

ENCLOSURE 1

PHASE-FUNDED AGREEMENT BETWEEN THE CITY OF SAN DIEGO AND CCBG ARCHITECTS, INC.

FOR

SAN DIEGO FIRE RESCUE & POLICE DEPARTMENT TRAINING CENTER

CONTRACT NUMBER: H187027

DOCUMENT NOR 312511
FILED _____ IUN 1 1 2019
OFFICE OF THE CITY CLERK
SAN DIEGO, CALIFORNIA

TABLE OF CONTENTS

ARTICLE I DESIGN PROFESSIONAL SERVICES

1.1	Scope of Services	
1.2	Contract Administrator	
1.3	City Modification of Scope of Services	
1.4	Written Authorization	
1.5	Confidentiality of Services	
1.6	Competitive Bidding	2
	ARTICLE II	
	DURATION OF AGREEMENT	
2.1	Term of Agreement	2
2.2	Time of Essence	
2.3	Notification of Delay	3
2.4	Delay	
2.5	City's Right to Suspend for Convenience	3
2.6	City's Right to Terminate for Convenience	3
2.7	City's Right to Terminate for Default	4
	ARTICLE III	
	COMPENSATION	
3.1	Amount of Compensation	4
3.2	Additional Services	
3.3	Manner of Payment	6
3.4	Additional Costs	6
3.5	Eighty Percent Notification	6
	ARTICLE IV	
	DESIGN PROFESSIONAL'S OBLIGATIONS	
4.1	Industry Standards	7
4.2	Right to Audit	7
4.3	Insurance	
4.4	Subcontractors 1	
4.5	Contract Records and Reports	
4.6	Non-Discrimination Requirements	
4.7	Drug-Free Workplace 1	12
4.8	Title 24/Americans with Disabilities Act Requirements	13
4.9	Product Endorsement	13
4.10	Conflict of Interest	13
4.11	Mandatory Assistance	
4.12	Compensation for Mandatory Assistance	14

4.13	Attorney Fees related to Mandatory Assistance
4.14	Energy Conservation Specifications 15
4.15	Notification of Increased Construction Cost
4.16	Sustainable Building Policy15
4.17	Design-Build Competition Eligibility 15
4.18	Storm Water Management Discharge Control
4.19	ADA Certification
	Providing Wage Dates
4.20	Prevailing Wage Rates 16
	ARTICLE V
	RESERVED
	· RESERVED
	ARTICLE VI
	INDEMNIFICATION
6.1	Indemnification 19
6.2	Design Professional Services Indemnification and Defense
6.3	Insurance 20
6.4	Enforcement Costs
	ARTICLE VII
	MEDIATION
7.1	Mandatory Non-binding Mediation 20
7.2	Mandatory Mediation Costs 20
7.3	Selection of Mediator 20
7.4	Conduct of Mediation Sessions
	ARTICLE VIII
	INTELLECTUAL PROPERTY RIGHTS
8.1	Work For Hire
8.2.	Rights in Data 21
8.3	Intellectual Property Rights Assignment
8.4	Moral Rights
8.5	Subcontracting
8.6	Publication Design
8.7	Intellectual Property Warranty and Indemnification
8.8	Enforcement Costs
0.0	Enforcement Costs
	ARTICLE IX
	MISCELLANEOUS
9.1	Notices
9.2	Headings23
9.3	Non-Assignment
9.4	Independent Contractors23
9.5	Design Professional and Subcontractor Principals23
J-J	2

9.6	Additional Design Professionals or Contractors	23
9.7	Employment of City Staff	23
9.8	Covenants and Conditions	
9.9	Compliance with Controlling Law	24
9.10	Jurisdiction	24
9.11	Successors in Interest	
9.12	Integration	24
9.13	Counterparts	
9.14	No Waiver	
9.15	Severability	
9.16	Municipal Powers	
9.17	Drafting Ambiguities	
9.18	Conflicts Between Terms	
9.19	Design Professional Evaluation	
9.20	Exhibits Incorporated	
9.21	Survival of Obligations	
9.22	Contractor Standards	
9.23	Equal Benefits Ordinance	
9.24	Public Records	25
9.25	Equal Pay Ordinance	

DESIGN PROFESSIONAL AGREEMENT EXHIBITS

Exhibit A - Scope of Services

Exhibit B - Compensation and Fee Schedule

Exhibit C - Time Schedule

Exhibit D - City's Equal Opportunity Contracting Program Consultant Requirements

(AA) Disclosure of Discrimination Complaints

(BB) Work Force Report (CC) Subcontractors List

Exhibit E - Determination Form

Exhibit F - Consultant Evaluation Form

Exhibit G - Contractor Standards Pledge of Compliance

PHASE - FUNDED AGREEMENT BETWEEN

THE CITY OF SAN DIEGO AND CCBG ARCHITECTS, INC. FOR DESIGN PROFESSIONAL SERVICES

THIS Agreement is made and entered into between the City of San Diego, a municipal corporation [City], and CCBG Architects, Inc. [Design Professional] for the Design Professional to provide Professional Services to the City for the San Diego Fire Rescue & Police Department Training Center [Project].

RECITALS

The City wants to retain the services of a professional architectural firm to provide architectural services [Professional Services].

The Design Professional represents that it has the expertise, experience and personnel necessary to provide the Professional Services for the Project.

The City and the Design Professional [Parties] want to enter into a Phase-Funded Agreement whereby the City will retain the Design Professional to provide, and the Design Professional shall provide, the Professional Services for the Project in Phases [Agreement].

In consideration of the above recitals and the mutual covenants and conditions set forth, herein, and for good and valuable consideration, the sufficiency of which are hereby acknowledged, the Parties hereby set forth their mutual covenants and understandings as follows:

ARTICLE I DESIGN PROFESSIONAL SERVICES

The above-listed recitals are true and correct and are hereby incorporated by reference.

- 1.1 Scope of Services. The Design Professional shall perform the Professional Services as set forth in the written Scope of Services [Exhibit A] at the direction of the City.
- administrator for this Agreement. The Design Professional shall provide the Professional Services under the direction of a designated representative of the Public Works Department. The City's designated representative will communicate with the Design Professional on all matters related to the administration of this Agreement and the Design Professional's performance of the Professional Services rendered hereunder. When this Agreement refers to communications to or with the City, those communications will be with the designated representative, unless the designated representative or the Agreement specifies otherwise. However, when this Agreement refers to an act or approval to be performed by the City, that act or approval shall be performed by the Mayor or his designee, unless the Agreement specifies otherwise.
- 1.3 City Modification of Scope of Services. The City may, without invalidating this Agreement, order changes in the Scope of Services by altering, adding to or deducting from

the Professional Services to be performed. All such changes shall be in writing and shall be performed in accordance with the provisions of this Agreement. If any such changes cause an increase or decrease in the Design Professional's cost of, or the time required for, the performance of any of the Professional Services, the Design Professional shall immediately notify the City. If the City deems it appropriate, an equitable adjustment to the Design Professional's compensation or time for performance may be made, provided that any adjustment must be approved by both Parties in writing in accordance with Section 9.1 of this Agreement.

- 1.4 Written Authorization. Prior to performing any Professional Services in connection with the Project, the Design Professional shall obtain from the City a written authorization to proceed. Further, throughout the term of this Agreement, the Design Professional shall immediately advise the City in writing of any anticipated change in the Scope of Services [Exhibit A], Compensation and Fee Schedule [Exhibit B], or Time Schedule [Exhibit C], and shall obtain the City's written consent to the change prior to making any changes. In no event shall the City's consent be construed to relieve the Design Professional from its duty to render all Professional Services in accordance with applicable laws and accepted industry standards.
- Professional, including but not limited to all drafts, data, correspondence, proposals, reports, and estimates compiled or composed by the Design Professional, pursuant to this Agreement, are for the sole use of the City, its agents and employees. Neither the documents nor their contents shall be released to any third party without the prior written consent of the City. This provision does not apply to information that (a) was publicly known, or otherwise known to the Design Professional, at the time that it was disclosed to the Design Professional by the City, (b) subsequently becomes publicly known through no act or omission of the Design Professional, or (c) otherwise becomes known to the Design Professional other than through disclosure by the City. Except for Subcontractors covered by Section 4.4, neither the documents nor their contents shall be released to any third party without the prior written consent of the City.
- specifications prepared, required, or recommended under this Agreement allow for competitive bidding. The Design Professional shall design such plans or specifications so that procurement of services, labor or materials are not available from only one source, and shall not design plans and specifications around a single or specific product, piece of major equipment or machinery, a specific patented design, or a proprietary process, unless required by principles of sound engineering practice and supported by a written justification that has been approved in writing by the City. The Design Professional shall submit this written justification to the City prior to beginning work on such plans or specifications. Whenever the Design Professional recommends a specific product or equipment for competitive procurement, such recommendation shall include at least two brand names of products that are capable of meeting the functional requirements applicable to the Project.

ARTICLE II DURATION OF AGREEMENT

2.1 Term of Agreement. This Agreement shall be effective on the date it is executed by the last Party to sign the Agreement, and approved by the City Attorney in accordance with San Diego Charter Section 40. Unless otherwise terminated, this Agreement shall be effective until completion of the Scope of Services or for no more than sixty (60)

months, whichever is the earliest. Any extension beyond sixty (60) months will require City Council approval via Ordinance.

- **2.2 Time of Essence.** Time is of the essence for each provision of this Agreement, unless otherwise specified in this Agreement. The time for performance of the Scope of Services [Exhibit A] is set forth in the Time Schedule [Exhibit C].
- 2.3 Notification of Delay. The Design Professional shall immediately notify the City in writing if the Design Professional experiences or anticipates experiencing a delay in performing the Professional Services within the time frames set forth in the Time Schedule [Exhibit C]. The written notice shall include an explanation of the cause for, and a reasonable estimate of the length of the delay. If in the opinion of the City, the delay affects a material part of the Project, the City may exercise its rights under Sections 2.5–2.7 of this Agreement.
- **Delay.** If delays in the performance of the Professional Services are caused by unforeseen events beyond the control of the Parties, such delay may entitle the Design Professional to a reasonable extension of time, but such delay shall not entitle the Design Professional to damages or additional compensation. Any such extension of time must be approved in writing by the City. The following conditions may constitute such a delay: war; changes in law or government regulation; labor disputes; strikes; fires, floods, adverse weather or other similar condition of the elements necessitating cessation of the Design Professional's work; inability to obtain materials, equipment, or labor; required additional Professional Services; or other specific reasons agreed to between the City and the Design Professional; provided, however, that: (a) this provision shall not apply to, and the Design Professional shall not be entitled to an extension of time for, a delay caused by the acts or omissions of the Design Professional; and (b) a delay caused by the inability to obtain materials, equipment, or labor shall not entitle the Design Professional to an extension of time unless the Design Professional furnishes the City, in a timely manner, documentary proof satisfactory to City of the Design Professional's inability to obtain materials, equipment, or labor.
- 2.5 City's Right to Suspend for Convenience. The City may, at its sole option and for its convenience, suspend all or any portion of the Design Professional's performance of the Professional Services, for a reasonable period of time not to exceed six months. In accordance with the provisions of this Agreement, the City will give written notice to the Design Professional of such suspension. In the event of such a suspension, in accordance with the provisions of Article III of this Agreement, the City shall pay to the Design Professional a sum equivalent to the reasonable value of the Professional Services the Design Professional has satisfactorily performed up to the date of suspension. Thereafter, the City may rescind such suspension by giving written notice of rescission to the Design Professional. The City may then require the Design Professional to resume performance of the Professional Services in compliance with the terms and conditions of this Agreement; provided, however, that the Design Professional shall be entitled to an extension of time equal to the length of the suspension, unless otherwise agreed to in writing by the Parties.
- and for its convenience, terminate all or any portion of the Professional Services agreed to pursuant to this Agreement by giving written notice of such termination to the Design Professional. Such notice shall be delivered by certified mail with return receipt for delivery to the City. The termination of the Professional Services shall be effective upon receipt of the notice by the Design Professional. After termination of this Agreement, the Design Professional shall complete any and all additional work necessary for the orderly filing of documents and closing of the Design Professional's Professional Services under this

Agreement. For services satisfactorily rendered in completing the work, the Design Professional shall be entitled to fair and reasonable compensation for the Professional Services performed by the Design Professional before the effective date of termination. After filing of documents and completion of performance, the Design Professional shall deliver to the City all drawings, plans, calculations, specifications and other documents or records related to both the Project and to the Design Professional's Professional Services on the Project. By accepting payment for completion, filing and delivering documents as called for in this paragraph, the Design Professional discharges the City of all of the City's payment obligations and liabilities under this Agreement.

2.7 City's Right to Terminate for Default. If the Design Professional fails to satisfactorily perform any obligation required by this Agreement, the Design Professional's failure constitutes a Default. A Default includes the Design Professional's failure to adhere to the Time Schedule. If the Design Professional fails to satisfactorily cure a Default within ten calendar days of receiving written notice from the City specifying the nature of the Default, the City may immediately cancel and/or terminate this Agreement, and terminate each and every right of the Design Professional, and any person claiming any rights by or through the Design Professional under this Agreement. The rights and remedies of the City enumerated in this Section are cumulative and shall not limit, waive, or deny any of the City's rights under any other provision of this Agreement. Nor does this Section otherwise waive or deny any right or remedy, at law or in equity, existing as of the date of this Agreement or hereinafter enacted or established, that may be available to the City against the Design Professional.

ARTICLE III COMPENSATION

- **3.1 Amount of Compensation.** The total compensation payable by the City to the Design Professional for performance of all Professional Services rendered in accordance with this Agreement, including reasonably related expenses, shall not exceed \$3,000,000. The compensation for the Scope of Services shall not exceed \$2,961,100 and the compensation for Additional Services (described in Section 3.2), if any, shall not exceed \$38,900. Moreover, the total compensation to be paid to the Design Professional by the City for all work performed under each phase of this Agreement shall not exceed the amount for each phase as specified in the Phased Funding Schedule in Section 3.1.1 unless said amount is modified in writing by an amendment to this Agreement.
- **3.1.1 Phased Funding Schedule.** The work to be performed under this Agreement shall be performed during the separate and specific phases identified in the following Phased Funding Schedule, and further defined in the Scope of Services [Exhibit A] for the not to exceed values listed in the Compensation and Fee Schedule [Exhibit B] and in accordance with the Time Schedule [Exhibit C].

	PHASED FUNDING SCHEDUL	E
Funding <u>Phases</u>	<u>Dates</u>	Not to Exceed Total <u>Amount</u>

1	From date of execution of Agreement through completion of Agreement	\$726,400
2	From December 01, 2019 through completion of the Agreement	\$585,700
3	From 2020 through completion of the Agreement	\$1,687,900
Total		\$3,000,000

- **3.1.2** The Parties expressly agree and understand that each Funding Phase is subject to funds being appropriated and authorized by the City Council each fiscal year. The City's obligations and the Design Professional's obligations under this multi-phase contract which shall be funded by multi-phase funding authorizations are as follows:
- a. Only Phase 1 funding is available at time of contract award and no other phases are authorized. The City shall so notify the Design Professional in writing when the next Phase has been funded.
- b. The City is not obligated to the Design Professional for any amount over that specified in the Funding Schedule that has been authorized by the City Council.
- c. The Design Professional is not obligated to incur costs for the performance of work required for any subsequent Funding Phase after the first phase, until written notification is received from the City of the availability of funds for the next Phase. The Design Professional's obligation shall increase only to the extent authorized by the City.
- d. If the Agreement is terminated under Section 2.6 "City's Right to Terminate for Convenience", the settlement proposal shall be determined pursuant to procedures established in that section. The Design Professional shall be entitled to compensation for only those Services provided under Funding Phases that have been authorized by the City.
- e. The Phase Funding schedule may be amended as required by the City.
- **3.1.3** The not-to-exceed amounts stated in the Funding Schedule in Paragraph 3.1.1 above include the following two specific elements:
 - 3.1.3.1 Work described in this AGREEMENT and its Exhibits; and
- 3.1.3.2 Additional Services that may be authorized under Paragraph 3.2 of this AGREEMENT.

Design Long Form Page 5 of 27 Revised 06-28-18

Funding Phases	Not to Exceed Amount for Scope of Services	Not to Exceed Amount for Additional Services	Not to Exceed Total Amount
1	\$713,900	\$12,500	\$726,400
2	\$573,200	\$12,500	\$585,700
3	\$1,674,000	\$13,900	\$1,687,900
Total	\$2,961,100	\$38,900	\$3,000,000

- 3.2 Additional Services. The City may require that the Design Professional perform additional Professional Services [Additional Services] beyond those described in the Scope of Services [Exhibit A]. Prior to the Design Professional's performance of Additional Services, the City and the Design Professional must agree in writing upon a fee for the Additional Services, including reasonably related expenses, in accordance with the Compensation and Fee Schedule [Exhibit B]. The City will pay the Design Professional for the performance of Additional Services in accordance with Section 3.3.
- 3.3 Manner of Payment. The City shall pay the Design Professional in accordance with the above Phased Funding Schedule and the Compensation and Fee Schedule [Exhibit B]. For the duration of this Agreement, the Design Professional shall not be entitled to fees, including fees for expenses, that exceed the amounts specified in the Phased Funding Schedule and Compensation and Fee Schedule. The Design Professional shall submit one invoice per calendar month in a form acceptable to City in accordance with the Compensation and Fee Schedule. The Design Professional shall include with each invoice a description of completed Professional Services, reasonably related expenses, if any, and all other information, including but not limited to: the progress percentage of the Scope of Services and/or deliverables completed prior to the invoice date, as required by the City. The City will pay undisputed portions of invoices within thirty calendar days of receipt.
- 3.4 Additional Costs. Additional Costs are those costs that can be reasonably determined to be related to the Design Professional's errors or omissions, and may include Design Professional, City, or Subcontractor overhead, construction, materials, demolition, and related costs. The Design Professional shall not be paid for the Professional Services required due to the Design Professional's errors or omissions, and the Design Professional shall be responsible for any Additional Costs associated with such errors or omissions. These Additional Costs may be deducted from monies due, or that become due, the Design Professional. Whether or not there are any monies due, or becoming due, the Design Professional shall reimburse the City for Additional Costs due to the Design Professional's errors or omissions.
- 3.5 Eighty Percent Notification. The Design Professional shall promptly notify the City in writing of any potential cost overruns. Cost overruns include, but are not limited to the following: (1) where anticipated costs to be incurred in the next sixty calendar days, when added to all costs previously incurred, will exceed 80 percent of the maximum compensation for this Agreement; or (2) where the total cost for performance of the Scope of Services [Exhibit A] appears that it may be greater than the maximum compensation for this Agreement.

ARTICLE IV DESIGN PROFESSIONAL'S OBLIGATIONS

4.1 Industry Standards. The Design Professional agrees that the Professional Services rendered under this Agreement shall be performed in accordance with the standards customarily adhered to by an experienced and competent professional architectural firm using the degree of care and skill ordinarily exercised by reputable professionals practicing in the same field of service in the State of California. Where approval by the City, the Mayor or his designee, or other representatives of the City is required, it is understood to be general approval only and does not relieve the Design Professional of responsibility for complying with all applicable laws, codes, and good consulting practices.

4.2 Right to Audit.

- **4.2.1** Access. The City retains the right to review and audit, and the reasonable right of access to Design Professional's and any Subcontractor's premises to review and audit the Design Professional's or Subcontractor's compliance with the provisions of this Agreement [City's Right]. The City's Right includes the right to inspect and photocopy same, and to retain copies, outside of the Design Professional's premises, of any and all Project-related records with appropriate safeguards, if such retention is deemed necessary by the City in its sole discretion. This information shall be kept by the City in the strictest confidence allowed by law.
- **4.2.2** Audit. The City's Right includes the right to examine any and all books, records, documents and any other evidence of procedures and practices that the City determines are necessary to discover and verify that the Design Professional or Subcontractor is in compliance with all requirements under this Agreement.
- **4.2.2.1 Cost Audit.** If there is a claim for additional compensation or for Additional Services, the City's Right includes the right to examine books, records, documents, and any and all other evidence and accounting procedures and practices that the City determines are necessary to discover and verify all direct and indirect costs, of whatever nature, which are claimed to have been incurred, or anticipated to be incurred.
- 4.2.2.2 Accounting Records. The Design Professional and all Subcontractors shall maintain complete and accurate records in accordance with Generally Accepted Accounting Practices in the industry. The Design Professional and Subcontractors shall make available to the City for review and audit; all Project-related accounting records and documents, and any other financial data. Upon the City's request, the Design Professional and Subcontractors shall submit exact duplicates of originals of all requested records to the City.
- **4.2.3 City's Right Binding on Subcontractors.** The Design Professional shall include the City's Right as described in Section 4.2, in any and all of their subcontracts, and shall ensure that these sections are binding upon all Subcontractors.
- **4.2.4** Compliance Required before Mediation or Litigation. A condition precedent to proceeding with mandatory mediation and further litigation provided for in Article VII is the Design Professional's and Subcontractors full compliance with the provisions of this Section 4.2 within sixty days of the date on which the City mailed a written request to review and audit compliance.
- **4.3 Insurance.** The Design Professional shall not begin the Professional Services under this Agreement until it has: (a) obtained, and provided to the City, insurance

certificates and endorsements reflecting evidence of all insurance required in Article IV. Section 4.3.1; however, the City reserves the right to request, and the Design Professional shall submit, copies of any policy upon reasonable request by the City; (b) obtained City approval of each company or companies as required by Article IV, Section 4.3.3; and (c) confirmed that all policies contain the specific provisions required in Article IV, Section 4.3.4 of this Agreement. However, failure to obtain the required documents prior to the Professional Services commencing shall not waive Design Professional's obligation to provide them. City reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by this Agreement, at any time. Design Professional's liabilities, including but not limited to Design Professional's indemnity obligations, under this Agreement, shall not be deemed limited in any way to the insurance coverage required herein. Except as provided for under California law, all policies of insurance required hereunder must provide that the City is entitled to thirty (30) days prior written notice (10 days for cancellation due to non-payment of premium) of cancellation or non-renewal of the policy or policies. Maintenance of specified insurance coverage is a material element of this Agreement and Design Professional's failure to maintain or renew coverage or to provide evidence of renewal during the term of this Agreement may be treated as a material breach of contract by the City.

Further, the Design Professional shall not modify any policy or endorsement thereto which increases the City's exposure to loss for the duration of this Agreement.

- **4.3.1 Types of Insurance.** At all times during the term of this Agreement, the Design Professional shall maintain insurance coverage as follows:
- **4.3.1.1 Commercial General Liability.** The Design Professional shall keep in full force and effect Commercial General Liability (CGL) Insurance written on an ISO Occurrence form CG 00 01 07 98 or an equivalent form providing coverage at least as broad which shall cover liability arising from any and all personal injury or property damage in the amount of \$1 million per occurrence and subject to an annual aggregate of \$2 million. There shall be no endorsement or modification of the CGL limiting the scope of coverage for either insured vs. insured claims or contractual liability. All defense costs shall be outside the limits of the policy.
- 4.3.1.2 Commercial Automobile Liability. For all of the Design Professional's automobiles including owned, hired and non-owned automobiles, the Design Professional shall keep in full force and effect, automobile insurance written on an ISO form CA 00 01 12 90 or a later version of this form or an equivalent form providing coverage at least as broad for bodily injury and property damage for a combined single limit of \$1 million per occurrence. Insurance certificate shall reflect coverage for any automobile (any auto). If the Design Professional does not possess owned automobiles then coverage for hired and non-owned automobiles shall be provided.
- **4.3.1.3 Workers' Compensation.** For all of the Design Professional's employees who are subject to this Agreement and to the extent required by the applicable state or federal law, the Design Professional shall keep in full force and effect, a Workers' Compensation policy. That policy shall provide a minimum of \$1 million of employers' liability coverage, and the Design Professional shall provide an endorsement that the insurer waives the right of subrogation against the City and its respective elected officials, officers, employees, agents and representatives.
- **4.3.1.4 Architects & Engineers Professional Liability.** For all of the Design Professional's employees who are subject to this Agreement, the Design Professional shall keep in full force and effect, Professional Liability coverage for professional liability

with a limit of \$1 million per claim and \$2 million annual aggregate. The Design Professional shall ensure both that: (1) the policy retroactive date is on or before the date of commencement of the Professional Services; and (2) the policy will be maintained in force for a period of three years after substantial completion of the Professional Services or termination of this Agreement whichever occurs last. The Design Professional agrees that for the time period defined above, there will be no changes or endorsements to the policy that increase the City's exposure to loss.

4.3.2 Deductibles. All deductibles on any policy shall be the responsibility of the Design Professional and shall be disclosed to the City at the time the evidence of insurance is provided.

4.3.3 Acceptability of Insurers.

4.3.3.1 Except for the State Compensation Insurance Fund, all insurance required by this Agreement shall only be carried by insurance companies with a rating of at least "A-, VI" by A.M. Best Company, that are authorized by the California Insurance Commissioner to do business in the State of California, and that have been approved by the City.

4.3.3.2 The City will accept insurance provided by non-admitted, "surplus lines" carriers only if the carrier is authorized to do business in the State of California and is included on the List of Approved Surplus Lines Insurers (LASLI list). All policies of insurance carried by non-admitted carriers are subject to all of the requirements for policies of insurance provided by admitted carriers described herein.

4.3.4 Required Endorsements.

The following endorsements to the policies of insurance are required to be provided to the City before any work is initiated under this Agreement.

4.3.4.1 Commercial General Liability Insurance Endorsements.

ADDITIONAL INSURED. To the fullest extent allowed by law including but not limited to California Insurance Code Section 11580.04, the policy or policies must be endorsed to include as an Additional Insured the City of San Diego and its respective elected officials, officers, employees, agents and representatives with respect to liability arising out of (a) ongoing operations performed by you or on your behalf, (b) your products, (c) your work, including but not limited to your completed operations performed by you or on your behalf, or (d) premises owned, leased, controlled or used by you.

PRIMARY AND NON-CONTRIBUTORY COVERAGE. The policy or policies must be endorsed to provide that the insurance afforded by the Commercial General Liability policy or policies is primary to any insurance or self-insurance of the City of San Diego and its elected officials, officers, employees, agents and representatives as respects operations of the Named Insured. Any insurance maintained by the City of San Diego and its elected officials, officers, employees, agents and representatives shall be in excess of Design Professional's insurance and shall not contribute to it.

4.3.4.2 Worker's Compensation and Employer's Liability Insurance

Endorsements.

WAIVER OF SUBROGATION. The Worker's Compensation policy or policies must be endorsed to provide that the insurer will waive all rights of subrogation against the City and its respective elected officials, officers, employees, agents and representatives for losses paid

under the terms of this policy or these policies which arise from work performed by the Named Insured for the City.

- **4.3.5 Reservation of Rights.** The City reserves the right, from time to time, to review the Design Professional's insurance coverage, limits, deductible and self-insured retentions to determine if they are acceptable to the City. The City will reimburse the Design Professional for the cost of the additional premium for any coverage requested by the City in excess of that required by this Agreement without overhead, profit, or any other markup.
- **4.3.6 Additional Insurance.** The Design Professional may obtain additional insurance not required by this Agreement.
- **4.3.7 Excess Insurance.** All policies providing excess coverage to the City shall follow the form of the primary policy or policies including but not limited to all endorsements.
- **4.4 Subcontractors.** The Design Professional's hiring or retaining of any third parties [Subcontractors] to perform services related to the Project [Subcontractor Services] is subject to prior approval by the City. The Design Professional shall list on the Subcontractor List [Exhibit D, Attachment CC] all Subcontractors known to the Design Professional at the time this Agreement is entered. If at any time after this Agreement is entered into, the Design Professional identifies a need for addition, deletion, or substitution of Subcontractor Services, the Design Professional must submit a written notice to the City requesting approval for the change modifying the Subcontractor Services. The Design Professional's written notice shall include a justification, a description of the scope of services, an estimate of all costs/percentage of contract participation for the Subcontractor Services, and an updated Exhibit D, Attachment CC reflecting the requested change(s). The City agrees to consider such requests in good faith.
- **4.4.1 Subcontractor Contract.** All contracts entered into between the Design Professional and any Subcontractor shall contain the information as described in Sections 4.6, 4.7, 4.10.2, and 4.18, and shall also provide as follows:
- **4.4.1.1** Design Professional shall require the Subcontractor to obtain insurance policies, as described in Section 4.3.1, and those policies shall be kept in full force and effect during any and all work on this Project and for the duration of this Agreement. Furthermore, Subcontractor policy limits, and required endorsements shall be determined by the Design Professional proportionate to the services performed by the Subcontractor.
- **4.4.1.2** The Design Professional is obligated to pay the Subcontractor, for Design Professional and City-approved invoice amounts, out of amounts paid by the City to the Design Professional, not later than fourteen working days from the Design Professional's receipt of payment from the City. Nothing in this paragraph shall be construed to impair the right of the Design Professional and any Subcontractor to negotiate fair and reasonable pricing and payment provisions among themselves.
- **4.4.1.3** In the case of a deficiency in the performance of Subcontractor Services, the Design Professional shall notify the City in writing of any withholding of payment to the Subcontractor, specifying: (a) the amount withheld; (b) the specific cause under the terms of the subcontract for withholding payment; (c) the connection between the cause for withholding payment and the amount withheld; and (d) the remedial action the Subcontractor must take in order to receive the amount withheld. Once the Subcontractor corrects the deficiency, the Design Professional shall pay the Subcontractor the amount withheld within fourteen working days of the Design Professional's receipt of the City's next

payment.

- **4.4.1.4** In any dispute between the Design Professional and Subcontractor, the City shall not be made a party to any judicial or administrative proceeding to resolve the dispute. The Design Professional agrees to defend and indemnify the City as described in Article VI of this Agreement in any dispute between the Design Professional and Subcontractor should the City be made a party to any judicial or administrative proceeding to resolve the dispute in violation of this position.
- **4.4.1.5** The Subcontractor is bound to the City's Equal Opportunity Contracting Program covenants set forth in Article IV, Section 4.6 and [Exhibit D] of this Agreement.
- **4.4.1.6** The City is an intended beneficiary of any work performed by the Subcontractor for purposes of establishing a duty of care between the Subcontractor and the City.

4.5 Contract Records and Reports.

- **4.5.1** The Design Professional shall maintain records of all subcontracts entered into with all firms, all project invoices received from Subcontractors. Records shall show name, telephone number including area code, and business address of each Subcontractor and the total amount actually paid to each firm. Project relevant records, regardless of tier, may be periodically reviewed by the City.
- **4.5.2** The Design Professional shall retain all records, books, papers, and documents directly pertinent to the Contract for a period of not less than five (5) years after Completion of the contract and allow access to said records by the City's authorized representatives.
- **4.5.3** The Design Professional must submit the following reporting using the City's web-based contract compliance i.e., Prism® portal:
- **4.5.3.1** Monthly Employment Utilization. Design Professional and their Subcontractors must submit Monthly Employment Utilization Reporting by the fifth (5th) day of the subsequent month.
- **4.5.3.2** Monthly Invoicing and Payments. Design Professional and their Subcontractors must submit Monthly Invoicing and Payment Reporting by the fifth (5th) day of the subsequent month.
- 4.5.3.3 To view the City's online tutorials on how to utilize PRISM® for compliance reporting, please visit: http://stage.prismcompliance.com/etc/vendortutorials.htm Incomplete and/or delinquent reporting may cause payment delays, non-payment of invoice, or both. The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

4.6 Non-Discrimination Requirements.

4.6.1 Compliance with the City's Equal Opportunity Contracting Program. The Design Professional shall comply with the City's Equal Opportunity Contracting Program Design Professional Requirements [Exhibit D]. The Design Professional shall not

discriminate against any employee or applicant for employment on any basis prohibited by law. The Design Professional shall provide equal opportunity in all employment practices. The Design Professional shall ensure that its Subcontractors comply with the City's Equal Opportunity Contracting Program Design Professional Requirements. Nothing in this Section shall be interpreted to hold the Design Professional liable for any discriminatory practice of its Subcontractors.

- 4.6.2 Non-Discrimination Ordinance. The Design Professional shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring or treatment of Subcontractors, vendors or suppliers. The Design Professional shall provide equal opportunity for Subcontractors to participate in subcontracting opportunities. The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions. This language shall be in contracts between the Design Professional and any Subcontractors, vendors and suppliers.
- 4.6.3 Compliance Investigations. Upon the City's request, the Design Professional agrees to provide to the City, within sixty calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that the Design Professional has used in the past five years on any of its contracts that were undertaken within San Diego County, including the total dollar amount paid by the Design Professional for each subcontract or supply contract. The Design Professional further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance (San Diego Municipal Code sections 22.3501–22.3517) The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Design Professional up to and including contract termination, debarment, and other sanctions for violation of the provisions of the Nondiscrimination in Contracting Ordinance. The Design Professional further understands and agrees that the procedures, remedies and sanctions provided for in the Nondiscrimination Ordinance apply only to violations of said Nondiscrimination Ordinance.
- **4.7 Drug-Free Workplace.** By signing this Agreement the Design Professional agrees that it is aware of, and hereby certifies that it agrees to comply with, the City's Drug-Free Workplace requirements set forth in Council Policy 100–17, adopted by San Diego Resolution R-277952 and incorporated into this Agreement by this reference. Council Policy 100–17 is available on line at https://www.sandiego.gov/city-clerk/officialdocs.
- **4.7.1 Design Professional's Notice to Employees.** The Design Professional shall publish a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the work place, and specifying the actions that will be taken against employees for violations of the prohibition.
- **4.7.2 Drug-Free Awareness Program.** The Design Professional shall establish a drug-free awareness program to inform employees about: (1) the dangers of drug abuse in the work place; (2) the policy of maintaining a drug-free work place; (3) available drug counseling, rehabilitation, and employee assistance programs; (4) the penalties that may be imposed upon employees for drug abuse violations.
- **4.7.3 Posting the Statement.** In addition to Section 4.7.1 above, the Design Professional shall post the drug-free policy in a prominent place.

- 4.7.4 Subcontractor's Agreements. The Design Professional further certifies that each contract for Subcontractor Services for this Project shall contain language that binds the Subcontractor to comply with the provisions of Article IV, Section 4.7 of this Agreement, as required by Sections 2.A.(1) through (3) of Council Policy 100–17. Design Professionals and Subcontractors shall be individually responsible for their own drug-free work place program.
- 4.8 Title 24/Americans with Disabilities Act Requirements. Design Professional has sole responsibility for ensuring that all Project plans and other design services comply with all accessibility requirements under Title 24 of the California Code of Regulations, known as the California Building Code (Title 24), and under the Americans with Disabilities Act Accessibility Guidelines (ADAAG) in effect at the time the designs are submitted to the City for review. When a conflict exists between Title 24 and ADAAG, the most restrictive requirement shall be followed by Design Professional (i.e., that which provides the most access). Design Professional warrants and certifies that any and all plans and specifications prepared for the City in accordance with this agreement shall meet all requirements under Title 24 and ADAAG. Design Professional understands that while the City will be reviewing Design Professional's designs for compliance in specific and certain areas under Title 24 and ADAAG prior to acceptance of Design Professional's designs, Design Professional understands and agrees that the City's access review process and its acceptance of Design Professional's designs in no way limits the Design Professional's obligations under this agreement to prepare designs that comply with all requirements under Title 24 and ADAAG.
- **4.9 Product Endorsement.** The Design Professional acknowledges and agrees to comply with the provisions of City of San Diego Administrative Regulation 95.65, concerning product endorsement. Any advertisement identifying or referring to the City as the user of a product or service requires the prior written approval of the City.
- **4.10 Conflict of Interest.** The Design Professional is subject to all federal, state and local conflict of interest laws, regulations, and policies applicable to public contracts and procurement practices, including but not limited to California Government Code sections 1090, et seq. and 81000, et seq., and the City of San Diego Ethics Ordinance, codified in the San Diego Municipal Code at sections 27.3501 to 27.3595.
- **4.10.1** If, in performing the Professional Services set forth in this Agreement, any member of the Design Professional's organization makes, or participates in, a "governmental decision" as described in Title 2, section 18704 of the California Code of Regulations, or performs the same or substantially all the same duties for the City that would otherwise be performed by a City employee holding a position specified in the department's conflict of interest code, the individual shall be subject to a conflict of interest code requiring the completion of one or more statements of economic interests disclosing the individual's relevant financial interests. The determination as to whether any individual members of the Design Professional's organization must make disclosures of relevant financial interests is set forth in the Determination Form [Exhibit E].
- **4.10.1.1** If a determination is made that certain individuals must disclose relevant financial interests, the statements of economic interests shall be made on Fair Political Practices Commission Form 700 and filed with the City Clerk. The individual shall file a Form 700 (Assuming Office Statement) within thirty calendar days of the City's determination that the individuals are subject to a conflict of interest code. Each year thereafter, the individuals shall also file a Form 700 (Annual Statement) on or before April 1, disclosing any financial interests held during the previous calendar year for which the

individual was subject to a conflict of interest code. A Form 700 (Leaving Office Statement) shall also be filed when the individual discontinues services under this Agreement.

- **4.10.1.2** If the City requires an individual member of the Design Professional's organization to file a statement of economic interests as a result of the Professional Services performed, the individual shall be considered a "City Official" subject to the provisions of the City of San Diego Ethics Ordinance, including the prohibition against lobbying the City for one year following the termination of this Agreement.
- **4.10.2** The Design Professional shall establish and make known to its employees and agents appropriate safeguards to prohibit employees from using their positions for a purpose that is, or that gives the appearance of being, motivated by the desire for private gain for themselves or others, particularly those with whom they have family, business, or other relationships.
- **4.10.3** The Design Professional and its Subcontractors having subcontracts amounting to 1% or more of the value of the Professional Services agreed to under this Agreement are precluded from participating in design services on behalf of the contractor, construction management, and any other construction services related in any way to these Professional Services without the prior written consent of the City.
- **4.10.4** The Design Professional's personnel employed on the Project shall not accept gratuities or any other favors from any Subcontractors or potential Subcontractors. The Design Professional shall not recommend or specify any product, supplier, or contractor with whom the Design Professional has a direct or indirect financial or organizational interest or relationship that would violate conflict of interest laws, regulations, or policies.
- **4.10.5** If the Design Professional violates any conflict of interest law or any of the provisions in this Section 4.10, the violation shall be grounds for immediate termination of this Agreement. Further, the violation subjects the Design Professional to liability to the City for attorneys' fees and all damages sustained as a result of the violation.
- **4.11 Mandatory Assistance.** If a third party dispute or litigation, or both, arises out of, or relates in any way to the Professional Services provided under this Agreement, upon the City's request, the Design Professional, its agents, officers, and employees agree to assist in resolving the dispute or litigation. The Design Professional's assistance includes, but is not limited to, providing professional consultations, attending mediations, arbitrations, depositions, trials or any event related to the dispute resolution and/or litigation.
- 4.12 Compensation for Mandatory Assistance. The City will compensate the Design Professional for fees incurred for providing Mandatory Assistance as Additional Services under Section 3.2. If, however, the fees incurred for the Mandatory Assistance are determined, through resolution of the third party dispute or litigation, or both, to be attributable in whole, or in part, to the acts or omissions of the Design Professional, its agents, officers, and employees, the Design Professional shall reimburse the City. The City is then entitled to reimbursement of all fees paid to the Design Professional, its agents, officers, and employees for Mandatory Assistance.
- **4.13 Attorney Fees related to Mandatory Assistance.** In providing the City with dispute or litigation assistance, the Design Professional or its agents, officers, and employees may incur expenses and/or costs. The Design Professional agrees that any attorney fees it may incur as a result of assistance provided under Section **4.11** are not reimbursable. The Parties agree this provision does not in any way affect their rights to seek attorney fees under Article VIII, Section **8.8** of this Agreement.

4.14 Energy Conservation Specifications. Technological advances in energy conservation devices such as Lighting and Heating, Ventilation, and Air Conditioning (HVAC), enable additional energy savings over that required by the State of California's Energy Efficiency Standards (Title 24, Part 6 of the California Code of Regulations). The Design Professional shall model the energy performance of the building using an acceptable computer model such as Energy Pro, EQuest, DOE-2, Power DOE, HAP 3.22, etc. and present the summary data to the City at or prior to 100 percent design. This analysis should include life cycle cost analysis showing recovery of construction costs through operation and maintenance costs (e.g., electricity and gas savings.) The Design Professional shall prepare a cost savings matrix that lists each device being considered and one, three, five and ten-year Project savings. The comparison shall include, but not be limited to, the following equipment: Lighting, HVAC, Water Heating, and Motors.

The Design Professional shall contact the SDG&E New Construction Program at (858) 636-5725 or the San Diego Regional Energy Office at (619) 595-5634 to integrate them into the design process to ensure maximum energy performance and access to technical resources. Design Professional shall endeavor to obtain from SDG&E a UTIL-1 (Utility Incentive Worksheet) to estimate energy savings and incentives available based on the design team energy modeling.

- 4.15 Notification of Increased Construction Cost. If, at any time prior to the City's approval of the final plans and specifications, the Design Professional anticipates that the total construction cost will exceed the estimated construction budget, the Design Professional shall immediately notify the City in writing. This written notification shall include an itemized cost estimate and a list of recommended revisions which the Design Professional believes will bring the construction cost to within the estimated construction budget. The City may either: (1) approve an increase in the amount authorized for construction; or (2) delineate a project which may be constructed for the budget amount; or (3) any combination of (1) and (2).
- **4.16 Sustainable Building Policy.** The Design Professional shall comply with City Council Policy 900–14 (Sustainable Building Policy) in the performance of the Scope of Services, including but not limited to the requirement that all new or significantly remodeled City facilities shall be designed and constructed to achieve at a minimum the Leadership in Energy and Environmental Design (LEED) "Silver" Level Certification.
- **4.17 Design-Build Competition Eligibility.** Any architectural firms, engineering firms, Design Professionals, or individuals retained by the City to assist the City with developing criteria or preparing the preliminary design or the request for proposals for a Design-Build competition shall not be eligible to participate with any Design-Build Entity in that Design-Build competition. Additionally, the City may determine in its sole discretion that a Subcontractor hired to assist with a Design-Build competition, regardless of whether the Subcontractor was hired by the City or hired by an architectural firm, engineering firm, Design Professional, or individual retained by the City, has a competitive advantage and as such is ineligible to participate in that Design-Build competition.
- **4.18 Storm Water Management Discharge Control.** Design Professional shall comply with Chapter 4, Article 3, Division 3 of the San Diego Municipal Code, Storm Water Management Discharge Control and the Municipal Storm Water Permit (MS4) Permit, California Regional Water Quality Control Board Order No. R9-2013-0001 (amended by R9-2015-0001 and R9-2015-0100), Storm Water Standards Manual, as amended from time to time, and any and all Best Management Practice (BMP) guidelines and pollution elimination requirements as may be established by the Enforcement Official. Design Professional

warrants and certifies that any and all plans, reports, and specifications prepared for the City in accordance with this agreement shall meet all requirements of the San Diego Municipal Code and Storm Water Standards Manual. Design Professional understands that while the City will be reviewing Design Professional 's designs for storm water permit compliance prior to acceptance of Design Professional 's designs, Design Professional understands and agrees that the City's Storm Water review process and its acceptance of Design Professional 's designs in no way limits the Design Professional 's obligations under this agreement to prepare designs that comply with all requirements of the San Diego Municipal Code and MS4 Permit.

The Design Professional shall review the completed Storm Water Applicability Checklist (DS-560) to confirm the project's appropriate storm water requirements. For all applicable projects, and to the maximum extent practicable, the Design Professional shall incorporate and include Source Control and Low Impact Development (LID) design features or Site Design BMPs on the construction plans. In addition, for Priority Development projects, the Design Professional shall prepare a Storm Water Quality Management Plan in accordance with the requirements of the Storm Water Standards Manual and prepare a BMP plan showing all permanent BMPs, LID designs, hydromodification management plan facilities, and include sufficient details and cross sections for construction.

Design Professional shall attend the Pre-construction meeting. The Project Manager will coordinate with the Design Professional on the inspection of the permanent BMP(s) during installation. Design Professional shall inspect and confirm that the permanent BMP was installed in accordance with the details on the plans and that the permanent BMP functions to meet the requirements of the MS4 Permit. Upon notification by the Project Manager, the Design Professional shall sign and stamp the Permanent BMP Self Certification on the plans or the Permanent BMP Self Certification Form (DS-563) prior to final acceptance by the City.

For projects requiring soil-disturbance work such as geotechnical borings, street coring and potholing as component of the design, the Design Professional shall complete a Minor Water Pollution Control Plan (DS-570), if applicable.

- **4.19 ADA Certification.** By signing this Agreement the Design Professional agrees that it is aware of, and hereby certifies that it agrees to comply with, the City's Americans With Disabilities Act Compliance/City Contracts requirements set forth in Council Policy 100–04, adopted by San Diego Resolution R-282153 and incorporated into this Agreement by this reference. Council Policy 100–04 is available at https://www.sandiego.gov/city-clerk/officialdocs.
 - **4.20 Prevailing Wage Rates.** Prevailing wage rates apply to this Agreement.

Pursuant to San Diego Municipal Code section 22.3019, construction, alteration, demolition, repair and maintenance work performed under this Agreement is subject to State prevailing wage laws. For construction work performed under this Agreement cumulatively exceeding \$25,000 and for alteration, demolition, repair and maintenance work performed under this Agreement cumulatively exceeding \$15,000, the Design Professional and its subconsultants shall comply with State prevailing wage laws including, but not limited to, the requirements listed below.

4.20.1 Compliance with Prevailing Wage Requirements. Pursuant to sections 1720 through 1861 of the California Labor Code, the Design Professional and its subconsultants shall ensure that all workers who perform work under this Agreement are paid not less than the prevailing rate of per diem wages as determined by the Director of the

California Department of Industrial Relations (DIR). This includes work performed during the design and preconstruction phases of construction including, but not limited to, inspection and land surveying work.

4.20.1.1 Copies of the prevailing rate of per diem wages also may be found at http://www.dir.ca.gov/OPRL/DPreWageDetermination.htm. The Design Professional and its subconsultants shall post a copy of the prevailing rate of per diem wages determination at each job site and shall make them available to any interested party upon request.

4.20.1.2 The wage rates determined by the DIR refer to expiration dates. If the published wage rate does not refer to a predetermined wage rate to be paid after the expiration date, then the published rate of wage shall be in effect for the life of this Agreement. If the published wage rate refers to a predetermined wage rate to become effective upon expiration of the published wage rate and the predetermined wage rate is on file with the DIR, such predetermined wage rate shall become effective on the date following the expiration date and shall apply to this Agreement in the same manner as if it had been published in said publication. If the predetermined wage rate refers to one or more additional expiration dates with additional predetermined wage rates, which expiration dates occur during the life of this Agreement, each successive predetermined wage rate shall apply to this Agreement on the date following the expiration date of the previous wage rate. If the last of such predetermined wage rates expires during the life of this Agreement, such wage rate shall apply to the balance of the Agreement.

4.20.2 Penalties for Violations. Design Professional and its subconsultants shall comply with California Labor Code section 1775 in the event a worker is paid less than the prevailing wage rate for the work or craft in which the worker is employed. This shall be in addition to any other applicable penalties allowed under Labor Code sections 1720 – 1861.

4.20.3 Payroll Records. Design Professional and its subconsultants shall comply with California Labor Code section 1776, which generally requires keeping accurate payroll records, verifying and certifying payroll records, and making them available for inspection. Design Professional shall require its subconsultants to also comply with section 1776. Design Professional and its subconsultants shall submit weekly certified payroll records online via the City's web-based Labor Compliance Program. Design Professional is responsible for ensuring its subconsultants submit certified payroll records to the City.

4.20.3.1 In addition to the requirements in 4.20.3, the Design Professional and its subconsultants shall also furnish records specified in Labor Code section 1776 directly to the Labor Commissioner in the manner required by Labor Code section 1771.4.

4.20.4 Apprentices. Design Professional and its subconsultants shall comply with California Labor Code sections 1777.5, 1777.6 and 1777.7 concerning the employment and wages of apprentices. Design Professional shall be held responsible for the compliance of their subconsultants with sections 1777.5, 1777.6 and 1777.7.

4.20.5 Working Hours. Design Professional and its subconsultants shall comply with California Labor Code sections 1810 through 1815, including but not limited to: (i) restrict working hours on public works contracts to eight (8) hours a day and forty (40) hours a week, unless all hours worked in excess of eight (8) hours per day are compensated at not less than 1½ times the basic rate of pay; and (ii) specify penalties to be imposed on design professionals and subcontractors of \$25 per worker per day for each day the worker works more than eight (8) hours per day and forty (40) hours per week in violation of California Labor Code sections 1810 through 1815.

- **4.20.6 Required Provisions for Subcontracts.** Design Professional shall include at a minimum a copy of the following provisions in any contract they enter into with a subconsultant: California Labor Code sections 1771, 1771.1, 1775, 1776, 1777.5, 1810, 1813, 1815, 1860 and 1861.
- **4.20.7_Labor Code Section 1861 Certification.** Design Professional in accordance with California Labor Code section 3700 is required to secure the payment of compensation of its employees and by signing this Agreement, Design Professional certifies that "I am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this Agreement."
- **4.20.8** Labor Compliance Program. The City has its own Labor Compliance Program authorized in August 2011 by the DIR. The City will withhold contract payments when payroll records are delinquent or deemed inadequate by the City or other governmental entity, or it has been established after an investigation by the City or other governmental entity that underpayment(s) have occurred.
- 4.20.9 Contractor and Subcontractor Registration Requirements. This project is subject to compliance monitoring and enforcement by the DIR. A Design Professional or subcontractor shall not be qualified to bid on, be listed in a bid or proposal, subject to the requirements of section 4104 of the Public Contract Code, or engage in the performance of any contract for public work, as defined in this chapter, unless currently registered and qualified to perform public work pursuant to Labor Code section 1725.5 It is not a violation of this section for an unregistered contractor to submit a bid that is authorized by Section 7029.1 of the Business and Professions Code or by Section 10164 or 20103.5 of the Public Contract Code, provided the contractor is registered to perform public work pursuant to Section 1725.5 at the time the contract is awarded.
- **4.20.9.1** A Design Professional's inadvertent error in listing a subconsultant who is not registered pursuant to Labor Code section 1725.5 in response to a solicitation shall not be grounds for filing a protest or grounds for considering the bid or proposal non-responsive provided that any of the following apply: (1) the subconsultant is registered prior to proposal due date; (2) within twenty-four hours after the proposal due date, the subconsultant is registered and has paid the penalty registration fee specified in Labor Code section 1725.5; or (3) the subconsultant is replaced by another registered subconsultant pursuant to Public Contract Code section 4107.
- **4.20.9.2** By submitting a bid or proposal to the City, Design Professional is certifying that he or she has verified that all subcontractors used on this public work project are registered with the DIR in compliance with Labor Code sections 1771.1 and 1725.5, and Design Professional shall provide proof of registration for themselves and all listed subcontractors to the City at the time of bid or proposal due date or upon request.
- **4.20.10 Stop Order**. For Design Professional or its subcontractor(s) engaging in the performance of any public work contract without having been registered in violation of Labor Code sections 1725.5 or 1771.1, the Labor Commissioner shall issue and serve a stop order prohibiting the use of the unregistered Design Professional or unregistered subcontractor(s) on ALL public works until the unregistered Design Professional or unregistered subcontractor(s) is registered. Failure to observe a stop order is a misdemeanor.
- **4.20.11 List of all Subcontractors.** The Design Professional shall provide a complete list of subcontractors (regardless of tier) utilized on this Agreement, along with their DIR registration numbers, if applicable, prior to any work being performed on this

Agreement, and Design Professional shall provide a complete list of subcontractors, regardless of tier, with each invoice. Additionally, Design Professional shall provide the City with a complete list of all subcontractors utilized on this Agreement, regardless of tier, within ten working days of the completion of the Agreement, along with their DIR registration numbers, if applicable. The City shall withhold final payment to Design Professional until at least thirty (30) days after this information is provided to the City.

- **4.20.12** Exemptions for Small Projects. There are limited exemptions for installation, alteration, demolition, or repair work done on projects of \$25,000 or less. The Design Professional shall still comply with Labor Code sections 1720 et. seq. The only recognized exemptions are listed below:
- **4.20.12.1** Registration. The Design Professional will not be required to register with the DIR for small projects. (Labor Code section 1771.1).
- **4.20.12.2** Certified Payroll Records. The records required in Labor Code section 1776 shall be required to be kept and submitted to the City of San Diego, but will not be required to be submitted online with the DIR directly. The Design Professional will need to keep those records for at least three years following the completion of the Agreement. (Labor Code section 1771.4).

4.20.12.3 List of all Subcontractors. The Design Professional shall not be required to hire only registered subcontractors and is exempt from submitting the list of all subcontractors that is required in section 4.20.11 above. (Labor Code section 1773.3).

ARTICLE V RESERVED

ARTICLE VI INDEMNIFICATION

6.1 **Indemnification.** Other than in the performance of design professional services which shall be solely as addressed in Section 6.2 below, to the fullest extent permitted by law, Design Professional shall defend (with legal counsel reasonably acceptable to the City), indemnify and hold harmless the City and its officers, agents, departments, officials, and employees [Indemnified Parties] from and against all claims, losses, costs, damages, injuries (including, without limitation, injury to or death of an employee of Design Professional or its Subcontractors), expense and liability of every kind, nature and description (including, without limitation, incidental and consequential damages, court costs, attorneys' fees, litigation expenses and fees of expert consultants or expert witnesses incurred in connection therewith and costs of investigation) that arise out of, pertain to, or relate to, directly or indirectly, in whole or in part, any services performed under this Agreement by the Design Professional, any Subcontractor, anyone directly or indirectly employed by them, or anyone that they control. The Design Professional's duty to defend, indemnify, protect and hold harmless shall not include any claims or liabilities arising from the active negligence, sole negligence or sole willful misconduct of the Indemnified Parties.

6.2 Design Professional Services Indemnification and Defense.

6.2.1 Design Professional Services Indemnification. To the fullest extent permitted by law (including, without limitation, California Civil Code Section 2782.8), with respect to the performance of design professional services, Design Professional shall indemnify and hold harmless the City, its officers, or employees, from all claims, demands

or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.

- **6.2.2 Design Professional Services Defense.** Parties will work in good faith to procure applicable insurance coverage for the cost of any defense arising from all claims, demands or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.
- **6.3 Insurance.** The provisions of this Article are not limited by the requirements of Section 4.3 related to insurance.
- **6.4 Enforcement Costs.** The Design Professional agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in this Article.

ARTICLE VII MEDIATION

- 7.1 Mandatory Non-binding Mediation. With the exception of Sections 2.5-2.7 of this Agreement, if a dispute arises out of, or relates to this Agreement, or the breach thereof, and if said dispute cannot be settled through normal contract negotiations, prior to the initiation of any litigation, the Parties agree to attempt to settle the dispute in an amicable manner, using mandatory mediation under the Construction Industry Mediation Rules of the American Arbitration Association (AAA) or any other neutral organization agreed upon before having recourse in a court of law.
- 7.2 Mandatory Mediation Costs. The expenses of witnesses for either side shall be paid by the Party producing such witnesses. All other expenses of the mediation, including required traveling and other expenses of the mediator [Mediator], and the cost of any proofs or expert advice produced at the direct request of the Mediator, shall be borne equally by the Parties, unless they agree otherwise.
- 7.3 Selection of Mediator. A single Mediator that is acceptable to both Parties shall be used to mediate the dispute. The Mediator will be knowledgeable in construction aspects and may be selected from lists furnished by the AAA or any other agreed upon Mediator. To initiate mediation, the initiating Party shall serve a Request for Mediation on the opposing Party. If the Mediator is selected from a list provided by AAA, the initiating Party shall concurrently file with AAA a "Request for Mediation" along with the appropriate fees, a list of three requested Mediators marked in preference order, and a preference for available dates.
- 7.3.1 If AAA is selected to coordinate the mediation, within ten working days from the receipt of the initiating Party's Request for Mediation, the opposing Party shall file the following: a list of preferred Mediators listed in preference order after striking any Mediators to which they have any factual objection, and a preference for available dates. If the opposing Party strikes all of initiating Party's preferred Mediators, opposing Party shall submit a list of three preferred Mediators listed in preference order to initiating Party and Administrator. Initiating Party shall file a list of preferred Mediators listed in preference order, after striking any Mediator to which they have any factual objection. This process shall continue until both sides have agreed upon a Mediator.
- **7.3.2** The Administrator will appoint or the Parties shall agree upon the highest, mutually preferred Mediator from the individual Parties' lists who is available to serve within the designated time frame.

- **7.3.3** If the Parties agree not to use AAA, then a Mediator, date and place for the mediation shall be mutually agreed upon.
- **7.4 Conduct of Mediation Sessions.** Mediation hearings will be conducted in an informal manner and discovery will not be allowed. All discussions, statements, or admissions will be confidential to the Party's legal position. The Parties may agree to exchange any information they deem necessary.
- **7.4.1** Both Parties must have an authorized representative attend the mediation. Each representative must have the authority to recommend entering into a settlement. Either Party may have attorney(s) or expert(s) present. Upon reasonable demand, either Party may request and receive a list of witnesses and notification whether attorney(s) will be present.
- **7.4.2** Any agreements resulting from mediation shall be documented in writing. All mediation results and documentation, by themselves, shall be "non-binding" and inadmissible for any purpose in any legal proceeding, unless such admission is otherwise agreed upon, in writing, by both Parties. Mediators shall not be subject to any subpoena or liability and their actions shall not be subject to discovery.

ARTICLE VIII INTELLECTUAL PROPERTY RIGHTS

- 8.1 Work For Hire. All original designs, plans, specifications, reports, documentation, and other informational materials, whether written or readable by machine, originated or prepared exclusively for the City pursuant to this Agreement (Deliverable Materials) is "work for hire" under the United States Copyright law and shall become the sole property of the City and shall be delivered to the City upon request. The Design Professional, including its employees, and independent Subcontractor(s), shall not assert any common law or statutory patent, copyright, trademark, or any other intellectual proprietary right to the City to the Deliverable Materials.
- **8.2. Rights in Data.** All rights including, but not limited to publication(s), registration of copyright(s), and trademark(s) in the Deliverable Materials, developed by the Design Professional, including its employees, agents, talent and independent Subcontractors pursuant to this Agreement are the sole property of the City. The Design Professional, including its employees, agents, talent, and independent Subcontractor(s), may not use any such Deliverable Materials mentioned in this article for purposes unrelated to Design Professional's work on behalf of the City without prior written consent of the City.
- 8.3 Intellectual Property Rights Assignment. Design Professional, its employees, agents, talent, and independent Subcontractor(s) agree to promptly execute and deliver, upon request by City or any of its successors or assigns at any time and without further compensation of any kind, any power of attorney, assignment, application for copyright, patent, trademark or other intellectual property right protection, or other papers or instruments which may be necessary or desirable to fully secure, perfect or otherwise protect to or for the City, its successors and assigns, all right, title and interest in and to the content of the Deliverable Materials; and cooperate and assist in the prosecution of any action or opposition proceeding involving said rights and any adjudication of the same.
- **8.4 Moral Rights.** Design Professional, its employees, agents, talent, and independent Subcontractor(s) hereby irrevocably and forever waives, and agrees never to assert, any Moral Rights in or to the Deliverable Materials which Design Professional, its employees, agents, talent, and independent Subcontractor(s), may now have or which may

accrue to Design Professional, its employees, agents, talent, and independent Subcontractor(s)' benefit under U.S. or foreign copyright laws and any and all other residual rights and benefits which arise under any other applicable law now in force or hereafter enacted. The term "Moral Rights" shall mean any and all rights of paternity or integrity in or to the Deliverable Materials and the right to object to any modification, translation or use of said content, and any similar rights existing under judicial or statutory law of any country in the world or under any treaty, regardless of whether or not such right is denominated or referred to as a moral right.

- 8.5 Subcontracting. In the event that Design Professional utilizes a Subcontractor(s) for any portion of the Work that is in whole or in part of the specified Deliverable Materials to the City, the agreement between Design Professional and the Subcontractor [Subcontractor Agreement] shall include a statement that identifies that the Deliverable Materials/Work product as a "work-for hire" as defined in the Act and that all intellectual property rights in the Deliverable Materials/Work product, whether arising in copyright, trademark, service mark or other belongs to and shall vest solely with the City. Further, the Subcontractor Agreement shall require that the Subcontractor, if necessary, shall grant, transfer, sell and assign, free of charge, exclusively to the City, all titles, rights and interests in and to said Work/Deliverable Materials, including all copyrights and other intellectual property rights. City shall have the right to review any Subcontractor agreement for compliance with this provision.
- **8.6 Publication Design.** Design Professional may not publish or reproduce any Deliverable Materials, for purposes unrelated to Design Professional's work on behalf of the City without prior written consent of the City.
- Intellectual Property Warranty and Indemnification. Design Professional represents and warrants that any materials or deliverables, including all Deliverable Materials, provided under this contract are either original, not encumbered and do not infringe upon the copyright, trademark, patent or other intellectual property rights of any third party, or are in the public domain. If Deliverable Materials provided hereunder become the subject of a claim, suit or allegation of copyright, trademark or patent infringement, City shall have the right, in its sole discretion, to require Design Professional to produce, at Design Professional's own expense, new non-infringing materials, deliverables or Works as a means of remedying any claim of infringement in addition to any other remedy available to the City under law or equity. Design Professional further agrees to indemnify and hold harmless the City, its elected officials, officers, employees and agents from and against any and all claims, actions, costs, judgments or damages of any type alleging or threatening that any materials, deliverables, supplies, equipment, services, Deliverable Materials, or Works provided under this contract infringe the copyright, trademark, patent or other intellectual property or proprietary rights of any third party (Third Party Claims of Infringement). If a Third Party Claim of Infringement is threatened or made before Design Professional receives payment under this contract, City shall be entitled, upon written notice to Design Professional, to withhold some or all of such payment.
- **8.8 Enforcement Costs.** The Design Professional agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in Article 8, including but not limited to, attorneys' fees.

ARTICLE IX MISCELLANEOUS

9.1 Notices. In all cases where written notice is required under this Agreement, service shall be deemed sufficient if the notice is deposited in the United States mail, postage

paid. Proper notice shall be effective on the date it is mailed, unless provided otherwise in this Agreement. For the purpose of this Agreement, unless otherwise agreed in writing, notice to the City shall be addressed to: Public Works Department, c/o Marlon Perez, MS 908A, 525 B Street, San Diego, CA 92101, and notice to the Design Professional shall be addressed to: CCBG Architects, Inc., c/o Darrold Davis, 3677 Voltaire Street, San Diego, CA 92106, ddavis@ccbgarchitects.com.

- **9.2 Headings.** All article headings are for convenience only and shall not affect the interpretation of this Agreement.
- 9.3 Non-Assignment. The Design Professional shall not assign the obligations under this Agreement, whether by express assignment or by sale of the company, nor any monies due or to become due, without the City's prior written approval. Any assignment in violation of this paragraph shall constitute a Default and is grounds for immediate termination of this Agreement, at the sole discretion of the City. In no event shall any putative assignment create a contractual relationship between the City and any putative assignee.
- 9.4 Independent Contractors. The Design Professional and any Subcontractors employed by the Design Professional shall be independent contractors and not agents of the City. Any provisions of this Agreement that may appear to give the City any right to direct the Design Professional concerning the details of performing the Professional Services, or to exercise any control over such performance, shall mean only that the Design Professional shall follow the direction of the City concerning the end results of the performance.
- Design Professional and Subcontractor Principals for Professional Services. It 9.5 is understood that this Agreement is for unique Professional Services. Retention of the Design Professional's Professional Services is based on the particular professional expertise of the following members of the Design Professional's organization: Darrold Davis, George Rivera and Brian Cassidy [Project Team]. Accordingly, performance of Professional Services under this Agreement may not be delegated to other members of the Design Professional's organization or to Subcontractors without the prior written consent of the City. It is mutually agreed that the members of the Project Team are the principal persons responsible for delivery of all Professional Services and may not be removed from the Project Team without the City's prior written approval. Removal of any member of the Project Team without notice and approval by the City may be considered a default of the terms and conditions of this Agreement by the Design Professional. In the event any member of the Project Team becomes unavailable for any reason, the City must be consulted as to any replacement. If the City does not approve of a proposed replacement, the City may terminate this Agreement pursuant to section 2.6 of this Agreement. Further, the City reserves the right, after consultation with the Design Professional, to require any of the Design Professional's employees or agents to be removed from performance of the Scope of Services.
- 9.6 Additional Design Professionals or Contractors. The City reserves the right to employ, at its own expense, such additional Design Professionals or contractors as the City deems necessary to perform work or to provide the Professional Services on the Project.
- 9.7 Employment of City Staff. This Agreement may be unilaterally and immediately terminated by the City, at its sole discretion, if the Design Professional employs an individual who, within the last twelve months immediately preceding such employment did, in the individual's capacity as an officer or employee of the City, participate in, negotiate with, or otherwise have an influence on the recommendation made to the City Council or Mayor in connection with the selection of the Design Professional.

- **9.8 Covenants and Conditions.** All provisions of this Agreement, expressed as either covenants or conditions on the part of the City or the Design Professional, shall be deemed to be both covenants and conditions.
- 9.9 Compliance with Controlling Law. The Design Professional shall comply with all laws, ordinances, regulations, and policies of the federal, state, and local governments applicable to this Agreement, including California Labor Code section 1720 relating to the payment of prevailing wages during the design and preconstruction phases of a project, including inspection and land surveying work. In addition, the Design Professional shall comply immediately with all directives issued by the City or its authorized representatives under authority of any laws, statutes, ordinances, rules, or regulations. The laws of the State of California shall govern and control the terms and conditions of this Agreement.
- **9.10 Jurisdiction**. The jurisdiction and applicable laws for any suit or proceeding concerning this Agreement, the interpretation or application of any of its terms, or any related disputes shall be in accordance with the laws of the State of California without regard to the conflicts or choice of law provisions thereof.
- **9.11 Successors in Interest.** This Agreement and all rights and obligations created by this Agreement shall be in force and effect whether or not any Parties to the Agreement have been succeeded by another entity, and all rights and obligations created by this Agreement shall be vested and binding on any Party's successor in interest.
- **9.12 Integration.** This Agreement and the Exhibits and references incorporated into this Agreement fully express all understandings of the Parties concerning the matters covered in this Agreement. No change, alteration, amendment, or modification of the terms or conditions of this Agreement, and no verbal understanding of the Parties, their officers, agents, or employees shall be valid unless made in the form of a written change agreed to in writing by both Parties. All prior negotiations and agreements are merged into this Agreement.
- **9.13 Counterparts.** This Agreement may be executed in counterparts, which when taken together shall constitute a single signed original as though all Parties had executed the same page.
- 9.14 No Waiver. No failure of either the City or the Design Professional to insist upon the strict performance by the other of any covenant, term or condition of this Agreement, nor any failure to exercise any right or remedy consequent upon a breach of any covenant, term, or condition of this Agreement, shall constitute a waiver of any such breach of such covenant, term or condition. No waiver of any breach shall affect or alter this Agreement, and each and every covenant, condition, and term hereof shall continue in full force and effect without respect to any existing or subsequent breach.
- **9.15 Severability.** The unenforceability, invalidity, or illegality of any provision of this Agreement shall not render any other provision of this Agreement unenforceable, invalid, or illegal.
- **9.16 Municipal Powers.** Nothing contained in this Agreement shall be construed as a limitation upon the powers of the City as a chartered city of the State of California.
- 9.17 **Drafting Ambiguities.** The Parties agree that they are aware that they have the right to be advised by counsel with respect to the negotiations, terms and conditions of this Agreement, and the decision of whether or not to seek advice of counsel with respect to this Agreement is a decision which is the sole responsibility of each Party. This Agreement shall

not be construed in favor of or against either Party by reason of the extent to which each Party participated in the drafting of the Agreement.

- 9.18 Conflicts Between Terms. If an apparent conflict or inconsistency exists between the main body of this Agreement and the Exhibits, the main body of this Agreement shall control. If a conflict exists between an applicable federal, state, or local law, rule, regulation, order, or code and this Agreement, the law, rule, regulation, order, or code shall control. Varying degrees of stringency among the main body of this Agreement, the Exhibits, and laws, rules, regulations, orders, or codes are not deemed conflicts, and the most stringent requirement shall control. Each Party shall notify the other immediately upon the identification of any apparent conflict or inconsistency concerning this Agreement.
- **9.19 Design Professional Evaluation.** City will evaluate Design Professional's performance of Professional Services on the Project using the Consultant Evaluation Form [Exhibit F].
- **9.20 Exhibits Incorporated.** All Exhibits referenced in this Agreement are incorporated into the Agreement by this reference.
- **9.21 Survival of Obligations.** All representations, indemnifications, warranties and guarantees made in, required by or given in accordance with this Agreement, as well as all continuing obligations indicated in this Agreement, shall survive, completion and acceptance of the Professional Services and termination or completion of the Agreement.
- **9.22 Contractor Standards.** This Agreement is subject to the Contractor Standards clause of the Municipal Code Chapter 2, Article 2, Division 30 adopted by Ordinance No. O-20316. All consultants are required to complete the Contractor Standards Pledge of Compliance included herein as Exhibit G.
- 9.23 Equal Benefits Ordinance. Unless an exception applies, Design Professional shall comply with the Equal Benefits Ordinance (EBO) codified in the San Diego Municipal Code (§22.4304(f)). Failure to maintain equal benefits is a material breach of this Agreement. By signing this Agreement, Design Professional certifies that Design Professional is aware of, and will comply with, this City-mandated clause throughout the duration of the Agreement.
- **9.24 Public Records.** By Signing this Agreement the Design Professional agrees that it is aware that the contents of this Agreement and any documents pertaining to the performance of the Agreement requirements/Scope of Services resulting from this Agreement are public records, and therefore subject to disclosure unless a specific exemption in the California Public Records Act applies.

If the Design Professional submits information **clearly marked** confidential or proprietary, the City of San Diego (City) may protect such information and treat it with confidentiality only to the extent permitted by law. However, it will be the **responsibility of the Design Professional** to provide to the City the specific legal grounds on which the City can rely in withholding information requested under the California Public Records Act, should the City choose to withhold such information.

General references to sections of the California Public Records Act will not suffice. Rather, the Design Professional must provide a **specific and detailed legal basis**, **including applicable case law** that **clearly establishes** the requested information is exempt from the disclosure requirements of the California Public Records Act.

If the Design Professional does not provide a specific and detailed legal basis for withholding the requested information within a time specified by the City, the City will release the information as required by the California Public Records Act and the **Design Professional will hold the City harmless** for release of this information.

It will be the **Design Professional's obligation to defend**, at Design Professional's expense, any legal actions or challenges seeking to obtain from the City any information requested under the California Public Records Act withheld by the City at the Design Professional's request. Furthermore, the Design Professional shall **indemnify** the City and **hold it harmless** for any claim or liability, and **defend any action** brought against the City, resulting from the City's refusal to release information requested under the Public Records Act withheld at Design Professional's request.

Nothing in this Agreement creates any obligation for the City to notify the Design Professional or obtain the Design Professional's approval or consent before releasing information subject to disclosure under the California Public Records Act.

9.25 Equal Pay Ordinance. Unless an exception applies, Design Professional shall comply with the Equal Pay Ordinance (EPO) codified in the San Diego Municipal Code (SDMC) at section 22.4801 through 22.4809. Design Professional shall require all of its subconsultants to certify compliance with the EPO in their written subcontracts. Design Professional must post a notice informing its employees of their rights under the EPO in their workplace or job site. By signing this Agreement with the City of San Diego, Design Professional acknowledges the EPO requirements and pledges ongoing compliance with the requirements of SDMC Division 48, section 22.4801 et seq., throughout the duration of this Agreement.

The remainder of this page has been intentionally left blank.

IN WITNESS WHEREOF, this Agreement is executed by the City of San Diego, acting by and through its Mayor, pursuant to Resolution Number R- 312511, authorizing such execution, and by the Design Professional pursuant to the Authorization
Signature Form.
I HEREBY CERTIFY I can legally bind CCBG Architects, Inc. and that I have read all of this Agreement, this <u>13th</u> day of <u>May</u> , 2019.
By_ R
Brian Cassidy
President
Dated this 15th day of August, 2019.
THE CITY OF SAN DIEGO
Mayor or Designee
By: Cindy Crocker Principal Contract Specialist Public Works Contracts
I HEREBY APPROVE the form of the foregoing Agreement this 19th day of 4 day of 2019.
MARA W. ELLIOTT, City Attorney

R-312511

Deputy City Attorney

DESIGN PROFESSIONAL AGREEMENT EXHIBITS

SCOPE OF SERVICES

EXHIBIT A SCOPE OF SERVICES

PHASE I PROJECT FEASIBILITY (10% Design Complete)

- Project Management
- Project Meetings, Coordination and Scheduling
- Progress Reports
- Quality Control /Quality Assurance
- Site Assessment
- Programing of desired/required spaces based on City's existing facilities
- Programing of desired/required spaces based on similar existing Southwestern facilities
- Review of City Records and Maps and Reports
- Geotechnical Testing and Report
- Existing Utility Research
- Environmental Review and Analysis and Reports
- Environmental Traffic Analysis and Reports
- Survey of Existing Property based on owner's updated title report with back up documents
- Conceptual Development of proposed building floor plans and site plans (2-D)
- Conceptual 3-D massing's of proposed buildings
- Preliminary Grading-Drainage Plan with Preliminary Hydrology Calculations
- Conceptual wet utilities plan
- Conceptual Power distribution plan and research with SDGE
- Conceptual landscape planting plan
- Assistance in PID revision including public hearings with a Community Facilitator

DELIVERABLES: Architectural Site Plans, Architectural Floor Plans, Reports, Surveys to support Planned Industrial Development Permit revision and Conceptual Feasibility of Project Site. Civil Survey and conceptual site grading plans.

PHASE 2 SYSTEMS AND COST FEASIBILITY: (10 + 5 = 15%) Design Complete)

- Project Management
- Project Meetings, Coordination and Scheduling
- Progress Reports
- Quality Control /Quality Assurance
- Site Assessment
- Review of City Records and Maps and Reports
- Conceptual Development of proposed building floor plans, site plans, roof plans, consultant systems (2-D)
- Structural Engineer Conceptual systems Analysis, Alternative Options and written descriptions
- Mechanical Conceptual system Analysis, Alternative Options and written descriptions
- Cost estimate #1
- Review of City Records and Maps and Reports -Right of Way (r.o.w)

DELIVERABLES: Refined Architectural Site Plans, Floor Plans, Reports, Civil Surveys to support Conceptual Feasibility of Project Site.

PHASE 3 DESIGN DEVELOPMENT: (15 + 15 = 30% Design Complete

- Project Management
- Project Meetings, Coordination and Scheduling
- Progress Reports
- Quality Control /Quality Assurance
- Building Code Exiting review analysis
- Building Code Occupancy review analysis
- Building Code Construction type review analysis
- Refine Site Plans from Architectural
- Refine Development of proposed building floor plans, site plans, roof plans, ceiling plans, sections, exterior elevations, building materials, interior finish schedules, door and window schedules consultant systems (2-D)
- Structural Engineer proposed footing and framing skeletons drawings (2-D)
- Mechanical proposed mechanical system drawings (2-D)
- Electrical lighting ceiling plans (2-D)
- LEED preliminary planning and out-line specifications
- Civil r.o.w. reports and diagrams to outline required off site scope
- Cost estimate #2

DELIVERABLES: Architectural and Consultant Plans formatted to City of San Diego Standards. Outline glossary of specification sections and LEED point targets.

End of exhibit A

COMPENSATION AND FEE SCHEDULE

EXHIBIT B COMPENSATION & FEE SCHEDULE

	PHASE 1 PROJECT	SITE FEASIBLITY	
PROJECT MANAGEMENT			
Architectural Services:			
Programing:	\$ 51800		
Site Feasibility Phase 1 (incld prelim flr plans):	\$100000		
Strategic Prelim Development Services review:	\$ 10000		
Research recent facility design:	\$ 21180		
Meeting (client and consult general)	<u>\$ 33370</u>		
	\$216350	Architectural total:	\$216,350
•			
SITE ASSESSMENT			
Civil Engineering Services:			
Site Survey:	\$ 17650		
Site Feasibility phase 1:	\$100,450		
	\$ 118100	Civil Total:	\$118,100
Electrical Engineering Services:			
Site Feasibility Elect-Data Site phase 1:	<u>\$ 36960</u>		
	\$ 36960	Electrical Total:	\$36,960
Geotechnical Engineering Report:	•	,	
Soils borings strength	\$ 49700		
Soils borings infiltration	\$ 12800		
Report	\$ 8000		
	\$ 70500	Geotechnical Eng. Total	\$70,500
Landscape Design Services:			
Feasibility Phase 1:	\$ 49000		
	\$ 49000	Landscape Total:	\$49,000
Environmental Services Traffic:			
Environmental Traffic:	\$ 39600		
	\$ 39600	Environmental Traffic Tota	ıl: \$39,600
Environmental Services Others:			
Environmental Project Mgmt. & Meeting:	\$ 10920		•
Environmental Monitoring soils borings	\$ 8400		
Environmental Biological	\$ 9000		
Environmental Cultural	\$ 6600		
Environmental Acoustical	\$ 28800		
Environmental Air Quality	\$ 8520		
Environmental Green House	\$ 9000		
Environmental Paleontological	<u>\$ 3000</u>		
	\$ 84240	Environmental OthersTota	l: \$84,240
Community Facilitator:		W	
Phase 1 Discretionary processing	<u>\$ 70000</u>		
	\$ 70000	Community Facilitator Tot	al: \$70,000
ALTERNATIVES			
Site Feasibility Phase I (incld prelim flr plans);	<u>\$ 29150</u>		
	\$ <u>29150</u>	Architectural total:	\$29,150
SUB TOTAL Phase 1			\$713,900
Additional Services Allowance			\$12,500
SUB TOTAL Site Feasibi	lity Phase 1:		\$726,400

PHASE 2 SYSTEMS & COST FEASIBLITY

PROJECT MANAGEMENT			
Architectural Services:			
Site:	\$100000		
Program:	\$ 10000		
Exhibits :	\$ 11000		
Meetings (client and consultants)	\$ 30000		
Building and Prop (building elev)	<u>\$110000</u>	·	
	\$261000	Architectural total:	\$261,000
SITE ASSESSMENT			
Structural Engineering Services:			
Schematic Phase 2:	\$ 97200_		
	\$ 97200	Structural Total:	\$97,200
Civil Engineering Services:			
Schematic phase part 2:	\$ 50000		
	\$ 50000	Civil Total:	\$50,000
Geotechnical Engineering Report:			
Schematic Phase 2	<u>\$ 10000</u>		
·	\$ 10000	Geotechnical Eng. Total	\$10,000
Mechanical-Plumbing Engineering Services:			
Site feasibility Phase 2:	<u>\$ 40800</u>		
	\$ 40800	Mechanical Total:	\$40,800
Cost Estimator:			
Schematic phase 2 estimate (building sf / site)	<u>\$ 75200</u>		
	\$ 75200	Cost Estimator Total:	\$75,200
		·	
ALTERNATIVES			
Site Feasibility Phase 2 (incld prelim fir plans);	\$ 39000 Fixed Fee		
	\$ 39000 Fixed Fee	Architectural total:	\$39,000
SUB TOTAL Phase 2			\$573,200
·			
Additional Services Allowance			\$12,500
SUB TOTAL Systems and	d Cost Feasibility Phase 2:		\$585,700

PHASE 3 DESIGN DEVELOPMENT

PROJECT MANAGEMENT Architectural Services:			
Meetings (client and consultants)	\$ 30000		
Exhibits refinement:	\$ 11000		
	\$ 41000	Architectural total:	\$41,000
THE CHARGE CORNERS AND A PARTICULAR TRANSPORT OF THE	Pomio i mroni		
RIGHT OF WAY UTILITY INV	ESTIGATION		
Civil Engineering Services: Des. Dev Design phase 3:	\$ 10000		
Des. Dev Desigli phase 3.	\$ 10000	Civil Total:	\$10,000
	\$ 10000	Civii Iotal:	\$10,000
PLANS -SPECIFICATIONS-CO	<u>ST ESTIMATES</u>		
Architectural Services:			•
Sections and systems integration:	\$200000		
Consultant Mgmt.:	\$200000		
Specifications development phase 3:	\$119000		
LEED Coordination phase 3	\$ 59800		•
	\$578800	Architectural total:	\$578,800
Structural Engineering Services:		•	
Design Dev. Phase 3:	<u>\$259000</u>		
	\$259000	Structural Total:	\$259,000
Electrical Engineering Services:		•	
Des Dev phase 3:	\$225000		
·	\$225000	Electrical Total:	\$225,000
Landscape Design Services:			
Design Dev. Phase 3:	\$150000		
	\$150000	Landscape Total:	\$150,000
Mechanical-Plumbing Engineering Serv	T	Eurasapo tour.	ψ130,000
Design Dev Phase 3:	\$335000		
D 001511 15 0 7 1 11460 5 7	\$335000	Mechanical Total:	\$335,000
Cost Estimator:	\$333000	Wissianion Tour	φ333,000
Des dev phase 3estimate	\$ 75200		
DOS GOT BILLIO DOSILITADO	\$ 75200	Cost Estimator Total:	\$75,200
SUB TOTAL Phase 3		Cost Bothintor Lotter.	\$1,674,000
SOUTO PART HAS C	•		Φ F ² O 1 - 2 O O O
Additional Serv. Allowance			\$13,900
SUB TOTAL Des. De	ev. Phase 3:		\$1,687,900
TOTAL (all phases 1	, 2, & 3):		\$3,000,000

End of exhibit B

TIME SCHEDULE

EXHIBIT C TIME SCHEDULE

ID		ation (iṅ king day
5	☐ CCBG SD FR + PD Training Center	716d
2	Project Feasibility PHASE 1	0d
3	ARCH review existing facilities and generate clients space progr	28d
1	ARCH create initial matrix program existing vs propose	12d
5	ARCH refine matrix program with clients	28d
5	Site Concept & Feasibility	0d
7	ARCH generate Partial Architectural Floor Plans	28d
3	ARCH continue review existing facilities and generate clients sp	1 4d
9	client sign ARCH agreement so ARCH can sign Sub-consultant a	1d
10	Civil Eng Generate site survey with topo based on Owner's preli	30d
11	ARCH generate initial draft concept site plan	28d
1.2	Civil Eng research elxsiting site utilities based on City records	5d
13	Civil generate initial draft prelim Grading & Drainage	28d
14	ARCH refine site plan based on Grading & Drainage	14d
memory multiple		14d
15	CIVIL Eng prepare preliminarty reports SWQM Plan & Hydro -mo.	7d
1.6	Geotech performs review available background maps -City recor	14d
17	Geotech perform large and small site borings	mupous medators (200
1.8	Environmental monitor large boring spoils	14d
19	Geotech Issues first draft of geotech report	1d
20	Civil Eng refines preliminary Grading & Drainage based on geote	14d
21	Landscape ARCH generates conceptual planting /code plans	15d
22	Environmental Consultants generate strategic analysis & reports	30d
23	MPE Eng generate prelim electric loading requirements for site	7d
24	MPE Eng coordinate preliminary service concept with SDGE	40d
25	MPE Eng generate electrical site plan distribution diagram	14d
26	ARCH refines site exhibits for PID revision	14d
27	City initiates and processes PID revision	560
28	ARCH & Subconsultants respond to PID requests	140
29	owner team review time allocation	21c
31	Systems and Cost Feasibility PHASE 2	10
32	STRUCT Eng generates descriptions of Proposed structural syste	600
33	MPE Eng gernerates descriptions of Proposed Mech systems	300
34	ARCH generates exterior skin, fenestration, exterior skin concept	600
35	Civil Eng refines grading-paving and wet utilities site plans	300
36	Cost estimator generates 1st conceptual cost estimate	420
37	owner team review time allocation	210
39	Design Development PHASE 3	10
40	ARCH generates DD documents for 16 buildings/props - studio 4	160
41	STRUCT Eng generates DD documents for 16 building/props	160
42	MPE Eng generates mech plumbing DD documents for 16 buildin.	+
	- Landard and color property of the state of	-
43	MPE Eng generates electrical DD documents for 16 buildings /pr	. 160
44	Landscape ARCH generates DD documents for site	30
45	Civil Eng refines documents for site base on sub consultant DD	
46	ALL Sub consultants generate DD docs minor revisions	28
47	Cost estimator generates 2nd conceptual cost estimate	28

Note: All work must be completed by the Agreement's expiration date stated in Section 2.1

EQUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP)

CONSULTANT REQUIREMENTS

TABLE OF CONTENTS

l.	City's	Equal Opportunity Commitment	1		
п.	Nondiscrimination in Contracting Ordinance				
m.	Equal	Employment Opportunity Outreach Program	2		
IV.	Small	and Local Business Program	2		
V.	Demonstrated Commitment to Equal Opportunity				
VI.	Definitions				
VII.	Certification				
VIII.	List o	f Attachments	5		
	AA.	Disclosure of Discrimination Complaints	6		
	BB.	Work Force Report	10		
	CC.	Subcontractors List	.11		

I. City's Equal Opportunity Commitment. The City of San Diego (City) is strongly committed to equal opportunity for employees and Subcontractors of Consultants doing business with the City. The City encourages its Consultants to share this commitment. Consultants are encouraged to take positive steps to diversify and expand their Subcontractor solicitation base and to offer consulting opportunities to all eligible Subcontractors. Consultants are encouraged to take positive steps to diversify and expand their subcontractor and supplier solicitation base and to offer opportunities to all eligible business firms.

Failure to submit the required EOCP documentation indicated below shall result in a determination of the Consultant being non-responsive.

- **II. Nondiscrimination in Contracting Ordinance.** All Consultants doing business with the City, and their Subcontractors, must comply with requirements of the City's *Nondiscrimination in Contracting Ordinance*, San Diego Municipal Code Sections 22.3501 through 22.3517.
 - A. <u>Disclosure of Discrimination Complaints (Attachment AA)</u>. As part of its bid or proposal, Consultant shall provide to the City a list of all instances within the past ten (10) years where a complaint was filed or pending against Consultant in a legal or administrative proceeding alleging that Consultant discriminated against its employees, Subcontractors, vendors, or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.

B. <u>Contract Language</u>. The following language shall be included in contracts for City projects between the Consultant and any Subcontractors, vendors, and suppliers:

Contractor shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring, or treatment of subcontractors, vendors, or suppliers. Consultant shall provide equal opportunity for Subcontractors to participate in opportunities. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

- C. Contract Disclosure Requirements. Upon the City's request, Consultant agrees to provide to the City, within sixty (60) calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that Consultant has used in the past five (5) years on any of its contracts that were undertaken within County of San Diego, including the total dollar amount paid by Consultant for each subcontract or supply contract. Consultant further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance, Municipal Code Sections 22.3501 through 22.3517. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Consultant up to and including contract termination, debarment and other sanctions.
- III. Equal Employment Opportunity Outreach Program. Consultants shall comply with requirements of San Diego Municipal Code Sections 22.2701 through 22.2707. Consultants shall submit with their proposal a Work Force Report for approval by the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP).
 - A. <u>Nondiscrimination in Employment</u>. Consultant shall not discriminate against any employee or applicant for employment on any basis prohibited by law. Contractor shall provide equal opportunity in all employment practices. Consultants shall ensure that their subcontractors comply with this program. Nothing in this Section shall be interpreted to hold a Consultant liable for any discriminatory practice of its subcontractors.
 - B. Work Force Report. If based on a review of the Work Force Report (Attachment BB) submitted an EOCP staff Work Force Analysis determines there are under representations when compared to County Labor Force Availability data, then the Consultant will also be required to submit an Equal Employment Opportunity (EEO) Plan to the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP) for approval.
 - C. <u>Equal Employment Opportunity Plan</u>. If an Equal Employment Opportunity Plan is required, the Program Manager of EOCP will provide a list of plan requirements to Consultant.
- IV. Small and Local Business Program Requirements. The City has adopted a Small and Local Business Enterprise (SLBE) program for consultant contracts. SLBE program requirements for consultant contracts are set forth Council Policy 100-10.
 - A. <u>SLBE and ELBE Participation for Contracts Valued Over \$50,000</u>:
 - 1. For all consultant contracts, the City shall apply a maximum of an additional 12 points for SLBE or ELBE participation, to the proposer's subtotal maximum evaluation points..

Additional points will be awarded as follows to achieve the proposer's final maximum evaluation points:

- a. If the proposer achieves 20% participation, apply 5 points to the proposer's score; or
- b. If the proposer achieves 25% participation, apply 10 points to the proposer's score; or
- c. If the prime consultant is a SLBE or an ELBE, apply 12 points to the proposer's score.
- B. <u>Subcontractor Participation List</u>. The Subcontractor Participation List (Attachment CC) shall indicate the Name and Address, Scope of Services, Percent of Total Proposed Contract Amount, Certification Status and Where Certified for each proposed Subcontractor/Subconsultant.

V. Maintaining Participation Levels.

- A. Bid discounts and additional points are based on the Consultant's level of participation prior to the award of goods, services, or consultant contract. Consultants are required to achieve and maintain the SLBE or ELBE participation levels throughout the duration of the goods, services, or consultant contract.
- B. If the City modifies the original specifications, the Consultant shall make reasonable efforts to maintain the SLBE or ELBE participation for which the bid discount or additional points were awarded. The City must approve in writing the reduction in SLBE or ELBE participation levels.
- C. The Consultant shall notify and obtain written approval from the City in advance of any reduction in subcontract scope, termination, or substitution for a designated SLBE or ELBE subcontractor.
- D. Consultant's failure to maintain SLBE or ELBE participation levels as specified in the goods, services, or consultant contract shall constitute a default and grounds for debarment under Chapter 2, Article 2, Division 8, of the San Diego Municipal Code.
- E. The remedies available to the City under Council Policy 100-10 are cumulative to all other rights and remedies available to the City.

VI. Definitions.

Commercially Useful Function: a Small Local Business Enterprise or Emerging Local Business Enterprise (SLBE/ELBE) performs a commercially useful function when it is responsible for execution of the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the SLBE/ELBE shall also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quantity and quality, ordering the material, and installing (where applicable) and paying for the material itself.

To determine whether an SLBE/ELBE is performing a commercially useful function, an evaluation will be performed of the amount of work subcontracted, normal industry practices, whether the amount the SLBE/ELBE firm is to be paid under the contract is commensurate with the work it is actually performing and the SLBE/ELBE credit claimed for its performance of the work, and other relevant factors. Specifically, a SLBE/ELBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of meaningful and useful SLBE/ELBE participation, when in similar transactions in which SLBE-ELBE firms do not participate, there is no such role performed.

Disadvantaged Business Enterprise (DBE): a certified business that is (1) at least fifty-one (51%) owned by socially and economically Disadvantaged Individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more socially and economically Disadvantaged Individuals; and (2) whose daily business operations are managed and directed by one or more socially and economically disadvantaged owners. Disadvantaged Individuals include Black Americans, Hispanic Americans, Asian Americans, and other minorities, or individual found to be disadvantaged by the Small Business Administration pursuant to Section 8 of the Small Business Reauthorization Act.

Disabled Veteran Business Enterprise (DVBE): a certified business that is (1) at least fifty-one percent (51%) owned by one or more disabled veterans; and (2) business operations must be managed and controlled by one or more disabled veterans. Disabled Veteran is a veteran of the U.S. military, naval, or air service; the veteran must have a service-connected disability or at least 10% or more; and the veteran must reside in California. The firm shall be certified by the State of California's Department of General Services, Office of Small and Minority Business.

Emerging Business Enterprise (EBE): a business whose gross annual receipts do not exceed the amount set by the City Manager, and which meets all other criteria set forth in the regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review the threshold amount for EBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace.

Emerging Local Business Enterprise (ELBE): a Local Business Enterprise that is also an Emerging Business Enterprise.

Local Business Enterprise (LBE): a firm having a Principal Place of Business and a Significant Employment Presence in San Diego County, California, that has been in operation for 12 consecutive months and a valid business tax certificate. This definition is subsumed within the definition of Small Local Business Enterprise.

Minority Business Enterprise (MBE): a certified business that is (1) at least fifty-one percent (51%) owned by one or more minority individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more minority individuals; and (2) whose daily business operations are managed and directed by one or more minorities owners. Minorities include the groups with the following ethnic origins: African, Asian Pacific, Asian Subcontinent, Hispanic, Native Alaskan, Native American, and Native Hawaiian.

Other Business Enterprise (OBE): any business which does not otherwise qualify as Minority, Woman, Disadvantaged or Disabled Veteran Business Enterprise.

Principal Place of Business: a location wherein a firm maintains a physical office and through which it obtains no less than fifty percent (50%) of its overall customers or sales dollars.

Significant Employee Presence: no less than twenty-five percent (25%) of a business's total number of employees are domiciled in San Diego County.

Small Business Enterprise (SBE): a business whose gross annual receipts do not exceed the amount set by the City Manager, and that meets all other criteria set forth in regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review the threshold amount for SBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace. A business

certified as a DVBE by the State of California, and that has provided proof of such certification to the City Manager, shall be deemed to be an SBE.

Small Local Business Enterprise (SLBE): a Local Business Enterprise that is also a Small Business Enterprise.

Women Business Enterprise (WBE): a certified business that is (1) at least fifty-one percent (51 %) owned by a woman or women, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more women; and (2) whose daily business operations are managed and directed by one or more women owners.

VII. Certifications.

The City accepts certifications of MBE, WBE, DBE or DVBE from the following certifying agencies:

Current certification by the State of California Department of Transportation (CALTRANS) as DBE.

Current MBE or WBE certification from the California Public Utilities Commission.

DVBE certification is received from the State of California's Department of General Services, Office of Small and Minority Business.

Current certification by the City of Los Angles as DBE, WBE or MBE.

Current certification by the U.S. Small Business Association as SDB, WOSB, SDVOSB, or Hubzone.

Subcontractors' valid proof of certification status e.g., copy of MBE, WBE, DBE, or DVBE certification must be submitted with RFP. MBE, WBE, DBE, or DVBE certifications are listed for informational purposes only.

VIII. List of Attachments.

- AA. Disclosure of Discrimination Complaints
- **BB.** Work Force Report
- CC. Subcontractors List

TAB 1 FORM
ATTACHMENT AA

DISCLOSURE OF DISCRIMINATION COMPLAINTS

As part of its proposal, the Design Professional must provide to the City a list of all instances within the past 10 years where a complaint was filed or pending against the Design Professional in a legal or administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.

CHECK ONE	E BOX ONLY.				
X	subject of a comp	The street was the second of t	in a legal adn	ninistrative	Professional has NOT been the proceeding alleging that Design vendors or suppliers.
	of a complaint of Professional disc	or pending action in a criminated against its e status or resolution of	legal admir employees, s	nistrative pr subcontract	Professional has been the subject roceeding alleging that Designors, vendors or suppliers. As any remedial action taken an
DATE CLAI		DESCRIPTION OF CLAIM	LITIGATION (Y/N)	STATUS	RESOLUTION/REMEDIAL ACTION TAKEN

DATE OF CLAIM	LOCATION	DESCRIPTION OF CLAIM	LITIGATION (Y/N)	STATUS	RESOLUTION/REMEDIAL ACTION TAKEN
	×				
	10				
	3.4 (0. 488) 1 () () () () () ()				

Design Profession	onal Name	CCBG Architect	sinc	
Certified By	Darro	old Davis	Title Ma	naging Architect
	h.	Name		
(i=		Jaies	Date	3-18
		Signature		

USE ADDITIONAL FORMS AS NECESSARY

sd



City of San Diego

EQUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP)

1200 Third Avenue • Suite 200 • San Diego, CA 92101 Phone: (619) 236-6000 • Fax: (619) 236-5904

WORK FORCE REPORT

ADMINISTRATIVE

The objective of the *Equal Employment Opportunity Outreach Program*, San Diego Municipal Code Sections 22.3501 through 22.3517, is to ensure that contractors doing business with the City, or receiving funds from the City, do not engage in unlawful discriminatory employment practices prohibited by State and Federal law. Such employment practices include, but are not limited to unlawful discrimination in the following: employment, promotion or upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rate of pay or other forms of compensation, and selection for training, including apprenticeship. Contractors are required to provide a completed *Work Force Report (WFR)*.

NO OTHER FORMS WILL BE ACCEPTED

CONTRACTOR IDENTIFICATION

	CONTRACTORI	DENTIFICA	TION	
Type of Contractor: Construction	□Vendor/Supplier	☐ Financ	ial Institution	Lessor
Name of Company: CCBG Archite AKA/DBA: CCBG Architects Inc.	Consultant Gran	t Recipient	☐ Insurance Company	Other
Address (Corporate Headquarters, where	applicable). 102 E. Bue	chanan Str	eet	3,000
	County Marico		State AZ	Zip_85004
Telephone Number: (602) 258-2211		FAX Number	(N/A)	
Name of Company CEO: Brian Cass	idy			
Address(es), phone and fax number(s) of Address: 3677 Voltaire Street	company facilities located	l in <u>San Diego</u>	County (if different from al	oove):
	County San I	Diego	State CA	Zip 92106
Telephone Number: 619) 234-2212	FAX Number: N/A	4	Email:	ddavis@ccbg-arch.cor
Type of Business: Architects	e	Type of Licen	se: Architect	
The Company has appointed: Darrold	Davis			
as its Equal Employment Opportunity Of	ficer (EEOO). The EEOC	has been give	en authority to establish, dis	seminate, and enforce
equal employment and affirmative action	policies of this company.	The EEOO m	nay be contacted at:	
Address: 3677 Voltaire Street				COMPANIENCE SANCONE LOS COMPANIES DE LOS
Telephone Number: 619 234-2212	FAX Number: N//)	Ema n	lavis@ccbg-arch.com
			ost Local County) Work F	Force - Mandatory
	☐ Branch Work For	ce *		
	☐ Managing Office	Work Force		
Check the box above that applie	s to this WFR.			
*Submit a separate Work Force	Report for all participating	ig branches. C	ombine WFRs if more than	one branch per county.
I, the undersigned representative of	arrold Davis			
San Diego	,CA	(Fir	m Name) hereby certify that	information provided
(County)	(State)	1010		270 274
herein is true and correct. This documen	t was executed on this	23	day ofMay	, 20 <u>18</u>
MAN	7	Darr	old L. Davis CCBG A	ARCH Inc.
(Authorized Signature)	-		(Print Authorized Signatur	re)

VORK FORCE REPORT – NAM	ME OF FIRM	CC	BG Ar	chited	ets Inc). 			DA	TE:	23-18	i i	***
OFFICE(S) or BRANCH(ES): San Diego COUNTY: San Diego													
NSTRUCTIONS: For each of group. Total columns in row phose employed by your compethnic categories listed in columns in the categories listed in columns. Black, African-American 2) Hispanic, Latino, Mexican-Analy Asian 4) American Indian, Eskimo	occupationa provided. So pany on eith umns below	um of er a fu	all tota ill or p	als sho part-tin (5) I (6) V	ruld be ne bas Filipino White,	e equa is. Th , Asian Caucas	males I to yo e follo Pacific	s and four tota	emale al wor group	es in ev k force s are to	very e e. Inc o be i	lude al	
OCCUPATIONAL CATEGORY	(1) African- American	Hisp	2) anic or tino	(C.	3) ian	Ame	4) erican lian	Asian Isla	Pacific	(é Cauc			7) Innicities
OCCOTATIONAL CATEGORY	(M) (F)		(F)	(M)	(F)	(M)	(F)	(M)		(M)	(F)	(M)	(F)
Management & Financial													1
Professional licensed Architect										1	1		
A&E, Science, Computer													
Technical		1	1			STATE OF THE STATE			1				
Sales			1										
Administrative Support									1				
Services									! !				
Crafts			1						!				
Operative Workers		200-111-	1				1		1				
Transportation									1				
Laborers*					!		l L L						1
*Construction laborers and other field en	ployees are not to	o be inclu	ded on th	is page			Cost.		. ————————————————————————————————————	3.			
Totals Each Column		1] 				1	1	1		
Grand Total All Employees		4]									
Indicate by Gender and Ethnicity the	Number of Ab	ove Emp	oloyees '	Who Are	Disabl	ed	T	T	т	Ť	,	T	T
Disabled		Name of the least	1		<u> </u>		ļ						
Non-Profit Organizations Only:	T T	Terren e	T	1	1	1	r	- e ess iq		9 Print - 200 - 112		# 	1
Board of Directors									 				1
Volunteers	1											7	1 1 1
Artists					i i i		i !		i I I		1		1



CITY OF SAN DIEGO WORK FORCE REPORT – ADMINISTRATIVE

HISTORY

The Work Force Report (WFR) is the document that allows the City of San Diego to analyze the work forces of all firms wishing to do business with the City. We are able to compare the firm's work force data to County Labor Force Availability (CLFA) data derived from the United States Census. CLFA data is a compilation of lists of occupations and includes the percentage of each ethnicity we track (African-American, Hispanic or Latino, Asian, American Indian, Asian Pacific Islander, Caucasian, and Other Ethnicities) for each occupation. Currently, our CLFA data is taken from the 2010 Census. In order to compare one firm to another, it is important that the data we receive from the consultant firm is accurate and organized in the manner that allows for this fair comparison.

WORK FORCE & BRANCH WORK FORCE REPORTS

When submitting a WFR, especially if the WFR is for a specific project or activity, we would like to have information about the firm's work force that is actually participating in the project or activity. That is, if the project is in San Diego and the work force is from San Diego, we want a San Diego County WFR.¹ By the same token, if the project is in San Diego, but the work force is from another county, such as Orange or Riverside County, we want a WFR from that county.² If participation in a San Diego project is by work forces from San Diego County

and, for example, from Los Angeles County and from Sacramento County, we ask for separate WFRs representing your firm from each of the three counties.¹

MANAGING OFFICE WORK FORCE

Equal Opportunity Contracting may occasionally ask for a Managing Office Work Force (MOWF) Report. This may occur in an instance where the firm involved is a large national or international firm but the San Diego or other local work force is very small. In this case, we may ask for both a local and a MOWF Report.^{1,3} In another case, when work is done only by the Managing Office, only the MOWF Report may be necessary.³

Types of Work Force Reports

Please note, throughout the preceding text of this page, the superscript numbers one ¹, two ² & three ³. These numbers coincide with the types of work force report required in the example. See below:

- One San Diego County (or Most Local County) Work Force – Mandatory in most cases
- ² Branch Work Force *
- ³ Managing Office Work Force

Exhibit: Work Force Report Job categories

Refer to this table when completing your firm's Work Force Report form(s).

Management & Financial

Advertising, Marketing, Promotion and Sales Managers	ns, Public Relations,
Business Operations Specialists	
Financial Specialists	
Operations Specialties Managers	
Other Management Occupations	
Ton Executives	

Professional

Art and Design Workers
Counselors, Social Workers, and Other Community
and Social Service Specialists
Entertainers and Performers, Sports and Related
Workers
Health Diagnosing and Treating Practitioners
Lawyers, Judges, and Related Workers
Librarians, Curators, and Archivists
Life Scientists
Media and Communication Workers
Other Teachers and Instructors

^{*}Submit a separate Work Force Report for all participating branches. Combine WFRs if more than one branch per county

Postsecondary Teachers
Primary, Secondary, and Special Education School
Teachers
Religious Workers
Social Scientists and Related Workers

Architecture & Engineering, Science, Computer

Architects, Surveyors, and Cartographers
Computer Specialists
Engineers
Mathematical Science Occupations
Physical Scientists

Technical

Drafters, Engineering, and Mapping Technicians
Health Technologists and Technicians
Life, Physical, and Social Science Technicians
Media and Communication Equipment Workers

Sales

Other Sales and Related Workers
Retail Sales Workers
Sales Representatives, Services
Sales Representatives, Wholesale and Manufacturing
Supervisors, Sales Workers

Administrative Support

Financial Clerks
Information and Record Clerks
Legal Support Workers
Material Recording, Scheduling, Dispatching, and Distributing Workers
Other Education, Training, and Library Occupations
Other Office and Administrative Support Workers
Secretaries and Administrative Assistants
Supervisors, Office and Administrative Support
Workers

Services

Services	
Building Cleaning and Pest Control Workers	
Cooks and Food Preparation Workers	
Entertainment Attendants and Related Workers	
Fire Fighting and Prevention Workers	
First-Line Supervisors/Managers, Protective Service	
Workers	
Food and Beverage Serving Workers	
Funeral Service Workers	
Law Enforcement Workers	
Nursing, Psychiatric, and Home Health Aides	
Occupational and Physical Therapist Assistants and	
Aides	
Other Food Preparation and Serving Related Worker	S
Other Healthcare Support Occupations	
Other Personal Care and Service Workers	

Other Protect	tive Service Workers
Personal App	pearance Workers
Supervisors,	Food Preparation and Serving Workers
Supervisors,	Personal Care and Service Workers
Transportatio	on, Tourism, and Lodging Attendants

Crafts

Construction Trades Workers

Electrical and Electronic Equipment Mechanics,
Installers, and Repairers
Extraction Workers
Material Moving Workers
Other Construction and Related Workers
Other Installation, Maintenance, and Repair
Occupations
Plant and System Operators
Supervisors of Installation, Maintenance, and Repair
Workers
Supervisors, Construction and Extraction Workers
Vehicle and Mobile Equipment Mechanics, Installers,
and Repairers
Woodworkers

Operative Workers

Assemblers and Fabricators	
Communications Equipment Operators	
Food Processing Workers	
Metal Workers and Plastic Workers	
Motor Vehicle Operators	
Other Production Occupations	
Printing Workers	
Supervisors, Production Workers	
Textile, Apparel, and Furnishings Workers	

Transportation

Air Transportation Workers
Other Transportation Workers
Rail Transportation Workers
Supervisors, Transportation and Material Moving
Workers
Water Transportation Workers

Laborers

Agricultural Workers
Animal Care and Service Workers
Fishing and Hunting Workers
Forest, Conservation, and Logging Workers
Grounds Maintenance Workers
Helpers, Construction Trades
Supervisors, Building and Grounds Cleaning and
Maintenance Workers
Supervisors, Farming, Fishing, and Forestry Workers

phx



City of San Diego

EQUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP)

1200 Third Avenue • Suite 200 • San Diego, CA 92101 Phone: (619) 236-6000 • Fax: (619) 236-5904

WORK FORCE REPORT

ADMINISTRATIVE

The objective of the *Equal Employment Opportunity Outreach Program*, San Diego Municipal Code Sections 22.3501 through 22.3517, is to ensure that contractors doing business with the City, or receiving funds from the City, do not engage in unlawful discriminatory employment practices prohibited by State and Federal law. Such employment practices include, but are not limited to unlawful discrimination in the following: employment, promotion or upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rate of pay or other forms of compensation, and selection for training, including apprenticeship. Contractors are required to provide a completed *Work Force Report (WFR)*.

NO OTHER FORMS WILL BE ACCEPTED

CONTRACTOR IDENTIFICATION

	CONTRACTOR IDEN	TIFICATION	
Type of Contractor: Construction	□Vendor/Supplier □	☐ Financial Institution ☐ Lessee/	Lessor
Name of Company: CCBG Archite	Consultant Grant Rec	ipient	Other
Address (Corporate Headquarters, where a	annlicable): 102 E. Buchar	nan Street	
City Phoenix	County Maricopa	State AZ	Zip_ 85004
Telephone Number: (602) 258-2211		Number: (N/A)	
Name of Company CEO: Brian Cassi	dy	to a series and a series of the series of th	3 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Address(es), phone and fax number(s) of a Address: 3677 Voltaire Street	company facilities located in Sa	n Diego County (if different from a	bove):
City San Diego	County San Dieg	o State CA	Zip 92106
Telephone Number: 619) 234-2212	FAX Number: N/A	Email:	ddavis@ccbg-arch.co
Type of Business: Architects	Туре	of License: Architect	
The Company has appointed: Darrold I	Davis		
as its Equal Employment Opportunity Off	icer (EEOO). The EEOO has b	been given authority to establish, dis	seminate, and enforce
equal employment and affirmative action	policies of this company. The	EEOO may be contacted at:	
Address: 102 E. Buchanan Street			
Telephone Number: (602 258-2211	FAX Number: N/A	Ema n	davis@ccbg-arch.com
	☐ One San Diego County	y (or Most Local County) Work F	Force - Mandatory
	■ Branch Work Force *		
	☐ Managing Office Wor	k Force	
Check the box above that applies	to this WFR.		
*Submit a separate Work Force.	Report for all participating bra	inches. Combine WFRs if more than	one branch per county.
I, the undersigned representative of	rrold Davis		
	AZ	(Firm Name)	
Maricopa	? . 	hereby certify that	information provided
(County)	(State)	May	10
herein is true and correct. This document	was executed on this	day of May Darrold L. Davis CCBG	
<u> </u>	7		
(Authorized Signature)		(Print Authorized Signatur	^e)

WORK FORCE REPORT – NA	ME OF F	FIRM:	CCE	BG Ar	chited	ts In	c.			_ DA	TE:	23-18		
	Phoen							C	OUNT	Ma	ricopa			19
NSTRUCTIONS: For each occupational category, indicate number of males and females in every ethnic group. Total columns in row provided. Sum of all totals should be equal to your total work force. Include all shose employed by your company on either a full or part-time basis. The following groups are to be included in ethnic categories listed in columns below: 1) Black, African-American (5) Filipino, Asian Pacific Islander (6) White, Caucasian (7) Other ethnicity; not falling into other groups (8) White, Caucasian (9) Other ethnicity; not falling into other groups														
OCCUPATIONAL CATEGORY	(1 Afric Amer	an-		2) nic or ino	(3) Asian		(4) American Indian		(5) Asian Pacific Islander		(6) Caucasian		(7) Other Ethnicities	
	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)
Management & Financial						i !								
Professional licensed Architect			1			 				 	10	1		! ! !
A&E, Science, Computer										1				
Technical			2								2	1		
Sales				1						1 1 1				
Administrative Support				1						1				
Services														
Crafts				 		1 1 1			0.7	 				
Operative Workers										1 1 1				
Transportation									1000	 				
Laborers*	1					!				 				i L
*Construction laborers and other field en	mployees a	re not to	be include	ded on th	nis page		The second							
Totals Each Column			3	 				lesses less lesses lesses lesses lesses lesses lesses lesses less			12	2		
Grand Total All Employees Indicate by Gender and Ethnicity th	a Number	ofAL	17	lavass] Who A=	a Dieak	led							
Disabled	le ivumber	of Abo	ove Emp	loyees	WHO Are	UISAO 	leu	Ī	I					!
Non-Profit Organizations Only:				1		1		1		E	J.		1	1
Board of Directors				1 1 1		-		i i		l l		1 1 1		-
Volunteers								1		 		!		
Artists	-			l L L				 						
TMUSIS		ļ		}		3		1		1		ľ.		<u> </u>



Work Force Report

HISTORY

The Work Force Report (WFR) is the document that allows the City of San Diego to analyze the work forces of all firms wishing to do business with the City. We are able to compare the firm's work force data to County Labor Force Availability (CLFA) data derived from the United States Census. CLFA data is a compilation of lists of occupations and includes the percentage of each ethnicity we track (American Indian or Alaska Native, Asian, Black or African-American, Native Hawaiian or Pacific Islander, White, and Other) for each occupation. Currently, our CLFA data is taken from the 2010 Census. In order to compare one firm to another, it is important that the data we receive from the consultant firm is accurate and organized in the manner that allows for this fair comparison.

WORK FORCE & BRANCH WORK FORCE REPORTS

When submitting a WFR, especially if the WFR is for a specific project or activity, we would like to have information about the firm's work force that is actually participating in the project or activity. That is, if the project is in San Diego and the work force is from San Diego, we want a San Diego County Work Force Report¹. By the same token, if the project is in San Diego, but the work force is from another county, such as Orange or Riverside County, we want a Work Force Report from that county². If participation in a San Diego project is by work forces from San Diego County and, for example, from Los Angeles County and from Sacramento County, we ask for separate Work Force Reports representing your firm from each of the three counties.

MANAGING OFFICE WORK FORCE

Equal Opportunity Contracting may occasionally ask for a Managing Office Work Force (MOWF) Report. This may occur in an instance where the firm involved is a large national or international firm but the San Diego or other local work force is very small. In this case, we may ask for both a local and a MOWF Report^{1, 3}. In another case, when work is done only by the Managing Office, only the MOWF Report may be necessary.³

TYPES OF WORK FORCE REPORTS:

Please note, throughout the preceding text of this page, the superscript numbers one ¹, two ² & three ³. These numbers coincide with the types of work force report required in the example. See below:

- ¹ One San Diego County (or Most Local County) Work Force – Mandatory in most cases
- ² Branch Work Force *
- ³ Managing Office Work Force
- *Submit a separate Work Force Report for all participating branches. Combine WFRs if more than one branch per county.

RACE/ETHNICY CATEGORIES

American Indian or Alaska Native – A person having origins in any of the peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment.

Asian – A person having origins in any of the peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

Black or African American – A person having origins in any of the Black racial groups of Africa.

Native Hawaiian or Pacific Islander – A person having origins in any of the peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

White – A person having origins in any of the peoples of Europe, the Middle East, or North Africa.

Hispanic or Latino – A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin.

Exhibit A: Work Force Report Job categories-Administration

Refer to this table when completing your firm's Work Force Report form(s).

Management & Financial

Advertising, Marketing, Promotions, Public Relations, and Sales Managers **Business Operations Specialists** Financial Specialists **Operations Specialties Managers** Other Management Occupations Top Executives

Professional

Art and Design Workers Counselors, Social Workers, and Other Community and Social Service Specialists Entertainers and Performers, Sports and Related Workers Health Diagnosing and Treating Practitioners Lawyers, Judges, and Related Workers Librarians, Curators, and Archivists Life Scientists Media and Communication Workers Other Teachers and Instructors Postsecondary Teachers Primary, Secondary, and Special Education School Teachers **Religious Workers** Social Scientists and Related Workers

Architecture & Engineering, Science, Computer

Architects, Surveyors, and Cartographers **Computer Specialists** Engineers **Mathematical Science Occupations Physical Scientists**

Technical

Drafters, Engineering, and Mapping Technicians Health Technologists and Technicians Life, Physical, and Social Science Technicians Media and Communication Equipment Workers

Sales

Other Sales and Related Workers **Retail Sales Workers** Sales Representatives, Services Sales Representatives, Wholesale and Manufacturing Supervisors, Sales Workers

Administrative Support

Financial Clerks Information and Record Clerks Legal Support Workers

Material Recording, Scheduling, Dispatching, and Distributing Workers Other Education, Training, and Library Occupations Other Office and Administrative Support Workers Secretaries and Administrative Assistants Supervisors, Office and Administrative Support

Workers Services **Building Cleaning and Pest Control Workers** Cooks and Food Preparation Workers Entertainment Attendants and Related Workers Fire Fighting and Prevention Workers First-Line Supervisors/Managers, Protective Service Workers Food and Beverage Serving Workers **Funeral Service Workers** Law Enforcement Workers Nursing, Psychiatric, and Home Health Aides Occupational and Physical Therapist Assistants and Aides Other Food Preparation and Serving Related Workers Other Healthcare Support Occupations Other Personal Care and Service Workers Other Protective Service Workers Personal Appearance Workers Supervisors, Food Preparation and Serving Workers Supervisors, Personal Care and Service Workers Transportation, Tourism, and Lodging Attendants

Crafts

Construction Trades Workers Electrical and Electronic Equipment Mechanics, Installers, and Repairers **Extraction Workers Material Moving Workers** Other Construction and Related Workers Other Installation, Maintenance, and Repair Occupations Plant and System Operators Supervisors of Installation, Maintenance, and Repair Workers Supervisors, Construction and Extraction Workers

Vehicle and Mobile Equipment Mechanics, Installers, and Repairers Woodworkers

Operative Workers

Assemblers and Fabricators
Communications Equipment Operators
Food Processing Workers
Metal Workers and Plastic Workers
Motor Vehicle Operators
Other Production Occupations
Printing Workers
Supervisors, Production Workers
Textile, Apparel, and Furnishings Workers

Transportation

Air Transportation Workers Other Transportation Workers Rail Transportation Workers Supervisors, Transportation and Material Moving Workers Water Transportation Workers

Laborers

Agricultural Workers
Animal Care and Service Workers
Fishing and Hunting Workers
Forest, Conservation, and Logging Workers
Grounds Maintenance Workers
Helpers, Construction Trades
Supervisors, Building and Grounds Cleaning
and Maintenance Workers
Supervisors, Farming, Fishing, and Forestry
Workers

TAB 1 FORM ATTACHMENT CC

SUBCONTRACTOR PARTICIPATION LIST

This list shall include the name and complete address of all Subcontractors who qualify as SLBEs or ELBEs. Consultants must also list participation by any MBE, WBE, DBE, DBVE and OBE firms. However, no additional points will be awarded for participation by these firms, except that DVBEs that are certified by the City as local businesses shall be counted as SLBEs.

Subcontractors shall be used in the percentages listed. **NOTE:** If percentages are listed as a range, the **minimum number identified** in the range will be used to calculate overall subcontractor participation.

No changes to this Participation List will be allowed without prior written City approval. The Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

NAME AND ADDRESS SUBCONTRACTORS	SCOPE OF SERVICES	PERCENT OF CONTRACT	SLBE/ELBE (MBE/ WBE/DBE/ DVBE/OBE*)	WHERE CERTIFIED**
CCBG Architects Inc 3677 Voltaire St. San Diego, CA 92106	Architect	50%	OBE	***
Structural Engineering Solutions 45901 Sandia Creek Drive.Temecula, CA 92590	Structural Engineering	10%	OBE	ev.
Masson Engineering 200 E. Washington Ave #200 Escondido, CA 920	Civil Engineering	10%	SLBE	City of SD
BSE Engineering 10680 Treena Street #100 San Diego, CA 92131	Mech-Plumb-Electrical Engineerin	g 15%	SLBE	City of SD
Ninyo & Moore Consultants 5710 Ruffin Road San Diego, CA 92123	Geotech & Environmental Engine	ering 2.5%	MBE	City and County of LA CA Pub Utily Comm
Delarenzo International 3990 Old Town Ave #A-204 San Diego, CA 9211	Landscape Architect 0	2.5%	ELBE MBE	City of SD CA Pub Utily Comm
STC Traffic Inc 4891 Pacific Highway #103 San Diego, CA 9211	Traffic Engineering	2.5%	SLBE	City of SD
Cumming Project Management 15015 Ave of Science #160 San Diego, CA 9212	Cost Estimating	2.5%	OBE	
to be determined based on better scope definition		5%		10.
		TTL 100%	No. 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 - 200 -	The state of the s

List of Abbreviations:

Small Local Business Enterprise	SLBE
Emerging Local Business Enterprise	ELBE
Certified Minority Business Enterprise	MBE*
Certified Woman Business Enterprise	WBE*
Certified Disadvantaged Business Enterprise	DBE*
Certified Disabled Veteran Business Enterprise	DVBE*
Other Business Enterprise	OBE*

^{*} Listed for informational purposes only.

^{**} Consultant shall indicate if Subcontractor is certified by one of the agencies listed in Section VII of the Equal Opportunity Contracting Program (EOCP) Consultant Requirements

INSTRUCTION SHEET FOR

DISCLOSURE DETERMINATION FOR CONSULTANT (Form CC-1671)

Use the "Disclosure Determination for Consultant" form (CC-1671) to report the disclosure requirement for any consultant hired to provide services to the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego's jurisdiction.

2 California Code of Regulations defines a "consultant" as an individual who, pursuant to a contract with a state or local government agency, either makes a governmental decision or serves in a staff capacity with the state or local government agency and in that capacity participates in making a governmental decision.

The "Disclosure Determination for Consultant" form is completed for all consultants under contract with the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego's jurisdiction. Please follow the step-by-step directions:

- 1. List the department, board, commission or agency requesting the consultant service.
- 2. List the consulting company. If known, also list the individual(s) who will be providing the consultant services.
- 3. List the mailing address.
- 4. List the e-mail address of individual(s) providing the consultant service.
- 5. Provide the date the individual(s) will start providing the consultant service.
- 6. List all duties/responsibilities the consultant will have. This list will enable you to determine the disclosure requirement for the consultant.
- Determine the consultant's disclosure category. Your consultant should be required to disclose only those economic interests which could potentially create a conflict of interest as he/she performs his/her contractual obligations. For ideas about possible disclosure categories, review those in your department's, board's, commission's or agency's conflict of interest code, available at:

www.sandiego.gov/city-clerk/elections/eid/codes.shtml

Please fill out the entire "Disclosure Determination for Consultant" form, and have it signed by the appropriate authority. (Individuals with signing authority are described in your conflict of interest code as part of the disclosure requirement for Consultants.) Forward the original form to the City Clerk's Office, MS 2A.

DISCLOSURE DETERMINATION FOR CONSULTANT

*Must be signed by department director, agency president or other individual authorized by the

appropriate conflict of interest code regarding consultants. Department / Board / Commission / Agency 1. City of San Diego Public Works Department Name: 2. Name of Specific Consultant & Company: CCBG Architects Inc. Address, City, State, ZIP 3677 Voltaire Street, San Diego CA 92106 3. Project Title (as shown on 1472, "Request for Design of the San Diego Fire Rescue & Council Action") Police Department Training Center Feasibility Study, PID amendment, 5. Consultant Duties for Project: Design services for bridging documents Disclosure Determination [select applicable disclosure requirement]: Consultant will not be "making a governmental decision" or "serving in a staff capacity." No disclosure required. - or -Consultant will be "making a governmental decision" or "serving in a staff capacity." Consultant is required to file a Statement of Economic Interests with the City Clerk of the City of San Diego in a timely manner as required by law. [Select consultant's disclosure category.] Full: Disclosure is required pursuant to the broadest disclosure category in the appropriate Conflict of Interest Code. - or -Limited: Disclosure is required to a limited extent. [List the specific economic interests the consultant is required to disclose.] XFPU7/ DIEXTRE 5/13/

Once completed, with all questions answered and an authorized signature affixed, please forward the original form to the City Clerk's Office, MS 2A. Keep a copy with the contract.

DEFINITION OF "CONSULTANT"

2 California Code of Regulations defines a "consultant" as an individual who, pursuant to a contract with a state or local government agency:

- (A) Makes a governmental decision whether to:
 - 1. Approve a rate, rule or regulation;
 - 2. Adopt or enforce a law;
 - 3. Issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order, or similar authorization or entitlement;
 - 4. Authorize the City to enter into, modify, or renew a contract provided it is the type of contract that requires City approval;
 - 5. Grant City approval to a contract that requires City approval and to which the City is a party, or to the specifications for such a contract;
 - 6. Grant City approval to a plan, design, report, study, or similar item;
 - 7. Adopt, or grant City approval of, policies, standards, or guidelines for the City, or for any subdivision thereof; or
- (B) Serves in a staff capacity with the City and in that capacity participates in making a governmental decision as defined in Regulation 18702.2 or performs the same or substantially all the same duties for the City that would otherwise be performed by an individual holding a position specified in the City's Conflict of Interest Code.

An individual "serves in a staff capacity" if he or she performs substantially all the same tasks that normally would be performed by staff member of a governmental entity. In most cases, individuals who work on only one project or a limited range of projects for an agency are not considered to be working in a "staff capacity." The length of the individual's service to the agency is relevant. Also, the tasks over the relevant period of time must be substantially the same as a position that is or should be specified in the City's conflict of interest code.

An individual "participates in making a governmental decision" if he or she: (1) negotiates, without substantive review, with a governmental entity or private person regarding the decision; or (2) advises or makes recommendations to the decision–maker, by conducting research or an investigation, preparing or presenting a report, analysis or opinion which requires the exercise of judgment on the part of the individual and the individual is attempting to influence the decision.

1/28/2006

CITY OF SAN DIEGO CONSULTANT PERFORMANCE EVALUATION

The purpose of this form is to evaluate the consultant's performance and will be retained by Public Works Contracts for five years to provide historical data to City staff when selecting consultants.

Section I

PROJECT INFORMATION

1. PROJECT DATA		2. CONSULTANT DATA							
1a. Project (title, location):	oject (title, location): 2a. Name, add			nsultant:					
1b. Brief Description:									
	2b. Consultant'	s Project N	lanager:						
1c. Contract Amount: \$	Phone: ()							
WBS/IO:	Email:								
3. CITY DEPART	MENT RESPONSI	BLE		The last					
3a. Department (include Division):	3b. Project Man	nager (nam	e, address, ph	one & email					
	address):								
Deputy Director:									
Deputy Director.	Phone: ()							
	Email:								
Section II SPECIFIC RAT	INGS								
PERFORMANCE EVALUATION		EXCELLENT	SATISFACTORY	UN- SATISFACTORY	N/A				
1. Quality of Report, Study, Plans, Specifications, etc. [Deli	verables] of Scop			SATISPACTOR	N/A				
• Deliverables submitted were complete in all respects.									
• All comments and review requests were adequately in Deliverables.	ncorporated into								
• The Deliverables were properly formatted and well-coor	dinated.								
 Writing style/presentation and terminology was clear ar straightforward with adequate backup provided. 	nd								
2. Ability to adhere to contract schedule, budget, and overa	all timely respons	ses as note	d:						
Deliverables prepared in accordance with the agreed upon	120 0								
 Consultant alerted the City to possible schedule problem of delays. 	s well in advance								
 Consultant suggested solutions there were cost effective were provided in a timely manner. 	, appropriate and								
• The Consultant provided responses to RFI's/emails/requeetc. in a timely manner.	est for proposals,								
3. Ability to manage project team, Subconsultants, and coo	ordinate with City	staff as no	oted:						
• The Consultant was reasonable and fair during neg Agreement and/or on Task Orders.	otiations of the								
• The Consultant followed direction and chain of responsi	bility.								
• The Consultant reviewed and analyzed Subconsultant oversaw their work in an appropriate manner.	Deliverables and								
• The Consultant provided adequate support/attendance d	uring meetings.								

SPECIFIC RATINGS Continued

PERFORMANCE			UN-		
EVALUATION		SATISFACTORY	SATISFACTORY	N/A	
4. Ability to manage responsibilities in the regulatory/approval process as noted:					
 The Consultant researched and adhered to the necessary Federal/State/City code/regulations & requirements needed for the Deliverable. 					
• The Consultant advise the City the necessary regulatory restrictions that needed to be adhered to.				П	
5. Quality of Construction/Design Support as noted:			•		
The drawings/plans reflected existing conditions accurately.					
• The Consultant provided direction/support to the Resident Engineer and work cooperatively with them.	П				
The Consultant provide adequate support for As-Built drawings.					
Change orders due to design deficiencies were kept to a minimum.					
Section III SUPPLEMENTAL INFORMATION (Please ensure to attach additional documentation)	on as neede	ed.)			
(Supporting documentation attached: Yes ☐ Section IV FINAL RATING	No □)				
4. OVERALL RATING					
Excellent Satisfactory Consultant Rating	Unsa	atisfactory			
5. AUTHORIZING SIGNATURES					
5a. Project Manager			Date		
or tour protect			Dute		
5b. Deputy Director			Date		
Name Signature			Date		
5c. Provided to Consultant					
Name of Recipient Signature Consultant Concurrence*: Yes No 4 *Note: Consultant has the right to appeal the contents of this evaluation. I details.	Please refe		oate Provided 0811(a) for n	nore	

City of San Diego CONTRACTOR STANDARDS Pledge of Compliance

The City of San Diego has adopted a Contractor Standards Ordinance (CSO) codified in section 22.3004 of the San Diego Municipal Code (SDMC). The City of San Diego uses the criteria set forth in the CSO to determine whether a bidder or proposer has the capacity to fully perform the contract requirements and the business integrity to justify the award of public funds. This completed Contractor Standards Pledge of Compliance (Pledge of Compliance) signed under penalty of perjury must be submitted with each bid and proposal. If an informal solicitation process is used, the bidder must submit this completed Pledge of Compliance to the City prior to execution of the contract. All responses must be typewritten or printed in ink. If an explanation is requested or additional space is required, Respondents must provide responses on Attachment "A" to the Pledge of Compliance and sign each page. Failure to submit a signed and completed Pledge of Compliance may render the bid or proposal non-responsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed Pledge of Compliance is submitted. A submitted Pledge of Compliance is a public record and information contained within will be available for public review except to the extent that such information is exempt from disclosure pursuant to applicable law.

A. BID/PROPOSER/SOLICITATION TITLE:

SDFR & SDPD Training Center Site Feasibility Study (H187027)

B. BIDDER PROPOSER INFORMATION

CCBG Architects Inc.

Legal Name		DBA	
3677 Voltaire Street	San Diego	CA	92106
Street Address	City	State	Zip
Darrold Davis - Architect	619 234-2212	N/A	ddavis@ccbgarchitects.com
Contact Person, Title	Phone	Fax	

Provide the name, identity, and precise nature of the interest* of all persons who are directly or indirectly involved** in this proposed transaction (SDMC § 21.0103). Use additional pages if necessary.

- * The precise nature of the interest includes:
 - the percentage ownership interest in a party to the transaction,
 - the percentage ownership interest in any firm, corporation, or partnership that will receive funds from the transaction,
 - the value of any financial interest in the transaction,
 - any contingent interest in the transaction and the value of such interest should the contingency be satisfied, and
 - any philanthropic, scientific, artistic, or property interest in the transaction.
- ** Directly or indirectly involved means pursuing the transaction by:
 - communicating or negotiating with City officers or employees,
 - submitting or preparing applications, bids, proposals or other documents for purposes of contracting with the City, or
 - directing or supervising the actions of persons engaged in the above activity.

Darrold Davis	Managing Architect
Name	Title/Position
San Diego, CA	same
City and State of Residence O% owner	Employer (if different than Bidder/Proposer)
Interest in the transaction	
interest in the transaction	
Brian Cassidy	President - Principal Architect
Name	Title/Position
Phoenix, AZ	same
City and State of Residence	Employer (if different than Bidder/Proposer)
33.33% owner Interest in the transaction	
mered in the transaction	
Kym Billington	Co - Vice President - Principal Architect
Name	Title/Position
Phoenix, AZ	same
City and State of Residence	Employer (if different than Bidder/Proposer)
33.33% owner Interest in the transaction	
interest in the transaction	
Paul Ladensack	Co- Vice President - Principal Architect
Name	Title/Position
Phoenix, AZ	same
City and State of Residence 33.33% owner	Employer (if different than Bidder/Proposer)
Interest in the transaction	
Name	Title/Position
at 10 (p 11	D 1 (16.116) 111 (D
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	
Name	Title/Position
	D 1 ('C 1'CC 1 D' 11 /D)
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	
Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	

Use Attachment "A" if additional pages are necessary.

C.		OWNERSHIP AND NAME CHANGES:
	1.	In the past five (5) years, has your firm changed its name?
		☐ Yes 🔀 No
		If Yes , use Attachment "A" to list all prior legal and DBA names, addresses, and dates each firm name was used. Explain the specific reasons for each name change.
	2.	In the past five (5) years, has a firm owner, partner, or officer operated a similar business?
		☐ Yes X No
		If Yes , use Attachment "A" to list names and addresses of all businesses and the person who operated the business. Include information about a similar business only if an owner, partner, or officer of your firm holds or has held a similar position in another firm.
D.		BUSINESS ORGANIZATION/STRUCTURE:
		Indicate the organizational structure of your firm. Fill in only one section on this page. Use Attachment "A" if more space is required.
		Corporation Date incorporated: List corporation's current officers: AZ AZ
		President: Brian Cassidy Principal Architect
		Vice Pres.: Kym Billington and Paul Ladensack Principal Architects
		Secretary: Kym Billington Principal Architect
		Treasurer: Paul Ladensack Principal Architect
		Is your firm a publicly traded corporation? Yes No
		If Yes , name those who own ten percent (10%) or more of the corporation's stocks:
		12 200, name those who own tempercent (10 /0) of more of the corporation 5 otocks.
		Date formed:/ State of formation:
		List names of members who own ten percent (10%) or more of the company:

	Date formed: State of formation:
	List names of all firm partners:
	Sole Proprietorship Date started:/
	List all firms you have been an owner, partner or officer with during the past five (5) years. Do not include ownership of stock in a publicly traded company:
-	
	☐ Joint Venture Date formed:/
	☐ Joint Venture Date formed:/ List each firm in the joint venture and its percentage of ownership:
	List each firm in the joint venture and its percentage of ownership:
	List each firm in the joint venture and its percentage of ownership: e: To be responsive, each member of a Joint Venture must complete a separate Pledge of Complian
	List each firm in the joint venture and its percentage of ownership: e: To be responsive, each member of a Joint Venture must complete a separate Pledge of Complian FINANCIAL RESOURCES AND RESPONSIBILITY: Is your firm preparing to be sold, in the process of being sold, or in negotiations to be sold?
	List each firm in the joint venture and its percentage of ownership: e: To be responsive, each member of a Joint Venture must complete a separate Pledge of Complian FINANCIAL RESOURCES AND RESPONSIBILITY: Is your firm preparing to be sold, in the process of being sold, or in negotiations to be sold? Yes No If Yes, use Attachment "A" to explain the circumstances, including the buyer's name and
	List each firm in the joint venture and its percentage of ownership: e: To be responsive, each member of a Joint Venture must complete a separate Pledge of Complian FINANCIAL RESOURCES AND RESPONSIBILITY: Is your firm preparing to be sold, in the process of being sold, or in negotiations to be sold? Yes No If Yes, use Attachment "A" to explain the circumstances, including the buyer's name and principal contact information. In the past five (5) years, has your firm been denied bonding?

E.

		☐ Yes 🔀 No
		If Yes, use Attachment "A" to explain specific circumstances.
	4.	In the past five (5) years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm? Yes No
		If Yes, use Attachment "A" to explain specific circumstances.
	5.	Within the last five (5) years, has your firm filed a voluntary petition in bankruptcy, been adjudicated bankrupt, or made a general assignment for the benefit of creditors? Yes No
	6.	If Yes , use Attachment "A" to explain specific circumstances. N/A Please provide the name of your principal financial institution for financial reference. By submitting a response to this Solicitation Contractor authorizes a release of credit information for verification of financial responsibility.
		Name of Bank: JP Morgan Chase
		Point of Contact: Todd M MacGowan
		Address: 4031 N Scottsdale Rd., Scottsdale, AZ 85251
		Phone Number: 480-970-7275
	7.	By submitting a response to a City solicitation, Contractor certifies that he or she has sufficient operating capital and/or financial reserves to properly fund the requirements identified in the solicitation. At City's request, Contractor will promptly provide to City a copy of Contractor's most recent balance sheet and/or other necessary financial statements to substantiate financial ability to perform.
F.		PERFORMANCE HISTORY:
	1.	In the past five (5) years, has your firm been found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for defaulting or breaching a contract with a government agency? Yes No
		If Yes, use Attachment "A" to explain specific circumstances.
	2.	In the past five (5) years, has a public entity terminated your firm's contract for cause prior to contract completion? Yes No
		If Yes , use Attachment "A" to explain specific circumstances and provide principal contact information.
	3.	In the past five (5) years, has your firm entered into any settlement agreement for any lawsuit that alleged contract default, breach of contract, or fraud with or against a public entity? Yes No
		If Yes, use Attachment "A" to explain specific circumstances.

Public Works Contracts – Contractor Standards Pledge of Compliance

4.	that your firm has defaulted on a contract, breached a contract, or committed fraud? Yes No				
	If Yes, use Attachment "A" to explain specific circumstances.				
5.	In the past five (5) years, has your firm, or any firm with which any of your firm's owners, partners, or officers is or was associated, been debarred, disqualified, removed, or otherwise prevented from bidding on or completing any government or public agency contract for any reason? Yes No				
	If Yes , use Attachment "A" to explain specific circumstances.				
6.	In the past five (5) years, has your firm received a notice to cure or a notice of default on a contract with any public agency? Yes No				
	If Yes, use Attachment "A" to explain specific circumstances and how the matter resolved.				
7.	Performance References:				
	Please provide a minimum of three (3) references familiar with work performed by your firm which was of a similar size and nature to the subject solicitation within the last five (5) years.				
,	Company Name: City of San Diego Public Works Dept.				
	Contact Name and Phone Number: Rowaida Jadan 619 533-6655				
	Contact Email: rjadan@sandiego.gov				
	Address: 525 B Street suite #750 San Diego, CA 92101				
	Contract Date: project completed construction Dec 2017				
	Contract Amount: \$480 K (construction cost \$8M)				
	Requirements of Contract: full service design, plan check observe construction Fire Station 17 (3 story) and temporary Station 17 (1 story).				
	Company Name: Wood Partners				
	Contact Name and Phone Number: Will Winkenhofer 760 846-4272				
	Contact Email: will.winkenhofer@woodpartners.com				
	Address: 7700 Irvine Center Drive #600 Irvine, CA 92618				
	Contract Date: project completed construction 2017				
	Contract Amount: \$ 970K - architect only (construction cost \$85 M)				
	full service design, entitlements, plan check, observe construction Requirements of Contract: The Rey luxury apartments (21 story)				

- 12	Company Name: Mossy Auto Group Corp.
-	Contact Name and Phone Number: Owen Mossy 858 465-1250
·	Contact Email: owenm@mossy.com
	Address: 3790 Via De La Valle #313 Del Mar, CA 921014
15	Contract Date: project plan check approved 2014 (VW- El Cajon)- construction on hold
15	Contract Amount: \$474 K (construction cost bid \$9M)
_	Requirements of Contract: full service design, entitlements, plan check, observe construction new auto sales & service (2 story)
1.	COMPLIANCE: In the past five (5) years, has your firm or any firm owner, partner, officer, executive, or manager been criminally penalized or found civilly liable, either in a court of law or pursuant to
	the terms of a settlement agreement, for violating any federal, state, or local law in performance of a contract, including but not limited to, laws regarding health and safety, labor and employment, permitting, and licensing laws? Yes No
	If Yes , use Attachment "A" to explain specific circumstances surrounding each instance. Include the name of the entity involved, the specific infraction(s) or violation(s), dates of instances, and outcome with current status.
2.	In the past five (5) years, has your firm been determined to be non-responsible by a public entity? ☐ Yes
	If Yes , use Attachment "A" to explain specific circumstances of each instance. Include the name of the entity involved, the specific infraction, dates, and outcome.
	BUSINESS INTEGRITY:
1.	In the past five (5) years, has your firm been convicted of or found liable in a civil suit for making a false claim or material misrepresentation to a private or public entity? Yes No
	If Yes , use Attachment "A" to explain specific circumstances of each instance. Include the entity involved, specific violation(s), dates, outcome and current status.
2.	In the past five (5) years, has your firm or any of its executives, management personnel, or owners been convicted of a crime, including misdemeanors, or been found liable in a civil suit involving the bidding, awarding, or performance of a government contract? Yes No
	If Yes , use Attachment "A" to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.

G.

H.

	3.	owners been convicted of a federal, state, or local crime of fraud, theft, or any other act of dishonesty?
		☐ Yes 🗶 No
		If Yes , use Attachment "A" to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.
I.		WAGE COMPLIANCE:
		In the past five (5) years, has your firm been required to pay back wages or penalties for failure to comply with the federal, state or local prevailing, minimum, or living wage laws?
		☐ Yes X No
		If Yes , use Attachment "A" to explain the specific circumstances of each instance. Include the entity involved, the specific infraction(s), dates, outcome, and current status.
J.		STATEMENT OF SUBCONTRACTORS:
		Please provide the names and information for all subcontractors used in the performance of the proposed contract, and what portion of work will be assigned to each subcontractor. Subcontractors may not be substituted without the written consent of the City. Use Attachment "A" if additional pages are necessary. If no subcontractors will be used, please check here \[\] Not Applicable.
	ä	Company Name: Masson Engineering
	9	Contact Name and Phone Number: Scott Eisenhart , (760) 741-3570
		Contact Email: scotteisenhart@massonassoc.com
		Address: 200 E. Washington Ave #200 Escondido, CA 92025
		Contract Date:
		Sub-Contract Dollar Amount: \$118,110.00 per exhibit B fee schedule
	9	Requirements of Contract: Civil Engineering
	9	
		What portion of work will be assigned to this subcontractor: 24%
		Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? (Check One) Yes X No
		If Yes , Contractor must provide valid proof of certification with the response to the bid or proposal.
K		STATEMENT OF AVAILABLE EQUIPMENT:

F

List all necessary equipment to complete the work specified using Attachment "A". In instances where the required equipment is not owned by the Contractor, Contractor shall explain how the equipment will be made available before the commencement of work. The City of San Diego reserves the right to reject any response when, in its opinion, the Contractor has not

demonstrated he or she will be properly equipped to perform the work in an efficient, effective manner for the duration of the contract period.

If no equipment is necessary to complete the work specified, please check here **X** Not Applicable.

L. TYPE OF	SUBMISSION:	This document	is subm	ittea as:
------------	-------------	---------------	---------	-----------

▼ Pledge of Compliance Initial submission.		
OR		
Update to prior Pledge of Compliance dated	1	

Complete all questions and sign below.

Under penalty of perjury under the laws of the State of California, I certify that I have read and understand the questions contained in this Pledge of Compliance, that I am responsible for completeness and accuracy of the responses contained herein, and that all information provided is true, full and complete to the best of my knowledge and belief. I agree to provide written notice to the Purchasing Agent within five (5) business days if, at any time, I learn that any portion of this Pledge of Compliance requires an updated response. Failure to timely provide the Purchasing Agent with written notice is grounds for Contract termination.

I, on behalf of the firm, further certify that I and my firm will comply with the following provisions of SDMC section 22.3004:

- (a) I and my firm will comply with all applicable local, State and Federal laws, including health and safety, labor and employment, and licensing laws that affect the employees, worksite or performance of the contract.
- (b) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of receiving notice that a government agency has begun an investigation of me or my firm that may result in a finding that I or my firm is or was not in compliance with laws stated in paragraph (a).
- (c) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of a finding by a government agency or court of competent jurisdiction of a violation by the Contractor of laws stated in paragraph (a).
- (d) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of becoming aware of an investigation or finding by a government agency or court of competent jurisdiction of a violation by a subcontractor of laws stated in paragraph (a).
- (e) I and my firm will cooperate fully with the City during any investigation and agree to respond to a request for information within ten (10) working days.

Failure to sign and submit this form with the bid/proposal shall make the bid/proposal non-responsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed Pledge of Compliance is submitted.

Darrold Davis Managing Architect
Name and Title

Signature

10-31-18
Date

City of San Diego CONTRACTOR STANDARDS Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here \square Not Applicable.

company name: Ninyo & Moore Consultants contact name and phone #: Dan Pelisek, 858 576-1000 contact email: dpelisek@ninyoandmoore.com Address: 5710 Ruffin Road San Diego, CA 92123 contract date: sub-contract \$ amount: \$70,500.00 per exhibit B fee schedule requirements of contract: Geotechnical Engineering what portion of contract: 14% is this subcontractor certified as MBE: Yes company name: BSE Engineering contact name and phone #: Alan Brown, 858 279-2000 contact email: abrown@bseengineering.com Address: 10680 Treena Street #100 San Diego, CA 92131 sub-contract \$ amount: \$36,960.00 per exhibit B fee schedule requirements of contract: Mech.-Plumb.-Electrical Engineering what portion of contract: 7% is this subcontractor certified as SLBE: Yes company name: Helix Environmental Planning contact name and phone #: Tammy Ching, 619 462-1515 contact email: tammyc@helixepi.com Address: 7578 El Cajon Boulevard La Mesa, CA 91942 contract date: sub-contract \$ amount: \$10,000,00 per exhibit B fee schedule requirements of contract: Environmental what portion of contract: 2% is this subcontractor certified as OBE: Yes

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Darrold Davis Managing Architect

Print Name, Title

Signature

Date

Stem 101 4/11/19 (R-2019-668)

RESOLUTION NUMBER R- 312511

DATE OF FINAL PASSAGE JUN 17 2019

A RESOLUTION OF THE COUNCIL OF THE CITY OF SAN DIEGO AUTHORIZING AN AGREEMENT WITH CCBG ARCHITECTS INC., TO PROVIDE ARCHITECTURAL DESIGN SERVICES FOR THE SAN DIEGO FIRE RESCUE DEPARTMENT AND SAN DIEGO POLICE DEPARTMENT TRAINING FACILITY.

WHEREAS, the current San Diego Fire Rescue Department (SDFR) and San Diego Police Department (SDPD) Training/Academy facilities, provide critical and essential components necessary for the training and education of emergency responders in the City of San Diego (City) and surrounding area; and

WHEREAS, the two current SDFR and SDPD training facilities, located at Liberty Station and Miramar College, are scheduled to be vacated by 2027; the Liberty Station location is being vacated to make room for construction of the Public Utilities Water Reclamation Center; and

WHEREAS, on April 19, 2018, the City advertised a Request for Qualifications for Architectural Services for the proposed SDFR and SDPD training facility (SDFR/SDPD Training Facility) to be located on Copley Drive; and

WHEREAS, five shortlisted firms responded to the Request for Proposals, and in accordance with Council Policy 300-07, CCBG Architects Inc. was selected based on their qualifications; and

WHEREAS, the agreement with CCBG Architects Inc. includes services to analyze the feasibility of the Copley Drive site as a potential location for the new SDFR/SDPD Training

					·
					-
				•	
	4				
. *					
			•		
•					

Facility, assist with an amendment to the Planned Industrial Development Plan, and provide design services for the new training facility; NOW, THEREFORE,

BE IT RESOLVED, by the Council of the City of San Diego, as follows:

- 1. That the Mayor, or his designee, is authorized to execute, for and on behalf of the City, a phase-funded consultant agreement with CCBG Architects Inc., to provide architectural design services, in connection with the SDFR/SDPD Training Facility, in an amount not to exceed \$3,000,000 (Agreement), under the terms and conditions set forth in the Agreement, on file in the Office of the City Clerk as Document No. RR-312511
- 2. That the Chief Financial Officer is authorized to expend funds under the Agreement's established funding phases in an amount not to exceed \$726,400 for the first phase, \$434,000 from fund 100012, Infrastructure Fund, and \$292,400 from fund 400265, GFCIP Contributions; and expend \$2,273,600 for all subsequent phases from CIP B-18081, SD FR/PD Training Ctr, contingent upon the Chief Financial Officer first furnishing one or more certificates certifying that the funds necessary for expenditure under the established Agreement's funding phases are, or will be, on deposit with the City Treasury.

APPROVED: MARA W. ELLIOTT, City Attorney

Ву

Christina L. Rae/

Deputy City Attorney

CLR:cw 05/28/19

Or.Dept: Public Works CC No.: 3000012221 Doc. No.: 2014443

				·		
			·		·	
				,		
					,	
					,	

I hereby certify that the foregoing Resolution at its meeting ofJUN 1 1 2019	n was passed by the Council of the City of San Diego,
	ELIZABETH S. MALAND City Clerk
1.3	By Connie Fattenson Deputy City Clerk
Approved: (date)	KEVIN L. FAULGONER, Mayor
Vetoed:	KEVIN L. FAULCONER, Mayor

			,
			,
	·		
			v.
·			

The City of San Diego COMPTROLLER'S CERTIFICATE

CERTIFICATE OF UNALLOTTED BALANCE						CC GRIGINATING DEP	т.		
Treasury, c	CERTIF or is anti	Y that the mon cipated to come	ey required for a into the Treas	the allotment of ful sury, and is otherwis	nds for the se unallott	e purpose set forth in ed.	n the foregoing re		
	•	· · · · · · · · · · · · · · · · · · ·	-					•	
30,							······································		
				····					
			·			Byo			
						ъу	COMPTROLLER'S DEPARTMENT		
Funded	1			ACCOUNTING DA		Fund Center or Cost	Internal Order or		
Program	Fund	Grant Number	G/L Account	Functional Area	Area	Center Cost	WBS Element	Original Amount	
(-1				· · · · · · · · · · · · · · · · · · ·		·			
						Shirt day 11 to 12			
					,		TOTAL AMOUNT		
ed resolution certify, in repose of salution the Tre	on, can conform ald contr easury,	be incurred with ity with the request, that sufficient of the credit of the	nout the violation ulrements of the ent moneys to it the appropriation	on of any of the prove Charter of the Cit meet the obligations on from which the s	visions of y of San I s of said o ame are to	the Charter of the Cl Diego, that sufficient contract are actually i o be drawn, and that	ty of San Diego; a moneys have bee n the Treasury, or the sald money n	ind I do hereby in appropriated for are anticipated to low actually in the	
Exceed:				\$726,400.00			-		
r:	CCBG	Architects, Inc		<u> </u>			•		
Purpose:		To authorize the expenditure of funds not to exceed \$726,400.00 to CCBG Architects, Inc for the award of a consultant agreement for feasibility and design-support services for the San Diego Fire Rescue (SDFR) Department and San Diego Police Department (SDPD) Training Center.							
	feasibil	ity and design-su							
-	feasibil	ity and design-su	upport services f	or the San Diego Fire		SDFR) Department ar	d San Diego Police		
	feasibil	ity and design-su		or the San Diego Fire			d San Diego Police	Department (SDPD)	
	feasibil	ity and design-su	upport services f	or the San Diego Fire	e Rescue (SDFR) Department ar	Id San Diego Police	Department (SDPD)	
Funded Program	feasibil Trainin	ity and design-sug Center. Grant Number	upport services f	or the San Diego Fire	ATA Business Area	By: Raymond Fund Center or Cost Center	Id San Diego Police	PARTMENT Original Amount	
Funded Program B18081	Fund	ity and design-sug Center. Grant Number	May 10, 20 G/L Account 512028	19 Accounting D Functional Area OTHR-00000000-FI	ATA Business Area 1912	By: Raymond Fund Center or Cost Center 1912145211	Internal Order or WBS Element B-18081.01.01	PARTMENT Original Amount \$434,000.00	
Funded Program	Fund	ity and design-sug Center. Grant Number	May 10, 20	or the San Diego Fire ACCOUNTING D Functional Area	ATA Business Area	By: Raymond Fund Center or Cost Center	Tiadanza Compression Internal Order or WBS Element	PARTMENT Original Amount	
Funded Program B18081	Fund	ity and design-sug Center. Grant Number	May 10, 20 G/L Account 512028	19 Accounting D Functional Area OTHR-00000000-FI	ATA Business Area 1912	By: Raymond Fund Center or Cost Center 1912145211	Internal Order or WBS Element B-18081.01.01	PARTMENT Original Amount \$434,000.00	
	Funded Program HEREBY ed resolution certify, in rpose of sa into the Tre ury, together Exceed:	Funded Program Fund HEREBY CERTIF ed resolution, can certify, in conform rpose of said contri into the Treasury, to ury, together with the	Funded Program Fund Grant Number HEREBY CERTIFY that the indeed resolution, can be incurred with certify, in conformity with the requires of said contract, that sufficient the Treasury, to the credit of tury, together with the moneys anti-	Funded Program Fund Grant Number G/L Account CERTIFIC HEREBY CERTIFY that the indebtedness and of ed resolution, can be incurred without the violation of certify, in conformity with the requirements of the rose of said contract, that sufficient moneys to relate the Treasury, to the credit of the appropriation of the Treasury, to the credit of the appropriation of the Treasury, to the credit of the appropriation of the Treasury, to the credit of the appropriation of the Treasury, to the credit of the appropriation of the Treasury, to the credit of the appropriation of the Treasury, to the credit of the appropriation of the Treasury, to the credit of the appropriation of the Treasury, to the credit of the appropriation of the Treasury, to the credit of the appropriation of the Treasury to the credit of the appropriation of the Treasury to the credit of the appropriation of the Treasury to the credit of the appropriation of the Treasury to the credit of the appropriation of the Treasury to the credit of the appropriation of the Treasury to the credit of the appropriation of the Treasury to the Contract of the Contract	Treasury, or is anticipated to come into the Treasury, and is otherwish: ACCOUNTING D. Funded Program Fund Grant Number G/L Account Functional Area CERTIFICATION OF UNENC HEREBY CERTIFY that the indebtedness and obligation to be incured er resolution, can be incurred without the violation of any of the program of the City of the Charter of the City of said contract, that sufficient moneys to meet the obligations into the Treasury, to the credit of the appropriation from which the sury, together with the moneys anticipated to come into the Treasury, Exceed: \$726,400.00	Treasury, or is anticipated to come into the Treasury, and is otherwise unallotted. ACCOUNTING DATA Funded Program Fund Grant Number G/L Account Functional Area Area CERTIFICATION OF UNENCUMBERED HEREBY CERTIFY that the indebtedness and obligation to be incurred by the ed resolution, can be incurred without the violation of any of the provisions of certify, in conformity with the requirements of the Charter of the City of San I prose of said contract, that sufficient moneys to meet the obligations of said contract, that sufficient moneys to meet the obligations of said contract, that sufficient moneys to meet the obligations of said contract, that sufficient moneys to meet the obligations of said contract, that sufficient moneys to meet the obligations of said contract, that sufficient moneys to meet the obligations of said contract, that sufficient moneys to meet the obligations of said contract, that sufficient moneys to meet the obligations of the treasury, to the creating the contract of the Charter of t	Treasury, or is anticipated to come into the Treasury, and is otherwise unallotted. The secondary of the Charter of the Clark of the Charter of the Clark of the Charter of the Clark, in the credit of the appropriation from which the same are to be drawn, and that urry, together with the moneys anticipated to come into the Treasury, to the credit of said appropriation.	HEREBY CERTIFY that the money required for the allotment of funds for the purpose set forth in the foregoing refreesury, or is anticipated to come into the Treasury, and is otherwise unallotted. By: COMPTROLLER'S DES ACCOUNTING DATA Funded Program Fund Grant Number G/L Account Functional Area Area Fund Center or Cost Internal Order or WBS Element Center WBS Element CERTIFICATION OF UNENCUMBERED BALANCE HEREBY CERTIFY that the indebtedness and obligation to be incurred by the contract or agreement authorized by ed resolution, can be incurred without the violation of any of the provisions of the Charter of the City of San Diego; a certify, in conformity with the requirements of the Charter of the City of San Diego, that sufficient moneys have been pose of said contract, that sufficient moneys to meet the obligations of said contract are actually in the Treasury, or into the Treasury, to the credit of the appropriation, are otherwise any, or jury, together with the moneys anticipated to come into the Treasury, to the credit of said appropriation, are otherwise any, or jury, together with the moneys anticipated to come into the Treasury, to the credit of said appropriation, are otherwise.	

FUND OVERRIDE
CC 3000012221

CC-361 (REV 7-09)

						,	:
						•	
			·				
		×					
·							
		. *					
	•						

Passed by the Council of The Cit	y of San Diego	on	JUN 1 1 2019	_, by the following vote:
Councilmembers	Yeas	Nays	Not Present	Recused
Barbara Bry	ď			
Jennifer Campbell				
Chris Ward	\mathbb{Z}			
Monica Montgomery	\square			
Mark Kersey	\mathbb{Z}			
Chris Cate				
Scott Sherman			\square	
Vivian Moreno				
Georgette Gómez				
	and food a			
Date of final passageJUN_	17 2019			
·				
(Please note: When a resolution		-		
date the approved resolution	Was returned	1 to the Onic	te or the city cit	erk.)
			MEMINIT EVI	LI CONED
AUTHENTICATED BY:		Mayc	KEVIN L. FAL or of The City of S	San Diego, California.
				-
			ELIZABETH S	S. MALAND
(Seal)		City Cle		San Diego, California.
		Æ	<i>i</i>).
		Ву	nnie Fat	tessor, Deputy
•				
		, in the second	the state of the s	
		Office of the	e City Clerk, San E	Diego, California
	-			,
	Pose	Lution Numb	ar B 3125	511

3 : - (

		· · · · · · · · · · · · · · · · · · ·
	:	
·		

Passed by the Council of The City of San Diego on June 11, 2019, by the following vote:

YEAS:

BRY, CAMPBELL, WARD, MONTGOMERY, KERSEY, CATE, MORENO &

GÓMEZ.

NAYS:

NONE.

NOT PRESENT:

SHERMAN.

RECUSED:

NONE.

AUTHENTICATED BY:

KEVIN L. FAULCONER

Mayor of The City of San Diego, California

ELIZABETH S. MALAND

City Clerk of The City of San Diego, California

(Seal)

By: <u>Connie Patterson</u>, Deputy

I HEREBY CERTIFY that the above and foregoing is a full, true, and correct copy of RESOLUTION NO. <u>R-312511</u>, approved on <u>June 11, 2019</u>. The date of final passage is <u>June 17, 2019</u>.

ELIZABETH S. MALAND

City Clerk of the City of San Diego, California

(Seal)

By: Connie Latterson, Deputy

V (**) •

From: pwc100@dir.ca.gov

To: Mendivil, John; PWD-PWC-Contracts

Subject: Project Creation

Date: Wednesday, August 21, 2019 2:53:47 PM

Hello,

Thank you for using the PWC-100 online application.

This email confirms the submission of a new project titled "San Diego Fire Rescue & Police Department Training Center" that was created on 21 Aug 2019 and assigned **DIR Project ID 302200**.

Please share this **DIR Project ID** number with each contractor on the project. They will need this number to submit certified payroll records into DIR's Electronic Certified Payroll Reporting(eCPR) database.

Thank you for your submission

Sincerely,

Public Works Program
Division of Labor Standards Enforcement
Department of Industrial Relations
State of California

Project Information

FORM

Form Type: PWC-100 Project Award Date: 8/19/2019

AWARDING BODY INFORMATION

Contract Amount:

City of San Diego Public Works Name:

Primary Contact: Public Works Person

Address: 1010 Second Ave Primary Email: PWD-PWC-Contracts@sandiego.gov

> Suite 1400 Work Phone: 6195333635

> > Project #:

San Diego,CA 92101

PROJECT INFORMATION

San Diego Fire Rescue & Police **Project Name:** Department Training Center

San Diego Fire Rescue && Police

H187027 **Brief Description:** Contract #: Department Training Center

Total Project Cost: \$3000000.00 Alternative Model: None Apply

Description of Location: 24-acre site adjacent to Copley Drive, County: SAN DIEGO

San Diego

\$3000000.00

Project Information 2

PWC-100

Project Name: San Diego Fire Rescue & Police Department Training Center

Project #: H187027 Contract #: H187027 Status: New Submission

Number of Prime Contractors:

H187027

No

PROJECT INFORMATION

Project Dates

8/19/2019 First Advertised Bid: 4/19/2018 **Estimated or Actual Start:**

Estimated or Actual 8/19/2024 Completion:

Propositions

Will this project receive (or has it received) any funding from Proposition 84 (The Safe Drinking Water, Water

Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006)?

Will this project receive (or has it received) any funding from Proposition 39 (California Clean Energy Jobs Act of

2012)?

Compliance and Agreements:

Is language included in the Contract Award to effectuate the requirements of Section 1771, 1774 - 1776, 1777.5, 1813 and 1815 of the Labor Code?

Will you operate a DIR-Approved Labor Compliance Program(LCP) for this project?

Yes No

Is there a Project Labor Agreement (PLA) associated with this project?

Contractor Information

Project Manager

Email Address Name Title Work Phone ddavis@ccbgarchitects.com Darold Davis Project Manager 619-234-2212

General Contractor1

CSLB/Certificate Number	NAME	Address	Email	Classification
1000038973	BSE ENGINEERING INC.	10680 TREENA STREET SUITE 100 SAN DIEGO, CA 92131	ABROWN@BSEENGINEERING.COM	SURVEYORS
1000009329	STC TRAFFIC INC.	5865 AVENIDA ENCINAS #142-B CARLSBAD, CA 92008	ADMIN@STCTRAFFIC.COM	SURVEYORS
1000000422	MASSON & ASSOCIATES INC.	200 E. WASHINGTON AVENUE # 200 ESCONDIDO, CA 92025	BIDS@MASSON-ASSOC.COM	SURVEYORS
1000057603	CCBG ARCHITECTS INC.	3677 VOLTAIRE STREET SAN DIEGO, CA 92106	DDAVIS@CCBG-ARCH.COM	SURVEYORS
11000004481	NINYO & MOORE GEOTECHNICAL & ENVIRONMENTAL SCIENCES CONS		EBROOKS@NINYOANDMOORE.COM	SURVEYORS