



The City of San Diego

M E M O R A N D U M

DATE: July 31, 2018
TO: James Nagelvoort, Director, Public Works Department
FROM: Stephen Samara, Interim Deputy Director, Public Works Department
SUBJECT: Sole Source Agreement (H197039) with TY Lin International for the Construction Support for the Torrey Meadows Drive Overcrossing Project

Contract Number: H197039
Dept. Est. Total: \$400,000
Vendor: TY Lin International
Expiration Date: 48 Months
Recommendation: Approve Sole Source

In accordance with SDMC §22.3016, this is to certify the subject Sole Source Agreement is necessary, and that a strict compliance with a competitive process would be unavailing or would not produce an advantage, and soliciting bids or proposals would be undesirable, impractical or impossible for the following reasons:

JUSTIFICATION:

This is to request Sole Source approval to award Contract H197039 to TY Lin International in an amount of \$400,000, for a duration of 48 months, for bidding support services and construction support services. TY Lin is the Engineer-of-Record (EOR) for the Torrey Meadows Drive Overcrossing project and they have been the lead designer since 2013. They were originally selected as the professional engineering consultant by Kilroy Realty, who through a Reimbursement Agreement (RA) with the City, is responsible for completing the design of the project. Kilroy Realty's obligation ends with a completed, approved set of construction plans, and because of this limitation, TY Lin does not have bidding support and construction support services included within the RA.

As the EOR for the design of this project, it is requested that the bidding support and construction support services be provided by TY Lin to maintain design continuity and liability through project construction. TY Lin has expertise, experience, and in-depth knowledge of the engineering requirements of this specific project. Procuring a new consultant to provide bid and construction support services would not be practical due to the learning curve of understanding the intricacies of a design completed by a third party (the EOR).

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Furthermore, to procure a new consultant through a competitive bid process would delay Caltrans in advertising the construction contract, impact the City's construction schedule, add project cost, and will negatively impact the community due by delaying construction. Thus, the process to procure a new consultant for the services necessary to complete the project would not be sensible.

AGREEMENT VALUE & DURATION:

The total fee for these services shall not exceed the amount noted above and the term of the agreement will be in effect from date of the agreement execution, until the agreement is completed/closed, but will not exceed the above listed duration without issuance of a modification to duration of both the agreement and this sole source.

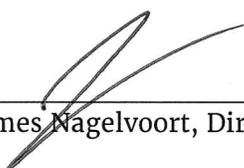
RECOMMENDED BY:



Stephen Samara, Interim Deputy Director, Public Works Contracts

Date: 8/1/2018

APPROVED BY:



James Nagelvoort, Director, Public Works Department

Date: 8/1/18

CC:clc

- cc: James Nagelvoort, Director, Public Works Department
Myrna Dayton, Assistant Director, Public Works Department
Akram Bassyouni, Deputy Director, Public Works Department
Dan Nutter, Senior Civil Engineer, Public Works Department
Cindy Crocker, Principal Contract Specialist, Public Works Department
Negin, Afagh, Associate Engineer – Civil, Public Works Department

**AGREEMENT BETWEEN
THE CITY OF SAN DIEGO
AND
T.Y. LIN INTERNATIONAL
FOR
DESIGN SUPPORT SERVICES FOR TORREY
MEADOWS DRIVE OVERCROSSING**

CONTRACT NUMBER: H197039

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(BB) Work Force Report
(CC) Subcontractors List

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**AGREEMENT BETWEEN
THE CITY OF SAN DIEGO
AND T.Y. LIN INTERNATIONAL
FOR DESIGN PROFESSIONAL SERVICES**

THIS Agreement is made and entered into between the City of San Diego, a municipal corporation [City], and T.Y. Lin International [Design Professional] for the Design Professional to provide Professional Services to the City for the Design Support Services for Torrey Meadows Drive Overcrossing [Project].

RECITALS

The City wants to retain the services of a professional civil engineering firm to provide civil engineering services [Professional Services].

The Design Professional represents that it has the expertise, experience and personnel necessary to provide the Professional Services for the Project.

The City and the Design Professional [Parties] want to enter into an Agreement whereby the City will retain the Design Professional to provide, and the Design Professional shall provide, the Professional Services for the Project [Agreement].

In consideration of the above recitals and the mutual covenants and conditions set forth, herein, and for good and valuable consideration, the sufficiency of which are hereby acknowledged, the Parties hereby set forth their mutual covenants and understandings as follows:

**ARTICLE I
DESIGN PROFESSIONAL SERVICES**

The above-listed recitals are true and correct and are hereby incorporated by reference.

1.1 Scope of Services. The Design Professional shall perform the Professional Services as set forth in the written Scope of Services [Exhibit A] at the direction of the City.

1.2 Contract Administrator. The Public Works Department is the contract administrator for this Agreement. The Design Professional shall provide the Professional Services under the direction of a designated representative of the Public Works Department. The City's designated representative will communicate with the Design Professional on all matters related to the administration of this Agreement and the Design Professional's performance of the Professional Services rendered hereunder. When this Agreement refers to communications to or with the City, those communications will be with the designated representative, unless the designated representative or the Agreement specifies otherwise. However, when this Agreement refers to an act or approval to be performed by the City, that act or approval shall be performed by the Mayor or his designee, unless the Agreement specifies otherwise.

1.3 City Modification of Scope of Services. The City may, without invalidating this Agreement, order changes in the Scope of Services by altering, adding to or deducting from

the Professional Services to be performed. All such changes shall be in writing and shall be performed in accordance with the provisions of this Agreement. If any such changes cause an increase or decrease in the Design Professional's cost of, or the time required for, the performance of any of the Professional Services, the Design Professional shall immediately notify the City. If the City deems it appropriate, an equitable adjustment to the Design Professional's compensation or time for performance may be made, provided that any adjustment must be approved by both Parties in writing in accordance with Section 9.1 of this Agreement.

1.4 Written Authorization. Prior to performing any Professional Services in connection with the Project, the Design Professional shall obtain from the City a written authorization to proceed. Further, throughout the term of this Agreement, the Design Professional shall immediately advise the City in writing of any anticipated change in the Scope of Services [Exhibit A], Compensation and Fee Schedule [Exhibit B], or Time Schedule [Exhibit C], and shall obtain the City's written consent to the change prior to making any changes. In no event shall the City's consent be construed to relieve the Design Professional from its duty to render all Professional Services in accordance with applicable laws and accepted industry standards.

1.5 Confidentiality of Services. All Professional Services performed by the Design Professional, including but not limited to all drafts, data, correspondence, proposals, reports, and estimates compiled or composed by the Design Professional, pursuant to this Agreement, are for the sole use of the City, its agents and employees. Neither the documents nor their contents shall be released to any third party without the prior written consent of the City. This provision does not apply to information that (a) was publicly known, or otherwise known to the Design Professional, at the time that it was disclosed to the Design Professional by the City, (b) subsequently becomes publicly known through no act or omission of the Design Professional, or (c) otherwise becomes known to the Design Professional other than through disclosure by the City. Except for Subcontractors covered by Section 4.4, neither the documents nor their contents shall be released to any third party without the prior written consent of the City.

1.6 Competitive Bidding. The Design Professional shall ensure that any plans and specifications prepared, required, or recommended under this Agreement allow for competitive bidding. The Design Professional shall design such plans or specifications so that procurement of services, labor or materials are not available from only one source, and shall not design plans and specifications around a single or specific product, piece of major equipment or machinery, a specific patented design, or a proprietary process, unless required by principles of sound engineering practice and supported by a written justification that has been approved in writing by the City. The Design Professional shall submit this written justification to the City prior to beginning work on such plans or specifications. Whenever the Design Professional recommends a specific product or equipment for competitive procurement, such recommendation shall include at least two brand names of products that are capable of meeting the functional requirements applicable to the Project.

ARTICLE II DURATION OF AGREEMENT

2.1 Term of Agreement. This Agreement shall be effective on the date it is executed by the last Party to sign the Agreement, and approved by the City Attorney in accordance with San Diego Charter Section 40. Unless otherwise terminated, this Agreement shall be effective until completion of the Scope of Services or for no more than forty-eight (48) months, whichever is the earliest. If required, the duration of this Agreement can be

extended up to a maximum of sixty (60) months. Any extension beyond sixty (60) months will require City Council approval via Ordinance.

2.2 Time of Essence. Time is of the essence for each provision of this Agreement, unless otherwise specified in this Agreement. The time for performance of the Scope of Services [Exhibit A] is set forth in the Time Schedule [Exhibit C].

2.3 Notification of Delay. The Design Professional shall immediately notify the City in writing if the Design Professional experiences or anticipates experiencing a delay in performing the Professional Services within the time frames set forth in the Time Schedule [Exhibit C]. The written notice shall include an explanation of the cause for, and a reasonable estimate of the length of the delay. If in the opinion of the City, the delay affects a material part of the Project, the City may exercise its rights under Sections 2.5–2.7 of this Agreement.

2.4 Delay. If delays in the performance of the Professional Services are caused by unforeseen events beyond the control of the Parties, such delay may entitle the Design Professional to a reasonable extension of time, but such delay shall not entitle the Design Professional to damages or additional compensation. Any such extension of time must be approved in writing by the City. The following conditions may constitute such a delay: war; changes in law or government regulation; labor disputes; strikes; fires, floods, adverse weather or other similar condition of the elements necessitating cessation of the Design Professional's work; inability to obtain materials, equipment, or labor; required additional Professional Services; or other specific reasons agreed to between the City and the Design Professional; provided, however, that: (a) this provision shall not apply to, and the Design Professional shall not be entitled to an extension of time for, a delay caused by the acts or omissions of the Design Professional; and (b) a delay caused by the inability to obtain materials, equipment, or labor shall not entitle the Design Professional to an extension of time unless the Design Professional furnishes the City, in a timely manner, documentary proof satisfactory to City of the Design Professional's inability to obtain materials, equipment, or labor.

2.5 City's Right to Suspend for Convenience. The City may, at its sole option and for its convenience, suspend all or any portion of the Design Professional's performance of the Professional Services, for a reasonable period of time not to exceed six months. In accordance with the provisions of this Agreement, the City will give written notice to the Design Professional of such suspension. In the event of such a suspension, in accordance with the provisions of Article III of this Agreement, the City shall pay to the Design Professional a sum equivalent to the reasonable value of the Professional Services the Design Professional has satisfactorily performed up to the date of suspension. Thereafter, the City may rescind such suspension by giving written notice of rescission to the Design Professional. The City may then require the Design Professional to resume performance of the Professional Services in compliance with the terms and conditions of this Agreement; provided, however, that the Design Professional shall be entitled to an extension of time equal to the length of the suspension, unless otherwise agreed to in writing by the Parties.

2.6 City's Right to Terminate for Convenience. The City may, at its sole option and for its convenience, terminate all or any portion of the Professional Services agreed to pursuant to this Agreement by giving written notice of such termination to the Design Professional. Such notice shall be delivered by certified mail with return receipt for delivery to the City. The termination of the Professional Services shall be effective upon receipt of the notice by the Design Professional. After termination of this Agreement, the Design Professional shall complete any and all additional work necessary for the orderly filing of documents and closing of the Design Professional's Professional Services under this

Agreement. For services satisfactorily rendered in completing the work, the Design Professional shall be entitled to fair and reasonable compensation for the Professional Services performed by the Design Professional before the effective date of termination. After filing of documents and completion of performance, the Design Professional shall deliver to the City all drawings, plans, calculations, specifications and other documents or records related to both the Project and to the Design Professional's Professional Services on the Project. By accepting payment for completion, filing and delivering documents as called for in this paragraph, the Design Professional discharges the City of all of the City's payment obligations and liabilities under this Agreement.

2.7 City's Right to Terminate for Default. If the Design Professional fails to satisfactorily perform any obligation required by this Agreement, the Design Professional's failure constitutes a Default. A Default includes the Design Professional's failure to adhere to the Time Schedule. If the Design Professional fails to satisfactorily cure a Default within ten calendar days of receiving written notice from the City specifying the nature of the Default, the City may immediately cancel and/or terminate this Agreement, and terminate each and every right of the Design Professional, and any person claiming any rights by or through the Design Professional under this Agreement. The rights and remedies of the City enumerated in this Section are cumulative and shall not limit, waive, or deny any of the City's rights under any other provision of this Agreement. Nor does this Section otherwise waive or deny any right or remedy, at law or in equity, existing as of the date of this Agreement or hereinafter enacted or established, that may be available to the City against the Design Professional.

ARTICLE III COMPENSATION

3.1 Amount of Compensation. The City shall pay the Design Professional for performance of all Professional Services rendered in accordance with this Agreement, including reasonably related expenses, a total contract amount not exceeding \$399,799. The compensation for the Scope of Services shall not exceed \$359,799, and the compensation for Additional Services (described in Section 3.2), if any, shall not exceed \$40,000.

3.2 Additional Services. The City may require that the Design Professional perform additional Professional Services [Additional Services] beyond those described in the Scope of Services [Exhibit A]. Prior to the Design Professional's performance of Additional Services, the City and the Design Professional must agree in writing upon a fee for the Additional Services, including reasonably related expenses, in accordance with the Compensation and Fee Schedule [Exhibit B]. The City will pay the Design Professional for the performance of Additional Services in accordance with Section 3.3.

3.3 Manner of Payment. The City shall pay the Design Professional in accordance with the Compensation and Fee Schedule [Exhibit B]. For the duration of this Agreement, the Design Professional shall not be entitled to fees, including fees for expenses, that exceed the amounts specified in the Compensation and Fee Schedule. The Design Professional shall submit one invoice per calendar month in a form acceptable to the City in accordance with the Compensation and Fee Schedule. The Design Professional shall include with each invoice a description of completed Professional Services, reasonably related expenses, if any, and all other information, including but not limited to: the progress percentage of the Scope of Services and/or deliverables completed prior to the invoice date, as required by the City. The City will pay undisputed portions of invoices within thirty calendar days of receipt.

3.4 Additional Costs. Additional Costs are those costs that can be reasonably determined to be related to the Design Professional's errors or omissions, and may include

Design Professional, City, or Subcontractor overhead, construction, materials, demolition, and related costs. The Design Professional shall not be paid for the Professional Services required due to the Design Professional's errors or omissions, and the Design Professional shall be responsible for any Additional Costs associated with such errors or omissions. These Additional Costs may be deducted from monies due, or that become due, the Design Professional. Whether or not there are any monies due, or becoming due, the Design Professional shall reimburse the City for Additional Costs due to the Design Professional's errors or omissions.

3.5 Eighty Percent Notification. The Design Professional shall promptly notify the City in writing of any potential cost overruns. Cost overruns include, but are not limited to the following: (1) where anticipated costs to be incurred in the next sixty calendar days, when added to all costs previously incurred, will exceed 80 percent of the maximum compensation for this Agreement; or (2) where the total cost for performance of the Scope of Services [Exhibit A] appears that it may be greater than the maximum compensation for this Agreement.

ARTICLE IV DESIGN PROFESSIONAL'S OBLIGATIONS

4.1 Industry Standards. The Design Professional agrees that the Professional Services rendered under this Agreement shall be performed in accordance with the standards customarily adhered to by an experienced and competent professional civil engineering firm using the degree of care and skill ordinarily exercised by reputable professionals practicing in the same field of service in the State of California. Where approval by the City, the Mayor or his designee, or other representatives of the City is required, it is understood to be general approval only and does not relieve the Design Professional of responsibility for complying with all applicable laws, codes, and good consulting practices.

4.2 Right to Audit.

4.2.1 Access. The City retains the right to review and audit, and the reasonable right of access to Design Professional's and any Subcontractor's premises to review and audit the Design Professional's or Subcontractor's compliance with the provisions of this Agreement [City's Right]. The City's Right includes the right to inspect and photocopy same, and to retain copies, outside of the Design Professional's premises, of any and all Project-related records with appropriate safeguards, if such retention is deemed necessary by the City in its sole discretion. This information shall be kept by the City in the strictest confidence allowed by law.

4.2.2 Audit. The City's Right includes the right to examine any and all books, records, documents and any other evidence of procedures and practices that the City determines are necessary to discover and verify that the Design Professional or Subcontractor is in compliance with all requirements under this Agreement.

4.2.2.1 Cost Audit. If there is a claim for additional compensation or for Additional Services, the City's Right includes the right to examine books, records, documents, and any and all other evidence and accounting procedures and practices that the City determines are necessary to discover and verify all direct and indirect costs, of whatever nature, which are claimed to have been incurred, or anticipated to be incurred.

4.2.2.2 Accounting Records. The Design Professional and all Subcontractors shall maintain complete and accurate records in accordance with Generally Accepted Accounting Practices in the industry. The Design Professional and Subcontractors

shall make available to the City for review and audit; all Project-related accounting records and documents, and any other financial data. Upon the City's request, the Design Professional and Subcontractors shall submit exact duplicates of originals of all requested records to the City.

4.2.3 City's Right Binding on Subcontractors. The Design Professional shall include the City's Right as described in Section 4.2, in any and all of their subcontracts, and shall ensure that these sections are binding upon all Subcontractors.

4.2.4 Compliance Required before Mediation or Litigation. A condition precedent to proceeding with mandatory mediation and further litigation provided for in Article VII is the Design Professional's and Subcontractors full compliance with the provisions of this Section 4.2 within sixty days of the date on which the City mailed a written request to review and audit compliance.

4.3 Insurance. The Design Professional shall not begin the Professional Services under this Agreement until it has: (a) obtained, and provided to the City, insurance certificates and endorsements reflecting evidence of all insurance required in Article IV, Section 4.3.1; however, the City reserves the right to request, and the Design Professional shall submit, copies of any policy upon reasonable request by the City; (b) obtained City approval of each company or companies as required by Article IV, Section 4.3.3; and (c) confirmed that all policies contain the specific provisions required in Article IV, Section 4.3.4 of this Agreement. However, failure to obtain the required documents prior to the Professional Services commencing shall not waive Design Professional's obligation to provide them. City reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by this Agreement, at any time. Design Professional's liabilities, including but not limited to Design Professional's indemnity obligations, under this Agreement, shall not be deemed limited in any way to the insurance coverage required herein. Except as provided for under California law, all policies of insurance required hereunder must provide that the City is entitled to thirty (30) days prior written notice (10 days for cancellation due to non-payment of premium) of cancellation or non-renewal of the policy or policies. Maintenance of specified insurance coverage is a material element of this Agreement and Design Professional's failure to maintain or renew coverage or to provide evidence of renewal during the term of this Agreement may be treated as a material breach of contract by the City.

Further, the Design Professional shall not modify any policy or endorsement thereto which increases the City's exposure to loss for the duration of this Agreement.

4.3.1 Types of Insurance. At all times during the term of this Agreement, the Design Professional shall maintain insurance coverage as follows:

4.3.1.1 Commercial General Liability. The Design Professional shall keep in full force and effect Commercial General Liability (CGL) Insurance written on an ISO Occurrence form CG 00 01 07 98 or an equivalent form providing coverage at least as broad which shall cover liability arising from any and all personal injury or property damage in the amount of \$1 million per occurrence and subject to an annual aggregate of \$2 million. There shall be no endorsement or modification of the CGL limiting the scope of coverage for either insured vs. insured claims or contractual liability. All defense costs shall be outside the limits of the policy.

4.3.1.2 Commercial Automobile Liability. For all of the Design Professional's automobiles including owned, hired and non-owned automobiles, the Design Professional shall keep in full force and effect, automobile insurance written on an ISO form

CA 00 01 12 90 or a later version of this form or an equivalent form providing coverage at least as broad for bodily injury and property damage for a combined single limit of \$1 million per occurrence. Insurance certificate shall reflect coverage for any automobile (any auto). If the Design Professional does not possess owned automobiles then coverage for hired and non-owned automobiles shall be provided.

4.3.1.3 Workers' Compensation. For all of the Design Professional's employees who are subject to this Agreement and to the extent required by the applicable state or federal law, the Design Professional shall keep in full force and effect, a Workers' Compensation policy. That policy shall provide a minimum of \$1 million of employers' liability coverage, and the Design Professional shall provide an endorsement that the insurer waives the right of subrogation against the City and its respective elected officials, officers, employees, agents and representatives.

4.3.1.4 Architects & Engineers Professional Liability. For all of the Design Professional's employees who are subject to this Agreement, the Design Professional shall keep in full force and effect, Professional Liability coverage for professional liability with a limit of \$1 million per claim and \$2 million annual aggregate. The Design Professional shall ensure both that: (1) the policy retroactive date is on or before the date of commencement of the Professional Services; and (2) the policy will be maintained in force for a period of three years after substantial completion of the Professional Services or termination of this Agreement whichever occurs last. The Design Professional agrees that for the time period defined above, there will be no changes or endorsements to the policy that increase the City's exposure to loss.

4.3.2 Deductibles. All deductibles on any policy shall be the responsibility of the Design Professional and shall be disclosed to the City at the time the evidence of insurance is provided.

4.3.3 Acceptability of Insurers.

4.3.3.1 Except for the State Compensation Insurance Fund, all insurance required by this Agreement shall only be carried by insurance companies with a rating of at least "A-, VI" by A.M. Best Company, that are authorized by the California Insurance Commissioner to do business in the State of California, and that have been approved by the City.

4.3.3.2 The City will accept insurance provided by non-admitted, "surplus lines" carriers only if the carrier is authorized to do business in the State of California and is included on the List of Approved Surplus Lines Insurers (LASLI list). All policies of insurance carried by non-admitted carriers are subject to all of the requirements for policies of insurance provided by admitted carriers described herein.

4.3.4 Required Endorsements.

The following endorsements to the policies of insurance are required to be provided to the City before any work is initiated under this Agreement.

4.3.4.1 Commercial General Liability Insurance Endorsements.

ADDITIONAL INSURED. To the fullest extent allowed by law including but not limited to California Insurance Code Section 11580.04, the policy or policies must be endorsed to include as an Additional Insured the City of San Diego and its respective elected officials, officers, employees, agents and representatives with respect to liability arising out of (a)

ongoing operations performed by you or on your behalf, (b) your products, and (c) your work, including but not limited to your completed operations performed by you or on your behalf.

PRIMARY AND NON-CONTRIBUTORY COVERAGE. The policy or policies must be endorsed to provide that the insurance afforded by the Commercial General Liability policy or policies is primary to any insurance or self-insurance of the City of San Diego and its elected officials, officers, employees, agents and representatives as respects operations of the Named Insured. Any insurance maintained by the City of San Diego and its elected officials, officers, employees, agents and representatives shall be in excess of Design Professional's insurance and shall not contribute to it.

4.3.4.2 Worker's Compensation and Employer's Liability Insurance Endorsements.

WAIVER OF SUBROGATION. The Worker's Compensation policy or policies must be endorsed to provide that the insurer will waive all rights of subrogation against the City and its respective elected officials, officers, employees, agents and representatives for losses paid under the terms of this policy or these policies which arise from work performed by the Named Insured for the City.

4.3.5 Reservation of Rights. The City reserves the right, from time to time, to review the Design Professional's insurance coverage, limits, deductible and self-insured retentions to determine if they are acceptable to the City. The City will reimburse the Design Professional for the cost of the additional premium for any coverage requested by the City in excess of that required by this Agreement without overhead, profit, or any other markup.

4.3.6 Additional Insurance. The Design Professional may obtain additional insurance not required by this Agreement.

4.3.7 Excess Insurance. All policies providing excess coverage to the City shall follow the form of the primary policy or policies including but not limited to all endorsements.

4.4 Subcontractors. The Design Professional's hiring or retaining of any third parties [Subcontractors] to perform services related to the Project [Subcontractor Services] is subject to prior approval by the City. The Design Professional shall list on the Subcontractor List [Exhibit D, Attachment CC] all Subcontractors known to the Design Professional at the time this Agreement is entered. If at any time after this Agreement is entered into, the Design Professional identifies a need for addition, deletion, or substitution of Subcontractor Services, the Design Professional must submit a written notice to the City requesting approval for the change modifying the Subcontractor Services. The Design Professional's written notice shall include a justification, a description of the scope of services, an estimate of all costs/percentage of contract participation for the Subcontractor Services, and an updated Exhibit D, Attachment CC reflecting the requested change(s). The City agrees to consider such requests in good faith.

4.4.1 Subcontractor Contract. All contracts entered into between the Design Professional and any Subcontractor shall contain the information as described in Sections 4.6, 4.7, 4.10.2, and 4.18, and shall also provide as follows:

4.4.1.1 Design Professional shall require the Subcontractor to obtain insurance policies, as described in Section 4.3.1, and those policies shall be kept in full force and effect during any and all work on this Project and for the duration of this Agreement.

Furthermore, Subcontractor policy limits, and required endorsements shall be determined by the Design Professional proportionate to the services performed by the Subcontractor.

4.4.1.2 The Design Professional is obligated to pay the Subcontractor, for Design Professional and City-approved invoice amounts, out of amounts paid by the City to the Design Professional, not later than fourteen working days from the Design Professional's receipt of payment from the City. Nothing in this paragraph shall be construed to impair the right of the Design Professional and any Subcontractor to negotiate fair and reasonable pricing and payment provisions among themselves.

4.4.1.3 In the case of a deficiency in the performance of Subcontractor Services, the Design Professional shall notify the City in writing of any withholding of payment to the Subcontractor, specifying: (a) the amount withheld; (b) the specific cause under the terms of the subcontract for withholding payment; (c) the connection between the cause for withholding payment and the amount withheld; and (d) the remedial action the Subcontractor must take in order to receive the amount withheld. Once the Subcontractor corrects the deficiency, the Design Professional shall pay the Subcontractor the amount withheld within fourteen working days of the Design Professional's receipt of the City's next payment.

4.4.1.4 In any dispute between the Design Professional and Subcontractor, the City shall not be made a party to any judicial or administrative proceeding to resolve the dispute. The Design Professional agrees to defend and indemnify the City as described in Article VI of this Agreement in any dispute between the Design Professional and Subcontractor should the City be made a party to any judicial or administrative proceeding to resolve the dispute in violation of this position.

4.4.1.5 The Subcontractor is bound to the City's Equal Opportunity Contracting Program covenants set forth in Article IV, Section 4.6 and [Exhibit D] of this Agreement.

4.4.1.6 The City is an intended beneficiary of any work performed by the Subcontractor for purposes of establishing a duty of care between the Subcontractor and the City.

4.5 Contract Records and Reports.

4.5.1 The Design Professional shall maintain records of all subcontracts entered into with all firms, all project invoices received from Subcontractors. Records shall show name, telephone number including area code, and business address of each Subcontractor and the total amount actually paid to each firm. Project relevant records, regardless of tier, may be periodically reviewed by the City.

4.5.2 The Design Professional shall retain all records, books, papers, and documents directly pertinent to the Contract for a period of not less than five (5) years after Completion of the contract and allow access to said records by the City's authorized representatives.

4.5.3 The Design Professional must submit the following reporting using the City's web-based contract compliance i.e., Prism® portal:

4.5.3.1 Monthly Employment Utilization. Design Professional and their Subcontractors must submit Monthly Employment Utilization Reporting by the fifth (5th) day of the subsequent month.

4.5.3.2 Monthly Invoicing and Payments. Design Professional and their Subcontractors must submit Monthly Invoicing and Payment Reporting by the fifth (5th) day of the subsequent month.

4.5.3.3 To view the City's online tutorials on how to utilize PRISM® for compliance reporting, please visit: <http://stage.prismcompliance.com/etc/vendortutorials.htm>
Incomplete and/or delinquent reporting may cause payment delays, non-payment of invoice, or both. The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

4.6 Non-Discrimination Requirements.

4.6.1 Compliance with the City's Equal Opportunity Contracting Program. The Design Professional shall comply with the City's Equal Opportunity Contracting Program Design Professional Requirements [Exhibit D]. The Design Professional shall not discriminate against any employee or applicant for employment on any basis prohibited by law. The Design Professional shall provide equal opportunity in all employment practices. The Design Professional shall ensure that its Subcontractors comply with the City's Equal Opportunity Contracting Program Design Professional Requirements. Nothing in this Section shall be interpreted to hold the Design Professional liable for any discriminatory practice of its Subcontractors.

4.6.2 Non-Discrimination Ordinance. The Design Professional shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring or treatment of Subcontractors, vendors or suppliers. The Design Professional shall provide equal opportunity for Subcontractors to participate in subcontracting opportunities. The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions. This language shall be in contracts between the Design Professional and any Subcontractors, vendors and suppliers.

4.6.3 Compliance Investigations. Upon the City's request, the Design Professional agrees to provide to the City, within sixty calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that the Design Professional has used in the past five years on any of its contracts that were undertaken within San Diego County, including the total dollar amount paid by the Design Professional for each subcontract or supply contract. The Design Professional further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance (San Diego Municipal Code sections 22.3501-22.3517) The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Design Professional up to and including contract termination, debarment, and other sanctions for violation of the provisions of the Nondiscrimination in Contracting Ordinance. The Design Professional further understands and agrees that the procedures, remedies and sanctions provided for in the Nondiscrimination Ordinance apply only to violations of said Nondiscrimination Ordinance.

4.7 Drug-Free Workplace. By signing this Agreement the Design Professional agrees that it is aware of, and hereby certifies that it agrees to comply with, the City's Drug-Free Workplace requirements set forth in Council Policy 100-17, adopted by San Diego

Resolution R-277952 and incorporated into this Agreement by this reference. Council Policy 100-17 is available on line at <https://www.sandiego.gov/city-clerk/officialdocs>.

4.7.1 Design Professional's Notice to Employees. The Design Professional shall publish a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the work place, and specifying the actions that will be taken against employees for violations of the prohibition.

4.7.2 Drug-Free Awareness Program. The Design Professional shall establish a drug-free awareness program to inform employees about: (1) the dangers of drug abuse in the work place; (2) the policy of maintaining a drug-free work place; (3) available drug counseling, rehabilitation, and employee assistance programs; (4) the penalties that may be imposed upon employees for drug abuse violations.

4.7.3 Posting the Statement. In addition to Section 4.7.1 above, the Design Professional shall post the drug-free policy in a prominent place.

4.7.4 Subcontractor's Agreements. The Design Professional further certifies that each contract for Subcontractor Services for this Project shall contain language that binds the Subcontractor to comply with the provisions of Article IV, Section 4.7 of this Agreement, as required by Sections 2.A.(1) through (3) of Council Policy 100-17. Design Professionals and Subcontractors shall be individually responsible for their own drug-free work place program.

4.8 Title 24/Americans with Disabilities Act Requirements. Design Professional has sole responsibility for ensuring that all Project plans and other design services comply with all accessibility requirements under Title 24 of the California Code of Regulations, known as the California Building Code (Title 24), and under the Americans with Disabilities Act Accessibility Guidelines (ADAAG) in effect at the time the designs are submitted to the City for review. When a conflict exists between Title 24 and ADAAG, the most restrictive requirement shall be followed by Design Professional (i.e., that which provides the most access). Design Professional warrants and certifies that any and all plans and specifications prepared for the City in accordance with this agreement shall meet all requirements under Title 24 and ADAAG. Design Professional understands that while the City will be reviewing Design Professional's designs for compliance in specific and certain areas under Title 24 and ADAAG prior to acceptance of Design Professional's designs, Design Professional understands and agrees that the City's access review process and its acceptance of Design Professional's designs in no way limits the Design Professional's obligations under this agreement to prepare designs that comply with all requirements under Title 24 and ADAAG.

4.9 Product Endorsement. The Design Professional acknowledges and agrees to comply with the provisions of City of San Diego Administrative Regulation 95.65, concerning product endorsement. Any advertisement identifying or referring to the City as the user of a product or service requires the prior written approval of the City.

4.10 Conflict of Interest. The Design Professional is subject to all federal, state and local conflict of interest laws, regulations, and policies applicable to public contracts and procurement practices, including but not limited to California Government Code sections 1090, et seq. and 81000, et seq., and the City of San Diego Ethics Ordinance, codified in the San Diego Municipal Code at sections 27.3501 to 27.3595.

4.10.1 If, in performing the Professional Services set forth in this Agreement, any member of the Design Professional's organization makes, or participates in, a

“governmental decision” as described in Title 2, section 18704 of the California Code of Regulations, or performs the same or substantially all the same duties for the City that would otherwise be performed by a City employee holding a position specified in the department's conflict of interest code, the individual shall be subject to a conflict of interest code requiring the completion of one or more statements of economic interests disclosing the individual's relevant financial interests. The determination as to whether any individual members of the Design Professional's organization must make disclosures of relevant financial interests is set forth in the Determination Form [Exhibit E].

4.10.1.1 If a determination is made that certain individuals must disclose relevant financial interests, the statements of economic interests shall be made on Fair Political Practices Commission Form 700 and filed with the City Clerk. The individual shall file a Form 700 (Assuming Office Statement) within thirty calendar days of the City's determination that the individuals are subject to a conflict of interest code. Each year thereafter, the individuals shall also file a Form 700 (Annual Statement) on or before April 1, disclosing any financial interests held during the previous calendar year for which the individual was subject to a conflict of interest code. A Form 700 (Leaving Office Statement) shall also be filed when the individual discontinues services under this Agreement.

4.10.1.2 If the City requires an individual member of the Design Professional's organization to file a statement of economic interests as a result of the Professional Services performed, the individual shall be considered a “City Official” subject to the provisions of the City of San Diego Ethics Ordinance, including the prohibition against lobbying the City for one year following the termination of this Agreement.

4.10.2 The Design Professional shall establish and make known to its employees and agents appropriate safeguards to prohibit employees from using their positions for a purpose that is, or that gives the appearance of being, motivated by the desire for private gain for themselves or others, particularly those with whom they have family, business, or other relationships.

4.10.3 The Design Professional and its Subcontractors having subcontracts amounting to 1% or more of the value of the Professional Services agreed to under this Agreement are precluded from participating in design services on behalf of the contractor, construction management, and any other construction services related in any way to these Professional Services without the prior written consent of the City.

4.10.4 The Design Professional's personnel employed on the Project shall not accept gratuities or any other favors from any Subcontractors or potential Subcontractors. The Design Professional shall not recommend or specify any product, supplier, or contractor with whom the Design Professional has a direct or indirect financial or organizational interest or relationship that would violate conflict of interest laws, regulations, or policies.

4.10.5 If the Design Professional violates any conflict of interest law or any of the provisions in this Section 4.10, the violation shall be grounds for immediate termination of this Agreement. Further, the violation subjects the Design Professional to liability to the City for attorneys' fees and all damages sustained as a result of the violation.

4.11 Mandatory Assistance. If a third party dispute or litigation, or both, arises out of, or relates in any way to the Professional Services provided under this Agreement, upon the City's request, the Design Professional, its agents, officers, and employees agree to assist in resolving the dispute or litigation. The Design Professional's assistance includes, but is not limited to, providing professional consultations, attending mediations, arbitrations, depositions, trials or any event related to the dispute resolution and/or litigation.

4.12 Compensation for Mandatory Assistance. The City will compensate the Design Professional for fees incurred for providing Mandatory Assistance as Additional Services under Section 3.2. If, however, the fees incurred for the Mandatory Assistance are determined, through resolution of the third party dispute or litigation, or both, to be attributable in whole, or in part, to the acts or omissions of the Design Professional, its agents, officers, and employees, the Design Professional shall reimburse the City. The City is then entitled to reimbursement of all fees paid to the Design Professional, its agents, officers, and employees for Mandatory Assistance.

4.13 Attorney Fees related to Mandatory Assistance. In providing the City with dispute or litigation assistance, the Design Professional or its agents, officers, and employees may incur expenses and/or costs. The Design Professional agrees that any attorney fees it may incur as a result of assistance provided under Section 4.11 are not reimbursable. The Parties agree this provision does not in any way affect their rights to seek attorney fees under Article VIII, Section 8.8 of this Agreement.

4.14 Energy Conservation Specifications. Technological advances in energy conservation devices such as Lighting and Heating, Ventilation, and Air Conditioning (HVAC), enable additional energy savings over that required by the State of California's Energy Efficiency Standards (Title 24, Part 6 of the California Code of Regulations). The Design Professional shall model the energy performance of the building using an acceptable computer model such as Energy Pro, EQuest, DOE-2, Power DOE, HAP 3.22, etc. and present the summary data to the City at or prior to 100 percent design. This analysis should include life cycle cost analysis showing recovery of construction costs through operation and maintenance costs (e.g., electricity and gas savings.) The Design Professional shall prepare a cost savings matrix that lists each device being considered and one, three, five and ten-year Project savings. The comparison shall include, but not be limited to, the following equipment: Lighting, HVAC, Water Heating, and Motors.

The Design Professional shall contact the SDG&E New Construction Program at (858) 636-5725 or the San Diego Regional Energy Office at (619) 595-5634 to integrate them into the design process to ensure maximum energy performance and access to technical resources. Design Professional shall endeavor to obtain from SDG&E a UTIL-1 (Utility Incentive Worksheet) to estimate energy savings and incentives available based on the design team energy modeling.

4.15 Notification of Increased Construction Cost. If, at any time prior to the City's approval of the final plans and specifications, the Design Professional anticipates that the total construction cost will exceed the estimated construction budget, the Design Professional shall immediately notify the City in writing. This written notification shall include an itemized cost estimate and a list of recommended revisions which the Design Professional believes will bring the construction cost to within the estimated construction budget. The City may either: (1) approve an increase in the amount authorized for construction; or (2) delineate a project which may be constructed for the budget amount; or (3) any combination of (1) and (2).

4.16 Sustainable Building Policy. The Design Professional shall comply with City Council Policy 900-14 (Sustainable Building Policy) in the performance of the Scope of Services, including but not limited to the requirement that all new or significantly remodeled City facilities shall be designed and constructed to achieve at a minimum the Leadership in Energy and Environmental Design (LEED) "Silver" Level Certification.

4.17 Design-Build Competition Eligibility. Any architectural firms, engineering firms, Design Professionals, or individuals retained by the City to assist the City with

developing criteria or preparing the preliminary design or the request for proposals for a Design-Build competition shall not be eligible to participate with any Design-Build Entity in that Design-Build competition. Additionally, the City may determine in its sole discretion that a Subcontractor hired to assist with a Design-Build competition, regardless of whether the Subcontractor was hired by the City or hired by an architectural firm, engineering firm, Design Professional, or individual retained by the City, has a competitive advantage and as such is ineligible to participate in that Design-Build competition.

4.18 Storm Water Management Discharge Control. Design Professional shall comply with Chapter 4, Article 3, Division 3 of the San Diego Municipal Code, Storm Water Management Discharge Control and the Municipal Storm Water Permit (MS4) Permit, California Regional Water Quality Control Board Order No. R9-2013-0001 (amended by R9-2015-0001 and R9-2015-0100), Storm Water Standards Manual, as amended from time to time, and any and all Best Management Practice (BMP) guidelines and pollution elimination requirements as may be established by the Enforcement Official. Design Professional warrants and certifies that any and all plans, reports, and specifications prepared for the City in accordance with this agreement shall meet all requirements of the San Diego Municipal Code and Storm Water Standards Manual. Design Professional understands that while the City will be reviewing Design Professional's designs for storm water permit compliance prior to acceptance of Design Professional's designs, Design Professional understands and agrees that the City's Storm Water review process and its acceptance of Design Professional's designs in no way limits the Design Professional's obligations under this agreement to prepare designs that comply with all requirements of the San Diego Municipal Code and MS4 Permit.

The Design Professional shall review the completed Storm Water Applicability Checklist (DS-560) to confirm the project's appropriate storm water requirements. For all applicable projects, and to the maximum extent practicable, the Design Professional shall incorporate and include Source Control and Low Impact Development (LID) design features or Site Design BMPs on the construction plans. In addition, for Priority Development projects, the Design Professional shall prepare a Storm Water Quality Management Plan in accordance with the requirements of the Storm Water Standards Manual and prepare a BMP plan showing all permanent BMPs, LID designs, hydromodification management plan facilities, and include sufficient details and cross sections for construction.

Design Professional shall attend the Pre-construction meeting. The Project Manager will coordinate with the Design Professional on the inspection of the permanent BMP(s) during installation. Design Professional shall inspect and confirm that the permanent BMP was installed in accordance with the details on the plans and that the permanent BMP functions to meet the requirements of the MS4 Permit. Upon notification by the Project Manager, the Design Professional shall sign and stamp the Permanent BMP Self Certification on the plans or the Permanent BMP Self Certification Form (DS-563) prior to final acceptance by the City.

For projects requiring soil-disturbance work such as geotechnical borings, street coring and potholing as component of the design, the Design Professional shall complete a Minor Water Pollution Control Plan (DS-570), if applicable.

4.19 ADA Certification. By signing this Agreement the Design Professional agrees that it is aware of, and hereby certifies that it agrees to comply with, the City's Americans With Disabilities Act Compliance/City Contracts requirements set forth in Council Policy 100-04, adopted by San Diego Resolution R-282153 and incorporated into this Agreement by this reference. Council Policy 100-04 is available at <https://www.sandiego.gov/city-clerk/officialdocs>.

4.20 Prevailing Wage Rates. Prevailing wage rates apply to this Agreement.

Pursuant to San Diego Municipal Code section 22.3019, construction, alteration, demolition, repair and maintenance work performed under this Agreement is subject to State prevailing wage laws. For construction work performed under this Agreement cumulatively exceeding \$25,000 and for alteration, demolition, repair and maintenance work performed under this Agreement cumulatively exceeding \$15,000, the Design Professional and its subconsultants shall comply with State prevailing wage laws including, but not limited to, the requirements listed below.

4.20.1 Compliance with Prevailing Wage Requirements. Pursuant to sections 1720 through 1861 of the California Labor Code, the Design Professional and its subconsultants shall ensure that all workers who perform work under this Agreement are paid not less than the prevailing rate of per diem wages as determined by the Director of the California Department of Industrial Relations (DIR). This includes work performed during the design and preconstruction phases of construction including, but not limited to, inspection and land surveying work.

4.20.1.1 Copies of the prevailing rate of per diem wages also may be found at <http://www.dir.ca.gov/OPRL/DPreWageDetermination.htm>. The Design Professional and its subconsultants shall post a copy of the prevailing rate of per diem wages determination at each job site and shall make them available to any interested party upon request.

4.20.1.2 The wage rates determined by the DIR refer to expiration dates. If the published wage rate does not refer to a predetermined wage rate to be paid after the expiration date, then the published rate of wage shall be in effect for the life of this Agreement. If the published wage rate refers to a predetermined wage rate to become effective upon expiration of the published wage rate and the predetermined wage rate is on file with the DIR, such predetermined wage rate shall become effective on the date following the expiration date and shall apply to this Agreement in the same manner as if it had been published in said publication. If the predetermined wage rate refers to one or more additional expiration dates with additional predetermined wage rates, which expiration dates occur during the life of this Agreement, each successive predetermined wage rate shall apply to this Agreement on the date following the expiration date of the previous wage rate. If the last of such predetermined wage rates expires during the life of this Agreement, such wage rate shall apply to the balance of the Agreement.

4.20.2 Penalties for Violations. Design Professional and its subconsultants shall comply with California Labor Code section 1775 in the event a worker is paid less than the prevailing wage rate for the work or craft in which the worker is employed. This shall be in addition to any other applicable penalties allowed under Labor Code sections 1720 – 1861.

4.20.3 Payroll Records. Design Professional and its subconsultants shall comply with California Labor Code section 1776, which generally requires keeping accurate payroll records, verifying and certifying payroll records, and making them available for inspection. Design Professional shall require its subconsultants to also comply with section 1776. Design Professional and its subconsultants shall submit weekly certified payroll records online via the City's web-based Labor Compliance Program. Design Professional is responsible for ensuring its subconsultants submit certified payroll records to the City.

4.20.3.1 In addition to the requirements in 4.20.3, the Design Professional and its subconsultants shall also furnish records specified in Labor Code section 1776 directly to the Labor Commissioner in the manner required by Labor Code section 1771.4.

4.20.4 Apprentices. Design Professional and its subconsultants shall comply with California Labor Code sections 1777.5, 1777.6 and 1777.7 concerning the employment and wages of apprentices. Design Professional shall be held responsible for the compliance of their subconsultants with sections 1777.5, 1777.6 and 1777.7.

4.20.5 Working Hours. Design Professional and its subconsultants shall comply with California Labor Code sections 1810 through 1815, including but not limited to: (i) restrict working hours on public works contracts to eight (8) hours a day and forty (40) hours a week, unless all hours worked in excess of eight (8) hours per day are compensated at not less than 1½ times the basic rate of pay; and (ii) specify penalties to be imposed on design professionals and subcontractors of \$25 per worker per day for each day the worker works more than eight (8) hours per day and forty (40) hours per week in violation of California Labor Code sections 1810 through 1815.

4.20.6 Required Provisions for Subcontracts. Design Professional shall include at a minimum a copy of the following provisions in any contract they enter into with a subconsultant: California Labor Code sections 1771, 1771.1, 1775, 1776, 1777.5, 1810, 1813, 1815, 1860 and 1861.

4.20.7 Labor Code Section 1861 Certification. Design Professional in accordance with California Labor Code section 3700 is required to secure the payment of compensation of its employees and by signing this Agreement, Design Professional certifies that “I am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for workers’ compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this Agreement.”

4.20.8 Labor Compliance Program. The City has its own Labor Compliance Program authorized in August 2011 by the DIR. The City will withhold contract payments when payroll records are delinquent or deemed inadequate by the City or other governmental entity, or it has been established after an investigation by the City or other governmental entity that underpayment(s) have occurred.

4.20.9 Contractor and Subcontractor Registration Requirements. This project is subject to compliance monitoring and enforcement by the DIR. A Design Professional or subcontractor shall not be qualified to bid on, be listed in a bid or proposal, subject to the requirements of section 4104 of the Public Contract Code, or engage in the performance of any contract for public work, as defined in this chapter, unless currently registered and qualified to perform public work pursuant to Labor Code section 1725.5. It is not a violation of this section for an unregistered contractor to submit a bid that is authorized by Section 7029.1 of the Business and Professions Code or by Section 10164 or 20103.5 of the Public Contract Code, provided the contractor is registered to perform public work pursuant to Section 1725.5 at the time the contract is awarded.

4.20.9.1 A Design Professional’s inadvertent error in listing a subconsultant who is not registered pursuant to Labor Code section 1725.5 in response to a solicitation shall not be grounds for filing a protest or grounds for considering the bid or proposal non-responsive provided that any of the following apply: (1) the subconsultant is registered prior to proposal due date; (2) within twenty-four hours after the proposal due date, the subconsultant is registered and has paid the penalty registration fee specified in Labor Code section 1725.5; or (3) the subconsultant is replaced by another registered subconsultant pursuant to Public Contract Code section 4107.

4.20.9.2 By submitting a bid or proposal to the City, Design Professional is certifying that he or she has verified that all subcontractors used on this

public work project are registered with the DIR in compliance with Labor Code sections 1771.1 and 1725.5, and Design Professional shall provide proof of registration for themselves and all listed subcontractors to the City at the time of bid or proposal due date or upon request.

4.20.10 Stop Order. For Design Professional or its subcontractor(s) engaging in the performance of any public work contract without having been registered in violation of Labor Code sections 1725.5 or 1771.1, the Labor Commissioner shall issue and serve a stop order prohibiting the use of the unregistered Design Professional or unregistered subcontractor(s) on ALL public works until the unregistered Design Professional or unregistered subcontractor(s) is registered. Failure to observe a stop order is a misdemeanor.

4.20.11 List of all Subcontractors. The Design Professional shall provide a complete list of subcontractors (regardless of tier) utilized on this Agreement, along with their DIR registration numbers, if applicable, prior to any work being performed on this Agreement, and Design Professional shall provide a complete list of subcontractors, regardless of tier, with each invoice. Additionally, Design Professional shall provide the City with a complete list of all subcontractors utilized on this Agreement, regardless of tier, within ten working days of the completion of the Agreement, along with their DIR registration numbers, if applicable. The City shall withhold final payment to Design Professional until at least thirty (30) days after this information is provided to the City.

4.20.12 Exemptions for Small Projects. There are limited exemptions for installation, alteration, demolition, or repair work done on projects of \$25,000 or less. The Design Professional shall still comply with Labor Code sections 1720 et. seq. The only recognized exemptions are listed below:

4.20.12.1 Registration. The Design Professional will not be required to register with the DIR for small projects. (Labor Code section 1771.1).

4.20.12.2 Certified Payroll Records. The records required in Labor Code section 1776 shall be required to be kept and submitted to the City of San Diego, but will not be required to be submitted online with the DIR directly. The Design Professional will need to keep those records for at least three years following the completion of the Agreement. (Labor Code section 1771.4).

4.20.12.3 List of all Subcontractors. The Design Professional shall not be required to hire only registered subcontractors and is exempt from submitting the list of all subcontractors that is required in section 4.20.11 above. (Labor Code section 1773.3).

ARTICLE V RESERVED

ARTICLE VI INDEMNIFICATION

6.1 Indemnification. Other than in the performance of design professional services which shall be solely as addressed in Section 6.2 below, to the fullest extent permitted by law, Design Professional shall defend (with legal counsel reasonably acceptable to the City), indemnify and hold harmless the City and its officers, agents, departments, officials, and employees [Indemnified Parties] from and against all claims, losses, costs, damages, injuries (including, without limitation, injury to or death of an employee of Design Professional or its Subcontractors), expense and liability of every kind, nature and description (including, without limitation, incidental and consequential damages, court costs, attorneys' fees, litigation expenses and fees of expert consultants or expert witnesses incurred in connection therewith and costs of investigation) that arise out of, pertain to, or

relate to, directly or indirectly, in whole or in part, any services performed under this Agreement by the Design Professional, any Subcontractor, anyone directly or indirectly employed by them, or anyone that they control. The Design Professional's duty to defend, indemnify, protect and hold harmless shall not include any claims or liabilities arising from the active negligence, sole negligence or sole willful misconduct of the Indemnified Parties.

6.2 Design Professional Services Indemnification and Defense.

6.2.1 Design Professional Services Indemnification. To the fullest extent permitted by law (including, without limitation, California Civil Code Section 2782.8), with respect to the performance of design professional services, Design Professional shall indemnify and hold harmless the City, its officers, or employees, from all claims, demands or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.

6.2.2 Design Professional Services Defense. Parties will work in good faith to procure applicable insurance coverage for the cost of any defense arising from all claims, demands or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.

6.3 Insurance. The provisions of this Article are not limited by the requirements of Section 4.3 related to insurance.

6.4 Enforcement Costs. The Design Professional agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in this Article.

ARTICLE VII MEDIATION

7.1 Mandatory Non-binding Mediation. With the exception of Sections 2.5-2.7 of this Agreement, if a dispute arises out of, or relates to this Agreement, or the breach thereof, and if said dispute cannot be settled through normal contract negotiations, prior to the initiation of any litigation, the Parties agree to attempt to settle the dispute in an amicable manner, using mandatory mediation under the Construction Industry Mediation Rules of the American Arbitration Association (AAA) or any other neutral organization agreed upon before having recourse in a court of law.

7.2 Mandatory Mediation Costs. The expenses of witnesses for either side shall be paid by the Party producing such witnesses. All other expenses of the mediation, including required traveling and other expenses of the mediator [Mediator], and the cost of any proofs or expert advice produced at the direct request of the Mediator, shall be borne equally by the Parties, unless they agree otherwise.

7.3 Selection of Mediator. A single Mediator that is acceptable to both Parties shall be used to mediate the dispute. The Mediator will be knowledgeable in construction aspects and may be selected from lists furnished by the AAA or any other agreed upon Mediator. To initiate mediation, the initiating Party shall serve a Request for Mediation on the opposing Party. If the Mediator is selected from a list provided by AAA, the initiating Party shall concurrently file with AAA a "Request for Mediation" along with the appropriate fees, a list of three requested Mediators marked in preference order, and a preference for available dates.

7.3.1 If AAA is selected to coordinate the mediation, within ten working days from the receipt of the initiating Party's Request for Mediation, the opposing Party shall file

the following: a list of preferred Mediators listed in preference order after striking any Mediators to which they have any factual objection, and a preference for available dates. If the opposing Party strikes all of initiating Party's preferred Mediators, opposing Party shall submit a list of three preferred Mediators listed in preference order to initiating Party and Administrator. Initiating Party shall file a list of preferred Mediators listed in preference order, after striking any Mediator to which they have any factual objection. This process shall continue until both sides have agreed upon a Mediator.

7.3.2 The Administrator will appoint or the Parties shall agree upon the highest, mutually preferred Mediator from the individual Parties' lists who is available to serve within the designated time frame.

7.3.3 If the Parties agree not to use AAA, then a Mediator, date and place for the mediation shall be mutually agreed upon.

7.4 Conduct of Mediation Sessions. Mediation hearings will be conducted in an informal manner and discovery will not be allowed. All discussions, statements, or admissions will be confidential to the Party's legal position. The Parties may agree to exchange any information they deem necessary.

7.4.1 Both Parties must have an authorized representative attend the mediation. Each representative must have the authority to recommend entering into a settlement. Either Party may have attorney(s) or expert(s) present. Upon reasonable demand, either Party may request and receive a list of witnesses and notification whether attorney(s) will be present.

7.4.2 Any agreements resulting from mediation shall be documented in writing. All mediation results and documentation, by themselves, shall be "non-binding" and inadmissible for any purpose in any legal proceeding, unless such admission is otherwise agreed upon, in writing, by both Parties. Mediators shall not be subject to any subpoena or liability and their actions shall not be subject to discovery.

ARTICLE VIII INTELLECTUAL PROPERTY RIGHTS

8.1 Work For Hire. All original designs, plans, specifications, reports, documentation, and other informational materials, whether written or readable by machine, originated or prepared exclusively for the City pursuant to this Agreement (Deliverable Materials) is "work for hire" under the United States Copyright law and shall become the sole property of the City and shall be delivered to the City upon request. The Design Professional, including its employees, and independent Subcontractor(s), shall not assert any common law or statutory patent, copyright, trademark, or any other intellectual proprietary right to the City to the Deliverable Materials.

8.2. Rights in Data. All rights including, but not limited to publication(s), registration of copyright(s), and trademark(s) in the Deliverable Materials, developed by the Design Professional, including its employees, agents, talent and independent Subcontractors pursuant to this Agreement are the sole property of the City. The Design Professional, including its employees, agents, talent, and independent Subcontractor(s), may not use any such Deliverable Materials mentioned in this article for purposes unrelated to Design Professional's work on behalf of the City without prior written consent of the City.

8.3 Intellectual Property Rights Assignment. Design Professional, its employees, agents, talent, and independent Subcontractor(s) agree to promptly execute and deliver,

upon request by City or any of its successors or assigns at any time and without further compensation of any kind, any power of attorney, assignment, application for copyright, patent, trademark or other intellectual property right protection, or other papers or instruments which may be necessary or desirable to fully secure, perfect or otherwise protect to or for the City, its successors and assigns, all right, title and interest in and to the content of the Deliverable Materials; and cooperate and assist in the prosecution of any action or opposition proceeding involving said rights and any adjudication of the same.

8.4 Moral Rights. Design Professional, its employees, agents, talent, and independent Subcontractor(s) hereby irrevocably and forever waives, and agrees never to assert, any Moral Rights in or to the Deliverable Materials which Design Professional, its employees, agents, talent, and independent Subcontractor(s), may now have or which may accrue to Design Professional, its employees, agents, talent, and independent Subcontractor(s)' benefit under U.S. or foreign copyright laws and any and all other residual rights and benefits which arise under any other applicable law now in force or hereafter enacted. The term "Moral Rights" shall mean any and all rights of paternity or integrity in or to the Deliverable Materials and the right to object to any modification, translation or use of said content, and any similar rights existing under judicial or statutory law of any country in the world or under any treaty, regardless of whether or not such right is denominated or referred to as a moral right.

8.5 Subcontracting. In the event that Design Professional utilizes a Subcontractor(s) for any portion of the Work that is in whole or in part of the specified Deliverable Materials to the City, the agreement between Design Professional and the Subcontractor [Subcontractor Agreement] shall include a statement that identifies that the Deliverable Materials/Work product as a "work-for hire" as defined in the Act and that all intellectual property rights in the Deliverable Materials/Work product, whether arising in copyright, trademark, service mark or other belongs to and shall vest solely with the City. Further, the Subcontractor Agreement shall require that the Subcontractor, if necessary, shall grant, transfer, sell and assign, free of charge, exclusively to the City, all titles, rights and interests in and to said Work/Deliverable Materials, including all copyrights and other intellectual property rights. City shall have the right to review any Subcontractor agreement for compliance with this provision.

8.6 Publication Design. Design Professional may not publish or reproduce any Deliverable Materials, for purposes unrelated to Design Professional's work on behalf of the City without prior written consent of the City.

8.7 Intellectual Property Warranty and Indemnification. Design Professional represents and warrants that any materials or deliverables, including all Deliverable Materials, provided under this contract are either original, not encumbered and do not infringe upon the copyright, trademark, patent or other intellectual property rights of any third party, or are in the public domain. If Deliverable Materials provided hereunder become the subject of a claim, suit or allegation of copyright, trademark or patent infringement, City shall have the right, in its sole discretion, to require Design Professional to produce, at Design Professional's own expense, new non-infringing materials, deliverables or Works as a means of remedying any claim of infringement in addition to any other remedy available to the City under law or equity. Design Professional further agrees to indemnify and hold harmless the City, its elected officials, officers, employees and agents from and against any and all claims, actions, costs, judgments or damages of any type alleging or threatening that any materials, deliverables, supplies, equipment, services, Deliverable Materials, or Works provided under this contract infringe the copyright, trademark, patent or other intellectual property or proprietary rights of any third party (Third Party Claims of Infringement). If a Third Party Claim of Infringement is threatened or made before Design Professional receives

payment under this contract, City shall be entitled, upon written notice to Design Professional, to withhold some or all of such payment.

8.8 Enforcement Costs. The Design Professional agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in Article 8, including but not limited to, attorneys' fees.

ARTICLE IX MISCELLANEOUS

9.1 Notices. In all cases where written notice is required under this Agreement, service shall be deemed sufficient if the notice is deposited in the United States mail, postage paid. Proper notice shall be effective on the date it is mailed, unless provided otherwise in this Agreement. For the purpose of this Agreement, unless otherwise agreed in writing, notice to the City shall be addressed to: Public Works Department, c/o Negin Afagh, MS 908A, 525 B Street, Suite 600, San Diego, CA 92101, and notice to the Design Professional shall be addressed to: T.Y. Lin International, Jeffrey Burdick, Project Manager, 404 Camino del Rio South, Suite 700, San Diego, CA 92108, jeffrey.burdick@tylin.com.

9.2 Headings. All article headings are for convenience only and shall not affect the interpretation of this Agreement.

9.3 Non-Assignment. The Design Professional shall not assign the obligations under this Agreement, whether by express assignment or by sale of the company, nor any monies due or to become due, without the City's prior written approval. Any assignment in violation of this paragraph shall constitute a Default and is grounds for immediate termination of this Agreement, at the sole discretion of the City. In no event shall any putative assignment create a contractual relationship between the City and any putative assignee.

9.4 Independent Contractors. The Design Professional and any Subcontractors employed by the Design Professional shall be independent contractors and not agents of the City. Any provisions of this Agreement that may appear to give the City any right to direct the Design Professional concerning the details of performing the Professional Services, or to exercise any control over such performance, shall mean only that the Design Professional shall follow the direction of the City concerning the end results of the performance.

9.5 Design Professional and Subcontractor Principals for Professional Services. It is understood that this Agreement is for unique Professional Services. Retention of the Design Professional's Professional Services is based on the particular professional expertise of the following members of the Design Professional's organization: Jeffrey Burdick [Project M]. Accordingly, performance of Professional Services under this Agreement may not be delegated to other members of the Design Professional's organization or to Subcontractors without the prior written consent of the City. It is mutually agreed that the members of the Project Team are the principal persons responsible for delivery of all Professional Services and may not be removed from the Project Team without the City's prior written approval. Removal of any member of the Project Team without notice and approval by the City may be considered a default of the terms and conditions of this Agreement by the Design Professional. In the event any member of the Project Team becomes unavailable for any reason, the City must be consulted as to any replacement. If the City does not approve of a proposed replacement, the City may terminate this Agreement pursuant to section 2.6 of this Agreement. Further, the City reserves the right, after consultation with the Design Professional, to require any of the Design Professional's employees or agents to be removed from performance of the Scope of Services.

9.6 Additional Design Professionals or Contractors. The City reserves the right to employ, at its own expense, such additional Design Professionals or contractors as the City deems necessary to perform work or to provide the Professional Services on the Project.

9.7 Employment of City Staff. This Agreement may be unilaterally and immediately terminated by the City, at its sole discretion, if the Design Professional employs an individual who, within the last twelve months immediately preceding such employment did, in the individual's capacity as an officer or employee of the City, participate in, negotiate with, or otherwise have an influence on the recommendation made to the City Council or Mayor in connection with the selection of the Design Professional.

9.8 Covenants and Conditions. All provisions of this Agreement, expressed as either covenants or conditions on the part of the City or the Design Professional, shall be deemed to be both covenants and conditions.

9.9 Compliance with Controlling Law. The Design Professional shall comply with all laws, ordinances, regulations, and policies of the federal, state, and local governments applicable to this Agreement, including California Labor Code section 1720 relating to the payment of prevailing wages during the design and preconstruction phases of a project, including inspection and land surveying work. In addition, the Design Professional shall comply immediately with all directives issued by the City or its authorized representatives under authority of any laws, statutes, ordinances, rules, or regulations. The laws of the State of California shall govern and control the terms and conditions of this Agreement.

9.10 Jurisdiction. The jurisdiction and applicable laws for any suit or proceeding concerning this Agreement, the interpretation or application of any of its terms, or any related disputes shall be in accordance with the laws of the State of California without regard to the conflicts or choice of law provisions thereof.

9.11 Successors in Interest. This Agreement and all rights and obligations created by this Agreement shall be in force and effect whether or not any Parties to the Agreement have been succeeded by another entity, and all rights and obligations created by this Agreement shall be vested and binding on any Party's successor in interest.

9.12 Integration. This Agreement and the Exhibits and references incorporated into this Agreement fully express all understandings of the Parties concerning the matters covered in this Agreement. No change, alteration, amendment, or modification of the terms or conditions of this Agreement, and no verbal understanding of the Parties, their officers, agents, or employees shall be valid unless made in the form of a written change agreed to in writing by both Parties. All prior negotiations and agreements are merged into this Agreement.

9.13 Counterparts. This Agreement may be executed in counterparts, which when taken together shall constitute a single signed original as though all Parties had executed the same page.

9.14 No Waiver. No failure of either the City or the Design Professional to insist upon the strict performance by the other of any covenant, term or condition of this Agreement, nor any failure to exercise any right or remedy consequent upon a breach of any covenant, term, or condition of this Agreement, shall constitute a waiver of any such breach of such covenant, term or condition. No waiver of any breach shall affect or alter this Agreement, and each and every covenant, condition, and term hereof shall continue in full force and effect without respect to any existing or subsequent breach.

9.15 Severability. The unenforceability, invalidity, or illegality of any provision of this Agreement shall not render any other provision of this Agreement unenforceable, invalid, or illegal.

9.16 Municipal Powers. Nothing contained in this Agreement shall be construed as a limitation upon the powers of the City as a chartered city of the State of California.

9.17 Drafting Ambiguities. The Parties agree that they are aware that they have the right to be advised by counsel with respect to the negotiations, terms and conditions of this Agreement, and the decision of whether or not to seek advice of counsel with respect to this Agreement is a decision which is the sole responsibility of each Party. This Agreement shall not be construed in favor of or against either Party by reason of the extent to which each Party participated in the drafting of the Agreement.

9.18 Conflicts Between Terms. If an apparent conflict or inconsistency exists between the main body of this Agreement and the Exhibits, the main body of this Agreement shall control. If a conflict exists between an applicable federal, state, or local law, rule, regulation, order, or code and this Agreement, the law, rule, regulation, order, or code shall control. Varying degrees of stringency among the main body of this Agreement, the Exhibits, and laws, rules, regulations, orders, or codes are not deemed conflicts, and the most stringent requirement shall control. Each Party shall notify the other immediately upon the identification of any apparent conflict or inconsistency concerning this Agreement.

9.19 Design Professional Evaluation. City will evaluate Design Professional's performance of Professional Services on the Project using the Consultant Evaluation Form [Exhibit F].

9.20 Exhibits Incorporated. All Exhibits referenced in this Agreement are incorporated into the Agreement by this reference.

9.21 Survival of Obligations. All representations, indemnifications, warranties and guarantees made in, required by or given in accordance with this Agreement, as well as all continuing obligations indicated in this Agreement, shall survive, completion and acceptance of the Professional Services and termination or completion of the Agreement.

9.22 Contractor Standards. This Agreement is subject to the Contractor Standards clause of the Municipal Code Chapter 2, Article 2, Division 30 adopted by Ordinance No. O-20316. All consultants are required to complete the Contractor Standards Pledge of Compliance included herein as Exhibit G.

9.23 Equal Benefits Ordinance. Unless an exception applies, Design Professional shall comply with the Equal Benefits Ordinance (EBO) codified in the San Diego Municipal Code (§22.4304(f)). Failure to maintain equal benefits is a material breach of this Agreement. By signing this Agreement, Design Professional certifies that Design Professional is aware of, and will comply with, this City-mandated clause throughout the duration of the Agreement.

9.24 Public Records. By Signing this Agreement the Design Professional agrees that it is aware that the contents of this Agreement and any documents pertaining to the performance of the Agreement requirements/Scope of Services resulting from this Agreement are public records, and therefore subject to disclosure unless a specific exemption in the California Public Records Act applies.

If the Design Professional submits information **clearly marked** confidential or proprietary, the City of San Diego (City) may protect such information and treat it with

confidentiality only to the extent permitted by law. However, it will be the **responsibility of the Design Professional** to provide to the City the specific legal grounds on which the City can rely in withholding information requested under the California Public Records Act, should the City choose to withhold such information.

General references to sections of the California Public Records Act will not suffice. Rather, the Design Professional must provide a **specific and detailed legal basis, including applicable case law that clearly establishes** the requested information is exempt from the disclosure requirements of the California Public Records Act.

If the Design Professional does not provide a specific and detailed legal basis for withholding the requested information within a time specified by the City, the City will release the information as required by the California Public Records Act and the **Design Professional will hold the City harmless** for release of this information.

It will be the **Design Professional's obligation to defend**, at Design Professional's expense, any legal actions or challenges seeking to obtain from the City any information requested under the California Public Records Act withheld by the City at the Design Professional's request. Furthermore, the Design Professional shall **indemnify** the City and **hold it harmless** for any claim or liability, and **defend any action** brought against the City, resulting from the City's refusal to release information requested under the Public Records Act withheld at Design Professional's request.

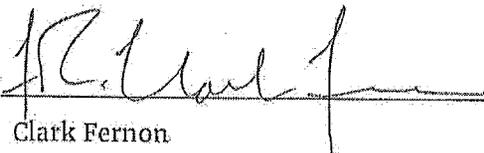
Nothing in this Agreement creates any obligation for the City to notify the Design Professional or obtain the Design Professional's approval or consent before releasing information subject to disclosure under the California Public Records Act.

9.25 Equal Pay Ordinance. Unless an exception applies, Design Professional shall comply with the Equal Pay Ordinance (EPO) codified in the San Diego Municipal Code (SDMC) at section 22.4801 through 22.4809. Design Professional shall require all of its subconsultants to certify compliance with the EPO in their written subcontracts. Design Professional must post a notice informing its employees of their rights under the EPO in their workplace or job site. By signing this Agreement with the City of San Diego, Design Professional acknowledges the EPO requirements and pledges ongoing compliance with the requirements of SDMC Division 48, section 22.4801 et seq., throughout the duration of this Agreement.

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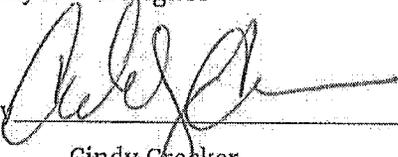
IN WITNESS WHEREOF, this Agreement is executed by the City of San Diego, acting by and through its Mayor, pursuant to San Diego Municipal Code 22.3207, authorizing such execution, and by the Design Professional pursuant to T.Y. Lin International's signature authority document.

I HEREBY CERTIFY I can legally bind T.Y. Lin International and that I have read all of this Agreement, this 3rd day of June, 2019.

By 
Clark Fernon
Vice President

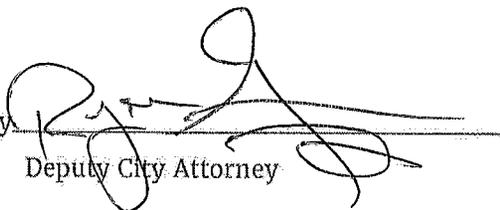
Dated this 15th day of July, 2019.

THE CITY OF SAN DIEGO
Mayor or Designee

By 
Cindy Crocker
Principal Contract Specialist
Public Works Contracts

I HEREBY APPROVE the form of the foregoing Agreement this 17th day of JULY, 2019.

MARA W. ELLIOTT, City Attorney

By 
Deputy City Attorney

DESIGN PROFESSIONAL AGREEMENT
EXHIBITS

SCOPE OF SERVICES

Scope of Services

Torrey Meadows Drive Overcrossing

Design Support During Construction Services

I. Introduction

This scope of work outlines the tasks for the Design Services During Construction (DSDC) for the Torrey Meadows Drive overcrossing. Torrey Meadows Drive exists as a two-lane street both north and south of State Route 56 (SR-56) and currently ends in a cul-de-sac on either side of the freeway. In light of public safety (ingress/egress) concerns and to provide the infrastructure necessary to support continued local development, the City has determined that Torrey Meadows Drive be extended across SR-56. The Torrey Highlands Public Facilities Financing Plan FY 2013 identifies the Torrey Meadows Drive (Street "B") Overcrossing as Project T-9. The project is generally located near Post Mile 5.6 along SR-56.

The PS&E was prepared by T.Y. Lin International (TYLI). The project is being funded through City of San Diego Facilities Benefit Assessment (FBA) Funds. The California Department of Transportation (Caltrans) District 11 will advertise, award, and administer (AAA) the construction contract. The current engineer's estimate for the construction is approximately \$8,978,000. It is anticipated that the project will be put out to bid in summer of 2019, and have a schedule of 250 working days.

II. Scope of Work

This scope of work generally consists of providing Design Support During Construction services for the Torrey Meadows Drive Overcrossing project.

The scope of work for this phase of work is organized into the following main tasks:

Task 1 Bid Support

158 - Project Management for Construction Support

Task 2 Construction Support

270 - Construction Support Services - Civil

270.05 - Bid Support - Civil

270.10 - Submittal Reviews - Civil

270.20 - Technical Support - Civil

275 - Construction Support Services - Structures

275.05 - Bid Support - Structures

275.10 - Submittal Reviews - Structures

275.20 - Technical Support - Structures

280 - Permits, Licenses, Agreements and Certifications (PLAC) Assistance

280.10 - Permit Assistance during Construction

280.20 - Cultural Resource Monitoring

- 280.30 - Paleontological Monitoring
- 280.40 - General Avian and Raptor Survey
- 280.50 - Coastal California Gnatcatcher Survey
- 280.60 - Noise Control Plan and Monitoring
- 280.70 - Biological Construction Monitoring

- 285 - Change Order Support Services
- 285.10 - Technical Support of Change Orders

Task 3 Project Close-Out/As-Builts

- 295 - Acceptance of Contract Support Services
- 295.10 - Update Caltrans Improvement Sheet Borders
- 295.20 - Prepare As-built Plans
- 295.30 - As-built Certifications of Permanent BMP's

In general, the project scope follows the Caltrans Work Breakdown System (WBS), and applicable WBS tasks are described in the following:

158 PROJECT MANAGEMENT FOR CONSTRUCTION SUPPORT

158.00 Project Management – Construction Support

Includes the management of the construction support services component through completion. Services include planning, execution, control, and close out of the construction support services.

1. **Construction Support Services Component Execution and Control:** Coordinate project team to develop and carry out the work-plan, monitor and report progress, document project activities, invoice the city, and take corrective action when needed.

Includes efforts related to preparing or updating administrative records during the construction support phase to include the following activities:

- Provide status updates, report work results and update the work plan.
- Communicate and distribute project records and information including responses to internal and external requests for information.
- Prepare monthly invoices and progress reports.
- Monitor and document quality assurance and quality control.
- Document project communications, activities, meetings, etc.

Deliverables: Project records, using a uniform file system; monthly invoices and progress reports

2. **Construction Support Component Close Out:** Bring the project files, designs, and other materials to closure at any point prior to full completion/closeout of the construction support phase.

270 CONSTRUCTION SUPPORT SERVICES - CIVIL

270.05 Bid Support - Civil

Design Professional shall assist in answering questions regarding the Special Provisions, the design drawings, or conflicts in the design during the bidding process. Design Professional will prepare addendums, if necessary.

Design Professional will attend the pre-bid meetings.

Design Professional will provide responses to Requests for Information, as requested by the City and Caltrans.

270.10 Submittal Reviews - Civil

Assist in review of contractor-generated submittals and applicable shop drawings for conformance with project plans, specifications and supporting documents. Potential submittals may include the following:

- Storm water compliance plan
- Erosion control plan
- Traffic control plan
- Electrical shop drawings
- Sign shop drawings
- Midwest Guardrail System shop drawings
- Landscape shop drawings
- Irrigation shop drawings
- Materials for utilities (water and storm drain)
- Hot mix asphalt concrete mix designs and aggregate grading

Submittal review duration shall not exceed four (4) hours per submittal without prior approval by the City.

270.20 Technical Support - Civil

Technical support activities related to civil items include the following:

- 270.21 Requests for Information (RFI)** – Respond to requests for information from the contractor, as directed by the City’s and Caltrans’ construction management team.

270.22 Technical Assistance - Provide technical assistance to the City's and Caltrans' construction management teams regarding clarifications to the plans and specifications.

270.23 Construction Meetings - Attend construction meetings to include a pre-construction meeting, public meetings, field review meetings and construction progress meetings - 16 total.

275 CONSTRUCTION SUPPORT SERVICES - STRUCTURES

275.05 Bid Support - Structures

Design Professional shall assist in answering questions regarding the Special Provisions, the design drawings, or conflicts in the design during the bidding process. Design Professional will prepare addendums, if necessary.

Design Professional will attend the pre-bid meetings.

Design Professional will provide responses to Requests for Information, as requested by the City and Caltrans.

275.10 Submittal Reviews - Structures

Assist in review of structure and structure-geotechnical related contractor submittals, including independent calculations when required, for conformance with project plans, specifications and supporting documents. Services include coordination with Caltrans Structures Local Assistance. The following contractor submittals are anticipated, but this is not an exhaustive list:

- Prestressing shop drawings
- Concrete mix designs and aggregate grading
- Electrical materials
- Miscellaneous metal for utilities
- Bearing submittals
- Falsework Submittal

Submittal review duration shall not exceed four (4) hours per submittal without prior approval by the City.

275.20 Technical Support - Structures

Technical support activities related to structures items include the following:

275.21 Requests for Information (RFI) - Respond to requests for information from the contractor, as directed by the City's and Caltrans' construction management team.

275.22 Technical Assistance - Provide technical assistance to the City's and Caltrans' construction management teams regarding clarifications to the plans and specifications.

275.23 Construction Meetings - Attend construction meetings to include a pre-construction meeting, public meetings, field review meetings and construction progress meetings - 16 total.

280 PERMITS, LICENSES, AGREEMENTS & CERTIFICATIONS (PLAC) and Environmental Stewardship

280.10 Permit Assistance during Construction

Assist the City in complying with permit conditions during construction. Services includes reviewing contractor submittals required by the permits. Review submittals for conformance with project plans, specifications and supporting documentation and provide recommendations. Coordinate monitoring efforts and provide updates to City as requested.

280.20 Cultural Resource Monitoring

Design Professional will provide archaeological monitoring per the mitigation measures for the project and the City's standard MMRP requirements. This scope includes: submission of a letter of qualification; attendance by the Principal Investigator (PI) at a preconstruction meeting with City Mitigation Monitoring Coordination (MMC) staff and the contractor, to explain the monitoring program and its protocols; provide prefield required deliverables prior to the preconstruction meeting (records search letter, monitors' qualifications, Archaeological Monitoring Exhibit, construction monitoring schedule); provide a full-time archaeological monitor for grading/trenching/ground-disturbing activity for the project; and submit a letter report at the conclusion of monitoring.

As a subconsultant, Misschief Cultural Monitoring will provide Native American Cultural Monitors. The scope for Misschief Cultural Monitoring is for the Native American Monitor to attend a preconstruction meeting with MMC staff and the contractor and provide a Native American Monitor for grading and trenching/ground-disturbing activity for the project.

280.30 Paleontological Monitoring

As a subconsultant, the San Diego Natural History Museum (SDNHM) will provide paleontological monitoring during ground disturbances. A qualified paleontologist will attend one on-site preconstruction meeting with City MMC staff and the contractor, to explain the paleontological monitoring program and its protocols. In addition, SDNHM will provide required pre-field deliverables prior to the preconstruction meeting (monitors' qualifications, Paleontological Monitoring Exhibit, construction monitoring schedule); provide a full-time paleontological monitor for grading/trenching/ground-disturbing activity into native soils for the project; and it is assumed the result will be to submit a negative letter report at the

conclusion of monitoring. This scope assumes that no paleontological discoveries will be made. If discoveries are made, there would be additional costs and the City would be notified immediately.

280.40 General Avian and Raptor Survey

Design Professional will conduct a single nesting bird survey within 10 calendar days prior to the start of construction (including vegetation removal) to determine if active nests are present within or adjacent to the proposed area of disturbance on site. Design Professional will provide a brief letter report to the City describing the survey methods and results. If nesting birds are detected, the letter will include proposed measures to be implemented to ensure that take of birds or eggs, or disturbance of breeding activities is avoided.

280.50 Coastal California Gnatcatcher Survey

Design Professional will conduct a pre-construction presence/absence protocol survey for CAGN. Design Professional is required under its 10(a)(1)(A) recovery permit (TE778195) to notify the U.S. Fish and Wildlife Service (USFWS) 15 days in advance of the survey and submit a written report to the USFWS within 45 days of completing the final survey. Surveys consist of three surveys, a week apart during the CAGN breeding season. If no birds are observed, no noise control planning or noise monitoring would be required, pending City and resource agency approval. This task assumes a single set of CAGN surveys, a CAGN survey area that extends 500 feet from the project footprint, the amount of habitat could be surveyed by a single biologist in one day (i.e., less than 60 acres of habitat), and CAGN surveys would only need to occur during a single breeding season.

280.60 Noise Control Plan and Monitoring

Design Professional will prepare and implement a noise control plan, which includes noise monitoring. The plan includes analyzing the proposed noise impacts, preparing noise control mitigation, and submitting one draft and one final letter to the City. This task assumes that noise mitigation is feasible for the project. Noise monitoring is required to be conducted at least twice weekly on varying days, or more frequently depending on the construction activities. This task assumes noise monitoring will occur for a single nest location, for twelve weeks, up to 24 visits. The City may request weekly reports during the breeding season to document compliance with noise levels. A report on the results of the noise monitoring would be submitted upon completion of the monitoring program to document the results. In the unlikely event that multiple active nests of CAGN are found during pre-construction surveys or biological monitoring, additional monitoring would be required that is not identified in the scope of services. This task assumes that after initial setup, the biologist could service the noise meter during regular construction monitoring visits, when possible. It is also assumed that one visit would be conducted to monitor installation of staking or fencing required to delineate restricted areas, and installation of any required noise attenuation measures.

280.70 Biological Construction Monitoring

Design Professional will provide construction monitoring to ensure that activities occur within the approved project limits. The monitoring biologist will work under an identified Qualified Biologist (as required in the permit condition). Included in this construction monitoring are the following tasks to be carried out:

- Provide a letter to the City verifying that a Qualified Biologist has been retained to monitor construction. The letter will include the pre-construction information requested by the City in the permit conditions, including the 11x17 Biological Construction Mitigation/Monitoring Exhibit (BMCE).
- Attend the pre-construction meeting and conduct an on-site education session regarding the need to avoid impacts to sensitive areas.
- Supervise the placement of orange construction fencing or equivalent along the approved limits of disturbance adjacent to sensitive biological habitats;
- Verify compliance with any other project conditions as shown on the BMCE (i.e., flagging plant specimens and delimiting buffers to protect sensitive biological resources);
- Help ensure that construction activities, access, and staging areas are restricted to the approved development area;
- Monitor construction activities (as needed) to help ensure that construction does not encroach into biologically sensitive areas beyond the approved limits of disturbance, or cause other similar damage, and that the work plan has been amended to accommodate any sensitive species located during the pre-construction surveys;
- Verify that the area outside the established limits of disturbance remains free of trash, parking, or other construction-related activities;
- Document field activity via the Consultant Site Visit Record (CSVR); and
- Provide a Final Monitoring Report documenting compliance with permit conditions within 30 days of construction completion.

Design Professional has assumed with a total of 18 site visits (108 monitoring hours total) for a pre-construction meeting and ongoing biological construction monitoring for the 60-day construction period. After the pre-construction meeting and fence installation, visits will be conducted twice a week for the duration of construction (up to twelve weeks).

285 CHANGE ORDER SUPPORT SERVICES

285.10 Technical Support of Change Order Preparation

Assist the City's and Caltrans' Construction Engineering staff in preparation of contract change orders. Prepare supporting documentation to complete the change order to include analysis and updates to drawings in Mylar and PDF format, specifications, reports and related design documents.

295 ACCEPTANCE OF CONTRACT SUPPORT SERVICES

295.10 Update Caltrans Improvement Plan Borders

Revise plan sheet borders for all improvements falling within City right-of-way. These border files will be submitted in PDF format.

Deliverables: Updated set of plans ready for final process review prior to being transmitted to the City and Caltrans.

295.20 Prepare As-built Plans

Prepare as-built plans based on the Resident Engineer's redlined plans developed during bridge construction and related documentation. As-built plans will be sent to the Resident Engineer for agency submittal. For improvements within City right-of-way, separate as-builts on a City border will be submitted.

Deliverables: Marked up As-built plan set in mylar and PDF format.

295.30 As-Built Certification for Permanent BMPs

Perform site observations to confirm that the site improvements for the project have been constructed in conformance with the City of San Diego's 2018 Storm Water Standards, as reflected in the SWQMP/SWDR and construction plans for the project. The approved SWQMP/SWDR and Permanent Storm water BMP Plan Sheets will be used to verify if field conditions reflect the intended layout for Low Impact Development (LID) site design, source control, and pollutant control BMP(s), as-applicable. If the field conditions are not found to be in conformance, then the specific items of concern will be discussed with the City of San Diego and Caltrans. Discussion could include corrective measures, alternative solutions and subsequent processing and approval through the City and Caltrans.

Perform a total of up to two (2) site visits, and completion of the Permanent BMP Construction Self Certification Form (DS-563) for five (5) bioretention BMPs. Each basin will be tested for percolation twice: once when the soil media is installed prior to planting, and once following the completion of planting (two percolation tests on each bioretention basin for a total of 10 tests). In addition, laboratory testing of the soil media for classification

purposes consisting of sieve analysis and Atterberg limits will be completed. Test results will be summarized data in a report.

Deliverables: Permanent BMP Construction Self Certification Form (DS-563).

III. Exclusions and Assumptions

Exclusions:

The services described herein exclude tasks typically performed by the Construction Administration team. For clarity, these excluded tasks are listed as follows:

- Shoring plans and calculations review
- Site inspection and construction management
- Geotechnical field observations
- Materials testing
- Mitigation reference site evaluations

Assumptions:

- Total project duration of the construction project will be 250 working days.
- Of those 250 working days for the construction project, it is assumed that a maximum of 60 working days will include ground disturbing activities requiring environmental monitoring.
- Environmental monitoring scope is based on the mitigation requirements included in the Mitigation Monitoring and Reporting Plan (MMRP) within the Final Mitigated Negative Declaration adopted for the project (SCH No. 2016041043).
- The scope for Task 280.20 assumes 60 days of monitoring for an archaeologist and a Native American monitor. Nine hours per day are assumed for the archaeologist to account for travel time and daily notes. The scope assumes that no cultural material will be recovered during monitoring. If cultural material is encountered, it will need to be documented and collected; additional excavation or other research may be required by City staff, which may result in additional costs. The scope and cost of additional work would depend on the nature and extent of cultural material encountered. Design Professional will notify the City immediately if cultural material is encountered. If archaeological material is collected, it must be curated at the San Diego Archaeological Center; at additional cost to the City, and the City will be notified of these costs immediately.
- Task 280.30 assumes that no paleontological discoveries will be made. If discoveries are made, there would be additional costs and the City would be notified immediately.

EXHIBIT A

- Various bird surveys are required by the project's MMRP. If removal of habitat in the proposed area of disturbance will occur in the breeding season for raptors and native/migratory birds (February 1 through September 15), a pre-construction presence/absence survey is required (Task 280.40). If this does not occur, this task will be omitted.
- The biological monitoring for the federally listed threatened coastal California gnatcatcher (*Polioptila californica californica*; CAGN) will involve two phases, if construction is planned within the breeding season (March 1 through August 15).
 - Phase 1 (Task 280.50) will involve a presence/absence survey to determine if the species is close enough to construction activities to be indirectly impacted (500 feet). If no birds are observed, the results would be summarized in a letter report and no noise control planning or noise monitoring would be required.
 - If CAGN is found or if presence is assumed, a second phase would be required. Phase 2 (Task 280.60) would include preparation and implementation of a noise control plan along with periodic noise monitoring to help assure that construction noise levels stay below the thresholds.
- If Design Professional finds that monitoring hours can be reduced and still be confident of not harming cultural resources, the Design Professional will do so. However, if additional monitoring hours are required beyond those originally estimated, there would be additional costs and the City would be notified before additional work is conducted.

COMPENSATION AND FEE SCHEDULE

Compensation and Fee Schedule

Exhibit B

Consultant: Consultant Summary
 Activity: All Activities

Task	Description							Labor Totals	
		T.Y. Lin International	Rick Engineering	Helix Environmental Planning	Kleinfelder	Misschief Cultural Monitoring	San Diego Natural History Museum	Hours	Amount
TASK 1 - Bid Support									
158	Project Management for Construction Support	74						74	\$11,070
TASK 2 - Construction Support									
270 Construction Support Services - Civil									
270.05	Bid Support - Civil	28	12					40	\$5,930
270.10	Submittal Reviews - Civil	84	20					104	\$15,760
270.21	Requests for Information	84	12					96	\$14,800
270.22	Technical Assistance	82	12					94	\$14,520
270.23	Construction Meetings	20	12					32	\$5,520
275 Construction Support Services - Structures									
270.05	Bid Support - Structures	28						28	\$4,720
275.10	Submittal Reviews - Structures	76						76	\$11,920
275.21	Requests for Information	76						76	\$11,920
275.22	Technical Assistance	94						94	\$14,040
275.23	Construction Meetings	16						16	\$3,520
280 Permits, Licenses, Agreements & Certifications									
280.10	Permit Assistance During Construction			60				60	\$10,330
280.20	Cultural Resources Monitoring			588		482		1070	\$79,140
280.30	Paleontological Monitoring			25			444	469	\$36,190
280.40	General Avian and Raptor Survey			12				12	\$1,410
280.50	Coastal California Gnatcatcher Survey			36				36	\$4,370
280.60	Noise Control Plan and Monitoring			122				122	\$15,345
280.70	Biological Construction Monitoring			176				176	\$18,930
285 Change Order Support Services									
285.10	Technical Support of Change Order Preparation	200						200	\$29,840
TASK 3 - Close-Out/As Builts									
295 Acceptance of Contract Support Services									
295.10	Update Caltrans Improvement Plan Borders	12	6					18	\$2,440
295.20	Prepare As-built Plans	158	29					187	\$25,740
295.30	As-built Certification of Permanent BMP's	20			70			90	\$13,490
Total Hours:		1,052	103	1,019	70	482	444	3,170	
Subtotal:		\$161,340	\$13,740	\$104,480	\$10,150	\$28,920	\$32,315		\$350,945

ADDITIONAL SERVICES \$40,000
 REIMBURSABLE EXPENSES \$8,854

Scope Of Services Subtotal:	\$359,799
Additional Services	\$40,000
All Activities	\$399,799

Note: Additional Services to be authorized by the City of San Diego

Compensation and Fee Schedule

Exhibit B

Consultant: T.Y. Lin International
Activity: Civil and Bridge Engineering DSDC

Task	Description	Estimated Labor Hours								Labor Totals	
		Principal in Charge (Clark Fernon)	Project Manager (Jeff Burdick)	Sr. Engineer (Peter Smith)	Design Engineer (Dina Kasawdish)	CAD Tech (Oscar Colcol & Yi Wang)	Design Engineer (Roberto Ruvalcaba)	Waterline Design Engineer (Daniel Aruta)	Project Accountant (Cathy Salas)	Hours	Amount
TASK 1 - Bid Support											
158	Project Management for Construction Support	4	30						40	74	\$11,070
TASK 2 - Construction Support											
270	Construction Support Services - Civil										
270.05	Bid Support - Civil		10					18		28	\$4,370
270.10	Submittal Reviews - Civil		16					60	8	84	\$13,120
270.21	Requests for Information		16					60	8	84	\$13,120
270.22	Technical Assistance		16					58	8	82	\$12,840
270.23	Construction Meetings		16						4	20	\$3,840
275	Construction Support Services - Structures										
275.05	Bid Support - Structures			10	18					28	\$4,720
275.10	Submittal Reviews - Structures			16	60					76	\$11,920
275.21	Requests for Information			16	60					76	\$11,920
275.22	Technical Assistance			16	58	20				94	\$14,040
275.23	Construction Meetings			16						16	\$3,520
280	Permits, Licenses, Agreements & Certifications										
280.10	Permit Assistance During Construction										
280.20	Cultural Resources Monitoring										
280.30	Paleontological Monitoring										
280.40	General Avian and Raptor Survey										
280.50	Coastal California Gnatcatcher Survey										
280.60	Noise Control Plan and Monitoring										
280.70	Biological Construction Monitoring										
285	Change Order Support Services										
TASK 3 - Close-Out/As Builts											
285.10	Technical Support of Change Order Preparation		16	16	60	40	60	8		200	\$29,840
295	Acceptance of Contract Support Services										
295.10	Update Caltrans Improvement Plan Borders					4	8			12	\$1,600
295.20	Prepare As-built Plans		8	10	20	60	60			158	\$22,080
295.30	As-built Certification of Permanent BMP's		12				8			20	\$3,340
	Total Hours:	4	140	100	276	124	332	36	40	1,052	
	Rate:	\$280	\$185	\$220	\$140	\$120	\$140	\$220	\$110		
	Subtotal:	\$1,120	\$25,900	\$22,000	\$38,640	\$14,880	\$46,480	\$7,920	\$4,400		\$161,340

REIMBURSABLE EXPENSES

Travel	500	Miles	@	\$0.58	\$290
Plan Reproduction	250	Prints	@	\$3.00	\$750
Photocopies	2000	Copies	@	\$0.15	\$300
Postage & Freight	15	Each	@	\$25.00	\$375
Subtotal - REIMBURSABLE EXPENSES					\$1,715

Total	T.Y. Lin International	\$163,055
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Compensation and Fee Schedule

Exhibit B

Subconsultant: Rick Engineering
 Activity: Landscape Architect - DSDC

Task	Description	Estimated Labor Hours						Labor Totals	
		Project Landscape Architect (Tim Pruss)	Assistant Landscape Designer (Erik Rowan)					Hours	Amount
TASK 1 - Bid Support									
158	Project Management for Construction Support								
TASK 2 - Construction Support									
270	Construction Support Services - Civil								
270.05	Bid Support - Civil		2	10				12	\$1,560
270.10	Submittal Reviews - Civil		4	16				20	\$2,640
270.21	Requests for Information		4	8				12	\$1,680
270.22	Technical Assistance		4	8				12	\$1,680
270.23	Construction Meetings		4	8				12	\$1,680
275	Construction Support Services - Structures								
275.05	Bid Support - Structures								
275.10	Submittal Reviews - Structures								
275.21	Requests for Information								
275.22	Technical Assistance								
275.23	Construction Meetings								
280	Permits, Licenses, Agreements & Certifications								
280.10	Permit Assistance During Construction								
280.20	Cultural Resources Monitoring								
280.30	Paleontological Monitoring								
280.40	General Avian and Raptor Survey								
280.50	Coastal California Gnatcatcher Survey								
280.60	Noise Control Plan and Monitoring								
280.70	Biological Construction Monitoring								
285	Change Order Support Services								
285.10	Technical Support of Change Order Preparation								
TASK 3 - Close-Out/As Builts									
295	Acceptance of Contract Support Services								
295.10	Update Caltrans Improvement Plan Borders		2	4				6	\$840
295.20	Prepare As-built Plans		3	26				29	\$3,660
295.30	As-built Certification of Permanent BMP's								
	Total Hours:		23	80				103	
	Rate:		\$180	\$120					
	Subtotal:		\$4,140	\$9,600					\$13,740

REIMBURSABLE EXPENSES

Travel 450 Miles @ \$0.58 \$261

Subtotal - REIMBURSABLE EXPENSES \$261

TOTAL	Rick Engineering	\$14,001
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Compensation and Fee Schedule

Exhibit B

Subconsultant: Helix Environmental Planning
 Activity: Environmental Engineering - DSDC

Task	Description	Estimated Labor Hours														Labor Totals	
		Principal	Sr. PM/Sr. Specialist/ Principal Biologist	Project Manager	Planner/ Specialist	Document Coordinator	Principal Acoustician	Environ.I PM	Biologist V	Biologist IV	Operations Manager/ Field Director	GIS Specialist	Senior Archeologist	Cultural PM	Archeo Crew	Hours	Amount
TASK 1 - Bid Support																	
158	Project Management for Construction Support																
TASK 2 - Construction Support																	
270 Construction Support Services - Civil																	
270.05	Bid Support - Civil																
270.10	Submittal Reviews - Civil																
270.21	Requests for Information																
270.22	Technical Assistance																
270.23	Construction Meetings																
275 Construction Support Services - Structures																	
275.05	Bid Support - Structures																
275.10	Submittal Reviews - Structures																
275.21	Requests for Information																
275.22	Technical Assistance																
275.23	Construction Meetings																
280 Permits, Licenses, Agreements & Certifications																	
280.10	Permit Assistance During Construction	8	20	8	22	2									60	\$10,330	
280.20	Cultural Resources Monitoring										2		14	32	540	588	\$50,220
280.30	Paleontological Monitoring			25											25	\$3,875	
280.40	General Avian and Raptor Survey		1			1			1	7	1	1			12	\$1,410	
280.50	Coastal California Gnatcatcher Survey		1			3			26		2	4			36	\$4,370	
280.60	Noise Control Plan and Monitoring	1				4	22	63		27		5			122	\$15,345	
280.70	Biological Construction Monitoring		1			2			20	147	4	2			176	\$18,930	
285 Change Order Support Services																	
285.10	Technical Support of Change Order Preparation																
TASK 3 - Close-Out/As Built																	
295 Acceptance of Contract Support Services																	
295.10	Update Caltrans Improvement Plan Borders																
295.20	Prepare As-built Plans																
295.30	As-built Certification of Permanent BMP's																
Total Hours:		9	23	33	22	12	22	63	47	181	9	12	14	32	540	1,019	
Rate:		\$250	\$225	\$155	\$110	\$85	\$195	\$110	\$120	\$105	\$105	\$140	\$155	\$145	\$80		
Subtotal:		\$2,250	\$5,175	\$5,115	\$2,420	\$1,020	\$4,290	\$6,930	\$5,640	\$19,005	\$945	\$1,680	\$2,170	\$4,640	\$43,200		\$104,480

REIMBURSABLE EXPENSES

Travel	4802	miles	@	\$0.58		\$2,785
GPS	5	Days	@	\$60.00		\$300
Noise meter	9	Days	@	\$120.00		\$1,080
Photocopies	1,000	copies	@	\$0.10		\$100
Postage & Freight	4	each	@	\$25.00		\$100
Records Search	1	Arch & Haz	@	\$250.00		\$250

Subtotal - REIMBURSABLE EXPENSES

\$4,615

TOTAL	Helix Environmental Planning	\$109,095
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Compensation and Fee Schedule

Exhibit B

Subconsultant: Misschief Cultural Monitoring
 Activity: Cultural Monitoring

Task	Description	Estimated Labor Hours								Labor Totals	
		Native American Monitor								Hours	Amount
TASK 1 - Bid Support											
158	Project Management for Construction Support										
TASK 2 - Construction Support											
270	Construction Support Services - Civil										
270.05	Bid Support - Civil										
270.10	Submittal Reviews - Civil										
270.21	Requests for Information										
270.22	Technical Assistance										
270.23	Construction Meetings										
275	Construction Support Services - Structures										
270.05	Bid Support - Structures										
275.10	Submittal Reviews - Structures										
275.21	Requests for Information										
275.22	Technical Assistance										
275.23	Construction Meetings										
280	Permits, Licenses, Agreements & Certifications										
280.10	Permit Assistance During Construction										
280.20	Cultural Resources Monitoring	482							482	\$28,920	
280.30	Paleontological Monitoring										
280.40	General Avian and Raptor Survey										
280.50	Coastal California Gnatcatcher Survey										
280.60	Noise Control Plan and Monitoring										
280.70	Biological Construction Monitoring										
285	Change Order Support Services										
285.10	Technical Support of Change Order Preparation										
TASK 3 - Close-Out/As Builts											
295	Acceptance of Contract Support Services										
295.10	Update Caltrans Improvement Plan Borders										
295.20	Prepare As-built Plans										
295.30	As-built Certification of Permanent BMP's										
	Total Hours:	482							482		
	Rate:	\$60									
	Subtotal:	\$28,920								\$28,920	

REIMBURSABLE EXPENSES

Travel miles @ \$0.58

Subtotal - REIMBURSABLE EXPENSES

TOTAL	Misschief Cultural Monitoring	\$28,920
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Compensation and Fee Schedule

Exhibit B

Subconsultant: San Diego Natural History Museum
 Activity: Paleontological Monitoring

Task	Description	Estimated Labor Hours							Labor Totals	
		Paleo Monitor	Paleontologist II	Paleontologist III	Project Manager	Contract Manager	Paleontologist I	Hours	Amount	
TASK 1 - Bid Support										
158	Project Management for Construction Support									
TASK 2 - Construction Support										
270	Construction Support Services - Civil									
270.05	Bid Support - Civil									
270.10	Submittal Reviews - Civil									
270.21	Requests for Information									
270.22	Technical Assistance									
270.23	Construction Meetings									
275	Construction Support Services - Structures									
270.05	Bid Support - Structures									
275.10	Submittal Reviews - Structures									
275.21	Requests for Information									
275.22	Technical Assistance									
275.23	Construction Meetings									
280	Permits, Licenses, Agreements & Certifications									
280.10	Permit Assistance During Construction									
280.20	Cultural Resources Monitoring									
280.30	Paleontological Monitoring	420	11	4	3	4	2	444	\$32,315	
280.40	General Avian and Raptor Survey									
280.50	Coastal California Gnatcatcher Survey									
280.60	Noise Control Plan and Monitoring									
280.70	Biological Construction Monitoring									
285	Change Order Support Services									
285.10	Technical Support of Change Order Preparation									
TASK 3 - Close-Out/As Built										
295	Acceptance of Contract Support Services									
295.10	Update Caltrans Improvement Plan Borders									
295.20	Prepare As-built Plans									
295.30	As-built Certification of Permanent BMP's									
	Total Hours:	420	11	4	3	4	2	444		
	Rate:	\$72	\$85	\$90	\$130	\$65	\$65			
	Subtotal:	\$30,240	\$935	\$360	\$390	\$260	\$130			\$32,315

REIMBURSABLE EXPENSES

Travel 2600 miles @ \$0.58 \$1,508
 Subtotal - REIMBURSABLE EXPENSES \$1,508

TOTAL	San Diego Natural History Museum	\$33,823
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Compensation and Fee Schedule

Exhibit B

Subconsultant: Kleinfelder
 Activity: Geotechnical - DSDC

Task	Description	Estimated Labor Hours							Labor Totals	
		Sr. Engineer	Assoc. Engineer III						Hours	Amount
TASK 1 - Bid Support										
158	Project Management for Construction Support									
TASK 2 - Construction Support										
270	Construction Support Services - Civil									
270.05	Bid Support - Civil									
270.10	Submittal Reviews - Civil									
270.21	Requests for Information									
270.22	Technical Assistance									
270.23	Construction Meetings									
275	Construction Support Services - Structures									
270.05	Bid Support - Structures									
275.10	Submittal Reviews - Structures									
275.21	Requests for Information									
275.22	Technical Assistance									
275.23	Construction Meetings									
280	Permits, Licenses, Agreements & Certifications									
280.10	Permit Assistance During Construction									
285	Change Order Support Services									
280.10	Permit Assistance During Construction									
280.20	Cultural Resources Monitoring									
280.30	Paleontological Monitoring									
280.40	General Avian and Raptor Survey									
280.50	Coastal California Gnatcatcher Survey									
280.60	Noise Control Plan and Monitoring									
280.70	Biological Construction Monitoring									
TASK 3 - Close-Out/As Builts										
295	Acceptance of Contract Support Services									
295.10	Update Caltrans Improvement Plan Borders									
295.20	Prepare As-built Plans									
295.30	As-built Certification of Permanent BMP's									
	Total Hours:	10	60						70	\$10,150
	Rate:	\$205	\$135						70	
	Subtotal:	\$2,050	\$8,100							\$10,150

REIMBURSABLE EXPENSES

Travel	180	Mileage	@	\$0.58	\$104
Lab Testing	1	LS	@	\$650.00	\$650
Plan Reproduction		Plan Repro	@	\$3.00	

Subtotal - REIMBURSABLE EXPENSES **\$754**

TOTAL	Kleinfelder	\$10,904
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TIME SCHEDULE

TIME SCHEDULE

Task 1 Bid Support [Work Breakdown System (WBS) Task 158]

Task Duration: 28 Weeks from the date of the Notice to Proceed

Task 2 Construction Support (WBS Tasks 270 -285)

Task Duration: 130 Weeks from completion of Task 1

Task 3 Project Close-Out/As-Builts (WBS Task 295)

Task Duration: 34 Weeks from completion of Task 2

The time durations above are based on the timely review and processing by the City of San Diego and Caltrans District 11.

NOTE: All work must be completed by the contract expiration date stated in Section 2.1

EQUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP)

CONSULTANT REQUIREMENTS

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BB. Work Force Report.....10

CC. Subcontractors List.....11

I. City’s Equal Opportunity Commitment. The City of San Diego (City) is strongly committed to equal opportunity for employees and Subcontractors of Consultants doing business with the City. The City encourages its Consultants to share this commitment. Consultants are encouraged to take positive steps to diversify and expand their Subcontractor solicitation base and to offer consulting opportunities to all eligible Subcontractors. Consultants are encouraged to take positive steps to diversify and expand their subcontractor and supplier solicitation base and to offer opportunities to all eligible business firms.

Failure to submit the required EOCP documentation indicated below shall result in a determination of the Consultant being non-responsive.

II. Nondiscrimination in Contracting Ordinance. All Consultants doing business with the City, and their Subcontractors, must comply with requirements of the City’s *Nondiscrimination in Contracting Ordinance*, San Diego Municipal Code Sections 22.3501 through 22.3517.

A. Disclosure of Discrimination Complaints (Attachment AA). As part of its bid or proposal, Consultant shall provide to the City a list of all instances within the past ten (10) years where a complaint was filed or pending against Consultant in a legal or administrative proceeding alleging that Consultant discriminated against its employees, Subcontractors, vendors, or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.

- B. Contract Language. The following language shall be included in contracts for City projects between the Consultant and any Subcontractors, vendors, and suppliers:

Contractor shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring, or treatment of subcontractors, vendors, or suppliers. Consultant shall provide equal opportunity for Subcontractors to participate in opportunities. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

- C. Contract Disclosure Requirements. Upon the City’s request, Consultant agrees to provide to the City, within sixty (60) calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that Consultant has used in the past five (5) years on any of its contracts that were undertaken within County of San Diego, including the total dollar amount paid by Consultant for each subcontract or supply contract. Consultant further agrees to fully cooperate in any investigation conducted by the City pursuant to the City’s Nondiscrimination in Contracting Ordinance, Municipal Code Sections 22.3501 through 22.3517. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Consultant up to and including contract termination, debarment and other sanctions.

III. Equal Employment Opportunity Outreach Program. Consultants shall comply with requirements of San Diego Municipal Code Sections 22.2701 through 22.2707. Consultants shall submit with their proposal a Work Force Report for approval by the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP).

- A. Nondiscrimination in Employment. Consultant shall not discriminate against any employee or applicant for employment on any basis prohibited by law. Contractor shall provide equal opportunity in all employment practices. Consultants shall ensure that their subcontractors comply with this program. Nothing in this Section shall be interpreted to hold a Consultant liable for any discriminatory practice of its subcontractors.
- B. Work Force Report. If based on a review of the Work Force Report (Attachment BB) submitted an EOCP staff Work Force Analysis determines there are under representations when compared to County Labor Force Availability data, then the Consultant will also be required to submit an Equal Employment Opportunity (EEO) Plan to the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP) for approval.
- C. Equal Employment Opportunity Plan. If an Equal Employment Opportunity Plan is required, the Program Manager of EOCP will provide a list of plan requirements to Consultant.

IV. Small and Local Business Program Requirements. The City has adopted a Small and Local Business Enterprise (SLBE) program for consultant contracts. SLBE program requirements for consultant contracts are set forth Council Policy 100-10.

- A. SLBE and ELBE Participation for Contracts Valued Over \$50,000:

- 1. For all consultant contracts, the City shall apply a maximum of an additional 12 points for SLBE or ELBE participation, to the proposer’s subtotal maximum evaluation points..

Additional points will be awarded as follows to achieve the proposer's final maximum evaluation points :

- a. If the proposer achieves 20% participation, apply 5 points to the proposer's score; or
 - b. If the proposer achieves 25% participation, apply 10 points to the proposer's score; or
 - c. If the prime consultant is a SLBE or an ELBE, apply 12 points to the proposer's score.
- B. Subcontractor Participation List. The Subcontractor Participation List (Attachment CC) shall indicate the Name and Address, Scope of Services, Percent of Total Proposed Contract Amount, Certification Status and Where Certified for each proposed Subcontractor/Subconsultant.

V. Maintaining Participation Levels.

- A. Bid discounts and additional points are based on the Consultant's level of participation prior to the award of goods, services, or consultant contract. Consultants are required to achieve and maintain the SLBE or ELBE participation levels throughout the duration of the goods, services, or consultant contract.
- B. If the City modifies the original specifications, the Consultant shall make reasonable efforts to maintain the SLBE or ELBE participation for which the bid discount or additional points were awarded. The City must approve in writing the reduction in SLBE or ELBE participation levels.
- C. The Consultant shall notify and obtain written approval from the City in advance of any reduction in subcontract scope, termination, or substitution for a designated SLBE or ELBE subcontractor.
- D. Consultant's failure to maintain SLBE or ELBE participation levels as specified in the goods, services, or consultant contract shall constitute a default and grounds for debarment under Chapter 2, Article 2, Division 8, of the San Diego Municipal Code.
- E. The remedies available to the City under Council Policy 100-10 are cumulative to all other rights and remedies available to the City.

VI. Definitions.

Commercially Useful Function: a Small Local Business Enterprise or Emerging Local Business Enterprise (SLBE/ELBE) performs a commercially useful function when it is responsible for execution of the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the SLBE/ELBE shall also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quantity and quality, ordering the material, and installing (where applicable) and paying for the material itself.

To determine whether an SLBE/ELBE is performing a commercially useful function, an evaluation will be performed of the amount of work subcontracted, normal industry practices, whether the amount the SLBE/ELBE firm is to be paid under the contract is commensurate with the work it is actually performing and the SLBE/ELBE credit claimed for its performance of the work, and other relevant factors. Specifically, a SLBE/ELBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of meaningful and useful SLBE/ELBE participation, when in similar transactions in which SLBE-ELBE firms do not participate, there is no such role performed.

Disadvantaged Business Enterprise (DBE): a certified business that is (1) at least fifty-one (51%) owned by socially and economically Disadvantaged Individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more socially and economically Disadvantaged Individuals; and (2) whose daily business operations are managed and directed by one or more socially and economically disadvantaged owners. Disadvantaged Individuals include Black Americans, Hispanic Americans, Asian Americans, and other minorities, or individual found to be disadvantaged by the Small Business Administration pursuant to Section 8 of the Small Business Reauthorization Act.

Disabled Veteran Business Enterprise (DVBE): a certified business that is (1) at least fifty-one percent (51%) owned by one or more disabled veterans; and (2) business operations must be managed and controlled by one or more disabled veterans. Disabled Veteran is a veteran of the U.S. military, naval, or air service; the veteran must have a service-connected disability or at least 10% or more; and the veteran must reside in California. The firm shall be certified by the State of California's Department of General Services, Office of Small and Minority Business.

Emerging Business Enterprise (EBE): a business whose gross annual receipts do not exceed the amount set by the City Manager, and which meets all other criteria set forth in the regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review the threshold amount for EBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace.

Emerging Local Business Enterprise (ELBE): a Local Business Enterprise that is also an Emerging Business Enterprise.

Local Business Enterprise (LBE): a firm having a Principal Place of Business and a Significant Employment Presence in San Diego County, California, that has been in operation for 12 consecutive months and a valid business tax certificate. This definition is subsumed within the definition of Small Local Business Enterprise.

Minority Business Enterprise (MBE): a certified business that is (1) at least fifty-one percent (51%) owned by one or more minority individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more minority individuals; and (2) whose daily business operations are managed and directed by one or more minorities owners. Minorities include the groups with the following ethnic origins: African, Asian Pacific, Asian Subcontinent, Hispanic, Native Alaskan, Native American, and Native Hawaiian.

Other Business Enterprise (OBE): any business which does not otherwise qualify as Minority, Woman, Disadvantaged or Disabled Veteran Business Enterprise.

Principal Place of Business: a location wherein a firm maintains a physical office and through which it obtains no less than fifty percent (50%) of its overall customers or sales dollars.

Significant Employee Presence: no less than twenty-five percent (25%) of a business's total number of employees are domiciled in San Diego County.

Small Business Enterprise (SBE): a business whose gross annual receipts do not exceed the amount set by the City Manager, and that meets all other criteria set forth in regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review the threshold amount for SBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace. A business

certified as a DVBE by the State of California, and that has provided proof of such certification to the City Manager, shall be deemed to be an SBE.

Small Local Business Enterprise (SLBE): a Local Business Enterprise that is also a Small Business Enterprise.

Women Business Enterprise (WBE): a certified business that is (1) at least fifty-one percent (51 %) owned by a woman or women, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more women; and (2) whose daily business operations are managed and directed by one or more women owners.

VII. Certifications.

The City accepts certifications of MBE, WBE, DBE or DVBE from the following certifying agencies:

Current certification by the State of California Department of Transportation (CALTRANS) as DBE.

Current MBE or WBE certification from the California Public Utilities Commission.

DVBE certification is received from the State of California's Department of General Services, Office of Small and Minority Business.

Current certification by the City of Los Angeles as DBE, WBE or MBE.

Current certification by the U.S. Small Business Association as SDB, WOSB, SDVOSB, or Hubzone.

Subcontractors' valid proof of certification status e.g., copy of MBE, WBE, DBE, or DVBE certification must be submitted with RFP. MBE, WBE, DBE, or DVBE certifications are listed for informational purposes only.

VIII. List of Attachments.

- AA. Disclosure of Discrimination Complaints**
- BB. Work Force Report**
- CC. Subcontractors List**

DISCLOSURE OF DISCRIMINATION COMPLAINTS

As part of its proposal, the Design Professional must provide to the City a list of all instances within the past 10 years where a complaint was filed or pending against the Design Professional in a legal or administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.

CHECK ONE BOX ONLY.

- The undersigned certifies that within the past 10 years the Design Professional has NOT been the subject of a complaint or pending action in a legal administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers.
- The undersigned certifies that within the past 10 years the Design Professional has been the subject of a complaint or pending action in a legal administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers. A description of the status or resolution of that complaint, including any remedial action taken and the applicable dates is as follows:

DATE OF CLAIM	LOCATION	DESCRIPTION OF CLAIM	LITIGATION (Y/N)	STATUS	RESOLUTION/REMEDIAL ACTION TAKEN
Within the last ten (10) years, T.Y. Lin International has not been the subject of a complaint filed in a legal administration proceeding alleging discrimination against its employees, subcontractors, vendors, or suppliers. Additionally, T.Y. Lin International is not listed as the subject of any pending action in a legal administration proceeding alleging discrimination against its employees, subcontractors, vendors, or suppliers.					

Design Professional Name T.Y. Lin International

Certified By F.R. Clark Fernon Title Vice President

Name

 Signature

Date 6/3/2019

USE ADDITIONAL FORMS AS NECESSARY



EQUAL OPPORTUNITY CONTRACTING (EOC)

1200 Third Avenue, Suite 200 • San Diego, CA 92101
Phone: (619) 236-6000 • Fax: (619) 236-5904

WORK FORCE REPORT

The objective of the Equal Employment Opportunity Outreach Program, San Diego Municipal Code Sections 22.3501 through 22.3517, is to ensure that contractors doing business with the City, or receiving funds from the City, do not engage in unlawful discriminatory employment practices prohibited by State and Federal law.

NO OTHER FORMS WILL BE ACCEPTED
CONTRACTOR IDENTIFICATION

Type of Contractor: [] Construction [] Vendor/Supplier [] Financial Institution [] Lessee/Lessor
[x] Consultant [] Grant Recipient [] Insurance Company [] Other

Name of Company: T.Y. Lin International

ADA/DBA:

Address (Corporate Headquarters, where applicable): 345 California Street, Suite 2300

City: San Francisco County: San Francisco State: CA Zip: 94104

Telephone Number: 415.291.3700 Fax Number: 415.433.0607

Name of Company CEO: Matt G. Cummings, PE

Address(es), phone and fax number(s) of company facilities located in San Diego County (if different from above):

Address: 404 Camino del Rio South, Suite 700

City: San Diego County: San Diego State: CA Zip: 92108

Telephone Number: 619.692.1920 Fax Number: 619.692.0634 Email: clark.fernon@tylin.com

Type of Business: Engineering Consultant Type of License: Business License No. 74011659

The Company has appointed: Ami Lovelace, HR Director

As its Equal Employment Opportunity Officer (EEOO). The EEOO has been given authority to establish, disseminate and enforce equal employment and affirmative action policies of this company. The EEOO may be contacted at:

Address: 345 California Street, Suite 2300

Telephone Number: 415.291.3700 Fax Number: 415.433.0607 Email: ami.lovelace@tylin.com

- [x] One San Diego County (or Most Local County) Work Force - Mandatory
[] Branch Work Force *
[] Managing Office Work Force

Check the box above that applies to this WFR.

*Submit a separate Work Force Report for all participating branches. Combine WFRs if more than one branch per county.

I, the undersigned representative of T.Y. Lin International

(Firm Name)

San Diego

(County)

California

(State)

hereby certify that information provided

herein is true and correct. This document was executed on this 30th day of May, 2019

(Authorized Signature)

Ami Lovelace, HR Director

(Print Authorized Signature Name)

WORK FORCE REPORT – Page 2

NAME OF FIRM: T.Y. Lin International DATE: 6/3/2019

OFFICE(S) or BRANCH(ES): San Diego COUNTY: San Diego

INSTRUCTIONS: For each occupational category, indicate number of males and females in every ethnic group. Total columns in row provided. Sum of all totals should be equal to your total work force. Include all those employed by your company on either a full or part-time basis. The following groups are to be included in ethnic categories listed in columns below:

- (1) Black or African-American
- (2) Hispanic or Latino
- (3) Asian
- (4) American Indian or Alaska Native
- (5) Native Hawaiian or Pacific Islander
- (6) White
- (7) Other race/ethnicity; not falling into other groups

Definitions of the race and ethnicity categories can be found on Page 4

As of February 2019

ADMINISTRATION OCCUPATIONAL CATEGORY	(1) African American		(2) Hispanic or Latino		(3) Asian		(4) American Indian/ Nat. Alaskan		(5) Pacific Islander		(6) White		(7) Other Ethnicity		
	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	
Management & Financial												7			
Professional		1	4	1	3	2				1	27	13			
A&E, Science, Computer															
Technical			1	1	2	1					2	1			
Sales															
Administrative Support				1		1		1				1			1
Services															
Crafts															
Operative Workers															
Transportation															
Laborers*															

*Construction laborers and other field employees are not to be included on this page

Totals Each Column		1	5	3	5	4		1		1	36	15		1
--------------------	--	---	---	---	---	---	--	---	--	---	----	----	--	---

Grand Total All Employees	72
---------------------------	----

Indicate by Gender and Ethnicity the Number of Above Employees Who Are Disabled:

Disabled															
----------	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Non-Profit Organizations Only:

Board of Directors															
Volunteers															
Artists															

Work Force Report

HISTORY

The Work Force Report (WFR) is the document that allows the City of San Diego to analyze the work forces of all firms wishing to do business with the City. We are able to compare the firm's work force data to County Labor Force Availability (CLFA) data derived from the United States Census. CLFA data is a compilation of lists of occupations and includes the percentage of each ethnicity we track (American Indian or Alaska Native, Asian, Black or African-American, Native Hawaiian or Pacific Islander, White, and Other) for each occupation. Currently, our CLFA data is taken from the 2010 Census. In order to compare one firm to another, it is important that the data we receive from the consultant firm is accurate and organized in the manner that allows for this fair comparison.

WORK FORCE & BRANCH WORK FORCE REPORTS

When submitting a WFR, especially if the WFR is for a specific project or activity, we would like to have information about the firm's work force that is actually participating in the project or activity. That is, if the project is in San Diego and the work force is from San Diego, we want a San Diego County Work Force Report¹. By the same token, if the project is in San Diego, but the work force is from another county, such as Orange or Riverside County, we want a Work Force Report from that county². If participation in a San Diego project is by work forces from San Diego County and, for example, from Los Angeles County and from Sacramento County, we ask for separate Work Force Reports representing your firm from each of the three counties.

MANAGING OFFICE WORK FORCE

Equal Opportunity Contracting may occasionally ask for a Managing Office Work Force (MOWF) Report. This may occur in an instance where the firm involved is a large national or international firm but the San Diego or other local work force is very small. In this case, we may ask for both a local and a MOWF Report^{1, 3}. In another case, when work is done only by the Managing Office, only the MOWF Report may be necessary.³

TYPES OF WORK FORCE REPORTS:

Please note, throughout the preceding text of this page, the superscript numbers one ¹, two ² & three ³. These numbers coincide with the types of work force report required in the example. See below:

- ¹ One San Diego County (or Most Local County) Work Force – Mandatory in most cases
- ² Branch Work Force *
- ³ Managing Office Work Force

**Submit a separate Work Force Report for all participating branches. Combine WFRs if more than one branch per county.*

RACE/ETHNICITY CATEGORIES

American Indian or Alaska Native – A person having origins in any of the peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment.

Asian – A person having origins in any of the peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

Black or African American – A person having origins in any of the Black racial groups of Africa.

Native Hawaiian or Pacific Islander – A person having origins in any of the peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

White – A person having origins in any of the peoples of Europe, the Middle East, or North Africa.

Hispanic or Latino – A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin.

Exhibit A: Work Force Report Job categories-Administration

Refer to this table when completing your firm's Work Force Report form(s).

Management & Financial

Advertising, Marketing, Promotions, Public Relations, and Sales Managers
Business Operations Specialists
Financial Specialists
Operations Specialties Managers
Other Management Occupations
Top Executives

Professional

Art and Design Workers
Counselors, Social Workers, and Other Community and Social Service Specialists
Entertainers and Performers, Sports and Related Workers
Health Diagnosing and Treating Practitioners
Lawyers, Judges, and Related Workers
Librarians, Curators, and Archivists
Life Scientists
Media and Communication Workers
Other Teachers and Instructors
Postsecondary Teachers
Primary, Secondary, and Special Education School Teachers
Religious Workers
Social Scientists and Related Workers

Architecture & Engineering, Science, Computer

Architects, Surveyors, and Cartographers
Computer Specialists
Engineers
Mathematical Science Occupations
Physical Scientists

Technical

Drafters, Engineering, and Mapping Technicians
Health Technologists and Technicians
Life, Physical, and Social Science Technicians
Media and Communication Equipment Workers

Sales

Other Sales and Related Workers
Retail Sales Workers
Sales Representatives, Services
Sales Representatives, Wholesale and Manufacturing
Supervisors, Sales Workers

Administrative Support

Financial Clerks
Information and Record Clerks
Legal Support Workers

Material Recording, Scheduling, Dispatching, and Distributing Workers
Other Education, Training, and Library Occupations
Other Office and Administrative Support Workers
Secretaries and Administrative Assistants
Supervisors, Office and Administrative Support Workers

Services

Building Cleaning and Pest Control Workers
Cooks and Food Preparation Workers
Entertainment Attendants and Related Workers
Fire Fighting and Prevention Workers
First-Line Supervisors/Managers, Protective Service Workers
Food and Beverage Serving Workers
Funeral Service Workers
Law Enforcement Workers
Nursing, Psychiatric, and Home Health Aides
Occupational and Physical Therapist Assistants and Aides
Other Food Preparation and Serving Related Workers
Other Healthcare Support Occupations
Other Personal Care and Service Workers
Other Protective Service Workers
Personal Appearance Workers
Supervisors, Food Preparation and Serving Workers
Supervisors, Personal Care and Service Workers
Transportation, Tourism, and Lodging Attendants

Crafts

Construction Trades Workers
Electrical and Electronic Equipment Mechanics, Installers, and Repairers
Extraction Workers
Material Moving Workers
Other Construction and Related Workers
Other Installation, Maintenance, and Repair Occupations
Plant and System Operators
Supervisors of Installation, Maintenance, and Repair Workers
Supervisors, Construction and Extraction Workers

Vehicle and Mobile Equipment Mechanics,
Installers, and Repairers
Woodworkers

Operative Workers

Assemblers and Fabricators
Communications Equipment Operators
Food Processing Workers
Metal Workers and Plastic Workers
Motor Vehicle Operators
Other Production Occupations
Printing Workers
Supervisors, Production Workers
Textile, Apparel, and Furnishings Workers

Transportation

Air Transportation Workers
Other Transportation Workers
Rail Transportation Workers
Supervisors, Transportation and Material
Moving Workers
Water Transportation Workers

Laborers

Agricultural Workers
Animal Care and Service Workers
Fishing and Hunting Workers
Forest, Conservation, and Logging Workers
Grounds Maintenance Workers
Helpers, Construction Trades
Supervisors, Building and Grounds Cleaning
and Maintenance Workers
Supervisors, Farming, Fishing, and Forestry
Workers

SUBCONTRACTOR PARTICIPATION LIST

This list shall include the name and complete address of all Subcontractors who qualify as SLBEs or ELBEs. Consultants must also list participation by any MBE, WBE, DBE, DBVE and OBE firms. However, no additional points will be awarded for participation by these firms, except that DVBEs that are certified by the City as local businesses shall be counted as SLBEs.

Subcontractors shall be used in the percentages listed. **NOTE:** If percentages are listed as a range, the **minimum number identified** in the range will be used to calculate overall subcontractor participation.

No changes to this Participation List will be allowed without prior written City approval. The Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

NAME AND ADDRESS SUBCONTRACTORS	SCOPE OF SERVICES	PERCENT OF CONTRACT	SLBE/ELBE (MBE/ WBE/DBE/ DVBE/OBE*)	WHERE CERTIFIED**
Rick Engineering 5620 Friars Road San Diego, CA 92110	Landscape/Irrigation Plans; DSDC	TBD	N/A	N/A
Helix Environmental Planning 7578 El Cajon Boulevard La Mesa, CA 91942	Environmental Compliance and Monitoring	TBD	N/A	N/A
Kleinfelder 550 West C Street, Suite 1200 San Diego, CA 92101	As-Built Certifications of Permanent BMPs	TBD	N/A	N/A
Misschief Cultural Monitoring 17 Epei Hill Road Santa Ysabel, CA 92070	Native American Monitoring	TBD	N/A	N/A
San Diego Natural History Museum 1788 El Prado, San Diego, CA 92101	Paleontological Monitoring	TBD	N/A	N/A

List of Abbreviations:

Small Local Business Enterprise	SLBE
Emerging Local Business Enterprise	ELBE
Certified Minority Business Enterprise	MBE*
Certified Woman Business Enterprise	WBE*
Certified Disadvantaged Business Enterprise	DBE*
Certified Disabled Veteran Business Enterprise	DVBE*
Other Business Enterprise	OBE*

* Listed for informational purposes only.

** Consultant shall indicate if Subcontractor is certified by one of the agencies listed in Section VII of the Equal Opportunity Contracting Program (EOCP) Consultant Requirements

INSTRUCTION SHEET FOR DISCLOSURE DETERMINATION FOR CONSULTANT (Form CC-1671)

Use the “Disclosure Determination for Consultant” form (CC-1671) to report the disclosure requirement for any consultant hired to provide services to the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego’s jurisdiction.

2 California Code of Regulations defines a “consultant” as an individual who, pursuant to a contract with a state or local government agency, either makes a governmental decision or serves in a staff capacity with the state or local government agency and in that capacity participates in making a governmental decision.

The “Disclosure Determination for Consultant” form is completed for all consultants under contract with the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego’s jurisdiction. Please follow the step-by-step directions:

1. List the department, board, commission or agency requesting the consultant service.
2. List the consulting company. If known, also list the individual(s) who will be providing the consultant services.
3. List the mailing address.
4. List the e-mail address of individual(s) providing the consultant service.
5. Provide the date the individual(s) will start providing the consultant service.
6. List all duties/responsibilities the consultant will have. This list will enable you to determine the disclosure requirement for the consultant.
7. Determine the consultant’s disclosure category. Your consultant should be required to disclose only those economic interests which could potentially create a conflict of interest as he/she performs his/her contractual obligations. For ideas about possible disclosure categories, review those in your department’s, board’s, commission’s or agency’s conflict of interest code, available at:

www.sandiego.gov/city-clerk/elections/eid/codes.shtml

Please fill out the entire “Disclosure Determination for Consultant” form, and have it signed by the appropriate authority. (Individuals with signing authority are described in your conflict of interest code as part of the disclosure requirement for Consultants.) Forward the original form to the City Clerk’s Office, MS 2A.

DISCLOSURE DETERMINATION FOR CONSULTANT

- 1. Department / Board / Commission / Agency Name: City of San Diego, Public Works Department
- 2. Name of Specific Consultant & Company: TY Lin International, Inc.
- 3. Address, City, State, ZIP: 404 Camino del Rio South, Suite 700, San Diego, CA 92108
- 4. Project Title (as shown on 1472, "Request for Council Action"): Design Support Services for Torrey Meadows Drive Overcrossing
- 5. Consultant Duties for Project: Bid Support Services
Construction Support Services
Acceptance of Contract Support Services

6. Disclosure Determination:

Consultant will not be "making a governmental decision" or "serving in a staff capacity." No disclosure required.

- or -

Consultant will be "making a governmental decision" or "serving in a staff capacity." Consultant is required to file a Statement of Economic Interests with the City Clerk of the City of San Diego in a timely manner as required by law.

Full: Disclosure is required pursuant to the broadest disclosure category in the appropriate Conflict of Interest Code.

- or -

Limited: Disclosure is required to a limited extent.

By: A. Palaseyed
Abi Palaseyed, Assistant Deputy Director

July 1, 2019
Date

DEFINITION OF “CONSULTANT”

2 California Code of Regulations defines a “consultant” as an individual who, pursuant to a contract with a state or local government agency:

- (A) Makes a governmental decision whether to:
 - 1. Approve a rate, rule or regulation;
 - 2. Adopt or enforce a law;
 - 3. Issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order, or similar authorization or entitlement;
 - 4. Authorize the City to enter into, modify, or renew a contract provided it is the type of contract that requires City approval;
 - 5. Grant City approval to a contract that requires City approval and to which the City is a party, or to the specifications for such a contract;
 - 6. Grant City approval to a plan, design, report, study, or similar item;
 - 7. Adopt, or grant City approval of, policies, standards, or guidelines for the City, or for any subdivision thereof; or

- (B) Serves in a staff capacity with the City and in that capacity participates in making a governmental decision as defined in Regulation 18702.2 or performs the same or substantially all the same duties for the City that would otherwise be performed by an individual holding a position specified in the City’s Conflict of Interest Code.

An individual “serves in a staff capacity” if he or she performs substantially all the same tasks that normally would be performed by staff member of a governmental entity. In most cases, individuals who work on only one project or a limited range of projects for an agency are not considered to be working in a “staff capacity.” The length of the individual’s service to the agency is relevant. Also, the tasks over the relevant period of time must be substantially the same as a position that is or should be specified in the City’s conflict of interest code.

An individual “participates in making a governmental decision” if he or she: (1) negotiates, without substantive review, with a governmental entity or private person regarding the decision; or (2) advises or makes recommendations to the decision-maker, by conducting research or an investigation, preparing or presenting a report, analysis or opinion which requires the exercise of judgment on the part of the individual and the individual is attempting to influence the decision.

CITY OF SAN DIEGO CONSULTANT PERFORMANCE EVALUATION

The purpose of this form is to evaluate the consultant's performance and will be retained by Public Works Contracts for five years to provide historical data to City staff when selecting consultants.

Section I PROJECT INFORMATION

1. PROJECT DATA	2. CONSULTANT DATA
1a. Project (title, location):	2a. Name, address, phone & email of Consultant:
1b. Brief Description:	2b. Consultant's Project Manager:
1c. Contract Amount: \$ WBS/IO:	Phone: () Email:
3. CITY DEPARTMENT RESPONSIBLE	
3a. Department (include Division): Deputy Director:	3b. Project Manager (name, address, phone & email address): Phone: () Email:

Section II SPECIFIC RATINGS

PERFORMANCE EVALUATION	EXCELLENT	SATISFACTORY	UN-SATISFACTORY	N/A
1. Quality of Report, Study, Plans, Specifications, etc. [Deliverables] of Scope as noted:				
• Deliverables submitted were complete in all respects.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• All comments and review requests were adequately incorporated into Deliverables.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Deliverables were properly formatted and well-coordinated.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Writing style/presentation and terminology was clear and straightforward with adequate backup provided.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Ability to adhere to contract schedule, budget, and overall timely responses as noted:				
• Deliverables prepared in accordance with the agreed upon schedule(s).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Consultant alerted the City to possible schedule problems well in advance of delays.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Consultant suggested solutions there were cost effective, appropriate and were provided in a timely manner.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant provided responses to RFI's/emails/request for proposals, etc. in a timely manner.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Ability to manage project team, Subconsultants, and coordinate with City staff as noted:				
• The Consultant was reasonable and fair during negotiations of the Agreement and/or on Task Orders.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant followed direction and chain of responsibility.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant reviewed and analyzed Subconsultant Deliverables and oversaw their work in an appropriate manner.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant provided adequate support/attendance during meetings.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section II		SPECIFIC RATINGS Continued			
PERFORMANCE EVALUATION		EXCELLENT	SATISFACTORY	UN-SATISFACTORY	N/A
4. Ability to manage responsibilities in the regulatory/approval process as noted:					
• The Consultant researched and adhered to the necessary Federal/State/City code/regulations & requirements needed for the Deliverable.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant advise the City the necessary regulatory restrictions that needed to be adhered to.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Quality of Construction/Design Support as noted:					
• The drawings/plans reflected existing conditions accurately.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant provided direction/support to the Resident Engineer and work cooperatively with them.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant provide adequate support for As-Built drawings.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Change orders due to design deficiencies were kept to a minimum.		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section III

SUPPLEMENTAL INFORMATION

(Please ensure to attach additional documentation as needed.)

(Supporting documentation attached: Yes No)

Section IV

FINAL RATING

4. OVERALL RATING			
	Excellent	Satisfactory	Unsatisfactory
Consultant Rating	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. AUTHORIZING SIGNATURES			
5a. Project Manager _____		Date _____	
Name	Signature		
5b. Deputy Director _____		Date _____	
Name	Signature		
5c. Provided to Consultant _____		Date Provided _____	
Name of Recipient	Signature		

Consultant Concurrence*: Yes No

*Note: Consultant has the right to appeal the contents of this evaluation. Please refer to SDMC 22.0811(a) for more details.

**City of San Diego
CONTRACTOR STANDARDS
Pledge of Compliance**

The City of San Diego has adopted a Contractor Standards Ordinance (CSO) codified in section 22.3004 of the San Diego Municipal Code (SDMC). The City of San Diego uses the criteria set forth in the CSO to determine whether a bidder or proposer has the capacity to fully perform the contract requirements and the business integrity to justify the award of public funds. This completed Contractor Standards Pledge of Compliance (Pledge of Compliance) signed under penalty of perjury must be submitted with each bid and proposal. If an informal solicitation process is used, the bidder must submit this completed Pledge of Compliance to the City prior to execution of the contract. All responses must be typewritten or printed in ink. If an explanation is requested or additional space is required, Respondents must provide responses on Attachment "A" to the Pledge of Compliance and sign each page. Failure to submit a signed and completed Pledge of Compliance may render the bid or proposal non-responsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed Pledge of Compliance is submitted. A submitted Pledge of Compliance is a public record and information contained within will be available for public review except to the extent that such information is exempt from disclosure pursuant to applicable law.

A. BID/PROPOSER/SOLICITATION TITLE:

Design Support Services for Torrey Meadows Drive Overcrossing, Contract No. H197039

B. BIDDER PROPOSER INFORMATION

T.Y. Lin International

Legal Name		DBA	
345 California Street, Suite 2300	San Francisco	CA	94104
Street Address	City	State	Zip
Clark Fernon, Vice President	619.692.1920	619.692.0634	
Contact Person, Title	Phone	Fax	

Provide the name, identity, and precise nature of the interest* of all persons who are directly or indirectly involved** in this proposed transaction (SDMC § 21.0103). Use additional pages if necessary.

* The precise nature of the interest includes:

- the percentage ownership interest in a party to the transaction,
- the percentage ownership interest in any firm, corporation, or partnership that will receive funds from the transaction,
- the value of any financial interest in the transaction,
- any contingent interest in the transaction and the value of such interest should the contingency be satisfied, and
- any philanthropic, scientific, artistic, or property interest in the transaction.

** Directly or indirectly involved means pursuing the transaction by:

- communicating or negotiating with City officers or employees,
- submitting or preparing applications, bids, proposals or other documents for purposes of contracting with the City, or
- directing or supervising the actions of persons engaged in the above activity.

Clark Fernon, PE	Vice President / Unit Manager
Name	Title/Position
San Diego, California	
City and State of Residence	Employer (if different than Bidder/Proposer)
None	
Interest in the transaction	

Jeff Burdick, PE	Project Manager
Name	Title/Position
San Diego, California	
City and State of Residence	Employer (if different than Bidder/Proposer)
None	
Interest in the transaction	

Alysia Klein	Project Administrator
Name	Title/Position
San Diego, California	
City and State of Residence	Employer (if different than Bidder/Proposer)
None	
Interest in the transaction	

Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	

Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	

Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	

Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	

Use Attachment "A" if additional pages are necessary.

Partnership

Date formed: ____/____/____

State of formation: _____

List names of all firm partners:

Not Applicable

Sole Proprietorship Date started: ____/____/____

List all firms you have been an owner, partner or officer with during the past five (5) years. Do not include ownership of stock in a publicly traded company:

Not Applicable

Joint Venture Date formed: ____/____/____

List each firm in the joint venture and its percentage of ownership:

Not Applicable

Note: To be responsive, each member of a Joint Venture must complete a separate Pledge of Compliance.

E. FINANCIAL RESOURCES AND RESPONSIBILITY:

- 1. Is your firm preparing to be sold, in the process of being sold, or in negotiations to be sold?
 Yes No

If **Yes**, use Attachment "A" to explain the circumstances, including the buyer's name and principal contact information.

- 2. In the past five (5) years, has your firm been denied bonding?
 Yes No

If **Yes**, use Attachment "A" to explain specific circumstances; include bonding company name.

- 3. In the past five (5) years, has a bonding company made any payments to satisfy claims made against a bond issued on your firm's behalf or a firm where you were the principal?

Yes No

If Yes, use Attachment "A" to explain specific circumstances.

4. In the past five (5) years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

Yes No

If Yes, use Attachment "A" to explain specific circumstances.

5. Within the last five (5) years, has your firm filed a voluntary petition in bankruptcy, been adjudicated bankrupt, or made a general assignment for the benefit of creditors?

Yes No

If Yes, use Attachment "A" to explain specific circumstances.

6. Please provide the name of your principal financial institution for financial reference. By submitting a response to this Solicitation Contractor authorizes a release of credit information for verification of financial responsibility.

Name of Bank: HSBC Bank USA, N.A.

Point of Contact: Trade and Credit Information Department

Address: Buffalo, NY 14203

Phone Number: 716.730.3883 (Fax)

7. By submitting a response to a City solicitation, Contractor certifies that he or she has sufficient operating capital and/or financial reserves to properly fund the requirements identified in the solicitation. At City's request, Contractor will promptly provide to City a copy of Contractor's most recent balance sheet and/or other necessary financial statements to substantiate financial ability to perform.

F. PERFORMANCE HISTORY:

1. In the past five (5) years, has your firm been found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for defaulting or breaching a contract with a government agency?

Yes No

If Yes, use Attachment "A" to explain specific circumstances.

2. In the past five (5) years, has a public entity terminated your firm's contract for cause prior to contract completion?

Yes No

If Yes, use Attachment "A" to explain specific circumstances and provide principal contact information.

3. In the past five (5) years, has your firm entered into any settlement agreement for any lawsuit that alleged contract default, breach of contract, or fraud with or against a public entity?

Yes No

If Yes, use Attachment "A" to explain specific circumstances.

4. Is your firm currently involved in any lawsuit with a government agency in which it is alleged that your firm has defaulted on a contract, breached a contract, or committed fraud?

Yes No

If Yes, use Attachment "A" to explain specific circumstances.

5. In the past five (5) years, has your firm, or any firm with which any of your firm's owners, partners, or officers is or was associated, been debarred, disqualified, removed, or otherwise prevented from bidding on or completing any government or public agency contract for any reason?

Yes No

If Yes, use Attachment "A" to explain specific circumstances.

6. In the past five (5) years, has your firm received a notice to cure or a notice of default on a contract with any public agency?

Yes No

If Yes, use Attachment "A" to explain specific circumstances and how the matter resolved.

7. Performance References:

Please provide a minimum of three (3) references familiar with work performed by your firm which was of a similar size and nature to the subject solicitation within the last five (5) years.

Company Name: City of San Diego, Department of Public Works
 Contact Name and Phone Number: Negin Afagh, PE | 619.235.1999
 Contact Email: NAfagh@sandiego.gov
 Address: 525 B Street, Suite 750, San Diego, CA 92101
 Contract Date: 9/21/2012 - Present
 Contract Amount: \$1,242,500.00
 Requirements of Contract: PID, PA&ED, and PS&E for Torrey Meadows Drive Overcrossing at SR 56

Company Name: San Diego Association of Governments (SANDAG)
 Contact Name and Phone Number: Omar Atayee, PE | 619.595.5319
 Contact Email: omar.atayee@sandag.org
 Address: 401 B Street, Suite 800, San Diego, CA 92101
 Contract Date: 9/4/2012 - Present
 Contract Amount: \$2,850,750.00
 Requirements of Contract: PS&E and DSDC for South Bay Rapid, Phase II

Company Name:	SANDAG
Contact Name and Phone Number:	Eric Adams, PE 619.699.1974
Contact Email:	eric.adams@sandag.org
Address:	401 B Street, Suite 800, San Diego, CA 92101
Contract Date:	9/4/2012 - Present
Contract Amount:	\$2,850,750.00
Requirements of Contract:	PS&E and DSDC for South Bay Rapid, Phase II

G. COMPLIANCE:

1. In the past five (5) years, has your firm or any firm owner, partner, officer, executive, or manager been criminally penalized or found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for violating any federal, state, or local law in performance of a contract, including but not limited to, laws regarding health and safety, labor and employment, permitting, and licensing laws?

Yes No

If **Yes**, use Attachment "A" to explain specific circumstances surrounding each instance. Include the name of the entity involved, the specific infraction(s) or violation(s), dates of instances, and outcome with current status.

2. In the past five (5) years, has your firm been determined to be non-responsible by a public entity?

Yes No

If **Yes**, use Attachment "A" to explain specific circumstances of each instance. Include the name of the entity involved, the specific infraction, dates, and outcome.

H. BUSINESS INTEGRITY:

1. In the past five (5) years, has your firm been convicted of or found liable in a civil suit for making a false claim or material misrepresentation to a private or public entity?

Yes No

If **Yes**, use Attachment "A" to explain specific circumstances of each instance. Include the entity involved, specific violation(s), dates, outcome and current status.

2. In the past five (5) years, has your firm or any of its executives, management personnel, or owners been convicted of a crime, including misdemeanors, or been found liable in a civil suit involving the bidding, awarding, or performance of a government contract?

Yes No

If **Yes**, use Attachment "A" to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.

3. In the past five (5) years, has your firm or any of its executives, management personnel, or owners been convicted of a federal, state, or local crime of fraud, theft, or any other act of dishonesty?

Yes No

If **Yes**, use Attachment “A” to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.

I. WAGE COMPLIANCE:

In the past five (5) years, has your firm been required to pay back wages or penalties for failure to comply with the federal, state or local prevailing, minimum, or living wage laws?

Yes **No**

If **Yes**, use Attachment “A” to explain the specific circumstances of each instance. Include the entity involved, the specific infraction(s), dates, outcome, and current status.

J. STATEMENT OF SUBCONTRACTORS:

Please provide the names and information for all subcontractors used in the performance of the proposed contract, and what portion of work will be assigned to each subcontractor. Subcontractors may not be substituted without the written consent of the City. Use Attachment “A” if additional pages are necessary. If no subcontractors will be used, please check here Not Applicable.

Company Name: Rick Engineering

Contact Name and Phone Number: Patricia Trauth, RLA | 619.291.0707

Contact Email: ptrauth@rickengineering.com

Address: 5620 Friars Road, San Diego, CA 92110

Contract Date: TBD

Sub-Contract Dollar Amount: \$13,740.00

Requirements of Contract: TBD

What portion of work will be assigned to this subcontractor: DSDC related to Landscaping and Irrigation Plans; As-Built Plans

Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? (Check One) **Yes** **No**

If **Yes**, Contractor must provide valid proof of certification with the response to the bid or proposal. Additional Subcontractors listed in Attachment A

K. STATEMENT OF AVAILABLE EQUIPMENT:

List all necessary equipment to complete the work specified using Attachment “A”. In instances where the required equipment is not owned by the Contractor, Contractor shall explain how the equipment will be made available before the commencement of work. The City of San Diego reserves the right to reject any response when, in its opinion, the Contractor has not demonstrated he or she will be properly equipped to perform the work in an efficient, effective manner for the duration of the contract period.

If no equipment is necessary to complete the work specified, please check here Not Applicable.

L. TYPE OF SUBMISSION: This document is submitted as:

Pledge of Compliance Initial submission.

OR

Update to prior Pledge of Compliance dated 03 / 29 / 2018

Complete all questions and sign below.

Under penalty of perjury under the laws of the State of California, I certify that I have read and understand the questions contained in this Pledge of Compliance, that I am responsible for completeness and accuracy of the responses contained herein, and that all information provided is true, full and complete to the best of my knowledge and belief. I agree to provide written notice to the Purchasing Agent within five (5) business days if, at any time, I learn that any portion of this Pledge of Compliance requires an updated response. Failure to timely provide the Purchasing Agent with written notice is grounds for Contract termination.

I, on behalf of the firm, further certify that I and my firm will comply with the following provisions of SDMC section 22.3004:

(a) I and my firm will comply with all applicable local, State and Federal laws, including health and safety, labor and employment, and licensing laws that affect the employees, worksite or performance of the contract.

(b) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of receiving notice that a government agency has begun an investigation of me or my firm that may result in a finding that I or my firm is or was not in compliance with laws stated in paragraph (a).

(c) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of a finding by a government agency or court of competent jurisdiction of a violation by the Contractor of laws stated in paragraph (a).

(d) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of becoming aware of an investigation or finding by a government agency or court of competent jurisdiction of a violation by a subcontractor of laws stated in paragraph (a).

(e) I and my firm will cooperate fully with the City during any investigation and agree to respond to a request for information within ten (10) working days.

Failure to sign and submit this form with the bid/proposal shall make the bid/proposal non-responsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed Pledge of Compliance is submitted.

F.R. Clark Fernon, Vice President		6/3/2019
Name and Title	Signature	Date

City of San Diego
CONTRACTOR STANDARDS
Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here Not Applicable.

F2. IN THE PAST FIVE (5) YEARS HAS A PUBLIC ENTITY TERMINATED YOUR FIRM'S CONTRACT PRIOR TO COMPLETION?

In March 2019, T.Y. Lin International received a notice of termination for cause from its client, a public entity in Oregon. T.Y. Lin International believes the termination did not follow appropriate procedures for resolving disputes and is vigorously contesting the manner. This matter is pending resolution.

F3. IN THE PAST FIVE (5) YEARS HAS YOUR FIRM ENTERED INTO ANY SETTLEMENT AGREEMENT FOR ANY LAWSUIT THAT ALLEDGED CONTRACT DEFAULT, BREACH, OR FRAUD WITH/AGAINST A PUBLIC ENTITY?

School Board of Broward County – Sheridan Tech School: Contractor asserted delay claims against Broward County School Board for a technical school rehabilitation project. The School Board filed a 3rd party complaint against T.Y. Lin International, alleging design errors and omissions, delay and including a breach of contract claim. This claim was resolved by the parties.

F6. IN THE PAST FIVE (5) YEARS HAS YOUR FIRM RECEIVED A NOTICE TO CURE OR A NOTICE OF DEFAULT ON A CONTRACT WITH ANY PUBLIC AGENCY?

In March 2019, T.Y. Lin International received a notice of termination for cause from its client, a public entity in Oregon. T.Y. Lin International believes the termination did not follow appropriate procedures for resolving disputes and is vigorously contesting the manner. This matter is pending resolution.

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

F.R. Clark Fernon, Vice President
Print Name, Title


Signature

6/3/2019
Date

**City of San Diego
CONTRACTOR STANDARDS
Pledge of Compliance Attachment "A"**

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here Not Applicable.

J. STATEMENT OF SUBCONTRACTORS (continued)

Helix Environmental Planning
Joanne Dramko, AICP | 619.462.1515
JoanneD@helixepi.com
7578 El Cajon Boulevard, La Mesa, CA 91942
Contract: TBD
Sub. Value: \$104,480
Req. of Contract: TBD
Assignments: Environmental Compliance & Monitoring Activities
Certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? No

Kleinfelder
Robert Torres, PE | 619.831.4600
RATorres@kleinfelder.com
550 West C Street, Suite 1200, San Diego, CA 92101
Contract: TBD
Sub. Value: \$10,150
Req. of Contract: TBD
Assignments: As-Built Certifications of Permanent BMPs
Certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? No

Misschief Cultural Monitoring (*second tier subcontractor*)
Annette Osuna | 760.712.6058
N/A
17 Epei Hill Road, Santa Ysabel, CA 92070
Contract: TBD
Sub. Value: \$28,920
Req. of Contract: TBD
Assignments: Native American Monitoring
Certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? No

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

F.R. Clark Fernon, Vice President
Print Name, Title



Signature

6/3/2019
Date

City of San Diego
CONTRACTOR STANDARDS
Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here Not Applicable.

J. STATEMENT OF SUBCONTRACTORS (continued)

San Diego Natural History Museum (*second tier subcontractor*)
No Specified Contact | 619.232.3821
N/A
1788 El Prado, San Diego, CA 92101
Contract: TBD
Sub. Value: \$28,920
Req. of Contract: TBD
Assignments: Paleontological Monitoring
Certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? No

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

F.R. Clark Fernon, Vice President
Print Name, Title


Signature

6/3/2019
Date

Almario Jr., Benjamin

From: pwc100@dir.ca.gov
Sent: Thursday, July 18, 2019 9:05 AM
To: Almario Jr., Benjamin; PWD-PWC-Contracts
Subject: Project Creation

Hello,

Thank you for using the PWC-100 online application.

This email confirms the submission of a new project titled "Design Support Services for Torrey Meadows Drive Overcrossing - H197039" that was created on 18 Jul 2019 and assigned **DIR Project ID 296819**.

Please share this **DIR Project ID** number with each contractor on the project. They will need this number to submit certified payroll records into DIR's Electronic Certified Payroll Reporting(eCPR) database.

Thank you for your submission

Sincerely,

Public Works Program
Division of Labor Standards Enforcement
Department of Industrial Relations
State of California

Project Information

FORM

Form Type: PWC-100 Project Award Date: 7/17/2019

AWARDING BODY INFORMATION

Name: City of San Diego Public Works Contracts Primary Contact: Public Works Person
Address: 1010 Second Ave Primary Email: PWD-PWC-Contracts@saniego.gov
Suite 1400 Work Phone: 6195333635
San Diego, CA 92101

PROJECT INFORMATION

Project Name: Design Support Services for Torrey Meadows Drive Overcrossing - H197039 Project #: H197039
Brief Description: Design Support Services for Torrey Meadows Drive Overcrossing Contract #: H197039
Contract Amount: \$399799.00 Number of Prime Contractors: 1
Total Project Cost: \$399799.00
Alternative Model: None Apply
DIR Project ID: 296819
Description of Location: San Diego County: SAN DIEGO

Project Information 2

PWC-100

Project Name: Design Support Services for Torrey Meadows Drive Overcrossing - H197039 Project #: H197039 Contract #: H197039 Status: New Submission

PROJECT INFORMATION

Project Dates
First Advertised Bid: 7/17/2019 Estimated or Actual Start: 7/17/2019
Estimated or Actual Completion: 7/17/2023

Propositions:

Will this project receive (or has it received) any funding from Proposition 84 (The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006)? No
Will this project receive (or has it received) any funding from Proposition 39 (California Clean Energy Jobs Act of 2012)? No

Compliance and Agreements:

Is language included in the Contract Award to effectuate the requirements of Section 1771, 1774 - 1776, 1777.5, 1813 and 1815 of the Labor Code? Yes
Will you operate a DIR-Approved Labor Compliance Program (LCP) for this project? Yes
Is there a Project Labor Agreement (PLA) associated with this project? No

Contractor Information

Project Manager

Email Address Name Title Work Phone
jeffrey.burdick@tylin.com Jeffrey Burdick Project Manager 619-692-1920

General Contractor 1

CSLB/Certificate Number	NAME	Address	Email	Classification
1000016691	T.Y. LIN INTERNATIONAL	345 CALIFORNIA STREET SUITE 2300 SAN FRANCISCO, CA 94104	ACCOUNTINGGL@TYLIN.COM	SURVEYORS
1000006546	GLENN A. RICK ENGINEERING AND DEVELOPMENT CO.	5620 FRIARS ROAD SAN DIEGO, CA 92110	DWOOLLEY@RICKENGINEERING.COM	SURVEYORS
1000001733	KLEINFELDER INC.	550 WEST C STREET 12TH FLOOR SAN DIEGO, CA 92101	FEDERAL_COMPLIANCE@KLEINFELDER.COM	SURVEYORS
1000015953	HELIX ENVIRONMENTAL PLANNING INC.	7578 EL CAJON BOULEVARD LA MESA, CA 91942	KANIKAM@HELIXEPI.COM	SURVEYORS

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