for Additional Costs, the City's Right includes the right to examine books, records, documents, and any and all other evidence and accounting procedures and practices that the City determines are necessary to discover and verify all direct and indirect costs, of whatever nature, which are claimed to have been incurred, or anticipated to be incurred.

**4.2.2.2 Accounting Records.** The Design Professional and all Subcontractors shall maintain complete and accurate records in accordance with Generally Accepted Accounting Practices in the industry. The Design Professional and Subcontractors shall make available to the City for review and audit; all Project-related accounting records and documents, and any other financial data. Upon the City's request, the Design Professional and Subcontractors shall submit exact duplicates of originals of all requested records to the City.

**4.2.3 City's Right Binding on Subcontractors.** The Design Professional shall include the City's Right as described in Section 4.2, in any and all of their subcontracts, and shall ensure that these sections are binding upon all Subcontractors.

**4.2.4 Compliance Required before Mediation or Litigation.** A condition precedent to proceeding with mandatory mediation and further litigation provided for in Article VII is the Design Professional's and Subcontractors full compliance with the provisions of this Section 4.2 within sixty days of the date on which the City mailed a written request to review and audit compliance.

**4.3 Insurance.** The Design Professional shall not begin the Professional Services under this Agreement until it has: (a) obtained, and provided to the City, insurance certificates and endorsements reflecting evidence of all insurance required in Article IV, Section 4.3.1; however, the City reserves the right to request, and the Design Professional shall submit, copies of any policy upon reasonable request by the City; (b) obtained City approval of each company or companies as required by Article IV, Section 4.3.3; and (c) confirmed that all policies contain the specific provisions required in Article IV, Section 4.3.4. Design Professional's liabilities, including but not limited to Design Professional's indemnity obligations, under this Agreement, shall not be deemed limited in any way to the insurance coverage required herein. Except as provided for under California law, all policies of insurance required hereunder must provide that the City is entitled to thirty (30) days prior written notice (10 days for cancellation due to non-payment of premium) of cancellation or non-renewal of the policy or policies. Maintenance of specified insurance coverage is a material element of this Agreement and Design Professional's failure to maintain or renew coverage or to provide evidence of renewal during the term of this Agreement may be treated as a material breach of contract by the City.

Further, the Design Professional shall not modify any policy or endorsement thereto which increases the City's exposure to loss for the duration of this Agreement.

**4.3.1 Types of Insurance.** At all times during the term of this Agreement, the Design Professional shall maintain insurance coverage as follows:

**4.3.1.1 Commercial General Liability.** Commercial General Liability (CGL) Insurance written on an ISO Occurrence form CG 00 01 07 98 or an equivalent form providing coverage at least as broad which shall cover liability arising from any and all personal injury or property damage in the amount of \$1 million per occurrence and subject to an annual aggregate of \$2 million. There shall be no endorsement or modification of the CGL limiting the scope of coverage for either insured vs. insured claims or contractual liability. All defense costs shall be outside the limits of the policy.

**4.3.1.2 Commercial Automobile Liability.** For all of the Design Professional's automobiles including owned, hired and non-owned automobiles, the Design Professional shall keep in full force and effect, automobile insurance written on an ISO form CA 00 01 12 90 or a later version of this form or an equivalent form providing coverage at least as broad for bodily injury and property damage for a combined single limit of \$1 million per occurrence. Insurance certificate shall reflect coverage for any automobile (any auto).

**4.3.1.3 Workers' Compensation.** For all of the Design Professional's employees who are subject to this Agreement and to the extent required by the applicable state or federal law, the Design Professional shall keep in full force and effect, a Workers' Compensation policy. That policy shall provide a minimum of \$1 million of employers' liability coverage, and the Design Professional shall provide an endorsement that the insurer waives the right of subrogation against the City and its respective elected officials, officers, employees, agents and representatives.

**4.3.1.4 Architects & Engineers Professional Liability.** For all of the Design Professional's employees who are subject to this Agreement, the Design Professional shall keep in full force and effect, Professional Liability coverage for professional liability with a limit of \$1 million per claim and \$2 million annual aggregate. The Design Professional shall ensure both that: (1) the policy retroactive date is on or before the date of commencement of the Professional Services as described in issued Task Orders; and (2) the policy will be maintained in force for a period of three years after substantial completion of the Professional Services as described in issued Task Orders or termination of this Agreement whichever occurs last. The Design Professional agrees that for the time period defined above, there will be no changes or endorsements to the policy that increase the City's exposure to loss.

**4.3.2 Deductibles.** All deductibles on any policy shall be the responsibility of the Design Professional and shall be disclosed to the City at the time the evidence of insurance is provided.

**4.3.3 Acceptability of Insurers.**

**4.3.3.1** Except for the State Compensation Insurance Fund, all insurance required by this Agreement shall only be carried by insurance companies with a rating of at least "A-, VI" by A.M. Best Company, that are authorized by the California

Insurance Commissioner to do business in the State of California, and that have been approved by the City.

**4.3.3.2** The City will accept insurance provided by non-admitted, "surplus lines" carriers only if the carrier is authorized to do business in the State of California and is included on the List of Approved Surplus Lines Insurers (LASLI list). All policies of insurance carried by non-admitted carriers are subject to all of the requirements for policies of insurance provided by admitted carriers described herein.

**4.3.4 Required Endorsements.** The following endorsements to the policies of insurance are required to be provided to the City before any work is initiated under this Agreement.

**4.3.4.1 Commercial General Liability Insurance Endorsements**

**ADDITIONAL INSURED.** To the fullest extent allowed by law including but not limited to California Insurance Code Section 11580.04, the policy or policies must be endorsed to include as an Additional Insured the City of San Diego and its respective elected officials, officers, employees, agents and representatives with respect to liability arising out of (a) ongoing operations performed by you or on your behalf, (b) your products, (c) your work, including but not limited to your completed operations performed by you or on your behalf, or (d) premises owned, leased, controlled or used by you.

**PRIMARY AND NON-CONTRIBUTORY COVERAGE.** The policy or policies must be endorsed to provide that the insurance afforded by the Commercial General Liability policy or policies is primary to any insurance or self-insurance of the City of San Diego and its elected officials, officers, employees, agents and representatives as respects operations of the Named Insured. Any insurance maintained by the City of San Diego and its elected officials, officers, employees, agents and representatives shall be in excess of Design Professional's insurance and shall not contribute to it.

**4.3.4.2 Automobile Liability Insurance Endorsements**

**ADDITIONAL INSURED.** To the fullest extent allowed by law including but not limited to California Insurance Code Section 11580.04, the policy or policies must be endorsed to include as an Additional Insured the City of San Diego and its respective elected officials, officers, employees, agents and representatives with respect to liability arising out of automobiles owned, leased, hired or borrowed by or on behalf of the Design Professional.

**4.3.4.3 Worker's Compensation and Employer's Liability Insurance Endorsements**

**WAIVER OF SUBROGATION.** The Worker's Compensation policy or policies must be endorsed to provide that the insurer will waive all rights of subrogation against the City and its respective elected officials, officers, employees, agents and representatives for losses paid under the terms of this policy or these policies which arise from work performed by the Named Insured for the City.

**4.3.5 Reservation of Rights.** The City reserves the right, from time to time, to review the Design Professional's insurance coverage, limits, deductible and self-insured retentions to determine if they are acceptable to the City. The City will reimburse the Design

Professional for the cost of the additional premium for any coverage requested by the City in excess of that required by this Agreement without overhead, profit, or any other markup.

**4.3.6 Additional Insurance.** The Design Professional may obtain additional insurance not required by this Agreement.

**4.3.7 Excess Insurance.** All policies providing excess coverage to the City shall follow the form of the primary policy or policies including but not limited to all endorsements.

**4.4 Subcontractors.** The Design Professional's hiring or retaining of any third parties [Subcontractors] to perform services related to the Project [Subcontractor Services] is subject to prior approval by the City. The Design Professional shall list on the Subcontractor List (Exhibit D, Attachment CC) all Subcontractors known to the Design Professional at the time this Agreement is entered. If at any time after this Agreement is entered into, the Design Professional identifies a need for addition, deletion, or substitution of Subcontractor Services, the Design Professional must submit a written notice to the City requesting approval for the change modifying the Subcontractor Services. The Design Professional's written notice shall include a justification, a description of the scope of services, an estimate of all costs/percentage of contract participation for the Subcontractor Services, and an updated Exhibit D, Attachment CC reflecting the requested change(s). The City agrees to consider such requests in good faith.

**4.4.1 Subcontractor Contract.** All contracts entered into between the Design Professional and any Subcontractor shall contain the information as described in Sections 4.6, 4.7, 4.10.2, and 4.18, and shall also provide as follows:

**4.4.1.1** Design Professional shall require the Subcontractor to obtain insurance policies, as described in Section 4.3.1, and those policies shall be kept in full force and effect during any and all work on this Project and for the duration of this Agreement. Furthermore, Subcontractor policy limits, and required endorsements shall be determined by the Design Professional proportionate to the services performed by the Subcontractor.

**4.4.1.2** The Design Professional is obligated to pay the Subcontractor, for Design Professional and City-approved invoice amounts, out of amounts paid by the City to the Design Professional, not later than fourteen working days from the Design Professional's receipt of payment from the City. Nothing in this paragraph shall be construed to impair the right of the Design Professional and any Subcontractor to negotiate fair and reasonable pricing and payment provisions among themselves.

**4.4.1.3** In the case of a deficiency in the performance of Subcontractor Services, the Design Professional shall notify the City in writing of any withholding of payment to the Subcontractor, specifying: (a) the amount withheld; (b) the specific cause under the terms of the subcontract for withholding payment; (c) the connection between the cause for withholding payment and the amount withheld; and (d) the remedial action the Subcontractor must take in order to receive the amount withheld. Once the Subcontractor corrects the deficiency, the Design Professional shall pay the Subcontractor the amount withheld within fourteen working days of the Design Professional's receipt of the City's next payment.

**4.4.1.4** In any dispute between the Design Professional and Subcontractor, the City shall not be made a party to any judicial or administrative proceeding

to resolve the dispute. The Design Professional agrees to defend and indemnify the City as described in Article VI of this Agreement in any dispute between the Design Professional and Subcontractor should the City be made a party to any judicial or administrative proceeding to resolve the dispute in violation of this position.

**4.4.1.5** The Subcontractor is bound to the City's Equal Opportunity Contracting Program covenants set forth in Article IV, Section 4.6 and Exhibit D of this Agreement.

**4.4.1.6** The City is an intended beneficiary of any work performed by the Subcontractor for purposes of establishing a duty of care between the Subcontractor and the City.

#### **4.5 Contract Records Reports.**

**4.5.1** The Design Professional shall maintain records of all subcontracts entered into with all firms, all project invoices received from Subcontractors. Records shall show name, telephone number including area code, and business address of each Subcontractor and the total amount actually paid to each firm. Project relevant records, regardless of tier, may be periodically reviewed by the City.

**4.5.2** The Design Professional shall retain all records, books, papers, and documents directly pertinent to the Contract for a period of not less than five (5) years after Completion of the contract and allow access to said records by the City's authorized representatives.

**4.5.3** The Design Professional must submit the following reporting using the City's web-based contract compliance i.e., Prism® portal:

**4.5.3.1 Monthly Employment Utilization.** Design Professional and their Subcontractors must submit Monthly Employment Utilization Reporting by the fifth (5th) day of the subsequent month.

**4.5.3.2 Monthly Invoicing and Payments.** Design Professional and their Subcontractors must submit Monthly Invoicing and Payment Reporting by the fifth (5th) day of the subsequent month.

**4.5.3.3** To view the City's online tutorials on how to utilize PRISM® for compliance reporting, please visit: <http://stage.prismcompliance.com/etc/vendortutorials.htm>

Incomplete and/or delinquent reporting may cause payment delays, non-payment of invoice, or both. The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

#### **4.6 Non-Discrimination Requirements.**

**4.6.1 Compliance with the City's Equal Opportunity Contracting Program.** The Design Professional shall comply with the City's Equal Opportunity Contracting Program Consultant Requirements [Exhibit D]. The Design Professional shall not discriminate against any employee or applicant for employment on any basis prohibited by law. The Design

Professional shall provide equal opportunity in all employment practices. The Design Professional shall ensure that its Subcontractors comply with the City's Equal Opportunity Contracting Program Design Professional Requirements. Nothing in this Section shall be interpreted to hold the Design Professional liable for any discriminatory practice of its Subcontractors.

**4.6.2 Non-Discrimination Ordinance.** The Design Professional shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring or treatment of Subcontractors, vendors or suppliers. The Design Professional shall provide equal opportunity for Subcontractors to participate in subcontracting opportunities. The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions. This language shall be in contracts between the Design Professional and any Subcontractors, vendors and suppliers.

**4.6.3 Compliance Investigations.** Upon the City's request, the Design Professional agrees to provide to the City, within sixty calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that the Design Professional has used in the past five years on any of its contracts that were undertaken within San Diego County, including the total dollar amount paid by the Design Professional for each subcontract or supply contract. The Design Professional further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance (San Diego Municipal Code sections 22.3501-22.3517) The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Design Professional up to and including contract termination, debarment, and other sanctions for violation of the provisions of the Nondiscrimination in Contracting Ordinance. The Design Professional further understands and agrees that the procedures, remedies and sanctions provided for in the Nondiscrimination Ordinance apply only to violations of said Nondiscrimination Ordinance.

**4.7 Drug-Free Workplace.** The Design Professional agrees to comply with the City's Drug-Free Workplace requirements set forth in Council Policy 100-17, adopted by San Diego Resolution R-277952 and incorporated into this Agreement by this reference. The Design Professional shall certify to the City that it will provide a drug-free workplace by submitting a Consultant Certification for a Drug-Free Workplace form [Exhibit E].

**4.7.1 Design Professional's Notice to Employees.** The Design Professional shall publish a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the work place, and specifying the actions that will be taken against employees for violations of the prohibition.

**4.7.2 Drug-Free Awareness Program.** The Design Professional shall establish a drug-free awareness program to inform employees about: (1) the dangers of drug abuse in the work place; (2) the policy of maintaining a drug-free work place; (3) available drug counseling, rehabilitation, and employee assistance programs; (4) the penalties that may be imposed upon employees for drug abuse violations.

**4.7.3 Posting the Statement.** In addition to Section 4.7.1 above, the Design Professional shall post the drug-free policy in a prominent place.



**4.7.4 Subcontractor's Agreements.** The Design Professional further certifies that each contract for Subcontractor Services for this Project shall contain language that binds the Subcontractor to comply with the provisions of Article IV, Section 4.7 of this Agreement, as required by Sections 2.A.(1) through (3) of Council Policy 100-17. Design Professionals and Subcontractors shall be individually responsible for their own drug-free work place program.

**4.8 Title 24/Americans with Disabilities Act Requirements.** Design Professional has sole responsibility for ensuring that all design services as contained in issued Task Orders comply with all accessibility requirements under Title 24 of the California Code of Regulations, known as the California Building Code (Title 24), and under the Americans with Disabilities Act Accessibility Guidelines (ADAAG) in effect at the time the designs are submitted to the City for review. When a conflict exists between Title 24 and ADAAG, the most restrictive requirement shall be followed by Design Professional (i.e., that which provides the most access). Design Professional warrants and certifies that any and all plans and specifications prepared for the City in accordance with this agreement shall meet all requirements under Title 24 and ADAAG. Design Professional understands that while the City will be reviewing Design Professional's designs for compliance in specific and certain areas under Title 24 and ADAAG prior to acceptance of Design Professional's designs, Design Professional understands and agrees that the City's access review process and its acceptance of Design Professional's designs in no way limits the Design Professional's obligations under this agreement to prepare designs that comply with all requirements under Title 24 and ADAAG.

**4.9 Product Endorsement.** The Design Professional acknowledges and agrees to comply with the provisions of City of San Diego Administrative Regulation 95.65, concerning product endorsement. Any advertisement identifying or referring to the City as the user of a product or service requires the prior written approval of the City.

**4.10 Conflict of Interest.** The Design Professional is subject to all federal, state and local conflict of interest laws, regulations, and policies applicable to public contracts and procurement practices, including but not limited to California Government Code sections 1090, et seq. and 81000, et seq., and the City of San Diego Ethics Ordinance, codified in the San Diego Municipal Code at sections 27.3501 to 27.3595.

**4.10.1** If, in performing the Professional Services set forth in this Agreement, any member of the Design Professional's organization makes, or participates in, a "governmental decision" as described in Title 2, section 18701(a)(2) of the California Code of Regulations, or performs the same or substantially all the same duties for the City that would otherwise be performed by a City employee holding a position specified in the department's conflict of interest code, the individual shall be subject to a conflict of interest code requiring the completion of one or more statements of economic interests disclosing the individual's relevant financial interests. The determination as to whether any individual members of the Design Professional's organization must make disclosures of relevant financial interests is set forth in the Determination Form [Exhibit F].

**4.10.1.1** If a determination is made that certain individuals must disclose relevant financial interests, the statements of economic interests shall be made on Fair Political Practices Commission Form 700 and filed with the City Clerk. The individual shall file a Form 700 (Assuming Office Statement) within thirty calendar days of the City's determination that the individuals are subject to a conflict of interest code. Each year thereafter, the individuals shall also file a Form 700 (Annual Statement) on or before April 1,

disclosing any financial interests held during the previous calendar year for which the individual was subject to a conflict of interest code. A Form 700 (Leaving Office Statement) shall also be filed when the individual discontinues services under this Agreement.

**4.10.1.2** If the City requires an individual member of the Design Professional's organization to file a statement of economic interests as a result of the Professional Services performed, the individual shall be considered a "City Official" subject to the provisions of the City of San Diego Ethics Ordinance, including the prohibition against lobbying the City for one year following the termination of this Agreement.

**4.10.2** The Design Professional shall establish and make known to its employees and agents appropriate safeguards to prohibit employees from using their positions for a purpose that is, or that gives the appearance of being, motivated by the desire for private gain for themselves or others, particularly those with whom they have family, business, or other relationships.

**4.10.3** The Design Professional and its Subcontractors having subcontracts amounting to 1% or more of the value of the Professional Services agreed to under this Agreement are precluded from participating in design services on behalf of the contractor, construction management, and any other construction services related in any way to these Professional Services without the prior written consent of the City.

**4.10.4** The Design Professional's personnel employed on the Project shall not accept gratuities or any other favors from any Subcontractors or potential Subcontractors. The Design Professional shall not recommend or specify any product, supplier, or contractor with whom the Design Professional has a direct or indirect financial or organizational interest or relationship that would violate conflict of interest laws, regulations, or policies.

**4.10.5** If the Design Professional violates any conflict of interest law or any of the provisions in this Section 4.10, the violation shall be grounds for immediate termination of this Agreement. Further, the violation subjects the Design Professional to liability to the City for attorneys' fees and all damages sustained as a result of the violation.

**4.11 Mandatory Assistance.** If a third party dispute or litigation, or both, arises out of, or relates in any way to the Professional Services provided under this Agreement, upon the City's request, the Design Professional, its agents, officers, and employees agree to assist in resolving the dispute or litigation. The Design Professional's assistance includes, but is not limited to, providing professional consultations, attending mediations, arbitrations, depositions, trials or any event related to the dispute resolution and/or litigation.

**4.12 Compensation for Mandatory Assistance.** The City will compensate the Design Professional for fees incurred for providing Mandatory Assistance as Additional Costs under Section 3.3. If, however, the fees incurred for the Mandatory Assistance are determined, through resolution of the third party dispute or litigation, or both, to be attributable in whole, or in part, to the acts or omissions of the Design Professional, its agents, officers, and employees, the Design Professional shall reimburse the City. The City is then entitled to reimbursement of all fees paid to the Design Professional, its agents, officers, and employees for Mandatory Assistance.

**4.13 Attorney Fees related to Mandatory Assistance.** In providing the City with dispute or litigation assistance, the Design Professional or its agents, officers, and employees may incur expenses and/or costs. The Design Professional agrees that any attorney fees it

may incur as a result of assistance provided under Section 4.11 are not reimbursable. The Parties agree this provision does not in any way affect their rights to seek attorney fees under Article VIII, Section 8.8 of this Agreement.

**4.14 Energy Conservation Specifications.** Technological advances in energy conservation devices such as Lighting and Heating, Ventilation, and Air Conditioning (HVAC), enable additional energy savings over that required by the State of California's Energy Efficiency Standards (Title 24, Part 6 of the California Code of Regulations). The Design Professional shall model the energy performance of the building using an acceptable computer model such as Energy Pro, EQuest, DOE-2, Power DOE, HAP 3.22, etc. and present the summary data to the City at or prior to 100 percent design. This analysis should include life cycle cost analysis showing recovery of construction costs through operation and maintenance costs (e.g., electricity and gas savings.) The Design Professional shall prepare a cost savings matrix that lists each device being considered and one, three, five and ten-year Project savings. The comparison shall include, but not be limited to, the following equipment: Lighting, HVAC, Water Heating, and Motors.

The Design Professional shall contact the SDG&E New Construction Program at (858) 636-5725 or the San Diego Regional Energy Office at (619) 595-5634 to integrate them into the design process to ensure maximum energy performance and access to technical resources. Design Professional shall endeavor to obtain from SDG&E a UTIL-1 (Utility Incentive Worksheet) to estimate energy savings and incentives available based on the design team energy modeling.

**4.15 Notification of Increased Construction Cost.** If, at any time prior to the City's approval of the final plans and specifications, the Design Professional anticipates that the total construction cost will exceed the estimated construction budget, the Design Professional shall immediately notify the City in writing. This written notification shall include an itemized cost estimate and a list of recommended revisions which the Design Professional believes will bring the construction cost to within the estimated construction budget. The City may either: (1) approve an increase in the amount authorized for construction; or (2) delineate a project which may be constructed for the budget amount; or (3) any combination of (1) and (2).

**4.16 Sustainable Building Policy.** The Project design and construction shall comply with City Council Green Building Policy 900-14 [Exhibit G]. All new or significantly remodeled City facilities shall be designed and constructed to achieve at a minimum the Leadership in Energy and Environmental Design (LEED) "Silver" Level Certification.

**4.17 Design-Build Competition Eligibility.** Any architectural firms, engineering firms, Design Professionals, or individuals retained by the City to assist the City with developing criteria or preparing the preliminary design or the request for proposals for a Design-Build competition shall not be eligible to participate with any Design-Build Entity in that Design-Build competition. Additionally, the City may determine in its sole discretion that a Subcontractor hired to assist with a Design-Build competition, regardless of whether the Subcontractor was hired by the City or hired by an architectural firm, engineering firm, Design Professional, or individual retained by the City, has a competitive advantage and as such is ineligible to participate in that Design-Build competition.

**4.18 Storm Water Management Discharge Control.** Design Professional shall comply with Chapter 4, Article 3, Division 3 of the San Diego Municipal Code, Storm Water Management Discharge Control and the Municipal Storm Water Permit (MS4) Permit,

California Regional Water Quality Control Board Order No. R9-2013-0001 (amended by R9-2015-0001 and R9-2015-0100), Storm Water Standards Manual, as amended from time to time, and any and all Best Management Practice (BMP) guidelines and pollution elimination requirements as may be established by the Enforcement Official. Design Professional warrants and certifies that any and all plans, reports, and specifications prepared for the City in accordance with this agreement shall meet all requirements of the San Diego Municipal Code and Storm Water Standards Manual. Design Professional understands that while the City will be reviewing Design Professional's designs for storm water permit compliance prior to acceptance of Design Professional's designs, Design Professional understands and agrees that the City's Storm Water review process and its acceptance of Design Professional's designs in no way limits the Design Professional's obligations under this agreement to prepare designs that comply with all requirements of the San Diego Municipal Code and MS4 Permit.

The Design Professional shall review the completed Storm Water Applicability Checklist (DS-560) to confirm the project's appropriate storm water requirements. For all applicable projects, and to the maximum extent practicable, the Design Professional shall incorporate and include Source Control and Low Impact Development (LID) design features or Site Design BMPs on the construction plans. In addition, for Priority Development projects, the Design Professional shall prepare a Storm Water Quality Management Plan in accordance with the requirements of the Storm Water Standards Manual and prepare a BMP plan showing all permanent BMPs, LID designs, hydromodification management plan facilities, and include sufficient details and cross sections for construction.

Design Professional shall attend the Pre-construction meeting. The Project Manager will coordinate with the Design Professional on the inspection of the permanent BMP(s) during installation. Design Professional shall inspect and confirm that the permanent BMP was installed in accordance with the details on the plans and that the permanent BMP functions to meet the requirements of the MS4 Permit. Upon notification by the Project Manager, the Design Professional shall sign and stamp the Permanent BMP Self Certification on the plans or the Permanent BMP Self Certification Form (DS-563) prior to final acceptance by the City.

For projects requiring soil-disturbance work such as geotechnical borings, street coring and potholing as component of the design, the Design Professional shall complete a Minor Water Pollution Control Plan (DS-570), if applicable.

**4.19 ADA Certification.** The Design Professional hereby certifies [Exhibit K] that it agrees to comply with the City's Americans With Disabilities Act Compliance/City Contracts requirements set forth in Council Policy 100-04, adopted by San Diego Resolution R-282153 and incorporated into this Agreement by this reference.

**4.20 Prevailing Wage Rates.** Prevailing wage rates apply to this Agreement.

Pursuant to San Diego Municipal Code section 22.3019, construction, alteration, demolition, repair and maintenance work performed under this Agreement is subject to State prevailing wage laws. For construction work performed under this Agreement cumulatively exceeding \$25,000 and for alteration, demolition, repair and maintenance work performed under this Agreement cumulatively exceeding \$15,000, the Design Professional and its subconsultants shall comply with State prevailing wage laws including, but not limited to, the requirements listed below.

**4.20.1. Compliance with Prevailing Wage Requirements.** Pursuant to sections 1720 through 1861 of the California Labor Code, the Design Professional and its subconsultants shall ensure that all workers who perform work under this Agreement are paid not less than the prevailing rate of per diem wages as determined by the Director of the California Department of Industrial Relations (DIR). This includes work performed during the design and preconstruction phases of construction including, but not limited to, inspection and land surveying work.

**4.20.1.1.** Copies of such prevailing rate of per diem wages are on file at the City and are available for inspection to any interested party on request. Copies of the prevailing rate of per diem wages also may be found at <http://www.dir.ca.gov/OPRL/DPreWageDetermination.htm>. Design Professional and its subconsultants shall post a copy of the prevailing rate of per diem wages determination at each job site and shall make them available to any interested party upon request.

**4.20.1.2.** The wage rates determined by the DIR refer to expiration dates. If the published wage rate does not refer to a predetermined wage rate to be paid after the expiration date, then the published rate of wage shall be in effect for the life of this Agreement. If the published wage rate refers to a predetermined wage rate to become effective upon expiration of the published wage rate and the predetermined wage rate is on file with the DIR, such predetermined wage rate shall become effective on the date following the expiration date and shall apply to this Agreement in the same manner as if it had been published in said publication. If the predetermined wage rate refers to one or more additional expiration dates with additional predetermined wage rates, which expiration dates occur during the life of this Agreement, each successive predetermined wage rate shall apply to this Agreement on the date following the expiration date of the previous wage rate. If the last of such predetermined wage rates expires during the life of this Agreement, such wage rate shall apply to the balance of the Agreement.

**4.20.2. Penalties for Violations.** Design Professional and its subconsultants shall comply with California Labor Code section 1775 in the event a worker is paid less than the prevailing wage rate for the work or craft in which the worker is employed.

**4.20.3. Payroll Records.** Design Professional and its subconsultants shall comply with California Labor Code section 1776, which generally requires keeping accurate payroll records, verifying and certifying payroll records, and making them available for inspection. Design Professional shall require its subconsultants to also comply with section 1776. Design Professional and its subconsultants shall submit weekly certified payroll records online via the City's web-based Labor Compliance Program. Design Professional is responsible for ensuring its subconsultants submit certified payroll records to the City.

**4.20.3.1.** For agreements entered into on or after April 1, 2015, Design Professional and their subconsultants shall furnish records specified in Labor Code section 1776 directly to the Labor Commissioner in the manner required by Labor Code section 1771.4.

**4.20.4. Apprentices.** Design Professional and its subconsultants shall comply with California Labor Code sections 1777.5, 1777.6 and 1777.7 concerning the employment and wages of apprentices. Design Professional shall be held responsible for the compliance of their subconsultants with sections 1777.5, 1777.6 and 1777.7.

**4.20.5. Working Hours.** Design Professional and their subconsultants shall comply with California Labor Code sections 1810 through 1815, including but not limited to: (i) restrict working hours on public works contracts to eight hours a day and forty hours a week, unless all hours worked in excess of 8 hours per day are compensated at not less than 1½ times the basic rate of pay; and (ii) specify penalties to be imposed on design professionals and subcontractors of \$25 per worker per day for each day the worker works more than 8 hours per day and 40 hours per week in violation of California Labor Code sections 1810 through 1815.

**4.20.6. Required Provisions for Subcontracts.** Design Professional shall include at a minimum a copy of the following provisions in any contract they enter into with a subconsultant: California Labor Code sections 1771, 1771.1, 1775, 1776, 1777.5, 1810, 1813, 1815, 1860 and 1861.

**4.20.7. Labor Code Section 1861 Certification.** Design Professional in accordance with California Labor Code section 3700 is required to secure the payment of compensation of its employees and by signing this Agreement, Design Professional certifies that "I am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this Agreement."

**4.20.8. Labor Compliance Program.** The City has its own Labor Compliance Program authorized in August 2011 by the DIR. The City will withhold contract payments when payroll records are delinquent or deemed inadequate by the City or other governmental entity, or it has been established after an investigation by the City or other governmental entity that underpayment(s) have occurred. For questions or assistance, please contact the City of San Diego's Equal Opportunity Contracting Department at 619-236-6000.

**4.20.9. Contractor and Subcontractor Registration Requirements.** This project is subject to compliance monitoring and enforcement by the DIR. As of March 1, 2015, no Design Professional or subconsultant may be listed on a bid or proposal for a public works project unless registered with the DIR pursuant to Labor Code section 1725.5. As of April 1, 2015, a Design Professional or subcontractor shall not be qualified to bid on, be listed in a bid or proposal, or enter into any contract for public work, unless currently registered and qualified to perform public work pursuant to Labor Code section 1725.5. By submitting a bid or proposal to the City, Design Professional is certifying that he or she has verified that all subcontractors used on this public work project are registered with the DIR in compliance with Labor Code sections 1771.1 and 1725.5, and Design Professional shall provide proof of registration to the City upon request.

**4.20.9.1.** A Design Professional's inadvertent error in listing a subconsultant who is not registered pursuant to Labor Code section 1725.5 in response to a

solicitation shall not be grounds for filing a protest or grounds for considering the bid or proposal non-responsive provided that any of the following apply: (1) the subconsultant is registered prior to proposal due date; (2) within twenty-four hours after the proposal due date, the subconsultant is registered and has paid the penalty registration fee specified in Labor Code section 1725.5; or (3) the subconsultant is replaced by another registered subconsultant pursuant to Public Contract Code section 4107.

**ARTICLE V  
RESERVED**

**ARTICLE VI  
INDEMNIFICATION**

**6.1 Indemnification.** Other than in the performance of design professional services which shall be solely as addressed in Section 6.2 below, to the fullest extent permitted by law, Design Professional shall defend (with legal counsel reasonably acceptable to the City), indemnify and hold harmless the City and its officers, agents, departments, officials, and employees [Indemnified Parties] from and against all claims, losses, costs, damages, injuries (including, without limitation, injury to or death of an employee of Design Professional or its Subcontractors), expense and liability of every kind, nature and description (including, without limitation, incidental and consequential damages, court costs, attorneys' fees, litigation expenses and fees of expert consultants or expert witnesses incurred in connection therewith and costs of investigation) that arise out of, pertain to, or relate to, directly or indirectly, in whole or in part, any services performed under this Agreement by the Design Professional, any Subcontractor, anyone directly or indirectly employed by them, or anyone that they control. The Design Professional's duty to defend, indemnify, protect and hold harmless shall not include any claims or liabilities arising from the active negligence, sole negligence or sole willful misconduct of the Indemnified Parties.

**6.2 Design Professional Services Indemnification and Defense.**

**6.2.1 Design Professional Services Indemnification.** To the fullest extent permitted by law (including, without limitation, California Civil Code Section 2782.8), with respect to the performance of Design Professional services, Design Professional shall indemnify and hold harmless the City, its officers, or employees, from all claims, demands or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.

**6.2.2 Design Professional Services Defense.** Parties will work in good faith to procure applicable insurance coverage for the cost of any defense arising from all claims, demands or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.

**6.3 Insurance.** The provisions of this Article are not limited by the requirements of Section 4.3 related to insurance.

**6.4 Enforcement Costs.** The Design Professional agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in this Article.

## ARTICLE VII MEDIATION

**7.1 Mandatory Non-binding Mediation.** With the exception of Sections 2.5-2.7 of this Agreement, if a dispute arises out of, or relates to this Agreement, or the breach thereof, and if said dispute cannot be settled through normal contract negotiations, prior to the initiation of any litigation, the Parties agree to attempt to settle the dispute in an amicable manner, using mandatory mediation under the Construction Industry Mediation Rules of the American Arbitration Association (AAA) or any other neutral organization agreed upon before having recourse in a court of law.

**7.2 Mandatory Mediation Costs.** The expenses of witnesses for either side shall be paid by the Party producing such witnesses. All other expenses of the mediation, including required traveling and other expenses of the mediator [Mediator], and the cost of any proofs or expert advice produced at the direct request of the Mediator, shall be borne equally by the Parties, unless they agree otherwise.

**7.3 Selection of Mediator.** A single Mediator that is acceptable to both Parties shall be used to mediate the dispute. The Mediator will be knowledgeable in construction aspects and may be selected from lists furnished by the AAA or any other agreed upon Mediator. To initiate mediation, the initiating Party shall serve a Request for Mediation on the opposing Party. If the Mediator is selected from a list provided by AAA, the initiating Party shall concurrently file with AAA a "Request for Mediation" along with the appropriate fees, a list of three requested Mediators marked in preference order, and a preference for available dates.

**7.3.1** If AAA is selected to coordinate the mediation, within ten working days from the receipt of the initiating Party's Request for Mediation, the opposing Party shall file the following: a list of preferred Mediators listed in preference order after striking any Mediators to which they have any factual objection, and a preference for available dates. If the opposing Party strikes all of initiating Party's preferred Mediators, opposing Party shall submit a list of three preferred Mediators listed in preference order to initiating Party and Administrator. Initiating Party shall file a list of preferred Mediators listed in preference order, after striking any Mediator to which they have any factual objection. This process shall continue until both sides have agreed upon a Mediator.

**7.3.2** The Administrator will appoint or the Parties shall agree upon the highest, mutually preferred Mediator from the individual Parties' lists who is available to serve within the designated time frame.

**7.3.3** If the Parties agree not to use AAA, then a Mediator, date and place for the mediation shall be mutually agreed upon.

**7.4 Conduct of Mediation Sessions.** Mediation hearings will be conducted in an informal manner and discovery will not be allowed. All discussions, statements, or admissions will be confidential to the Party's legal position. The Parties may agree to exchange any information they deem necessary.

**7.4.1** Both Parties must have an authorized representative attend the mediation. Each representative must have the authority to recommend entering into a settlement. Either Party may have attorney(s) or expert(s) present. Upon reasonable demand,



either Party may request and receive a list of witnesses and notification whether attorney(s) will be present.

**7.4.2** Any agreements resulting from mediation shall be documented in writing. All mediation results and documentation, by themselves, shall be "non-binding" and inadmissible for any purpose in any legal proceeding, unless such admission is otherwise agreed upon, in writing, by both Parties. Mediators shall not be subject to any subpoena or liability and their actions shall not be subject to discovery.

## **ARTICLE VIII INTELLECTUAL PROPERTY RIGHTS**

**8.1 Work for Hire.** All original designs, plans, specifications, reports, documentation, and other informational materials, whether written or readable by machine, originated or prepared exclusively for the City pursuant to this Agreement (Deliverable Materials) is "work for hire" under the United States Copyright law and shall become the sole property of the City and shall be delivered to the City upon request. The Contractor, including its employees, and independent Subcontractor(s), shall not assert any common law or statutory patent, copyright, trademark, or any other intellectual proprietary right to the City to the Deliverable Materials.

**8.2. Rights in Data.** All rights including, but not limited to publication(s), registration of copyright(s), and trademark(s) in the Deliverable Materials, developed by the Contractor, including its employees, agents, talent and independent Subcontractors pursuant to this Agreement are the sole property of the City. The Design Professional, including its employees, agents, talent, and independent Subcontractor(s), may not use any such Deliverable Materials mentioned in this article for purposes unrelated to Design Professional's work on behalf of the City without prior written consent of the City.

**8.3 Intellectual Property Rights Assignment.** Design Professional, its employees, agents, talent, and independent Subcontractor(s) agree to promptly execute and deliver, upon request by City or any of its successors or assigns at any time and without further compensation of any kind, any power of attorney, assignment, application for copyright, patent, trademark or other intellectual property right protection, or other papers or instruments which may be necessary or desirable to fully secure, perfect or otherwise protect to or for the City, its successors and assigns, all right, title and interest in and to the content of the Deliverable Materials; and cooperate and assist in the prosecution of any action or opposition proceeding involving said rights and any adjudication of the same.

**8.4 Moral Rights** Design Professional, its employees, agents, talent, and independent Subcontractor(s) hereby irrevocably and forever waives, and agrees never to assert, any Moral Rights in or to the Deliverable Materials which Design Professional, its employees, agents, talent, and independent Subcontractor(s), may now have or which may accrue to Design Professional, its employees, agents, talent, and independent Subcontractor(s)' benefit under U.S. or foreign copyright laws and any and all other residual rights and benefits which arise under any other applicable law now in force or hereafter enacted. The term "Moral Rights" shall mean any and all rights of paternity or integrity in or to the Deliverable Materials and the right to object to any modification, translation or use of said content, and any similar rights existing under judicial or statutory law of any country in the world or under any treaty, regardless of whether or not such right is denominated or referred to as a moral right.

**8.5 Subcontracting.** In the event that Design Professional utilizes a Subcontractor(s) for any portion of the Work that is in whole or in part of the specified Deliverable Materials to the City, the agreement between Design Professional and the Subcontractor [Subcontractor Agreement] shall include a statement that identifies that the Deliverable Materials/Work product as a "work-for hire" as defined in the Act and that all intellectual property rights in the Deliverable Materials/Work product, whether arising in copyright, trademark, service mark or other belongs to and shall vest solely with the City. Further, the Subcontractor Agreement shall require that the Subcontractor, if necessary, shall grant, transfer, sell and assign, free of charge, exclusively to the City, all titles, rights and interests in and to said Work/Deliverable Materials, including all copyrights and other intellectual property rights. City shall have the right to review any Subcontractor agreement for compliance with this provision.

**8.6 Publication Design.** Professional may not publish or reproduce any Deliverable Materials, for purposes unrelated to Design Professional's work on behalf of the City without prior written consent of the City.

**8.7 Intellectual Property Warranty and Indemnification.** Design Professional represents and warrants that any materials or deliverables, including all Deliverable Materials, provided under this contract are either original, not encumbered and do not infringe upon the copyright, trademark, patent or other intellectual property rights of any third party, or are in the public domain. If Deliverable Materials provided hereunder become the subject of a claim, suit or allegation of copyright, trademark or patent infringement, City shall have the right, in its sole discretion, to require Design Professional to produce, at Design Professional's own expense, new non-infringing materials, deliverables or Works as a means of remedying any claim of infringement in addition to any other remedy available to the City under law or equity. Design Professional further agrees to indemnify and hold harmless the City, its elected officials, officers, employees and agents from and against any and all claims, actions, costs, judgments or damages of any type alleging or threatening that any materials, deliverables, supplies, equipment, services, Deliverable Materials, or Works provided under this contract infringe the copyright, trademark, patent or other intellectual property or proprietary rights of any third party (Third Party Claims of Infringement). If a Third Party Claim of Infringement is threatened or made before Design Professional receives payment under this contract, City shall be entitled, upon written notice to Design Professional, to withhold some or all of such payment.

**8.8 Enforcement Costs.** The Design Professional agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in Article 8, including but not limited to, attorneys' fees.

## **ARTICLE IX MISCELLANEOUS**

**9.1 Notices.** In all cases where written notice is required under this Agreement, service shall be deemed sufficient if the notice is deposited in the United States mail, postage paid. Proper notice shall be effective on the date it is mailed, unless provided otherwise in this Agreement. For the purpose of this Agreement, unless otherwise agreed in writing, notice to the City shall be addressed to: Public Utilities Department, 9192 Topaz Way, San Diego, CA 92123 and notice to the Design Professional shall be addressed to: Kleinfelder, Inc., 550 West C Street, Suite 1200, San Diego, CA 92101.

**9.2 Headings.** All article headings are for convenience only and shall not affect the interpretation of this Agreement.

**9.3 Non-Assignment.** The Design Professional shall not assign the obligations under this Agreement, whether by express assignment or by sale of the company, nor any monies due or to become due, without the City's prior written approval. Any assignment in violation of this paragraph shall constitute a Default and is grounds for immediate termination of this Agreement, at the sole discretion of the City. In no event shall any putative assignment create a contractual relationship between the City and any putative assignee.

**9.4 Independent Contractors.** The Design Professional and any Subcontractors employed by the Design Professional shall be independent contractors and not agents of the City. Any provisions of this Agreement that may appear to give the City any right to direct the Design Professional concerning the details of performing the Professional Services, or to exercise any control over such performance, shall mean only that the Design Professional shall follow the direction of the City concerning the end results of the performance.

**9.5 Design Professional and Subcontractor Principals for Professional Services.** It is understood that this Agreement is for unique Professional Services. Retention of the Design Professional's Professional Services is based on the particular professional expertise of the following members of the Design Professional's organization Simon Wong, PE, Principal-In-Charge, Joseph B. Turner, PG, CHG, Project Manager/Senior Principal Hydrogeologist [Project Team]. Accordingly, performance of Professional Services under this Agreement may not be delegated to other members of the Design Professional's organization or to Subcontractors without the prior written consent of the City. It is mutually agreed that the members of the Project Team are the principal persons responsible for delivery of all Professional Services and may not be removed from the Project Team without the City's prior written approval. Removal of any member of the Project Team without notice and approval by the City may be considered a default of the terms and conditions of this Agreement by the Design Professional. In the event any member of the Project Team becomes unavailable for any reason, the City must be consulted as to any replacement. If the City does not approve of a proposed replacement, the City may terminate this Agreement pursuant to section 2.6 of this Agreement. Further, the City reserves the right, after consultation with the Design Professional, to require any of the Design Professional's employees or agents to be removed from performance of the Scope of Services.

**9.6 Additional Design Professionals or Contractors.** The City reserves the right to employ, at its own expense, such additional Design Professionals or contractors as the City deems necessary to perform work or to provide the Professional Services in the Scope of Services as described in issued Task Orders.

**9.7 Employment of City Staff.** This Agreement may be unilaterally and immediately terminated by the City, at its sole discretion, if the Design Professional employs an individual who, within the last twelve months immediately preceding such employment did, in the individual's capacity as an officer or employee of the City, participate in, negotiate with, or otherwise have an influence on the recommendation made to the City Council or Mayor in connection with the selection of the Design Professional.

**9.8 Covenants and Conditions.** All provisions of this Agreement, expressed as either covenants or conditions on the part of the City or the Design Professional, shall be deemed to be both covenants and conditions.

**9.9 Compliance with Controlling Law.** The Design Professional shall comply with all laws, ordinances, regulations, and policies of the federal, state, and local governments applicable to this Agreement, including California Labor Code section 1720 relating to the payment of prevailing wages during the design and preconstruction phases of a project, including inspection and land surveying work. In addition, the Design Professional shall comply immediately with all directives issued by the City or its authorized representatives under authority of any laws, statutes, ordinances, rules, or regulations. The laws of the State of California shall govern and control the terms and conditions of this Agreement.

**9.10 Jurisdiction.** The jurisdiction and applicable laws for any suit or proceeding concerning this Agreement, the interpretation or application of any of its terms, or any related disputes shall be in accordance with the laws of the State of California without regard to the conflicts or choice of law provisions thereof.

**9.11 Successors in Interest.** This Agreement and all rights and obligations created by this Agreement shall be in force and effect whether or not any Parties to the Agreement have been succeeded by another entity, and all rights and obligations created by this Agreement shall be vested and binding on any Party's successor in interest.

**9.12 Integration.** This Agreement and the Exhibits and references incorporated into this Agreement fully express all understandings of the Parties concerning the matters covered in this Agreement. No change, alteration, amendment, or modification of the terms or conditions of this Agreement, and no verbal understanding of the Parties, their officers, agents, or employees shall be valid unless made in the form of a written change agreed to in writing by both Parties. All prior negotiations and agreements are merged into this Agreement.

**9.13 Counterparts.** This Agreement may be executed in counterparts, which when taken together shall constitute a single signed original as though all Parties had executed the same page.

**9.14 No Waiver.** No failure of either the City or the Design Professional to insist upon the strict performance by the other of any covenant, term or condition of this Agreement, nor any failure to exercise any right or remedy consequent upon a breach of any covenant, term, or condition of this Agreement, shall constitute a waiver of any such breach of such covenant, term or condition. No waiver of any breach shall affect or alter this Agreement, and each and every covenant, condition, and term hereof shall continue in full force and effect without respect to any existing or subsequent breach.

**9.15 Severability.** The unenforceability, invalidity, or illegality of any provision of this Agreement shall not render any other provision of this Agreement unenforceable, invalid, or illegal.

**9.16 Municipal Powers.** Nothing contained in this Agreement shall be construed as a limitation upon the powers of the City as a chartered city of the State of California.

**9.17 Drafting Ambiguities.** The Parties agree that they are aware that they have the right to be advised by counsel with respect to the negotiations, terms and conditions of this Agreement, and the decision of whether or not to seek advice of counsel with respect to this Agreement is a decision which is the sole responsibility of each Party. This Agreement shall not be construed in favor of or against either Party by reason of the extent to which each Party participated in the drafting of the Agreement.

**9.18 Conflicts Between Terms.** If an apparent conflict or inconsistency exists between the main body of this Agreement and the Exhibits, the main body of this Agreement shall control. If a conflict exists between an applicable federal, state, or local law, rule, regulation, order, or code and this Agreement, the law, rule, regulation, order, or code shall control. Varying degrees of stringency among the main body of this Agreement, the Exhibits, and laws, rules, regulations, orders, or codes are not deemed conflicts, and the most stringent requirement shall control. Each Party shall notify the other immediately upon the identification of any apparent conflict or inconsistency concerning this Agreement.

**9.19 Design Professional Evaluation.** City will evaluate Design Professional's performance of Professional Services on the Project using the Consultant Evaluation Form [Exhibit H].

**9.20 Exhibits Incorporated.** All Exhibits referenced in this Agreement are incorporated into the Agreement by this reference.

**9.21 Survival of Obligations.** All representations, indemnifications, warranties and guarantees made in, required by or given in accordance with this Agreement, as well as all continuing obligations indicated in this Agreement, shall survive, completion and acceptance of the Professional Services and termination or completion of the Agreement.

**9.22 Contractor Standards.** This Agreement is subject to the Contractor Standards clause of the Municipal Code Chapter 2, Article 2, Division 30 adopted by Ordinance No. O-20148. All consultants are required to complete the Contractor Standards Pledge of Compliance included herein as Exhibit I. The Contractor Standards are available online at [www.sandiego.gov/purchasing/vendor/index.shtml](http://www.sandiego.gov/purchasing/vendor/index.shtml) or by request from the Purchasing & Contracting Department by calling (619) 236-6000.

**9.23 Equal Benefits Ordinance.** Unless an exception applies, Design Professional shall comply with the Equal Benefits Ordinance (EBO) codified in the San Diego Municipal Code (§22.4304(f)). Failure to maintain equal benefits is a material breach of this Agreement. By signing this Agreement, Design Professional certifies that Design Professional is aware of, and will comply with, this City-mandated clause throughout the duration of the Agreement.

**9.24 Public Records.** This contract is public document subject to the California Public Records Act, and as such may be subject to public review per Exhibit J (Regarding Information Requested under the California Public Records Act).

**9.25 Precluded Participation.** In order to avoid any conflicts of interest, the Design Professional, and all subconsultants, shall be precluded from participating in any future contract for services, that is subsequently required/created as a result of the contract services provided under this Agreement.

*The remainder of this page has been intentionally left blank.*

N WITNESS WHEREOF, this Agreement is executed by the City of San Diego, acting by and through its Mayor, pursuant to Resolution No. R-311185, authorizing such execution, and by the Design Professional pursuant to Kleinfelder, Inc.'s signature authority document.

Dated this 21<sup>st</sup> day of July, 2017.

THE CITY OF SAN DIEGO  
Mayor or Designee

By: [Signature]  
Cindy Crocker  
Principal Contract Specialist  
Public Works Contracts

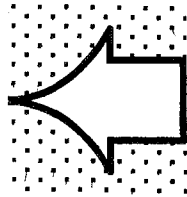
I HEREBY CERTIFY I can legally bind Kleinfelder, Inc., and that I have read all of this Agreement, this 24<sup>th</sup> day of February, 2017.

By: [Signature]  
John A. Murphy  
Chief Financial Officer

I HEREBY APPROVE the form of the foregoing Agreement this 21 day of 7, 2017.

MARA W. ELLIOTT, City Attorney

By: [Signature]  
Deputy City Attorney



R-311185

**DESIGN PROFESSIONAL AS-NEEDED**  
**EXHIBITS**

# SCOPE OF SERVICES

## AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES – CONTRACT 1 (H166787)

### 1.0 BACKGROUND

The City of San Diego Public Utilities Department is proposing to acquire services to accomplish State (California Water Code) mandated groundwater monitoring and sustainability work in addition to other related groundwater management and planning tasks. The consultant will be responsible for completing work in several groundwater basins within the City's jurisdiction. The City requires services for but not limited to preparation, implementation and management of Groundwater Management Plans (GMP); review, preparation, and implementation of groundwater elevation monitoring plans, such as plans for the California Statewide Groundwater Elevation Monitoring Program (CASGEM). The consultant will assist in the development of Salinity/Nutrient Management Plans (SNMP) and Groundwater Sustainable Plans (GSP); assist with the development of groundwater projects, provide project and construction management support; preparation and implementation of special plans such as, but not limited to groundwater recharge, storage and recovery, seawater intrusion monitoring and control, and subsidence monitoring and management. Additionally, the City requires services to support all groundwater work but not limited to design, bid specifications, and construction of wells and other groundwater related construction activities. Finally, collaboration with unique experts on special studies as needed for each basin.

### 2.0 SCOPE OF SERVICES

It is anticipated that the As-Needed Comprehensive Groundwater Services shall include, but may not be limited to, the following:

- 2.1 Prepare, review, maintain or update California Statewide Groundwater Elevation Monitoring plans, implementation of monitoring plans, and creation and management of data bases – must be current with CASGEM guidelines and procedures – SBx7-6 (DWR). Work may include specification, design, and installation of monitoring equipment and elevation data/database management.



- 2.2 Prepare Groundwater Management Plans (GMP) – Work may include preparing GMPs in accordance with Sections 10750-10756 of the California Water Code (AB 3030).
- 2.3 Implementation of Groundwater Management Plans (GMP) – Work may include implementing GMP basin management objectives, practices or recommendations including preparation of bi-annual update reports.
- 2.4 Prepare Aquifer Storage and Recovery Plans (ASR) for groundwater basins – Work may include confirmation of project adherence to California Department of Public Health guidelines for groundwater recharge reuse regulations.
- 2.5 Prepare Salinity/Nutrient Management Plans for groundwater basins, sub-basins, and watersheds utilizing the Region 9, Regional Water Quality Control Board GUIDELINES, SALINITY/NUTRIENT MANAGEMENT PLANNING in the SAN DIEGO REGION (9), November 10, 2010 (Tentative Resolution No. R9-2010-0125:
- [http://www.waterboards.ca.gov/sandiego/board\\_info/agendas/2010/nov/Item7/Item7\\_Doc2.pdf](http://www.waterboards.ca.gov/sandiego/board_info/agendas/2010/nov/Item7/Item7_Doc2.pdf). This document is a suggested framework and guidelines for Salinity/Nutrient Management Plans required by the State of California Recycled Water Policy which also requires inclusion of a significant storm water use and recharge component to address the long-term sustainable use of water in California.
- 2.6 Provide support with the California State Groundwater Sustainability Program (Sustainable Groundwater Management Act of 2014 – Water Code 10720) for compliance and managing groundwater to sustainable levels. Work may include, but is not limited to, establishing basin boundaries, including the establishment of new sub-basins; provide support during the formation of Groundwater Sustainability Agencies (GSAs), assistance with the formation of GSAs, prepare Groundwater Sustainability Plans (GSPs), develop and implement best management practices for sustainable groundwater management (Water Code 10723-10724, 10927-10936).
- 2.7 Provide support for protecting the City's pueblo water rights to the waters of the San Diego River system. The City's pueblo right is the paramount right to

the use of all of the water of the San Diego River. The pueblo right includes the right to tributary supplies, and flood level flows. The City of San Diego is committed to protecting its groundwater resources and preserving its established pueblo water rights throughout the San Diego River Basin, which extend outside the municipal boundary. This right attaches to it, the use of all surface waters and groundwaters of the streams that flowed through the original pueblo, including their tributaries, from their source to their mouth.

- 2.8 Conduct studies, prepare documentation, and provide support on matters such as groundwater planning, groundwater protection, and groundwater implementation, and groundwater laws.
- 2.9 Provide support for implementing actions, projects, or recommendations as prescribed in Sustainable Groundwater Management Plans (SGMP) – but not limited to developing and implementing monitoring plans, preparing reports, presentations, and public and/or stakeholder outreach.
- 2.10 Prepare project bid documents such as plans and specifications for field activities or for construction. Note: A Project Manager or an authorized City personnel will act as an intermediate decision maker between (a go-between) the Designer and Contractor. This eliminates the designer's ability to make direct changes or decisions to their designs (construction drawings) during construction.
- 2.11 Provide field services and operational support for the testing and development of monitoring, test or production wells; including assembly, retrofit, analysis, and water quality sampling; conduct field maintenance and installation of surface and elevation monitoring equipment, groundwater flowmeters, well infrastructure equipment and appurtenances, data gathering and analysis of data, conversion of wells to monitoring wells, sizing and equipping pumps or motors, hygienic capping, and sealing of wells.
- 2.12 Identify grant opportunities, prepare applications, support in reviewing invoices, and preparing required progress and expenditure reports.
- 2.13 Conduct environmental base line studies and data collection; including documentation and prepare environmental impact or other

documents for approval of field activities, projects or construction.

- 2.14 Provide project and construction management support.
- 2.15 Provide groundwater modeling and GIS support services to view, modify, maintain, and execute groundwater models and utilize groundwater specific GIS tools for the City of San Diego Basins of interest. The models will be built and configured by other entities. The software components may be, but not limited to the following: MODFLOW/GSFLOW, ARC-Hydro (ESRI ArcGIS), Visual MODFLOW and Groundwater Vistas.
- 2.16 Conduct special studies and prepare documentation on matters such as groundwater recharge/recovery, seawater intrusion monitoring and control, subsidence monitoring and management, and groundwater pricing/rate studies.
- 2.17 Conduct Groundwater or Aquifer exploration and assessment for potential groundwater resources to supplement water supply; not limited to, analyses and feasibility investigation, permit acquisition, pre-planning and project development of 10-100% design reports or implementation plans.
- 2.18 Provide in-house Program Management support for the work authorized.
- 2.19 Coordinate with and among Local, City, County, Regional, State, and Federal Entities, including but not limited to:
  - City of San Diego offices and local interest and planning groups,
  - Other Cities or Water Districts in the Region,
  - San Diego County Water Authority,
  - California Department of Water Resources,
  - State Water Resources Control Board,
  - Regional Water Quality Control Board – Region 9,
  - State Department of Public Health,
  - United States Geological Survey, and

- United States Bureau of Reclamation.

### 3.0 TECHNICAL WRITING GUIDELINES

Documents delivered under this Scope of Services, such as reports, plans, white papers, technical memoranda, and executive summaries, shall be produced in accordance with the following guidelines:

- 3.1 Determine the purpose and use of the document, and what the City desires the readers to know or do after reading the document. The objective should be clearly defined.
- 3.2 Direct the document to the intended audience (e.g. the public, stakeholders, policy makers, regulatory agency) and take into account their level of technical knowledge, and the amount of detail they will need to be appropriately informed of the subject matter, and act upon it. Determine the level of detail required; when to use scientific vocabulary and detailed supporting data, and when to present data in lay terms with clearly understood supporting graphics.
- 3.3 Organize the data to support the objective and the audience. Develop an outline of key topics and the data required to support each topic. Extensive data, complex tables should be included in an appendix.
- 3.4 Assign a technical writer or editor to ensure that a single coherent writing style is maintained throughout the document, graphics and tables are appropriately positioned and captioned within the text, redundancies and contradictions are eliminated, and terminology is consistently used.
- 3.5 The City will review the document but is not the proof-reader. All document submittals shall be of professional quality and shall meet the standards of TechProse Technical Writing Guidelines:

[http://www.techprose.com/webforms/techwriting\\_guidelines.pdf](http://www.techprose.com/webforms/techwriting_guidelines.pdf)

If significant edits are required, the costs for such edits shall be borne by the Consultant, and not charged to this Agreement. The following links provide examples of documents which meet the requirements of these guidelines:

**Recycled Water Study 2012**

[https://www.sandiego.gov/sites/default/files/legacy/water/pdf/purewater/2012/recycle\\_dfinaldraft120510.pdf](https://www.sandiego.gov/sites/default/files/legacy/water/pdf/purewater/2012/recycle_dfinaldraft120510.pdf)

**2010 Urban Water Management Plan**

<https://www.sandiego.gov/sites/default/files/legacy/water/pdf/110519uwmp.pdf>

**City of San Diego Long-Range Water Resources Plan (2002 – 2030)**

<https://www.sandiego.gov/sites/default/files/legacy/water/pdf/2012lrpwrfinalreport.pdf>

**END OF SCOPE OF SERVICES**

## TASK ORDER AUTHORIZATION FOR PROFESSIONAL SERVICES [TASK ORDER]

<b>Consultant:</b>	
<b>Agreement:</b>	
<b>Task Order No.:</b>	<b>Date:</b>
Pursuant to the Terms and Conditions of the Agreement referenced above and incorporated into this Task Order, Consultant hereby agrees to perform the Professional Services described below. The Consultant shall furnish all necessary facilities, materials, and professional, technical, and supporting personnel required by this Task Order.	
<b>Part A</b>	<b>Scope of Services</b>
1.1	Professional Services rendered under this Task Order shall be performed in accordance with the Agreement. The Scope of Services shall be as set forth in Exhibit A of the Agreement and as more fully set forth below. If necessary, the Scope of Services may be more fully described on one or more separate sheets and attached to this Task Order.
<b>Part B</b>	<b>Task Order Compensation</b>
City shall pay Consultant for the Professional Services required by this Task Order in accordance with Article III of the Agreement.	
The not to exceed cost for the Scope of Services for this Task Order is \$ _____.	
<b>Part C</b>	<b>Personnel Commitment</b>
The Scope of Services shall be performed by Consultant's personnel in the number and classifications required by City.	
<b>Part D</b>	<b>Time Sequence</b>
All Professional Services to be performed under this Task Order shall be completed by _____, and as set forth in the Task Order Scope of Services.	
<b>City of San Diego</b>	<b>Consultant</b>
Recommended For Approval:	I hereby acknowledge receipt and acceptance of this Task Order for:
Approved By:	By:
Name: (Type)	
Title:	
Date:	

# COMPENSATION AND FEE SCHEDULE

**COMPENSATION AND FEE SCHEDULE**  
 CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT  
 AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)

**PRIME CONSULTANT:**

Kleinfelder, Inc.	
Job Title/Classification	
<b>Engineering Services</b>	<b>Hourly Rate (\$/Hr)</b>
Senior Principal Hydrogeologist / Geologist/ Engineer / Planner/ Environmental Scientist / Senior Project Manager	\$266
Senior Hydrogeologist/ Geologist/ Engineer / Planner / Environmental Scientist	\$221
Senior Hydrogeologist II/Engineer II	\$186
Senior Geologist II/ Planner II / Environmental Scientist II/ Task Order Manager II	\$146
Associate Hydrogeologist III / Geologist III / Engineer III /Planner III / Environmental Scientist III	\$136
Assistant Hydrogeologist III / Geologist III / Engineer III /Planner III / Environmental Scientist III	\$126
Hydrogeologist / Geologist / Engineer / Planner / Environmental Scientist Intern	\$80
<b>CADD Services</b>	<b>Hourly Rate (\$/Hr)</b>
Sr. CADD Technician	\$136
CADD Technician	\$111
<b>Administrative Services</b>	<b>Hourly Rate (\$/Hr)</b>
Administrative	\$90

**SUBCONSULTANTS:**

Bondy Groundwater Consulting, Inc.	
Job Title/Classification	
<b>Engineering Services</b>	<b>Hourly Rate (\$/Hr)</b>
Principal Hydrogeologist	\$195
<b>Administrative Services</b>	<b>Hourly Rate (\$/Hr)</b>
Project Administrator	\$85



**COMPENSATION AND FEE SCHEDULE**  
**CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT**  
**AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)**

Cascade Drilling, L.P.	
Job Title/Classification	
Equipment	Hourly Rate (\$/Hr)
Full Sized Truck Sonic Drill Rig, Operation Rate w/3 Man Crew	\$600
AMS Mini Sonic Rig, Operation Rate w/3 Man Crew	\$600
SD-550 Mid-Size Sonic Rig, Operation Rate w/3 Man Crew	\$550
Speedstar 30K ARCH/Mud Rotary, Operation Rate w/3 Man Crew	\$565
IR TH-60 ARCH/Mud Rig, Operation Rate w/3 Man Crew	\$525
CME 1050 All Terrain, Operation Rate w/3 Man Crew	\$450
CME 95 Drill Rig, Operation Rate w/3 Man Crew	\$300
CME 85 (ODEX) Drill Rig, Operation Rate w/3 Man Crew	\$275
CME 75-HT Drill Rig, Operation Rate w/3 Man Crew	\$250
CME 75-Ltd Access, Operation Rate w/3 Man Crew	\$275
Geoprobe 8040 DT, Operation Rate w/3 Man Crew	\$285
Geoprobe 6600/TM, Operation Rate w/2 Man Crew	\$225
Geoprobe 6600/TM, Operation Rate w/1 Man Crew	\$185
Geoprobe 6600/DT, Operation Rate w/2 Man Crew	\$225
Geoprobe 540 MT, Operation Rate w/2 Man Crew	\$225
Smeal Development Rig, Operation Rate w/1 Man Crew	\$175
Air Vacuum Rig, Operation Rate w/2 Man Crew	\$200
Extra Laborer	\$65
Injection services	By quote only
Support Equipment	Daily Rate (\$/day)
ODEX Equipment with High Pressure Air Compressor	\$1,500/day
Water Truck/Rig Tender	\$400/day
Support Vehicle 2 Ton	\$150/day
Support Vehicle 1 Ton	\$75/day
Self Contained Decontamination Trailer	\$200/day
Trailer	\$50/day
Bobcat/Forklift	\$350/day
Compressor / Jackhammer	\$200/day
Continuous Sampling Equipment	\$200/day
Concrete Cutting	quoted/job
Concrete Coring	quoted/job
Hydropunch II	\$150/day
Snow Fencing (15 panels)	\$150/day
Cancellation	
A fee of \$500.00 will apply for any job cancelled within 24 hours of the scheduled start time.	

**COMPENSATION AND FEE SCHEDULE**  
 CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT  
 AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)

Common Supplies (does not include installation or delivery to site)	Unit Rate (\$/Unit)
4" PVC	\$10/ft
2" PVC	\$6/ft
Monterey Sand 50 lb. Bag	\$10/ea
Bentonite Grout 50 lb. Bag	\$20/ea
Bentonite Granular	\$10/ea
Bentonite Chips 50 lb. Bag	\$10/ea
Bentonite Pellets, Bucket	\$55/ea
Quickset Concrete 50 lb. Bag	\$20/ea
Concrete 60 lb. Bag	\$14/ea
Portland Cement 47 lb. Bag	\$12/ea
D.O.T. 17H 55 Gallon Drum	\$60/ea
12" EMCO Flush Mount Well Cover	\$300/ea
12" 1 BM Flush Mount Well Cover	\$350/ea
8" Flush Mount Well Cover	\$95/ea
Sample Liners	\$6/ea
Hydropunch II Expendable Items	\$95/ea
Plastic Sheeting/Hole Prep	\$25/ea
6" Stainless Steel Vapor Probes	\$45/ea
1/4" Poly Tubing	\$1.10/ft
1/4" Teflon Tubing	\$2/ft
Acetate Liner (5ft)	\$8/ea
DPT Hydropunch & Sample	\$55/ea
Injection System	quoted/job
Fiber Mesh/Wire Mesh	\$15/roll or bag
Poly Drums	\$70/ea

**COMPENSATION AND FEE SCHEDULE**  
 CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT  
 AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)

Del Mar Environmental & Construction Services, Inc.	
Job Title/Classification	
<b>Engineering Services</b>	<b>Hourly Rate (\$/Hr)</b>
Principal Engineer	\$175
Senior Engineer	\$155
Qualified SWPPP Developer	\$140
Project Engineer	\$140
Staff Engineer	\$125
Project Manager	\$160
Principal Geologist	\$175
Senior Geologist	\$155
Project Geologist	\$140
Staff Geologist	\$125
<b>CADD Services</b>	<b>Hourly Rate (\$/Hr)</b>
CADD Operator	\$70
<b>Administrative Services</b>	<b>Hourly Rate (\$/Hr)</b>
GIS/Data Management	\$70
RapidGate Company Administrator	\$65
Word Processing	\$60
<b>Field Services</b>	<b>Hourly Rate (\$/Hr)</b>
Prevailing Laborer	\$85
Prevailing Operator – Water Truck	\$95
Prevailing Operator	\$120
Site Superintendent	\$115
Non-Prevailing Laborer	\$50
Non-Prevailing Operator – Water Truck	\$60
Non-Prevailing Operator	\$85
Physical Science Technician	\$70
Traffic Control (non-prevailing)	\$50
Qualified SWPPP Practitioner	\$95

ETS Engineering, Inc.	
Job Title/Classification	
<b>Engineering/Technical Services</b>	<b>Hourly Rate (\$/Hr)</b>
Principal Engineer	\$195
<b>Administrative Services</b>	<b>Hourly Rate (\$/Hr)</b>
Administrative Assistant	\$85

EMKO Environmental, Inc.	
Job Title/Classification	
<b>Engineering Services</b>	<b>Hourly Rate (\$/Hr)</b>
Principal Hydrogeologist	\$175

**COMPENSATION AND FEE SCHEDULE**  
 CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT  
 AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)

<b>Geomorph Information Systems, LLC (GeomorphIS)</b>	
<b>Job Title/Classification</b>	
<b>GIS Services</b>	<b>Hourly Rate (\$/Hr)</b>
GIS Specialist	\$119
GIS Analyst	\$94
GIS Technician	\$81

<b>INTERA Inc.</b>	
<b>Job Title/Classification</b>	
<b>Engineering Services</b>	<b>Hourly Rate (\$/Hr)</b>
Principal Engineer	\$225
Senior Engineer II	\$150
Project Engineer	\$110
Assistant Engineer	\$90
<b>Administrative Services</b>	<b>Hourly Rate (\$/Hr)</b>
Administrative I	\$70
CADD / GIS Analyst	\$85

<b>Leopold Biological Services</b>	
<b>Job Title/Classification</b>	
<b>Biological Services</b>	<b>Hourly Rate (\$/Hr)</b>
Senior Biologist	\$85
Associate Biologist	\$80
<b>Environmental Planning Services</b>	<b>Hourly Rate (\$/Hr)</b>
Associate Environmental Planner	\$85

<b>Moraes/Pham &amp; Associates</b>	
<b>Job Title/Classification</b>	
<b>Engineering Services</b>	<b>Hourly Rate (\$/Hr)</b>
Principal Engineer	\$175
Engineer	\$145
<b>CADD Services</b>	<b>Hourly Rate (\$/Hr)</b>
CADD Technician	\$98

**COMPENSATION AND FEE SCHEDULE**  
**CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT**  
**AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)**

Pacific Drilling Co.	
Job Title/Classification	
Equipment - Includes 2 Man Crew	Hourly Rate (\$/Hour)
Wolverine Truck Mount Hollow Stem	\$205
Wolverine Truck Mount Hollow Stem 4x4	\$235
Diedrich Truck Mount Hollow stem	\$195
Grizzly Truck Mount Bucket	\$250
Mole L.A.R.	\$225
Fraste Multidrill L.A.R.	\$235
Mini Mole L.A.R.	\$225
Badger Large Dia L.A.R	\$225
Beaver Tripod	\$160
Additional Support Equipment Rates	Unit Rate (\$/Unit)
Support Truck	\$175/day
Down Hole Logging Equipment Package	\$125/day
Rock Coring Equipment Package	\$525/day + Bit Wear
Mud Rotary Equipment Package	\$375/day + Bit Wear
Air Rotary Equipment Package	\$575/day + Bit Wear
Basic Concrete Coring	\$50/core
Exhaust Ventilation Equipment (Fan + 50ft)	\$125/day
Generator	\$95/day
Decon Equipment Package	\$125/day
Mini Bobcat Track Loader	\$175/day
Standard Bobcat Skid Steer	\$250/day
Track Mount Water Tank (160 Gal)	\$150/day
Continuous Core Soil Sampling	\$200/day
Expendable Auger Teeth	\$30/ea
Portable Rock Coring Rig	\$350/day
Extra Man – Portal to Portal	\$50/hour
Well Development/Water Sampling Services	Unit Rate (\$/Unit)
Field Technician – Portal to Portal	\$90/hour
Groundwater Sampling Equipment <50ft	\$100/day
Groundwater Sampling Equipment >50ft	\$200/day
Transducer Rental	\$50 each per day
Level C PPE Surcharge	\$20 per man per hour on site
Cancellation	
A fee of \$500.00 will apply for any job cancelled within 24 hours of the scheduled start time.	

**COMPENSATION AND FEE SCHEDULE**  
 CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT  
 AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)

San Dieguito Engineering, Inc.	
Job Title/Classification	
Surveying Services	Hourly Rate (\$/Hour)
Principal Land Surveyor	\$200
Supervising Field Surveyor	\$175
Senior Surveyor	\$150
Senior Associate Surveyor	\$135
Associate Surveyor	\$110
Assistant Surveyor	\$95
Staff Surveyor	\$75
One Man Field Crew	\$160
Two Man Field Crew	\$210
Engineering /CADD Services	Hourly Rate (\$/Hour)
Principal Engineer	\$190
Senior Project Manager	\$160
Senior Associate Engineer	\$145
Associate Engineer	\$120
Assistant Engineer	\$102
Staff Engineer	\$95
Senior Designer	\$105
Associate Designer	\$90
Administrative Services	Hourly Rate (\$/Hour)
Executive Project Assistant	\$80
Office Technician	\$65

Schaefer Ecological Solutions	
Job Title/Classification	
Biological & Regulatory Services	Hourly Rate (\$/Hour)
Senior Ecologist	\$150
Biologist	\$130
Field/Monitoring Biologist	\$110

**COMPENSATION AND FEE SCHEDULE**  
 CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT  
 AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)

<b>Spindrift Archaeological Consulting</b>	
<b>Job Title/Classification</b>	
<b>Engineering Services</b>	<b>Hourly Rate (\$/Hour)</b>
Program Manager	\$125
Project Manager I	\$95
Field and Laboratory Supervisor	\$80
Field and Laboratory Technician III	\$70
Field and Laboratory Technician II	\$66
Field and Laboratory Technician I	\$54
Native American Monitor	\$66
<b>Administrative Services</b>	<b>Hourly Rate (\$/Hour)</b>
Clerical	\$55
GIS	\$100
QA/QC	\$125
Technical Editing	\$70

<b>STC Traffic</b>	
<b>Job Title/Classification</b>	
<b>Engineering Services</b>	<b>Hourly Rate (\$/Hour)</b>
Principal Manager	\$195
Senior Project Manager	\$170
Project Manager	\$150
Construction Manager	\$150
Project Technical Specialist	\$150
Senior Project Engineer	\$140
Construction Engineer/Inspector	\$130
Project Engineer	\$130
Associate Engineer	\$115
Assistant Engineer	\$100
Engineering Technician	\$80
Engineering Intern	\$60
<b>Administration Services</b>	<b>Hourly Rate (\$/Hour)</b>
Administrative / Clerical	\$60

**COMPENSATION AND FEE SCHEDULE**  
**CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT**  
**AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)**

Confluence Environmental, Inc.	
Job Title/Classification	
Environmental Services	Hourly Rate (\$/Hour)
Sampling Technician - Hourly Labor	\$75
O&M - Hourly Labor	\$75
Drill Rig Crew (per person) - Hourly Labor	\$75
Environmental Construction Misc. - Hourly Labor	\$75
Premium Time (Night Work, Holiday time, etc.) - Hourly Labor	\$75
Consumable Goods	Unit Rate (\$/Unit)
Bladder Pump Kit: Includes disposable bladder and grab plate	\$18/each
Tubing: 1/4" LDPE Tubing	\$0.25/foot
Tubing: 3/8" LDPE Tubing	\$0.35/foot
Tubing: 1/4" Teflon lined Tubing	\$1.55/foot
Tubing: 5/8" LDPE Tubing	\$0.50/foot
Field Filters	\$25/each
Disposable Bailors	\$7/each
Hydrasleeve: Includes clip, tether, and weight	\$40/each
Drum: 55 Gallon	\$65/each
Absorbent sock	\$15/each
Hach Kit	\$15/each
Well Box	\$50/each
Lock	\$15/each
Soil sample liners 6" s/s	\$5/each
We'll Install materials, grout, concrete, sand, bentonite	\$5/foot
S/S soil Vapor tip	\$30/each
Bollards	\$50/each
Equipment Expense	Daily Rate (\$/Day)
Geoprobe 6600 - Includes all necessary equipment and tooling	\$1,200/day
Sample Vehicle - Includes all necessary equipment	\$550/day
Support Vehicle - Includes all necessary equipment	\$250/day
Pump Rental	\$475/day



**COMPENSATION AND FEE SCHEDULE**  
**CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT**  
**AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)**

Eurofins/Calscience	
Classification	
Water Analytical Testing	Sample Rate (\$/Sample)
EPA 200.8 ICP/MS - Ba, Al only	\$40
EPA 200.8 ICP/MS Filtered Metals, As, Ba, Sr, Fe, Mn	\$60
EPA 200.8 ICP/MS Filtered Metals, As, Sr, Fe, Mn, Ba, Al	\$80
EPA 200.8 ICP/MS Total Metals Fe, Mn	\$30
EPA 218.6 Chromium VI	\$80
EPA 300.0 Anions - (Cl, NO3, SO4, Br, F)	\$48
EPA 300.0 Fluoride only	\$25
EPA 331.0 (M) Perchlorate	\$148
EPA 350.1 Ammonia	\$48
EPA 522 1,4-Dioxane	\$120
EPA 524.2 MTBE and TBA only	\$50
EPA 524.2 Volatile Organics (Long List)	\$85
EPA 6010B ICP - Silica Single Metal Field Filtered	\$15
EPA 6010B ICP Filtered Metals Ca, Mg, Na, K, B	\$50
EPA 8015B (M) TPH Gasoline	\$30
RSK 175 (Methane)	\$60
SM 2540 C Total Dissolved Solids	\$12
SM 4500 P B/E Total Phosphorus	\$40
SM 5310 B Dissolved Organic Carbon	\$35
SM 5910B UV 254	\$35
SRL 524M-TCP, 1,2,3-Trichloropropane	\$80
Subcontract: Isotopes to Isotech	\$65
Subcontract: Tritium to Eurofins Eaton	\$110
Subcontract: Total & Fecal Coliform to Enviromatrix (6 hr HT)	\$45

**COMPENSATION AND FEE SCHEDULE**  
**CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT**  
**AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)**

Allied Geotechnical Engineers, Inc.	
Job Title/Classification	
<b>Engineering Services</b>	<b>Hourly Rate (\$/Hr)</b>
Principal	\$175
Senior Professional	\$150
Project Professional	\$130
Staff Professional	\$100
Field Inspector*	\$90
<b>Administrative Services</b>	<b>Hourly Rate (\$/Hr)</b>
Draftsperson / Technical Illustrator*	\$75
Clerical / Word Processing*	\$63
<b>Classification of Soils</b>	<b>Sample Rate (\$/Sample)</b>
D2487, Unified Classification	\$135
D2488, Visual Classification	\$45
<b>Plasticity Tests and Expansion Potential</b>	<b>Sample Rate (\$/Sample)</b>
D4318, Plasticity Index (including LL and PL)	\$105
D4829, Expansion Index	\$145
<b>Dry Density and Moisture Content</b>	<b>Sample Rate (\$/Sample)</b>
D2937, Ring or Core Samples	\$45
D1188, Waxed Chunk Sample	\$50
D2216, Moisture Content Only	\$25
<b>Consolidation Testing</b>	<b>Unit Rate (\$/Unit)</b>
D2435, Consolidation Test- Standard test suite including sample preparation and setup	\$80/pt
D2435, Time-rated Consolidation	\$90/pt
<b>Maximum Density and Optimum Moisture Content</b>	<b>Sample Rate (\$/Sample)</b>
D1557, 4-inch mold	\$155
D1557, 6-inch mold	\$180
Single Point	\$70
D4253, Maximum Index Density	\$155
D4254, Maximum Index Density	\$155
<b>Grain Size Distribution</b>	<b>Sample Rate (\$/Sample)</b>
D422, Fraction Between #4 and #200 Screen (wet)	\$95
D422, Mechanical and Hydrometer Analysis (#4 to finer than #200)	\$165
C136, Sieve Analysis of Aggregates	\$180
Bulk Gradation	\$2,500
<b>Soil Strength Determination</b>	<b>Unit Rate (\$/Unit)</b>
D3080, Direct Shear Test	\$120/pt
Triaxial Shear Test	Quotation
Residual Shear	Quotation
<b>Soil-Road Materials</b>	<b>Sample Rate (\$/Sample)</b>
D1883, California Bearing Ratio (Static Method)	Quotation
California Bearing Ratio (Corps of Engineering Method)	Quotation
CAL301, Resistance Value ("R" Value)	\$350
<b>Miscellaneous Tests</b>	<b>Sample Rate (\$/Sample)</b>
CAL217, Sand Equivalent Value	\$85
D854, Specific Gravity of Soil	\$85
CAL 643, 417 & 422, pH and Resistivity, Soluble Sulfate & Chloride	\$165

**COMPENSATION AND FEE SCHEDULE**  
CITY OF SAN DIEGO / PUBLIC UTILITIES DEPARTMENT  
AS-NEEDED COMPREHENSIVE GROUNDWATER SERVICES - CONTRACT 1 (#H166787)

---

**NOTE:**

Mileage reimbursement rate will be at current City of San Diego mileage rate (mileage log required).

- Travel expenses for the lowest cost effective Air Fare, Train, and/or Car Rental, will be reimbursed at actual costs (receipts required).
- Lodging and Per Diem will be reimbursed at actual costs (receipts required) up to the maximum allowance for the San Diego area as published/posted on the U.S. General Services Administration website (<http://www.gsa.gov/portal/category/100120>).
- All subconsultant costs are reimbursed as a "direct expense" at actual costs (invoice/receipts required).
- A request for an annual rate adjustment must be submitted to the City of San Diego in writing for approval.

Written justification for the rate adjustment must be submitted to the City of San Diego a minimum of 60 days before the Agreement anniversary date. Said proposed adjustment shall not exceed three percent (3%), and the City of San Diego must approve any rate adjustments in writing before they become effective.

**EQUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP)**

**CONSULTANT REQUIREMENTS**

**TABLE OF CONTENTS**

I. City’s Equal Opportunity Commitment.....1

II. Nondiscrimination in Contracting Ordinance .....1

III. Equal Employment Opportunity Outreach Program .....2

IV. Small and Local Business Program.....2

V. Demonstrated Commitment to Equal Opportunity.....3

VI. Definitions.....4

VII. Certification.....5

VIII. List of Attachments.....5

    AA. Disclosure of Discrimination Complaints .....6

    BB. Work Force Report.....10

    CC. Subcontractors List.....11

**I. City’s Equal Opportunity Commitment.** The City of San Diego (City) is strongly committed to equal opportunity for employees and Subcontractors of Consultants doing business with the City. The City encourages its Consultants to share this commitment. Consultants are encouraged to take positive steps to diversify and expand their Subcontractor solicitation base and to offer consulting opportunities to all eligible Subcontractors. Consultants are encouraged to take positive steps to diversify and expand their subcontractor and supplier solicitation base and to offer opportunities to all eligible business firms.

*Failure to submit the required EOCP documentation indicated below shall result in a determination of the Consultant being non-responsive.*

**II. Nondiscrimination in Contracting Ordinance.** All Consultants doing business with the City, and their Subcontractors, must comply with requirements of the City’s *Nondiscrimination in Contracting Ordinance*, San Diego Municipal Code Sections 22.3501 through 22.3517.

A. Disclosure of Discrimination Complaints (Attachment AA). As part of its bid or proposal, Consultant shall provide to the City a list of all instances within the past ten (10) years where a complaint was filed or pending against Consultant in a legal or administrative proceeding alleging that Consultant discriminated against its employees, Subcontractors, vendors, or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.

B. Contract Language. The following language shall be included in contracts for City projects between the Consultant and any Subcontractors, vendors, and suppliers:

Contractor shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring, or treatment of subcontractors, vendors, or suppliers. Consultant shall provide equal opportunity for Subcontractors to participate in opportunities. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

- C. Contract Disclosure Requirements. Upon the City's request, Consultant agrees to provide to the City, within sixty (60) calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that Consultant has used in the past five (5) years on any of its contracts that were undertaken within County of San Diego, including the total dollar amount paid by Consultant for each subcontract or supply contract. Consultant further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance, Municipal Code Sections 22.3501 through 22.3517. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Consultant up to and including contract termination, debarment and other sanctions.

**III. Equal Employment Opportunity Outreach Program.** Consultants shall comply with requirements of San Diego Municipal Code Sections 22.2701 through 22.2707. Consultants shall submit with their proposal a Work Force Report for approval by the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP).

- A. Nondiscrimination in Employment. Consultant shall not discriminate against any employee or applicant for employment on any basis prohibited by law. Contractor shall provide equal opportunity in all employment practices. Consultants shall ensure that their subcontractors comply with this program. Nothing in this Section shall be interpreted to hold a Consultant liable for any discriminatory practice of its subcontractors.
- B. Work Force Report. If based on a review of the Work Force Report (Attachment BB) submitted an EOCP staff Work Force Analysis determines there are under representations when compared to County Labor Force Availability data, then the Consultant will also be required to submit an Equal Employment Opportunity (EEO) Plan to the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP) for approval.
- C. Equal Employment Opportunity Plan. If an Equal Employment Opportunity Plan is required, the Program Manager of EOCP will provide a list of plan requirements to Consultant.

**IV. Small and Local Business Program Requirements.** The City has adopted a Small and Local Business Enterprise (SLBE) program for consultant contracts. SLBE program requirements for consultant contracts are set forth Council Policy 100-10.

A. SLBE and ELBE Participation for Contracts Valued Over \$50,000:

1. For all consultant contracts, the City shall apply a maximum of an additional 12 points for SLBE or ELBE participation, to the proposer's subtotal maximum evaluation points. Additional points will be awarded as follows to achieve the proposer's final maximum evaluation points :
  - a. If the proposer achieves 20% participation, apply 5 points to the proposer's score; or

- b. If the proposer achieves 25% participation, apply 10 points to the proposer's score; or
  - c. If the prime consultant is a SLBE or an ELBE, apply 12 points to the proposer's score.
- B. Subcontractor Participation List. The Subcontractor Participation List (Attachment CC) shall indicate the Name and Address, Scope of Services, Percent of Total Proposed Contract Amount, Certification Status and Where Certified for each proposed Subcontractor/Subconsultant.

#### V. Maintaining Participation Levels.

- A. Bid discounts and additional points are based on the Consultant's level of participation prior to the award of goods, services, or consultant contract. Consultants are required to achieve and maintain the SLBE or ELBE participation levels throughout the duration of the goods, services, or consultant contract.
- B. If the City modifies the original specifications, the Consultant shall make reasonable efforts to maintain the SLBE or ELBE participation for which the bid discount or additional points were awarded. The City must approve in writing the reduction in SLBE or ELBE participation levels.
- C. The Consultant shall notify and obtain written approval from the City in advance of any reduction in subcontract scope, termination, or substitution for a designated SLBE or ELBE subcontractor.
- D. Consultant's failure to maintain SLBE or ELBE participation levels as specified in the goods, services, or consultant contract shall constitute a default and grounds for debarment under Chapter 2, Article 2, Division 8, of the San Diego Municipal Code.
- E. The remedies available to the City under Council Policy 100-10 are cumulative to all other rights and remedies available to the City.

#### VI. Definitions.

**Commercially Useful Function:** a Small Local Business Enterprise or Emerging Local Business Enterprise (SLBE/ELBE) performs a commercially useful function when it is responsible for execution of the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the SLBE/ELBE shall also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quantity and quality, ordering the material, and installing (where applicable) and paying for the material itself.

To determine whether an SLBE/ELBE is performing a commercially useful function, an evaluation will be performed of the amount of work subcontracted, normal industry practices, whether the amount the SLBE/ELBE firm is to be paid under the contract is commensurate with the work it is actually performing and the SLBE/ELBE credit claimed for its performance of the work, and other relevant factors. Specifically, a SLBE/ELBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of meaningful and useful SLBE/ELBE participation, when in similar transactions in which SLBE-ELBE firms do not participate, there is no such role performed.

**Disadvantaged Business Enterprise (DBE):** a certified business that is (1) at least fifty-one (51%) owned by socially and economically Disadvantaged Individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more socially and economically Disadvantaged Individuals; and (2) whose daily business operations are managed and directed by one or more socially and economically disadvantaged owners. Disadvantaged Individuals include Black Americans, Hispanic Americans, Asian Americans, and other minorities, or individual found to be

disadvantaged by the Small Business Administration pursuant to Section 8 of the Small Business Reauthorization Act.

**Disabled Veteran Business Enterprise (DVBE):** a certified business that is (1) at least fifty-one percent (51%) owned by one or more disabled veterans; and (2) business operations must be managed and controlled by one or more disabled veterans. Disabled Veteran is a veteran of the U.S. military, naval, or air service; the veteran must have a service-connected disability or at least 10% or more; and the veteran must reside in California. The firm shall be certified by the State of California's Department of General Services, Office of Small and Minority Business.

**Emerging Business Enterprise (EBE):** a business whose gross annual receipts do not exceed the amount set by the City Manager, and which meets all other criteria set forth in the regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review the threshold amount for EBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace.

**Emerging Local Business Enterprise (ELBE):** a Local Business Enterprise that is also an Emerging Business Enterprise.

**Local Business Enterprise (LBE):** a firm having a Principal Place of Business and a Significant Employment Presence in San Diego County, California, that has been in operation for 12 consecutive months and a valid business tax certificate. This definition is subsumed within the definition of Small Local Business Enterprise.

**Minority Business Enterprise (MBE):** a certified business that is (1) at least fifty-one percent (51%) owned by one or more minority individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more minority individuals; and (2) whose daily business operations are managed and directed by one or more minorities owners. Minorities include the groups with the following ethnic origins: African, Asian Pacific, Asian Subcontinent, Hispanic, Native Alaskan, Native American, and Native Hawaiian.

**Other Business Enterprise (OBE):** any business which does not otherwise qualify as Minority, Woman, Disadvantaged or Disabled Veteran Business Enterprise.

**Principal Place of Business:** a location wherein a firm maintains a physical office and through which it obtains no less than fifty percent (50%) of its overall customers or sales dollars.

**Significant Employee Presence:** no less than twenty-five percent (25%) of a business's total number of employees are domiciled in San Diego County.

**Small Business Enterprise (SBE):** a business whose gross annual receipts do not exceed the amount set by the City Manager, and that meets all other criteria set forth in regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review the threshold amount for SBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace. A business certified as a DVBE by the State of California, and that has provided proof of such certification to the City Manager, shall be deemed to be an SBE.

**Small Local Business Enterprise (SLBE):** a Local Business Enterprise that is also a Small Business Enterprise.

**Women Business Enterprise (WBE):** a certified business that is (1) at least fifty-one percent (51 %) owned by a woman or women, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more women; and (2) whose daily business operations are managed and directed by one or more women owners.

**VII. Certifications.**

The City accepts certifications of MBE, WBE, DBE or DVBE from the following certifying agencies:

Current certification by the State of California Department of Transportation (CALTRANS) as DBE.

Current MBE or WBE certification from the California Public Utilities Commission.

DVBE certification is received from the State of California's Department of General Services, Office of Small and Minority Business.

Current certification by the City of Los Angeles as DBE, WBE or MBE.

Current certification by the U.S. Small Business Association as SDB, WOSB, SDVOSB, or Hubzone.

Subcontractors' valid proof of certification status e.g., copy of MBE, WBE, DBE, or DVBE certification must be submitted with RFP. MBE, WBE, DBE, or DVBE certifications are listed for informational purposes only.

**VIII. List of Attachments.**

- AA. Disclosure of Discrimination Complaints**
- BB. Work Force Report**
- CC. Subcontractors List**



## DISCLOSURE OF DISCRIMINATION COMPLAINTS

As part of its proposal, the Design Professional must provide to the City a list of all instances within the past 10 years where a complaint was filed or pending against the Design Professional in a legal or administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.

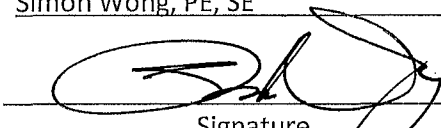
CHECK ONE BOX ONLY.

- The undersigned certifies that within the past 10 years the Design Professional has NOT been the subject of a complaint or pending action in a legal administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers.
- The undersigned certifies that within the past 10 years the Design Professional has been the subject of a complaint or pending action in a legal administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers. A description of the status or resolution of that complaint, including any remedial action taken and the applicable dates is as follows:

DATE OF CLAIM	LOCATION	DESCRIPTION OF CLAIM	LITIGATION (Y/N)	STATUS	RESOLUTION/ REMEDIAL ACTION TAKEN
April 2008	Modesto, CA	Allegation of discrimination and harassment	Y	Court ruled in favor of KLF	N/A
July 2009	San Diego, CA	Claim for overtime wages due as a result of misclassification as exempt employee	Y	Case was settled	None
September 2009	Diamond Bar, CA	Employee alleged discrimination in the workplace.	Y	Case was settled	None
October 2009	Modesto, CA	Allegation of discrimination	N	Administratively closed due to inaction	N/A
October 2009	Tulsa, OK	Wage and hour claim	Y	Dismissed without prejudice	N/A
February 2010	Portland, OR	Employee claim	Y	Case was settled	None
June 2010	Pittsburg, CA	Allegation of discrimination and retaliatory termination	N	Recently received notice	N/A
October 2010	Raleigh, NC	Allegations of discrimination	N	Administratively closed due to inaction	N/A
October 2010	Pleasanton, CA	Allegation of improper classification of employee and non-payment of prevailing wages	N	Matter settled	None
October 2010	Pleasanton, CA	Allegation of discrimination	Y	Case was settled	None

Design Professional Name Kleinfelder, Inc.

Certified By Simon Wong, PE, SE Title Principal-in-Charge

  
 \_\_\_\_\_  
 Signature

Date February 24, 2017

**USE ADDITIONAL FORMS AS NECESSARY**

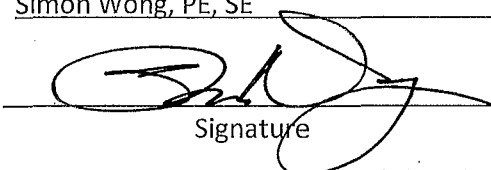
DATE OF CLAIM	LOCATION	DESCRIPTION OF CLAIM	LITIGATION (Y/N)	STATUS	RESOLUTION/REMEDIAL ACTION TAKEN
December 2011	New Jersey	Allegation of misclassification of position and resulting back overtime pay	Y	Case was settled	None
April 2012	Rocky Hill, CT	Subcontractor alleging that KLF did not pay prevailing wages	N	Matter was settled	None
October 2012	Australia	Termination of employee for acting in conflict with KLF client without knowledge of supervisors	N	Matter was settled	None
October 2012	Tulsa, OK	Allegation of gender harassment	N	Matter was settled	None
January 2013	San Diego, CA	Claim for disability discrimination	N	Matter was settled	None
January 2013	Ohio	Former employee disputing severance package	N	Matter was settled	None
February 2013	Colorado	Allegation of disability discrimination	N	Matter was settled	None
October 2013	Australia	Former employee claiming adverse employment actions, unfair dismissal, and office bullying	N	Matter was settled	None
October 2013	Sacramento, CA	Allegation that work related stress contributed to sudden death of employee	N	Matter was settled	None
March 2014	Sacramento, CA	Termination of employee for harassment	N	Matter was settled	None
March 2014	San Diego, CA	Allegation of age discrimination	N	Matter was settled	None
August 2015	San Diego, CA	Allegation of hostile work environment	N	Recently received notice	N/A
December 2015	Denver, CO	Allegation of age discrimination	N	Recently received notice	N/A
December 2015	Australia	Allegation of sexual harassment, hostile work environment, and discrimination	Y	Recently received notice	N/A
December 2015	Australia	Allegation of sexual harassment, hostile work environment, and discrimination	N	Recently received notice	N/A
December 2015	Australia	Allegation of sexual harassment, hostile work environment, and discrimination	N	Recently received notice	N/A
December 2015	Denver, CO	Allegation of discrimination and hostile work environment	N	Recently received notice	N/A

Design Professional Name Kleinfelder, Inc.

Certified By Simon Wong, PE, SE

Title Principal-in-Charge

Date February 24, 2017

  
Signature

USE ADDITIONAL FORMS AS NECESSARY



City of San Diego
EQUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP)
1200 Third Avenue • Suite 200 • San Diego, CA 92101
Phone: (619) 236-6000 • Fax: (619) 236-5904

WORK FORCE REPORT

ADMINISTRATIVE

The objective of the Equal Employment Opportunity Outreach Program, San Diego Municipal Code Sections 22.3501 through 22.3517, is to ensure that contractors doing business with the City, or receiving funds from the City, do not engage in unlawful discriminatory employment practices prohibited by State and Federal law.

NO OTHER FORMS WILL BE ACCEPTED

CONTRACTOR IDENTIFICATION

Type of Contractor: [ ] Construction [ ] Vendor/Supplier [ ] Financial Institution [ ] Lessee/Lessor
[X] Consultant [ ] Grant Recipient [ ] Insurance Company [ ] Other

Name of Company: Kleinfelder, Inc.

AKA/DBA:

Address (Corporate Headquarters, where applicable): 550 West C Street, Suite 1200

City San Diego County San Diego State CA Zip 92101

Telephone Number: (619) 831-4600 FAX Number: (619) 232-1039

Name of Company CEO: George Pierson

Address(es), phone and fax number(s) of company facilities located in San Diego County (if different from above):

Address:

City County State Zip

Telephone Number: ( ) FAX Number: ( ) Email: jturner@kleinfelder.com

Type of Business: A&E and Construction Mgmt. Type of License: Structural/Civil Engineers

The Company has appointed: Laura Hartman

as its Equal Employment Opportunity Officer (EEOO). The EEOO has been given authority to establish, disseminate, and enforce equal employment and affirmative action policies of this company.

Address: 550 West C Street, Suite 1200, San Diego, CA 92101

Telephone Number: (619) 831-4600 FAX Number: (619) 232-1039 Email: lhartman@kleinfelder.com

[X] One San Diego County (or Most Local County) Work Force - Mandatory

[ ] Branch Work Force \*

[ ] Managing Office Work Force

Check the box above that applies to this WFR.

\*Submit a separate Work Force Report for all participating branches. Combine WFRs if more than one branch per county.

I, the undersigned representative of Kleinfelder, Inc.

(Firm Name)

San Diego, California hereby certify that information provided

(County)

(State)

herein is true and correct. This document was executed on this 24th day of February, 2017.

(Authorized Signature)

Simon Wong, PE, SE, Principal-in-Charge

(Print Authorized Signature)

WORK FORCE REPORT - NAME OF FIRM: Kleinfelder, Inc. DATE: February 23, 2017

OFFICE(S) or BRANCH(ES): Downtown San Diego, CA COUNTY: San Diego

INSTRUCTIONS: For each occupational category, indicate number of males and females in every ethnic group. Total columns in row provided. Sum of all totals should be equal to your total work force. Include all those employed by your company on either a full or part-time basis. The following groups are to be included in ethnic categories listed in columns below:

- (1) Black, African-American
- (2) Hispanic, Latino, Mexican-American, Puerto Rican
- (3) Asian
- (4) American Indian, Eskimo
- (5) Filipino, Asian Pacific Islander
- (6) White, Caucasian
- (7) Other ethnicity; not falling into other groups

OCCUPATIONAL CATEGORY	(1) African American		(2) Hispanic/Latino		(3) Asian		(4) American Indian	(5) Asian Pacific Islander		(6) Caucasian		(7) Other Ethnicities	
	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	
Management & Financial	1		2	1	3	1					22	13	
Professional		2	1	1	1	1					8	8	
A&E, Science, Computer		1	7	1	5	9					51	15	
Technical	1		12		1	1			1		36	2	1
Sales													
Administrative Support	1	2	1	8	1	4					3	13	2
Services													
Crafts													
Operative Workers													
Transportation													
Laborers*													

\*Construction laborers and other field employees are not to be included on this page

Totals Each Column	3	5	23	11	11	16			1		120	51	1	2
--------------------	---	---	----	----	----	----	--	--	---	--	-----	----	---	---

Grand Total All Employees 244

Indicate by Gender and Ethnicity the Number of Above Employees Who Are Disabled

Disabled		1				1					2	1	
----------	--	---	--	--	--	---	--	--	--	--	---	---	--

Non-Profit Organizations Only:

Board of Directors													
Volunteers													
Artists													

## SUBCONTRACTOR PARTICIPATION LIST

This list shall include the name and complete address of all Subcontractors who qualify as SLBEs or ELBEs. Consultants must also list participation by any MBE, WBE, DBE, DBVE and OBE firms. However, no additional points will be awarded for participation by these firms, except that DVBEs that are certified by the City as local businesses shall be counted as SLBEs.

Subcontractors shall be used in the percentages listed. **NOTE:** If percentages are listed as a range, the **minimum number identified** in the range will be used to calculate overall subcontractor participation.

No changes to this Participation List will be allowed without prior written City approval. The Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

NAME AND ADDRESS SUBCONTRACTORS	SCOPE OF SERVICES	PERCENT OF CONTRACT	SLBE/ELBE (MBE/ WBE/DBE/ DVBE/OBE*)	WHERE CERTIFIED**
<b>Allied Geotechnical Engineers, Inc.</b> 9500 Cuyamaca Street, Suite 102 Santee, CA 92071-2685	Soil Physical Testing	5%	ELBE MBE, SBE, DBE, SB (Micro)	<b>City of San Diego,</b> Department of Transportation, Los Angeles County of Metropolitan Transportation Authority, State of CA Department of General Services
<b>Bondy Groundwater Consulting, Inc.</b> 9452 Telephone Rd. #112 Ventura, CA 93004	Hydrogeology/ Groundwater Management Plans	1%	OBE	N/A
<b>Cascade Drilling, L.P.</b> 11442 N. Woodside Ave Santee, CA 92071	Drilling, Well Installation	5%	OBE	N/A
<b>Confluence Environmental Inc.</b> 16131 Gothard Street, Suite J Huntington Beach, Ca 92647	Groundwater Monitoring and related environmental field services	2%	WBE, SB (Micro)	State of California Department of General Services, California Public Utilities Commission
<b>Del Mar Environmental &amp; Construction Services, Inc.</b> 629 Del Mar Ave., Chula Vista CA 91910	Soil and Geotechnical Engineering	2%	ELBE	<b>City of San Diego</b>
<b>EFS Engineering, Inc.</b> 5620 Friars Road San Diego, CA 92110	Rate Surveys	1%	ELBE	<b>City of San Diego</b>
<b>EMKO Environmental, Inc.</b> 551 Lakecrest Dr. El Dorado Hills, CA 95762	Surface Water/Groundwater Interactions	1%	OBE	N/A
<b>Eurofins Calscience, Inc.</b> 7440 Lincoln Way Garden Grove, CA 92841	Laboratory Testing Services	2%	OBE	California ELAP # 2944
<b>Geomorph Information Systems, LLC (GeomorphIS)</b> 1538 10th Avenue San Diego, CA, 92101	GIS Services	5%	ELBE DBE, WBE	<b>City of San Diego,</b> Department of Transportation, CA Public Utilities Commission

NAME AND ADDRESS SUBCONTRACTORS	SCOPE OF SERVICES	PERCENT OF CONTRACT	SLBE/ELBE (MBE/ WBE/DBE/ DVBE/OBE*)	WHERE CERTIFIED**
<b>INTERA Incorporated</b> 1812 Centre Creek Dr., Suite 300 Austin, TX, 78741	Groundwater Modeling, Aquifer Studies,	10%	OBE	N/A
<b>Leopold Biological Services</b> 11160 Portobelo Drive San Diego, CA 92124	CEQA/Biological	2%	SLBE	<b>City of San Diego</b>
<b>Moraes/Pham &amp; Associates</b> 2131 Palomar Airport Road, Suite 120 Carlsbad, CA 92011	Instrumentation & Controls Engineering	2%	ELBE MBE	<b>City of San Diego</b> Department of Transportation
<b>Limited Access Unlimited, Inc.</b> <b>DBA: Pacific Drilling Co.</b> 5220 Anna Ave., Suite A, San Diego, CA 92110	Drilling for infiltration testing	2%	ELBE SB(Micro)	<b>City of San Diego,</b> State of CA Department of General Services
<b>Schaefer Ecological Solutions</b> 815 Madison Avenue San Diego, CA, 92116	Survey Engineering	1%	ELBE DBE, SB(Micro)	<b>City of San Diego,</b> Department of Transportation, State of CA Department of General Services
<b>San Dieguito Engineering, Inc.</b> 462 Stevens Avenue, Suite 305 Solana Beach, CA 92075	CEQA/Biological	2%	SLBE WBE, DBE, SB(Micro)	<b>City of San Diego,</b> CA Public Utilities Commission, Department of Transportation, State of CA Department of General Services
<b>Spindrift Archaeological Consulting</b> 8895 Towne Centre Drive Suite 105-248 San Diego, CA 92122	CEQA/Cultural Resources	2%	SLBE (pending) SB, SBE	<b>City of San Diego (pending),</b> State of CA Department of General Services, San Diego County of Water Authority, Southern California Rail Authority, Coalition of Southern California Public Agencies, Port of Long Beach
<b>STC Traffic, Inc.</b> Pacific Highway Suite 103 San Diego, CA 92110	Traffic Engineering	1%	SLBE, SB(Micro), SBE	<b>City of San Diego</b> State of CA Department of General Services, Los Angeles County of Metropolitan Transportation Authority

## List of Abbreviations:

<b>Small Local Business Enterprise</b>	<b>SLBE</b>
<b>Emerging Local Business Enterprise</b>	<b>ELB</b>
Certified Minority Business Enterprise	MBE*
Certified Woman Business Enterprise	WBE*
Certified Disadvantaged Business Enterprise	DBE*
Certified Disabled Veteran Business Enterprise	DVBE*
Other Business Enterprise	OBE*

\* Listed for informational purposes only.

\*\* Consultant shall indicate if Subcontractor is certified by one of the agencies listed in Section VII of the Equal Opportunity Contracting Program (EOCP) Consultant Requirements.

**SUBCONSULTANT SUMMARY DATA**

Subconsultant Legal Name/Address	San Diego County Office Addresses	Years Office Maintained In San Diego County	Number of Employees In San Diego County	City of San Diego Business Tax License (SDBTL) Number	State of CA DIR, Division of Labor Standards Enforcement, Contractor Registration Number (if applicable)	Contact Name/Title/Address/ Telephone Number/Email/Address
Allied Geotechnical Engineers, Inc. 9500 Cuyamaca Street, Suite 102 Santee, CA 92071-2685	9500 Cuyamaca Street Suite 102 Santee, CA 92071-2685	36	8	B2010019111	1000009383	Tiong J. Liem, President 9500 Cuyamaca Street, Suite 102 Santee, CA 92071-2685 619-449-5900   tj_liem@alledgeo.org
Bondy Groundwater Consulting, Inc. 9452 Telephone Rd. #112 Ventura, CA 93004	N/A	N/A	N/A	N/A	N/A	Bryan Bondy, PG, CHG, President 9452 Telephone Road, Suite 112 Ventura, CA 93004 805-212-0484   bondygroundwater@gmail.com
Cascade Drilling, L.P. 17270 Woodinville Redmond Road NE, Bldg. A, Ste. 777, Woodinville, WA 98072-6963	11442 N. Woodside Ave Santee, CA 92071	6	11	N/A	1000011273	Manuel Marquez, Operations Manager 11442 N. Woodside Ave Santee, CA 92071 619-596-0644, Ext. 2460 mmarquez@cascade-env.com
Confluence Environmental Inc. 6821 8 <sup>th</sup> Street Rto Linda, CA 95673	N/A	N/A	N/A	B201600423	1000017321	Mogan Kerns, President 16131 Gothard Street, Suite J Huntington Beach, CA 92647 949-716-4971   mkerns@confluenceenvironmental.com
Del Mar Environmental & Construction Services, Inc. 629 Del Mar Ave., Chula Vista CA 91910	629 Del Mar Avenue Chula Vista CA 91910	10	10	B2010008228	1000007886	Juan Diez de Bonilla, PE, President 629 Del Mar Avenue Chula Vista, CA 91910 619-638-3879 jdiezdebonilla@dmecservices.com
EFS Engineering, Inc. P.O Box 22370 San Diego, CA 92192-2370	5620 Friars Road San Diego, CA 92110	6	3	B2010003962	N/A	Eugene F. Shank, PE, President P.O Box 22370 San Diego, CA 92192-2370 858-752-3490   skip@efs-engineering.com
EMKO Environmental, Inc. 551 Lakecrest Dr. El Dorado Hills, CA 95762	N/A	N/A	N/A	N/A	N/A	Dr. Andrew A. Kopania, President 551 Lakecrest Drive El Dorado Hills, CA 95762 916-939-0133   akopania@sbcglobal.net
Eurofins Calscience, Inc. 7440 Lincoln Way Garden Grove, CA 92841	N/A	N/A	N/A	N/A	N/A	Kimberly Banks 7440 Lincoln Way Garden Grove, CA 92841 626-731-9179   kimberlybanks@eurofinsUS.com

**SUBCONSULTANT SUMMARY DATA**

Subconsultant Legal Name/Address	San Diego County Office/Addresses	Years Office Maintained in San Diego County	Number of Employees in San Diego County	City of San Diego Business/Tax License (SDBTL) Number	State of CA DIR, Division of Labor Standards Enforcement, Contractor Registration Number (if applicable)	Contact Name/Title/Address/ Telephone Number/Email/Address
<b>Geomorph Information Systems, LLC (GeomorphIS)</b> 1538 10th Avenue San Diego, CA, 92101	1538 10th Avenue San Diego, CA, 92101	12.5	3	2005012577	1000030068	Eileen D Goff, GISP, Manager 1538 10th Avenue San Diego, CA, 92101 619-218-6463   egoff@geomorphis.com
<b>INTERA Incorporated</b> 1812 Centre Creek Dr., Suite 300 Austin, TX, 78741	N/A	N/A	N/A	N/A	N/A	Abhishek Singh, PhD, Manager of CA Operations 3868 W Carson, Suite 316 Torrance, CA, 90503 424-275-4055   asingh@intera.com
<b>Leopold Biological Services</b> 11160 Portobelo Drive San Diego, CA 92124	11160 Portobelo Drive San Diego, CA 92124	3	1	B2013053389	N/A	Christine L. Harvey, Principal 11160 Portobelo Drive San Diego, CA 92124 619-249-2531   charvey@leopoldbiological.com
<b>Moraes/Pham &amp; Associates</b> 2131 Palomar Airport Road, Suite 120 Carlsbad, CA 92011	2131 Palomar Airport Road Suite 120 Carlsbad, CA 92011	28	9	B1994003037	1000010524	Joe Moraes, PE, President 2131 Palomar Airport Road, Suite 120 Carlsbad, CA 92011 760-431-7177   Joe@moraespham.com
<b>Limited Access Unlimited, Inc, DBA: Pacific Drilling Co,</b> 5220 Anna Avenue, Suite A San Diego, CA 92110	5220 Anna Avenue, Suite A San Diego, CA 92110	28	12	B1999012276	1000009159	Tod Clark, President 5220 Anna Avenue, Suite A San Diego, CA 92110 619-294-3682   tod@pacdrill.com
<b>Schaefer Ecological Solutions</b> 815 Madison Avenue San Diego, CA, 92116	815 Madison Avenue San Diego, CA, 92116	3	1	B2014005820	1000031187	Christina Schaefer, Principal 815 Madison Avenue San Diego, CA, 92116 619-991-8968   schaeferecology@cox.net
<b>San Dieguito Engineering, Inc.</b> 462 Stevens Avenue, Suite 305 Solana Beach, CA 92075	462 Stevens Avenue Suite 305 Solana Beach, CA 92075	41	18	B2011016305	1000009409	Annie S. Aguilar, PE, President 462 Stevens Avenue, Suite 305 Solana Beach, CA 92075 858-345-1160   aaguilar@sdeinc.com
<b>Spindrift Archaeological Consulting</b> 8895 Towne Centre Drive Suite 105-248 San Diego, CA 92122	8895 Towne Centre Drive Suite 105-248 San Diego, CA 92122	2	5	B2014022891	1000013347	Arleen Garcia-Herbst, RPA Owner and Principal Archaeologist 8895 Towne Centre Drive, Suite 105-248 San Diego, CA 92122 858-333-7202   arleen@spindriftarchaeology.com



**SUBCONSULTANT SUMMARY DATA**

Subconsultant Legal Name/Address	San Diego County Office Addresses	Years Office Maintained In San Diego County	Number of Employees In San Diego County	City of San Diego Business Tax License (SDBTL) Number	State of CA DIR Division of Labor Standards Enforcement Contractor Registration Number (If applicable)	Contact Name/Title/Address/ Telephone Number/Email/Address
<b>STC Traffic, Inc.</b> 2794 Loker Avenue West, Suite 102 Carlsbad, CA 92010	2794 Loker Avenue West Suite 102 Carlsbad, CA 92010 4891  Pacific Highway Suite 103 San Diego, CA 92110	9	19	56-2636889	1000009329	Dawn L Wilson, PE, TE, Principal Manager 2794 Loker Ave. West, Suite 102 Carlsbad, CA 92010 760-585-4494   dawn.wilson@stctrffic.com


**CONSULTANT CERTIFICATION FOR A DRUG-FREE WORKPLACE**

**PROJECT TITLE:** As-Needed Comprehensive Groundwater Services -  
Contract 1 (Contract #H166787)

I hereby certify that I am familiar with the requirement of San Diego City Council Policy No. 100-17 regarding Drug-Free Workplace as outlined in the request for proposals, and that:

Kleinfelder, Inc.  
Name under which business is conducted

has in place a drug-free workplace program that complies with said policy. I further certify that each subcontract agreement for this project contains language which indicates the Subcontractors agreement to abide by the provisions of Section 4.9.1 subdivisions A through C of the policy as outlined.

Signed   
Printed Name Simon Wong, PE, SE  
Title Principal-in-Charge  
Date February 24, 2017

**INSTRUCTION SHEET FOR**  
**DISCLOSURE DETERMINATION FOR CONSULTANT**  
**(Form CC-1671)**

Use the "Disclosure Determination for Consultant" form (CC-1671) to report the disclosure requirement for any consultant hired to provide services to the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego's jurisdiction.

2 California Code of Regulations defines a "consultant" as an individual who, pursuant to a contract with a state or local government agency, either makes a governmental decision or serves in a staff capacity with the state or local government agency and in that capacity participates in making a governmental decision. For the complete definition of "consultant", refer to Government Code section 18701(a)(2). This section can be located at:

[http://www.fppc.ca.gov/index.html?ID=52&r\\_id=/legal/regs/18701.htm](http://www.fppc.ca.gov/index.html?ID=52&r_id=/legal/regs/18701.htm)

The "Disclosure Determination for Consultant" form is completed for all consultants under contract with the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego's jurisdiction. Please follow the step-by-step directions:

1. List the department, board, commission or agency requesting the consultant service.
2. List the consulting company. If known, also list the individual(s) who will be providing the consultant services.
3. List the mailing address.
4. List the e-mail address of individual(s) providing the consultant service.
5. Provide the date the individual(s) will start providing the consultant service.
6. List all duties/responsibilities the consultant will have. This list will enable you to determine the disclosure requirement for the consultant.
7. Determine the consultant's disclosure category. Your consultant should be required to disclose only those economic interests which could potentially create a conflict of interest as he/she performs his/her contractual obligations. For ideas about possible disclosure categories, review those in your department's, board's, commission's or agency's conflict of interest code, available at:

[www.sandiego.gov/city-clerk/elections/eid/codes.shtml](http://www.sandiego.gov/city-clerk/elections/eid/codes.shtml)

Please fill out the entire "Disclosure Determination for Consultant" form, and have it signed by the appropriate authority. (Individuals with signing authority are described in your conflict of interest code as part of the disclosure requirement for Consultants.) Forward the original form to the City Clerk's Office, MS 2A.

DISCLOSURE DETERMINATION FOR CONSULTANT

\*Must be signed by department director, agency president or other individual authorized by the appropriate conflict of interest code regarding consultants.

- 1. Department / Board / Commission / Agency Name: Public Utilities - Long-Range Planning & Water Resources
2. Name of Specific Consultant & Company: KLEINFELDER
3. Address, City, State, ZIP: 550 West C Street, Suite 1200 San Diego, CA 92101
4. Project Title (as shown on 1472, "Request for Council Action"): As-Needed Comprehensive Groundwater Services
5. Consultant Duties for Project: Work on groundwater investigations, development and protection of the City's groundwater assets.

6. Disclosure Determination [select applicable disclosure requirement]:

[X] Consultant will not be "making a governmental decision" or "serving in a staff capacity." No disclosure required.

- or -

[ ] Consultant will be "making a governmental decision" or "serving in a staff capacity." Consultant is required to file a Statement of Economic Interests with the City Clerk of the City of San Diego in a timely manner as required by law. [Select consultant's disclosure category.]

[ ] Full: Disclosure is required pursuant to the broadest disclosure category in the appropriate Conflict of Interest Code.

- or -

[ ] Limited: Disclosure is required to a limited extent. [List the specific economic interests the consultant is required to disclose.]

Blank lines for listing specific economic interests.

By: [Signature] [Lan Wiborg/Deputy Director]\*

[Date] 1/31/2017

Once completed, with all questions answered and an authorized signature affixed, please forward the original form to the City Clerk's Office, MS 2A. Keep a copy with the contract.

**DEFINITION OF "CONSULTANT"**

2 California Code of Regulations defines a "consultant" as an individual who, pursuant to a contract with a state or local government agency:

- (A) Makes a governmental decision whether to:
1. Approve a rate, rule or regulation;
  2. Adopt or enforce a law;
  3. Issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order, or similar authorization or entitlement;
  4. Authorize the City to enter into, modify, or renew a contract provided it is the type of contract that requires City approval;
  5. Grant City approval to a contract that requires City approval and to which the City is a party, or to the specifications for such a contract;
  6. Grant City approval to a plan, design, report, study, or similar item;
  7. Adopt, or grant City approval of, policies, standards, or guidelines for the City, or for any subdivision thereof; or
- (B) Serves in a staff capacity with the City and in that capacity participates in making a governmental decision as defined in Regulation 18702.2 or performs the same or substantially all the same duties for the City that would otherwise be performed by an individual holding a position specified in the City's Conflict of Interest Code.

An individual "serves in a staff capacity" if he or she performs substantially all the same tasks that normally would be performed by staff member of a governmental entity. In most cases, individuals who work on only one project or a limited range of projects for an agency are not considered to be working in a "staff capacity." The length of the individual's service to the agency is relevant. Also, the tasks over the relevant period of time must be substantially the same as a position that is or should be specified in the City's conflict of interest code.

An individual "participates in making a governmental decision" if he or she: (1) negotiates, without substantive review, with a governmental entity or private person regarding the decision; or (2) advises or makes recommendations to the decision-maker, by conducting research or an investigation, preparing or presenting a report, analysis or opinion which requires the exercise of judgment on the part of the individual and the individual is attempting to influence the decision.

Regulation 18701 (a)(2)

[http://www.fppc.ca.gov/index.html?ID=52&r\\_id=/legal/regs/18701.htm](http://www.fppc.ca.gov/index.html?ID=52&r_id=/legal/regs/18701.htm)

1/28/2006

CITY OF SAN DIEGO, CALIFORNIA  
**COUNCIL POLICY**

SUBJECT: SUSTAINABLE BUILDING POLICY  
POLICY NO.: 900-14  
EFFECTIVE DATE: May 20, 2003

BACKGROUND:

Existing buildings and the building development industry consume nearly half of the total energy used in the United States. The City of San Diego's commitment to become increasingly efficient with resources, including energy, water, and materials associated with construction projects, is demonstrated in Council Policy 900-14 "Green Building Policy" adopted in 1997, Council Policy 900-16 "Community Energy Partnership," adopted in 2000, and the updated Council Policy 900-14 "Sustainable Buildings Expedite Program" adopted in 2001.

On April 16, 2002, the Mayor and City Council adopted CMR 02-060 which requires City projects to achieve the U.S. Green Building Council's LEED silver standard for all new buildings and major renovations over 5,000 square feet. This places San Diego among the most progressive cities in the nation in terms of sustainable building policies.

As a participant in the International Council for Local Environmental Initiatives (ICLEI) Cities for Climate Protection Program, as a Charter member in the California Climate Action Registry and as an active member of the U.S. Green Building Council, the City of San Diego is committed to reducing greenhouse gas emissions by implementing more sustainable practices, including green building technologies.

PURPOSE:

The purpose of this policy is to reassert the City's commitment to green building practices in City facilities, and to provide leadership and guidance in promoting, facilitating, and instituting such practices in the community.

POLICY:

The following principles will be required for all newly constructed facilities and major building renovation projects for City facilities:

LEED (Leadership in Energy and Environmental Design):

The LEED (Leadership in Energy and Environmental Design) Green Building Rating System is a voluntary, consensus-based national standard for developing high-performance, sustainable buildings. Members of the U.S. Green Building Council representing all segments of the building industry developed LEED and continue to contribute to its evolution.

The City of San Diego is committed to achieving LEED "Silver" Level Certification for all new City facilities and major building renovation projects over 5,000 square feet.

SUSTAINABLE BUILDING MEASURES:

In addition to achieving LEED "Silver" Level Certification, Council Policy 900-14 encourages the following sustainable building measures for all newly constructed facilities and major renovation projects regardless of square footage:

1. Design and construct mechanical and electrical systems to achieve the maximum energy efficiency achievable with current technology. Consultants shall use computer modeling programs, (Energy Pro) to analyze the effects of various design options and select the set of options producing the most efficient integrated design. Energy efficiency measures shall be selected to achieve energy efficiencies at least 22.51% better than California's Title 24.2001 standards for both new construction and major renovation projects.
2. Incorporate self-generation using renewable technologies to reduce environmental impacts associated with fossil fuel energy use. Newly constructed City facilities shall generate a minimum of 10%, with a goal of 20% from renewable technologies (e.g., photovoltaic, wind and fuel cells).
3. Eliminate the use of CFC based refrigerants in newly constructed facilities and major building renovations and retrofits for all heating, ventilation, air conditioning and refrigerant-based building systems.
4. Incorporate additional commissioning and measurement and verification procedures as outlined by LEED 2.0 Rating System, Energy and Atmospheres, credit 3 and credit 5 for all projects over 20,000 sq. ft.
5. Reduce the quantity of indoor air contaminants that are odorous or potentially irritating to provide installer(s) and occupant(s) health and comfort. Low-emitting materials will include adhesives, paints, coatings carpet systems, composite wood and agri-fiber products.
6. In order to maximize energy efficiency measures within these requirements, projects will combine energy efficiency measures requiring longer payback periods, with measures requiring shorter payback periods to determine the overall project period.

Comply with the storm water development requirements in the Storm Water Management and Discharge Control Ordinance (Municipal Code § 43.03), and the City's grading and drainage regulations and implementing documents (MC § 142.01 and 142.02, respectively).

In addition to achieving the minimum sustainable building measure this Council Policy encourages the following measures be incorporated into newly constructed facilities and major renovation projects whenever possible:

## EXHIBIT G

1. Use high efficiency irrigation technology, drought tolerant native plants and recycled site water to reduce potable water for irrigation by 50%. Additionally, building water consumption should be reduced by 30%.
2. Limit disruption of natural water flows and minimize storm water runoff by minimizing building footprints and other impervious areas, increasing on-site infiltration, preserving and/or restoring natural drainage systems, and reducing contaminants introduced into San Diego's bays, beaches and the ocean.
3. Facilitate the reduction of waste generated by building occupants that is hauled to and disposed of in landfills. Provide an easily accessible area that serves the entire building and is dedicated to the separation, collection and storage of materials for recycling. Recycling should include paper, glass, plastic and metals at a minimum.
4. Incorporate building products that have recycled content reducing the impacts resulting from the extraction of new materials. Newly constructed City facilities shall have a minimum of 25% of building materials that contain in aggregate, a minimum weighted average of 20% post consumer recycled content materials.
5. Reduce the use and depletion of finite raw and long-cycle renewable materials by replacing them with rapidly renewable materials. Newly constructed City facilities should consider incorporating rapidly renewable building materials for 5% of the total building materials.
6. Establish minimum indoor air quality (IAQ) performance to prevent the development of indoor air quality problems in buildings, maintaining the health and well being of the occupants. Newly constructed City facilities will comply with IAQ by conforming to ASHRAE 62-1999.
7. City buildings will be designed to take the maximum advantage of passive and natural sources of heat, cooling, ventilation and light.

The Environmental Services Department, Energy Conservation and Management Division has been designated by this Council Policy as the clearing authority for issues relating to energy for the City of San Diego. The Energy Conservation and Management Division will enter into a Memorandum of Understanding with those City Departments who design, renovate and build new city owned facilities to insure all new City facilities reflect the intent of Council Policy 900-14.



PRIVATE-SECTOR/INCENTIVES:

It shall be the policy of the City Council to expedite the ministerial process for projects which meet the following criteria:

1. Residential projects that provide 50% of their projected total energy use utilizing renewable energy resources, (e.g., photovoltaic, wind and fuel cells).
2. Commercial and industrial projects that provide 30% of their projected total energy use utilizing renewable energy resources, (e.g., photovoltaic, wind and fuel cells).
3. Residential and commercial and industrial projects that exceed the State of California Title 24 energy requirements by:
  - a. 15% better than California's Title 24.2001 for Residential Buildings.
  - b. 10% better than California's Title 24.2001 for Commercial and Industrial Buildings.

It shall be the policy of the City Council to expedite the discretionary process for projects which meet the following criteria:

1. Incorporate the U.S. Green Building Council, Leadership in Energy and Environmental Design (LEED) 2.0 Rating System "Silver" Level Certification for commercial development projects.
2. Incorporate self-generation through renewable technologies (e.g., photovoltaic, wind and fuel cells) to reduce environmental impacts associated with fossil fuel energy use for commercial and industrial projects generating a minimum of 30% or more of the designed energy consumption from renewable technologies such as photovoltaic, wind and fuel cells.
3. Residential discretionary projects of 4 units or more within urbanized communities as defined in the Progress Guide and General Plan that provide 50% of their projected total energy use utilizing renewable energy resources.

HEALTH AND RESOURCE CONSERVATION:

1. Projects will be designed to avoid inflicting permanent adverse impact on the natural state of the air, land and water, by using resources and methods that minimize pollution and waste, and do not cause permanent damage to the earth, including erosion.
2. Projects will include innovative strategies and technologies such as porous paving to conserve water, reduce effluent and run-off, thus recharging the water table.
3. When feasible, native plants will be used in landscaping to reduce pesticide, fertilizer, and water usage.
4. Buildings will be constructed and operated using materials, methods, mechanical and electrical systems that ensure a healthful indoor air quality, while avoiding contamination by carcinogens, volatile organic compounds, fungi, molds, bacteria, and other known toxins.

## EXHIBIT G

5. Projects will be planned to minimize waste through the use of a variety of strategies such as: a) reuse of materials or the highest practical recycled content; b) raw materials derived from sustainable or renewable sources; c) materials and products ensuring long life/durability and recyclability; d) materials requiring the minimum of energy and rare resources to produce and use; and e) materials requiring the least amount of energy to transport to the job site.

### OUTREACH / EDUCATION:

1. An education and outreach effort will be implemented to make the community aware of the benefits of "Green Building" practices.
2. The City will sponsor a recognition program for innovative Green Building projects implemented in the public as well as private sector in an effort to encourage and recognize outstanding environmental protection and energy conservation projects.

### IMPLEMENTATION:

The City will seek cooperation with other governmental agencies, public interest organizations, and the private sector to promote, facilitate, and implement Green Building and energy efficiency in the community.

### LEGISLATION:

The City will support State and Federal legislation that promotes or allows sustainable development, conservation of natural resources, and energy efficiency technology.

### REFERENCES:

Related existing Council Policies:  
400-11, Water Conservation Techniques  
400-12, Water Reclamation/Reuse  
900-02, Energy Conservation and Management  
900-06, Solid Waste Recycling

### HISTORY:

Adopted by Resolution R-289457 11/18/1997  
Amended by Resolution R-295074 06/19/2001  
Amended by Resolution R-298000 05/20/2003

**CITY OF SAN DIEGO**  
**Consultant Performance Evaluation**

The purpose of this form is to provide historical data to City staff when selecting consultants.

**Section I**

1. PROJECT DATA		2. CONSULTANT DATA																			
1a. Project (title, location):  1b. Brief Description:  1c. Budgeted Cost: \$ _____ WBS/IO: _____	2a. Name and address of Consultant:  2b. Consultant's Project Manager: Phone: (____) _____																				
3. CITY DEPARTMENT RESPONSIBLE																					
3a. Department (include Division):	3b. Project Manager (address & phone):  Phone: (____) _____																				
4 & 5. CONTRACT DATA (DESIGN PHASE <input type="checkbox"/> OR CONSTRUCTION SUPPORT <input type="checkbox"/> )																					
<b>4. Design Phase</b>																					
Agreement Date: _____ Resolution #: R- _____		Initial Contract Amount 4a. \$ _____ 4b. Prev. Amendment(s): \$ _____																			
4c. Current Amendment: \$ _____ / Number: _____		4d. Total Agreement (4a. + 4b. + 4c.): \$ _____																			
4d. Type of Work (design, study, as-needed services, etc.):	4e. Key Design Phase Completion Dates:  <table border="0" style="width:100%;"> <tr> <td style="text-align: right;">% of Design Phase Completion</td> <td style="text-align: center;">%</td> <td style="text-align: center;">%</td> <td style="text-align: center;">100%</td> </tr> <tr> <td>Agreed Delivery Date:</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td>Actual Delivery Date:</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td>Acceptance of Plans/Specs.:</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> </table>	% of Design Phase Completion	%	%	100%	Agreed Delivery Date:	_____	_____	_____	Actual Delivery Date:	_____	_____	_____	Acceptance of Plans/Specs.:	_____	_____	_____	<table border="0" style="width:100%;"> <tr> <td style="text-align: right;">Final Construction</td> </tr> <tr> <td>Est. Completion: _____</td> </tr> <tr> <td>Actual Completion: _____</td> </tr> </table>	Final Construction	Est. Completion: _____	Actual Completion: _____
% of Design Phase Completion	%	%	100%																		
Agreed Delivery Date:	_____	_____	_____																		
Actual Delivery Date:	_____	_____	_____																		
Acceptance of Plans/Specs.:	_____	_____	_____																		
Final Construction																					
Est. Completion: _____																					
Actual Completion: _____																					
5. CONSTRUCTION SUPPORT																					
5a. Contractor _____ (name and address)		Phone: (____) _____																			
5b. Superintendent _____																					
5c. Notice to Proceed _____ (date)	5f. Change Orders _____																				
5d. Working days _____ (number)	Errors/Omissions _____ % of const. cost \$ _____																				
5e. Actual Working days _____ (number)	Unforeseen Conditions _____ % of const. cost \$ _____																				
	Changed Scope _____ % of const. cost \$ _____																				
	Changed Quantities _____ % of const. cost \$ _____																				
	Total Construction Cost \$ _____																				
6. OVERALL RATING FOR DESIGN PHASE <input type="checkbox"/> OR FOR CONSTRUCTION SUPPORT <input type="checkbox"/>																					
	Excellent	Satisfactory	Poor																		
6a. Quality of Plans/Specifications/As-Builts	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																		
Compliance with Contract & Budget	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																		
Responsiveness to City Staff	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>																		
6b. Overall Rating _____																					
7. AUTHORIZING SIGNATURES																					
7a. Project Manager _____	Date _____																				
7b. Section Head _____	Date _____																				

Section II					SPECIFIC RATING				
DESIGN EVALUATION					CONSTRUCTION SUPPORT EVALUATION				
	EXCELLENT	SATISFACTORY	POOR	NA		EXCELLENT	SATISFACTORY	POOR	NA
Plans/Specifications accuracy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Drawing reflect existing conditions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Plans/Specs coordination	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	As-Built drawings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Plans/Specs properly formatted	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Quality design	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Code Requirements covered	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Change orders due to design deficiencies are minimized	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Adherence to City design standards	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Timely responses	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Attitude toward Client and review bodies	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Attitude toward Client and review bodies	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Follows direction and chain of responsibility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Follows direction and chain of responsibility	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Work product delivered on time	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Timeliness in notifying City of major problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
COMPLIANCE WITH CONTRACT & BUDGET	EXCELLENT	SATISFACTORY	POOR	NA	Resolution of Field problems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Reasonable agreement negotiation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Value Engineering Analysis	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Adherence to fee schedule	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Adherence to project budget	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Timely responses	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Timeliness in notifying City of major issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Work product delivered on time	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section III		SUPPLEMENTAL INFORMATION	
(Please ensure to attach additional documentation as needed.)			
Item <input type="checkbox"/>	:	<input type="checkbox"/>	_____
		<input type="checkbox"/>	_____
Item <input type="checkbox"/>	:	<input type="checkbox"/>	_____
		<input type="checkbox"/>	_____
Item <input type="checkbox"/>	:	<input type="checkbox"/>	_____
		<input type="checkbox"/>	_____
Item <input type="checkbox"/>	:	<input type="checkbox"/>	_____
		<input type="checkbox"/>	_____

(\*Supporting documentation attached: Yes  No )

**City of San Diego**  
**CONTRACTOR STANDARDS**  
**Pledge of Compliance**

The City of San Diego has adopted a Contractor Standards Ordinance (CSO) codified in section 22.3004 of the San Diego Municipal Code (SDMC). The City of San Diego uses the criteria set forth in the CSO to determine whether a bidder or proposer has the capacity to fully perform the contract requirements and the business integrity to justify the award of public funds. This completed Pledge of Compliance signed under penalty of perjury must be submitted with each bid and proposal. If an informal solicitation process is used, the bidder must submit this completed Pledge of Compliance to the City prior to execution of the contract. All responses must be typewritten or printed in ink. If an explanation is requested or additional space is required, Respondents must provide responses on Attachment A to the Pledge of Compliance and sign each page. Failure to submit a signed and completed Pledge of Compliance may render the bid or proposal non-responsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed Pledge of Compliance is submitted. A submitted Pledge of Compliance is a public record and information contained within will be available for public review except to the extent that such information is exempt from disclosure pursuant to applicable law.

**A. BID/PROPOSER/SOLICITATION TITLE:**

As-Needed Comprehensive Groundwater Services - Contract 1 (Contract #H166787)

---



---

**B. BIDDER/PROPOSER INFORMATION:**

Kleinfelder, Inc.

Legal Name	San Diego	DBA	
550 West C Street, Suite 1200		CA	92101
Street Address	City	State	Zip
Simon Wong, PE, SE, Principal-in-Charge	619 831-4600	619 232-1032	
Contact Person, Title	Phone	Fax	

**C. OWNERSHIP AND NAME CHANGES:**

1. In the past five (5) years, has your firm changed its name?

Yes  No

If **Yes**, use Attachment "A" to list all prior legal and DBA names, addresses, and dates each firm name was used. Explain the specific reasons for each name change.

2. In the past five (5) years, has a firm owner, partner, or officer operated a similar business?

Yes  No

If **Yes**, use Attachment "A" to list names and addresses of all businesses and the person who operated the business. Include information about a similar business only if an owner, partner, or officer of your firm holds or has held a similar position in another firm.

**D. BUSINESS ORGANIZATION/STRUCTURE:**

Indicate the organizational structure of your firm. Fill in only one section on this page. Use Attachment "A" if more space is required.

**Corporation** Date incorporated: 04 / 20 / 1962 State of incorporation: California

List corporation's current officers: President: George J. Pierson  
 Vice Pres: Michael P. Kesler  
 Secretary: Deborah Butera  
 CFO: John A. Murphy

Is your firm a publicly traded corporation?  Yes  No

If Yes, name those who own ten percent (10 %) or more of the corporation's stocks:

N/A  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Limited Liability Company** Date formed:     /     /     State of formation: \_\_\_\_\_

List names of members who own ten percent (10%) or more of the company:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Partnership** Date formed:     /     /     State of formation: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Sole Proprietorship**     Date started:     /     /     \_\_\_\_\_

List all firms you have been an owner, partner or officer with during the past five (5) years. Do not include ownership of stock in a publicly traded company:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Joint Venture**     Date formed:     /     /     \_\_\_\_\_

List each firm in the joint venture and its percentage of ownership:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Note:** To be responsive, each member of a Joint Venture must complete a separate *Pledge of Compliance*.

**E. FINANCIAL RESOURCES AND RESPONSIBILITY:**

1. Is your firm preparing to be sold, in the process of being sold, or in negotiations to be sold?  
 Yes  No

If Yes, use Attachment "A" to explain the circumstances, including the buyer's name and principal contact information.

2. In the past five (5) years, has your firm been denied bonding?  
 Yes  No

If **Yes**, use Attachment "A" to explain specific circumstances; include bonding company name.

3. In the past five (5) years, has a bonding company made any payments to satisfy claims made against a bond issued on your firm's behalf or a firm where you were the principal?  
 **Yes**       **No**

If **Yes**, use Attachment "A" to explain specific circumstances.

4. In the past five (5) years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?  
 **Yes**       **No**

If **Yes**, use Attachment "A" to explain specific circumstances.

5. Within the last five years, has your firm filed a voluntary petition in bankruptcy, been adjudicated bankrupt, or made a general assignment for the benefit of creditors?  
 **Yes**       **No**

6. Please provide the name of your principal financial institution for financial reference. By submitting a response to this Solicitation Contractor authorizes a release of credit information for verification of financial responsibility.

Name of Bank: US Bank

Point of Contact: Toby Schramm

Address: 4747 Executive Drive, Suite 300, La Jolla, CA 92121

Phone Number (858) 334-8500

7. By submitting a response to a City solicitation, Contractor certifies that he or she has sufficient operating capital and/or financial reserves to properly fund the requirements identified in the solicitation. At City's request, Contractor will promptly provide to City a copy of Contractor's most recent balance sheet and/or other necessary financial statements to substantiate financial ability to perform.

#### F. PERFORMANCE HISTORY:

1. In the past five (5) years, has your firm been found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for defaulting or breaching a contract with a government agency?  
 **Yes**       **No**

If **Yes**, use Attachment "A" to explain specific circumstances.

2. In the past five (5) years, has a public entity terminated your firm's contract for cause prior to contract completion?  
 **Yes**       **No**

If **Yes**, use Attachment "A" to explain specific circumstances and provide principal contact information.

3. In the past five (5) years, has your firm entered into any settlement agreement for any lawsuit that alleged contract default, breach of contract, or fraud with or against a public entity?  
 **Yes**       **No**

If **Yes**, use Attachment "A" to explain specific circumstances.

4. Is your firm currently involved in any lawsuit with a government agency in which it is alleged that your firm has defaulted on a contract, breached a contract, or committed fraud?

Yes       No

If **Yes**, use Attachment "A" to explain specific circumstances.

5. In the past five (5) years, has your firm, or any firm with which any of your firm's owners, partners, or officers is or was associated, been debarred, disqualified, removed, or otherwise prevented from bidding on or completing any government or public agency contract for any reason?

Yes       No

If **Yes**, use *Pledge of Compliance Attachment "A"* to explain specific circumstances.

6. In the past five (5) years, has your firm received a notice to cure or a notice of default on a contract with any public agency?

Yes       No

If **Yes**, use Attachment "A" to explain specific circumstances and how the matter resolved.

7. Performance References:

Please provide a minimum of three (3) references familiar with work performed by your firm which was of a similar size and nature to the subject solicitation within the last five (5) years.

Company Name: Please see Attachment A.

Contact Name and Phone Number: \_\_\_\_\_

Contact Email: \_\_\_\_\_

Address: \_\_\_\_\_

Contract Date: \_\_\_\_\_

Contract Amount: \_\_\_\_\_

Requirements of Contract: \_\_\_\_\_

Company Name: \_\_\_\_\_

Contact Name and Phone Number: \_\_\_\_\_

Contact Email: \_\_\_\_\_

Address: \_\_\_\_\_

Contract Date:     /    /    

Contract Amount: \_\_\_\_\_

Requirements of Contract: \_\_\_\_\_



Company Name: \_\_\_\_\_

Contact Name and Phone Number: \_\_\_\_\_

Contact Email: \_\_\_\_\_

Address: \_\_\_\_\_

Contract Date:        /        / \_\_\_\_\_

Contract Amount: \_\_\_\_\_

Requirements of Contract: \_\_\_\_\_

**G. COMPLIANCE:**

1. In the past five (5) years, has your firm or any firm owner, partner, officer, executive, or manager been criminally penalized or found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for violating any federal, state, or local law in performance of a contract, including but not limited to, laws regarding health and safety, labor and employment, permitting, and licensing laws?

Yes         No

If **Yes**, use Attachment "A" to explain specific circumstances surrounding each instance. Include the name of the entity involved, the specific infraction(s) or violation(s), dates of instances, and outcome with current status.

2. In the past five (5) years, has your firm been determined to be non-responsible by a public entity?

Yes         No

If **Yes**, use Attachment "A" to explain specific circumstances of each instance. Include the name of the entity involved, the specific infraction, dates, and outcome.

**H. BUSINESS INTEGRITY:**

1. In the past five (5) years, has your firm been convicted of or found liable in a civil suit for making a false claim or material misrepresentation to a private or public entity?

Yes         No

If **Yes**, use Attachment "A" to explain specific circumstances of each instance. Include the entity involved, specific violation(s), dates, outcome and current status.

2. In the past five (5) years, has your firm or any of its executives, management personnel, or owners been convicted of a crime, including misdemeanors, or been found liable in a civil suit involving the bidding, awarding, or performance of a government contract?

Yes         No

If **Yes**, use *Pledge of Compliance Attachment "A"* to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.

3. In the past five (5) years, has your firm or any of its executives, management personnel, or owners been convicted of a federal, state, or local crime of fraud, theft, or any other act of dishonesty?

Yes         No

If **Yes**, use *Pledge of Compliance Attachment "A"* to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.

**I. WAGE COMPLIANCE:**

In the past five (5) years, has your firm been required to pay back wages or penalties for failure to comply with the federal, state or local prevailing, minimum, or living wage laws? **Yes**  **No**  If **Yes**, use Attachment "A" to explain the specific circumstances of each instance. Include the entity involved, the specific infraction(s), dates, outcome, and current status.

**J. STATEMENT OF SUBCONTRACTORS:**

Please provide the names and information for all subcontractors used in the performance of the proposed contract, and what portion of work will be assigned to each subcontractor. Subcontractors may not be substituted without the written consent of the City. Use Attachment "A" if additional pages are necessary. If no subcontractors will be used, please write "Not Applicable."

Company Name: Please see Attachment A.

Contact Name and Phone Number: \_\_\_\_\_

Contact Email: \_\_\_\_\_

Address: \_\_\_\_\_

Contract Date: \_\_\_\_\_

Sub-Contract Dollar Amount: \_\_\_\_\_

Requirements of Contract: \_\_\_\_\_

\_\_\_\_\_

What portion of work will be assigned to this subcontractor: \_\_\_\_\_

Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? (Circle One) YES  NO

If YES, Contractor must provide valid proof of certification with the response to the bid or proposal.

Company Name: \_\_\_\_\_

Contact Name and Phone Number: \_\_\_\_\_

Contact Email: \_\_\_\_\_

Address: \_\_\_\_\_

Contract Date: \_\_\_\_\_

Sub-Contract Dollar Amount: \_\_\_\_\_

Requirements of Contract: \_\_\_\_\_

\_\_\_\_\_

What portion of work will be assigned to this subcontractor: \_\_\_\_\_

Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? (Circle One) YES  NO

If YES, Contractor must provide valid proof of certification with the response to the bid or proposal.

**K. STATEMENT OF AVAILABLE EQUIPMENT:**

List all necessary equipment to complete the work specified. Use *Pledge of Compliance Attachment "A"* if additional pages are necessary. In instances where the required equipment is not owned by the Contractor, Contractor shall explain how the equipment will be made available before the commencement of work. The City of San Diego reserves the right to reject any response when, in its opinion, the Contractor has not demonstrated he or she will be properly equipped to perform the work in an efficient, effective manner for the duration of the contract period.

If no equipment is necessary to complete the work specified, please write "Not Applicable."

Equipment Description: N/A

Owned  Rented  Other  (explain below)

If Owned, Quantity Available: \_\_\_\_\_

Year, Make & Model: \_\_\_\_\_

Explanation: \_\_\_\_\_

Equipment Description: \_\_\_\_\_

Owned  Rented  Other  (explain below)

If Owned, Quantity Available: \_\_\_\_\_

Year, Make & Model: \_\_\_\_\_

Explanation: \_\_\_\_\_

Equipment Description: \_\_\_\_\_

Owned  Rented  Other  (explain below)

If Owned, Quantity Available: \_\_\_\_\_

Year, Make & Model: \_\_\_\_\_

Explanation: \_\_\_\_\_

**L. TYPE OF SUBMISSION:** This document is submitted as:

- Initial submission of *Contractor Standards Pledge of Compliance*.
- Update of prior *Contractor Standards Pledge of Compliance* dated \_\_\_\_ / \_\_\_\_ / \_\_\_\_.

**Complete all questions and sign below.**

Under penalty of perjury under the laws of the State of California, I certify that I have read and understand the questions contained in this Pledge of Compliance, that I am responsible for completeness and accuracy of the responses contained herein, and that all information provided is true to the best of my knowledge and belief. I agree to provide written notice to the Purchasing Agent within five (5) business days if, at any time, I learn that any portion of this Pledge of Compliance. Failure to timely provide the Purchasing Agent with written notice is grounds for Contract termination.

I, on behalf of the firm, further certify that I and my firm will comply with the following provisions of SDMC section 22.3004: (a) I

and my firm will comply with all applicable local, State and Federal laws, including health and safety, labor and employment, and licensing laws that affect the employees, worksite or performance of the contract.

(b) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of receiving notice that a government agency has begun an investigation of me or my firm that may result in a finding that I or my firm is or was not in compliance with laws stated in paragraph (a).

(c) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of a finding by a government agency or court of competent jurisdiction of a violation by the Contractor of laws stated in paragraph (a).

(d) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of becoming aware of an investigation or finding by a government agency or court of competent jurisdiction of a violation by a subcontractor of laws stated in paragraph (a).

(e) I and my firm will cooperate fully with the City during any investigation and to respond to a request for information within ten (10) working days.

**Failure to sign and submit this form with the bid/proposal shall make the bid/proposal non-responsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed *Pledge of Compliance* is submitted.**

Simon Wong, PE, SE, Principal-in-Charge

Name and Title

  
Signature

02/24/2017

Date

**City of San Diego Purchasing & Contracting Department  
CONTRACTOR STANDARDS  
Pledge of Compliance Attachment "A"**

EXHIBIT I

Provide additional information in space below. Use additional *Attachment "A"* pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

**F. Performance History:**

**3.** In the past five (5) years, has your firm entered into any settlement agreement for any lawsuit that alleged contract default, breach of contract, or fraud with or against a public entity?

On occasion Kleinfelder becomes involved in litigation in the ordinary course of business. These matters generally reflect routine legal issues related to our business. The company retains adequate levels of insurance to protect against its business risks. None of the pending legal disputes of the company materially impacts the financial well-being of the company.

Please understand Kleinfelder considers information about the status and projected outcome of legal disputes to be confidential information. As such Kleinfelder will not provide any information beyond publicly available information (case name, court) as to any past or pending claim. Kleinfelder will only provide such information further based on a legitimate justification.

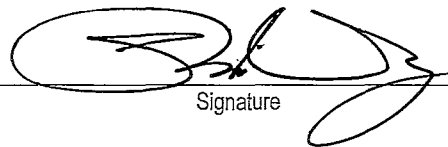
**7. Performance References:** Please provide a minimum of three (3) references familiar with work performed by your firm which was of a similar size and nature to the subject solicitation within the last five (5) years.

Reference No.	Agency/Company Name	Contact Name/Phone/Email	Company Address	Contract Date	Contract Amount	Requirements of Contract
<b>CITY OF SAN DIEGO CLIENT REFERENCES</b>						
1	City of San Diego	Iraj Asgharzadeh Senior Civil Engineer/ Project Manager 619-533-5105 IAsgharzadeh@sandiego.gov	Public Works Department Engineering Branch 525 B Street, Suite 750 San Diego, CA 92101	10/25/2012 - Ongoing	\$6.8M	Prime Firm for engineering management, design, and construction support services for the \$91M Miramar Clearwell Improvement Project
2	City of San Diego	Vien Hong Associate Engineer Engineering & Program Management Division 858-292-6473 VHong@sandiego.gov	Public Utilities, Metropolitan Wastewater Department 9192 Topaz Way San Diego, CA 92123-1119	6/1/2015 - Ongoing	\$5M	Prime Firm for As-Needed Consultant Services Contract to support existing and future water, wastewater, and recycled water facilities

*(Continued on next page)*

I have read the matters and statements made in this Contractor Standards Pledge of Compliance and attachments there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Simon Wong, PE, SE, Principal-in-Charge  
Print Name, Title



Signature

February 24, 2017  
Date

City of San Diego Purchasing & Contracting Department  
**CONTRACTOR STANDARDS**  
**Pledge of Compliance Attachment "A"**

EXHIBIT I

Reference No.	Agency/Company Name	Contact Name/Phone/Email	Company Address	Contract Date	Contract Amount	Requirements of Contract
<b>OTHER AGENCY CLIENT REFERENCES</b>						
1	State of California Department of Toxic Substances Control (DTSC)	Ms. Susan Fears, DTSC (former Stringfellow Project Manager) 916-255-6530 sfears@dtsc.ca.gov	California Department of Toxic Substances Control 8800 Cal Center Drive Sacramento, CA 95826	1/26/2004 - Ongoing	\$7.5M (Kleinfelder fee to date)	<b>Stringfellow Superfund Site</b> Large scale aquifer and water quality studies. Supported DTSC in conducting a hydrogeologic investigation of a portion of the Chino groundwater basin.
2	City of Vista	Skip Hamman Project Manager 760-802-5605 skip.hammann@gmail.com	200 Civic Center Drive Vista, CA 92084	Master Contract: 8/1/2014 - Ongoing  Task Order: 8/1/2015	Master Contract: \$200,000  Task Order: \$30,000	<b>Brengle Terrace Well Project</b> Kleinfelder is currently providing the City of Vista engineering services on a Master On-Call As-Needed Geotech, Materials Testing, & Special Inspection Services to support of projects that are not in the current CIP listing.
3	Butte County	Paul Gosselin, Director 530-538-3804 pgosselin@buttecounty.net	Department of Water and Resource Conservation 308 Nelson Avenue Oroville, CA 95965	1/1/2010 - 6/1/2013	\$1.6M	<b>Lower Tuscan Aquifer Monitoring, Recharge, and Data Management</b> Note: This project is not contracted directly through Kleinfelder. Kleinfelder's Project Manager, Joseph Turner was the Project Manager through Brown and Caldwell to provide services for this project, and Kleinfelder's key teaming partner, EMKO (Dr. Andrew Kopania) provided direct services on this project.
4	Cawelo Water District	David Ansolabehere General Manager 661-393-6072 dansolabehere@cawelowd.org	17207 Industrial Farm Road Bakersfield, CA 93308	4/16/2010 - 10/1/2011	\$25,000	<b>Famoso Groundwater Banking Project</b> Kleinfelder provided groundwater and seepage expertise to explore the subsurface conditions, obtain and test representative soil samples for hydraulic conductivity, and analyze the development of the groundwater mound below the spreading basins.

I have read the matters and statements made in this Contractor Standards Pledge of Compliance and attachments there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Simon Wong, PE, SE, Principal-in-Charge  
 Print Name, Title



Signature

February 24, 2017  
 Date

**City of San Diego Purchasing & Contracting Department**  
**CONTRACTOR STANDARDS**  
**Pledge of Compliance Attachment "A"**

EXHIBIT I

**J. Statement of Subcontractors:** Please provide the names and information for all subcontractors used in the performance of the proposed contract, and what portion of work will be assigned to each subcontractor. Subcontractors may not be substituted without the written consent of the City.

Subcontractor Company Name	Contact Name/Phone Number/Email	Office Address	Contract Date	Contract Dollar Amount	Requirements of Contract	Portion of Work to be Assigned	Certifications (SLBE, ELBE, MBE, DBE, DVBE, or OBE)
Allied Geotechnical Engineers, Inc. 9500 Cuyamaca Street, Suite 102 Santee, CA 92071-2685	Tiong J. Liem, President 619-449-5900   tj_liem@alliedgeo.org	9500 Cuyamaca Street, Suite 102 Santee, CA 92071-2685	TBD	TBD	Soil Physical Testing	5%	ELBE MBE, SBE, DBE, SB (Micro)
Bondy Groundwater Consulting, Inc. 9452 Telephone Rd. #112 Ventura, CA 93004	Bryan Bondy, PG, CHG, President 805-212-0484   bondygroundwater@gmail.com	9452 Telephone Road, Suite 112 Ventura, CA 93004	TBD	TBD	Hydrogeology/ Groundwater Management Plans	1%	OBE
Cascade Drilling, L.P. 11442 N. Woodside Ave Santee, CA 92071	Manuel Marquez, Operations Manager 619-596-0644, Ext. 2460 mmarquez@cascade-env.com	11442 N. Woodside Ave Santee, CA 92071	TBD	TBD	Drilling, Well Installation	5%	OBE
Confluence Environmental Inc. 16131 Gothard Street, Suite J Huntington Beach, CA 92647	Megan Kerns, President 949-716-4971 mkerns@confluenceenvironmental.com	16131 Gothard Street, Suite J Huntington Beach, CA 92647	TBD	TBD	Groundwater monitoring and related environmental field services	2%	WBE, SB (Micro)
Del Mar Environmental & Construction Services, Inc. 629 Del Mar Ave., Chula Vista CA 91910	Juan Diez de Bonilla, PE, President 619-638-3679   jdiezdebonilla@dmcscservices.com	629 Del Mar Ave., Chula Vista CA 91910	TBD	TBD	Soil and Geotechnical Engineering	2%	ELBE
EFS Engineering, Inc. 5620 Friars Road San Diego, CA 92110	Eugene F. Shank, PE, President 858-752-3490   skip@efs-engineering.com	5620 Friars Road San Diego, CA 92110	TBD	TBD	Rate Surveys	1%	ELBE
EMKO Environmental, Inc. 551 Lakecrest Dr. El Dorado Hills, CA 95762	Dr. Andrew A. Kopania, President 916-939-0133   akopania@sbcglobal.net	551 Lakecrest Dr. El Dorado Hills, CA 95762	TBD	TBD	Surface Water/Groundwater Interactions	1%	OBE
Eurofins Calscience, Inc. 7440 Lincoln Way Garden Grove, CA 92841	Kimberly Banks 626-731-9179   kimberlybanks@eurofinsUS.com	7440 Lincoln Way Garden Grove, CA 92841	TBD	TBD	Laboratory testing services	2%	OBE
Geomorph Information Systems, LLC (GeomorphIS) 1538 10th Avenue San Diego, CA, 92101	Eileen D Goff, GISP, Manager 619-218-6463   egoff@geomorphis.com	1538 10th Avenue San Diego, CA, 92101	TBD	TBD	GIS Services	5%	ELBE DBE, WBE
INTERA Incorporated 1812 Centre Creek Dr., Suite 300 Austin, TX, 78741	Abhishek Singh, PhD, Manager of CA Operations 424-275-4055   asingh@intera.com	1812 Centre Creek Dr., Suite 300 Austin, TX, 78741	TBD	TBD	Groundwater Modeling, Aquifer Studies,	10%	OBE
Leopold Biological Services 11160 Portobelo Drive San Diego, CA 92124	Christine L. Harvey, Principal 619-249-2531   charvey@leopoldbiological.com	11160 Portobelo Drive San Diego, CA 92124	TBD	TBD	CEQA/Biological	2%	SLBE

**City of San Diego Purchasing & Contracting Department**  
**CONTRACTOR STANDARDS**  
**Pledge of Compliance Attachment "A"**

EXHIBIT I

Subcontractor Company Name	Contact Name/Phone Number/Email	Office Address	Contract Date	Contract Dollar Amount	Requirements of Contract	Portion of Work to be Assigned	Certifications (SLBE, ELBE, MBE, DBE, DVBE, or OBE)
Moraes/Pham & Associates 2131 Palomar Airport Road, Suite 120 Carlsbad, CA 92011	Joe Moraes, PE, President 760-431-7177   Joe@moraespham.com	2131 Palomar Airport Road, Suite 120 Carlsbad, CA 92011	TBD	TBD	Instrumentation & Controls Engineering	2%	ELBE MBE
Limited Access Unlimited, Inc. DBA: Pacific Drilling Co. 5220 Anna Ave., Suite A, San Diego, CA 92110	Tod Clark, President 619-294-3682   tod@pacdrill.com	5220 Anna Ave., Suite A, San Diego, CA 92110	TBD	TBD	Drilling for infiltration testing	2%	ELBE SB(Micro)
Schaefer Ecological Solutions 815 Madison Avenue San Diego, CA, 92116	Christina Schaefer, Principal 619-991-8968   schaeferecology@cox.net	815 Madison Avenue San Diego, CA, 92116	TBD	TBD	Survey Engineering	1%	ELBE DBE, SB(Micro)
San Dieguito Engineering, Inc. 462 Stevens Avenue, Suite 305 Solana Beach, CA 92075	Annie S. Aguilar, PE, President 858-345-1160   aaguilar@sdeinc.com	462 Stevens Avenue, Suite 305 Solana Beach, CA 92075	TBD	TBD	CEQA/Biological	2%	SLBE WBE, DBE, SB(Micro)
Spindrift Archaeological Consulting 8895 Towne Centre Drive Suite 105-248 San Diego, CA 92122	Arleen Garcia-Herbst, RPA Owner and Principal Archaeologist 858-333-7202   arleen@spindriftarchaeology.com	8895 Towne Centre Drive Suite 105-248 San Diego, CA 92122	TBD	TBD	CEQA/Cultural Resources	2%	SLBE (pending) SB, SBE
STC Traffic, Inc. Pacific Highway Suite 103 San Diego, CA 92110	Dawn L. Wilson, PE, TE, Principal Manager 760-585-4494   dawn.wilson@stctrffic.com	Pacific Highway Suite 103 San Diego, CA 92110	TBD	TBD	Traffic Engineering	1%	SLBE, SB(Micro), SBE

I have read the matters and statements made in this Contractor Standards Pledge of Compliance and attachments there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Simon Wong, PE, SE, Principal-in-Charge  
 Print Name, Title



Signature

February 24, 2017  
 Date



**REGARDING INFORMATION REQUESTED UNDER THE CALIFORNIA PUBLIC RECORDS ACT**

The undersigned duly authorized representative, on behalf of the named Contractor declares and acknowledges the following:

**The contents of this contract and any documents pertaining to the performance of the contract requirements/Scope of Services resulting from this contract are public records, and therefore subject to disclosure unless a specific exemption in the California Public Records Act applies.**

If a Contractor submits information **clearly marked** confidential or proprietary, the City of San Diego (City) may protect such information and treat it with confidentiality only to the extent permitted by law. However, it will be the **responsibility of the Contractor** to provide to the City the specific legal grounds on which the City can rely in withholding information requested under the California Public Records Act, should the City choose to withhold such information.

General references to sections of the California Public Records Act will not suffice. Rather, the Contractor must provide a **specific and detailed legal basis, including applicable case law that clearly establishes** the requested information is exempt from the disclosure requirements of the California Public Records Act.

If the Contractor does not provide a specific and detailed legal basis for withholding the requested information within a time specified by the City, the City will release the information as required by the California Public Records Act and the **Contractor will hold the City harmless** for release of this information.

It will be the **Contractor's obligation to defend**, at Contractor's expense, any legal actions or challenges seeking to obtain from the City any information requested under the California Public Records Act withheld by the City at the Contractor's request. Furthermore, the Contractor shall **indemnify** the City and **hold it harmless** for any claim or liability, and **defend any action** brought against the City, resulting from the City's refusal to release information requested under the Public Records Act withheld at Contractor's request.

Nothing in this Agreement creates any obligation for the City to notify the Contractor or obtain the Contractor's approval or consent before releasing information subject to disclosure under the California Public Records Act.

Kleinfelder, Inc.

Name of Firm

Signature of Authorized Representative

Simon Wong, PE, SE, Principal-in-Charge

Printed/Typed Name

February 24, 2017

Date

## CONSULTANT CERTIFICATION

---

### AMERICAN WITH DISABILITIES ACT (ADA) COMPLIANCE CERTIFICATION

**PROJECT TITLE:** As-Needed Comprehensive Groundwater Services - Contract 1 (Contract #H166787)

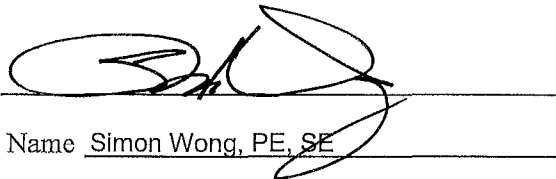
I hereby certify that I am familiar with the requirements of San Diego City Council Policy No. 100-4 regarding the American With Disabilities Act (ADA) outlined in Article IV, "ADA Certification", of the Agreement, and that;

Kleinfelder, Inc.

(Name under which business is conducted)

has in place workplace program that complies with said policy. I further certify that each subcontract agreement for this project contains language which indicates the subcontractor's agreement to abide by the provisions of the policy as outlined.

Signed



Printed Name Simon Wong, PE, SE

Title Principal-in-Charge

RESOLUTION NUMBER R- 311185

DATE OF FINAL PASSAGE JUN 21 2017

A RESOLUTION OF THE COUNCIL OF THE CITY OF  
SAN DIEGO AUTHORIZING AN AS-NEEDED  
COMPREHENSIVE GROUNDWATER SERVICES  
CONTRACT 1 WITH KLEINFELDER, INC.

WHEREAS, the City of San Diego Public Utilities Department (Department) requires assistance and support on hydrogeological protection, and other specialized work supporting the investigation and development of groundwater as a water resource in several groundwater basins including San Pasqual, Mission Valley, Santee/El Monte, San Diego Formation, and the Tijuana Basin; and

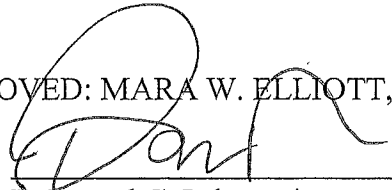
WHEREAS, in June 2016, the Department advertised and requested proposals for an As-Needed Comprehensive Groundwater Consultant Services Contract (Agreement), and Kleinfelder, Inc. was selected as the most qualified firm to provide professional groundwater services on an as-needed, hourly fee basis, with a maximum contract amount not to exceed \$4,000,000 for a maximum duration of five years; NOW, THEREFORE,

BE IT RESOLVED, by the City Council of the City of San Diego, as follows:

1. That the Mayor, or his designee, is authorized to execute an Agreement, on file with the City Clerk as Document No. RR- 311185, with Kleinfelder, Inc. for As-Needed Comprehensive Groundwater Consultant Services, to perform State-mandated groundwater monitoring work and other groundwater management and planning tasks, for a minimum of \$1,000 and a total amount not to exceed of \$4,000,000, with a contract duration of five years.

2. That the Chief Financial Officer is authorized to expend an amount not to exceed \$4,000,000 from Water Utility Operating Fund 700011, for the purpose of funding the Agreement, contingent upon adoption of the Annual Appropriation Ordinance for the applicable fiscal year, and contingent upon the City Comptroller furnishing one or more certificates certifying that the funds necessary for expenditure are, or will be, on deposit with the San Diego City Treasurer.

APPROVED: MARA W. ELLIOTT, City Attorney

By   
Raymond C. Palmucci  
Deputy City Attorney

RCP:amc  
June 5, 2017  
Or.Dept:Public Utilities  
CC No. n/a  
Doc. No.: 1498284

I certify that the foregoing Resolution was passed by the Council of the City of San Diego, at this meeting of JUN 20 2017.

ELIZABETH S. MALAND  
City Clerk

By   
Deputy City Clerk

Approved: 6/21/17  
(date)

  
KEVIN L. FAULCONER, Mayor

Vetoed: \_\_\_\_\_  
(date)

\_\_\_\_\_  
KEVIN L. FAULCONER, Mayor

Passed by the Council of The City of San Diego on JUN 20 2017, by the following vote:

Councilmembers	Yeas	Nays	Not Present	Recused
Barbara Bry	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Lorie Zapf	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Chris Ward	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Myrtle Cole	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Mark Kersey	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Chris Cate	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Scott Sherman	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
David Alvarez	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Georgette Gomez	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Date of final passage JUN 21 2017.


(Please note: When a resolution is approved by the Mayor, the date of final passage is the date the approved resolution was returned to the Office of the City Clerk.)

AUTHENTICATED BY:

(Seal)

KEVIN L. FAULCONER  
Mayor of The City of San Diego, California.

ELIZABETH S. MALAND  
City Clerk of The City of San Diego, California.

By , Deputy

Office of the City Clerk, San Diego, California

Resolution Number R- 311185

Passed by the Council of The City of San Diego June 20, 2017, by the following vote:

**YEAS:                    BRY, WARD, COLE, KERSEY, SHERMAN, ALVAREZ, GOMEZ.**

**NAYS:                    NONE.**

**NOT PRESENT:        ZAPF, CATE.**

**RECUSED:             NONE.**

AUTHENTICATED BY:

**KEVIN L. FAULCONER**

Mayor of The City of San Diego, California

**ELIZABETH S. MALAND**

City Clerk of The City of San Diego, California

(Seal)

By: Matthew R. Hilario, Deputy

I HEREBY CERTIFY that the above and foregoing is a full, true and correct copy of  
RESOLUTION NO. R-311185, approved on June 20, 2017. The date of final passage  
is June 21, 2017.

**ELIZABETH S. MALAND**

City Clerk of the City of San Diego, California

(Seal)

By:  Deputy