Enclosure 1

# **AGREEMENT BETWEEN**

# THE CITY OF SAN DIEGO

# AND

# **C&S ENGINEERING, INC.**

# FOR

# DESIGN OF BROWN FIELD RUNWAY 8R/26L REHAB

(FEDERAL VERSION)

**CONTRACT NUMBER: H197070** 

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#### **DESIGN PROFESSIONAL AGREEMENT EXHIBITS**

- Exhibit A Scope of Services
- Exhibit B Compensation and Fee Schedule
- Exhibit C Time Schedule
- Exhibit D City's Equal Opportunity Contracting Program Consultant Requirements
  - (AA) Disclosure of Discrimination Complaints
  - (BB) Work Force Report
  - (CC) Subcontractors List
- Exhibit E Determination Form
- Exhibit F Consultant Performance Evaluation Form
- Exhibit G Contractor Standards Pledge of Compliance
- Exhibit H California Labor Code Sections 1720 and 1771
- Exhibit I Davis-Bacon Wage Decision CA20200001 01/31/2020
- Exhibit J Certification of Design Professional Regarding Tax Delinquency and Felony Convictions

#### AGREEMENT BETWEEN

# THE CITY OF SAN DIEGO AND C&S ENGINEERING, INC. FOR DESIGN PROFESSIONAL SERVICES

THIS Agreement is made and entered into between the City of San Diego, a municipal corporation [City], and C&S Engineering, Inc. for the Design Professional to provide Professional Services to the City for the Design of Brown Field Runway 8R/26L Rehab (H197070) [Project].

#### RECITALS

The City wants to retain the services of a professional civil engineering firm to provide civil engineering services [Professional Services].

The Design Professional represents that it has the expertise, experience and personnel necessary to provide the Professional Services for the Project.

The City and the Design Professional [Parties] want to enter into an Agreement whereby the City will retain the Design Professional to provide, and the Design Professional shall provide, the Professional Services for the Project [Agreement].

In consideration of the above recitals and the mutual covenants and conditions set forth, herein, and for good and valuable consideration, the sufficiency of which are hereby acknowledged, the Parties hereby set forth their mutual covenants and understandings as follows:

#### ARTICLE I DESIGN PROFESSIONAL SERVICES

The above-listed recitals are true and correct and are hereby incorporated by reference.

**1.1 Scope of Services.** The Design Professional shall perform the Professional Services as set forth in the written Scope of Services (Exhibit A) at the direction of the City

1.2 **Contract Administrator.** The Public Works Department is the contract administrator for this Agreement. The Design Professional shall provide the Professional Services under the direction of a designated representative of the Public Works Department. The City's designated representative will communicate with the Design Professional on all matters related to the administration of this Agreement and the Design Professional's performance of the Professional Services rendered hereunder. When this Agreement refers to communications to or with the City, those communications will be with the designated representative, unless the designated representative or the Agreement specifies otherwise. However, when this Agreement refers to an act or approval to be performed by City, that act or approval shall be performed by the Mayor or his designee, unless the Agreement specifies otherwise.

**1.3 City Modification of Scope of Services.** The City may, without invalidating this Agreement, order changes in the Scope of Services by altering, adding to or deducting from the Professional Services to be performed. All such changes shall be in writing and shall be performed in accordance with the provisions of this Agreement. If any such changes cause an increase or decrease in the Design Professional's cost of, or the time required for, the performance of any of the Professional Services, the Design Professional shall immediately notify the City. If the City deems it appropriate, an equitable adjustment to the Design Professional's compensation or time for performance may be made, provided that any adjustment must be approved by both Parties in writing in accordance with Section 9.1 of this Agreement.

**1.4** Written Authorization. Prior to performing any Professional Services in connection with the Project, the Design Professional shall obtain from the City a written authorization to proceed. Further, throughout the term of this Agreement, the Design Professional shall immediately advise the City in writing of any anticipated change in the Scope of Services (Exhibit A), Compensation and Fee Schedule (Exhibit B), or Time Schedule (Exhibit C), and shall obtain the City's written consent to the change prior to making any changes. In no event shall the City's consent be construed to relieve the Design Professional from its duty to render all Professional Services in accordance with applicable laws and accepted industry standards.

**1.5 Confidentiality of Services.** All Professional Services performed by the Design Professional, including but not limited to all drafts, data, correspondence, proposals, reports, and estimates compiled or composed by the Design Professional, pursuant to this Agreement, are for the sole use of the City, its agents and employees. Neither the documents nor their contents shall be released to any third party without the prior written consent of the City. This provision does not apply to information that (a) was publicly known, or otherwise known to the Design Professional, at the time that it was disclosed to the Design Professional by the City, (b) subsequently becomes publicly known through no act or omission of the Design Professional, or (c) otherwise becomes known to the Design Professional other than through disclosure by the City. Except for Subcontractors covered by Section 4.4, neither the documents nor their contents shall be released to any third party without the prior written consent of the City.

**1.6 Competitive Bidding.** The Design Professional shall ensure that any plans and specifications prepared, required, or recommended under this Agreement allow for competitive bidding. The Design Professional shall design such plans or specifications so that procurement of services, labor or materials are not available from only one source, and shall not design plans and specifications around a single or specific product, piece of major equipment or machinery, a specific patented design, or a proprietary process, unless required by principles of sound engineering practice and supported by a written justification that has been approved in writing by the City. The Design Professional shall submit this written justification to the City prior to beginning work on such plans or specifications. Whenever the Design Professional recommends a specific product or equipment for competitive procurement, such recommendation shall include at least two brand names of products that are capable of meeting the functional requirements applicable to the Project.

#### ARTICLE II DURATION OF AGREEMENT

**2.1 Term of Agreement.** This Agreement shall be effective on the date it is executed by the last Party to sign the Agreement, and approved by the City Attorneyin

accordance with San Diego Charter Section 40. Unless otherwise terminated, it shall be effective until completion of the Scope of Services or sixty (60) months whichever is the earliest but not to exceed five years unless approved by City ordinance.

**2.2 Time of Essence.** Time is of the essence for each provision of this Agreement, unless otherwise specified in this Agreement. The time for performance of the Scope of Services (Exhibit A) is set forth in the Time Schedule (Exhibit C).

**2.3** Notification of Delay. The Design Professional shall immediately notify the City in writing if the Design Professional experiences or anticipates experiencing a delay in performing the Professional Services within the time frames set forth in the Time Schedule (Exhibit C). The written notice shall include an explanation of the cause for, and a reasonable estimate of the length of the delay. If in the opinion of the City, the delay affects a material part of the Project, the City may exercise its rights under Sections 2.5–2.7 of this Agreement.

**Delay.** If delays in the performance of the Professional Services are caused by 2.4 unforeseen events beyond the control of the Parties, such delay may entitle the Design Professional to a reasonable extension of time, but such delay shall not entitle the Design Professional to damages or additional compensation. Any such extension of time must be approved in writing by the City. The following conditions may constitute such a delay: war; changes in law or government regulation; labor disputes; strikes; fires, floods, adverse weather or other similar condition of the elements necessitating cessation of the Design Professional's work; inability to obtain materials, equipment, or labor; required additional Professional Services; or other specific reasons agreed to between the City and the Design Professional; provided, however, that: (a) this provision shall not apply to, and the Design Professional shall not be entitled to an extension of time for, a delay caused by the acts or omissions of the Design Professional; and (b) a delay caused by the inability to obtain materials, equipment, or labor shall not entitle the Design Professional to an extension of time unless the Design Professional furnishes the City, in a timely manner, documentary proof satisfactory to City of the Design Professional's inability to obtain materials, equipment, or labor.

2.5 City's Right to Suspend for Convenience. The City may, at its sole option and for its convenience, suspend all or any portion of the Design Professional's performance of the Professional Services, for a reasonable period of time not to exceed six months. In accordance with the provisions of this Agreement, the City will give written notice to the Design Professional of such suspension. In the event of such a suspension, in accordance with the provisions of Article III of this Agreement, the City shall pay to the Design Professional a sum equivalent to the reasonable value of the Professional Services the Design Professional has satisfactorily performed up to the date of suspension. Thereafter, the City may rescind such suspension by giving written notice of rescission to the Design Professional. The City may then require the Design Professional to resume performance of the Professional Services in compliance with the terms and conditions of this Agreement; provided, however, that the Design Professional shall be entitled to an extension of time equal to the length of the suspension, unless otherwise agreed to in writing by the Parties.

**2.6** Termination for Convenience. The City may, by written notice to the Design Professional, terminate this Agreement for its convenience and without cause or default on the part of Design Professional. Upon receipt of the notice of termination, except as explicitly directed by the City, the Design Professional must immediately discontinue all services affected. Upon termination of the Agreement, the Design Professional must deliver to the City all data, surveys, models, drawings, specifications, reports, maps, photographs,

estimates, summaries, and other documents and materials prepared by the Engineer under this contract, whether complete or partially complete. City agrees to make just and equitable compensation to the Design Professional for satisfactory work completed up through the date the Design Professional receives the termination notice. Compensation will not include anticipated profit on non-performed services. City further agrees to hold Design Professional harmless for errors or omissions in documents that are incomplete as a result of the termination action under this clause.

**2.7 Termination for Default.** Either party may terminate this Agreement for cause if the other party fails to fulfill its obligations that are essential to the completion of the work per the terms and conditions of the Agreement. The party initiating the termination action must allow the breaching party an opportunity to dispute or cure the breach.

The terminating party must provide the breaching party seven [7] days advance written notice of its intent to terminate the Agreement. The notice must specify the nature and extent of the breach, the conditions necessary to cure the breach, and the effective date of the termination action. The rights and remedies in this clause are in addition to any other rights and remedies provided by law or under this agreement.

- a) **Termination by City**: The City may terminate this Agreement in whole or in part, for the failure of the Design Professional to:
  - 1. Perform the services within the time specified in this contract or by City approved extension;
  - 2. Make adequate progress so as to endanger satisfactory performance of the Project; or
  - 3. Fulfill the obligations of the Agreement that are essential to the completion of the Project.

Upon receipt of the notice of termination, the Design Professional must immediately discontinue all services affected unless the notice directs otherwise. Upon termination of the Agreement, the Design Professional must deliver to the City all data, surveys, models, drawings, specifications, reports, maps, photographs, estimates, summaries, and other documents and materials prepared by the Engineer under this contract, whether complete or partially complete.

City agrees to make just and equitable compensation to the Design Professional for satisfactory work completed up through the date the Design Professional receives the termination notice. Compensation will not include anticipated profit on non-performed services.

City further agrees to hold Design Professional harmless for errors or omissions in documents that are incomplete as a result of the termination action under this clause. If, after finalization of the termination action, the City determines the Design Professional was not in default of the Agreement, the rights and obligations of the parties shall be the same as if the City issued the termination for the convenience of the City.

- b) **Termination by Design Professional**: The Design Professional may terminate this Agreement in whole or in part, if the City:
  - 1. Defaults on its obligations under this Agreement;

- 2. Fails to make payment to the Design Professional in accordance with the terms of this Agreement;
- 3. Suspends the Project for more than [180] days due to reasons beyond the control of the Design Professional.

Upon receipt of a notice of termination from the Design Professional, City agrees to cooperate with Design Professional for the purpose of terminating the agreement or portion thereof, by mutual consent. If City and Design Professional cannot reach mutual agreement on the termination settlement, the Design Professional may, without prejudice to any rights and remedies it may have, proceed with terminating all or parts of this Agreement based upon the City's breach of the contract.

In the event of termination due to City breach, the Engineer is entitled to invoice City and to receive full payment for all services performed or furnished in accordance with this Agreement and all justified reimbursable expenses incurred by the Design Professional through the effective date of termination action. City agrees to hold Design Professional harmless for errors or omissions in documents that are incomplete as a result of the termination action under this clause.

2.8 Breach of Contract Terms. Any violation or breach of terms of this contract on the part of the Design Professional or its subcontractors may result in the suspension or termination of this contract or such other action that may be necessary to enforce the rights of the parties of this agreement. The City will provide Design Professional written notice that describes the nature of the breach and corrective actions the Design Professional must undertake in order to avoid termination of the contract. The City reserves the right to withhold payments to Design Professional until such time the Design Professional corrects the breach or the City elects to terminate the contract. The City's notice will identify a specific date by which the Design Professional must correct the breach. The City may proceed with termination of the contract if the Design Professional fails to correct the breach by the deadline indicated in the City's notice. The duties and obligations imposed by the Contract Documents and the rights and remedies available thereunder are in addition to, and not a limitation of, any duties, obligations, rights and remedies otherwise imposed or available by law.

#### ARTICLE III COMPENSATION

**3.1 Amount of Compensation.** The City shall pay the Design Professional for actual costs incurred for performance of all Professional Services rendered in accordance with this Agreement, including reasonably related expenses, a total contract amount not exceeding \$1,241,590.70. The compensation for the Scope of Services shall not exceed \$1,141,290.70, and the compensation for Additional Services (described in Section 3.2), if any, shall not exceed \$100,300.00.

**3.2** Additional Services. The City may require that the Design Professional perform additional Professional Services [Additional Services] beyond those described in the Scope of Services (Exhibit A). Prior to the Design Professional's performance of Additional Services, the City and the Design Professional must agree in writing upon a fee for the Additional Services, including reasonably related expenses, in accordance with the Compensation and Fee Schedule (Exhibit B), and approval of the proposed Additional Services must be obtained in writing from Federal Aviation Administration (F.A.A.) prior to

the Design Professional beginning the Additional Services. The City will pay the Design Professional for actual costs incurred for the performance of Additional Services in accordance with Section 3.3.

**3.3 Manner of Payment.** The City shall pay the Design Professional in accordance with the Compensation and Fee Schedule (Exhibit B). For the duration of this Agreement, the Design Professional shall not be entitled to fees, including fees for expenses, that exceed the amounts specified in the Compensation and Fee Schedule. The Design Professional shall submit one invoice per calendar month in a form acceptable to the City in accordance with the Compensation and Fee Schedule. The Design Professional shall include with each invoice a description of completed Professional Services, reasonably related expenses, if any, and all other information, including but not limited to: the progress percentage of the Scope of Services and/or deliverables completed prior to the invoice date, as required by the City. The City will pay undisputed portions of invoices within thirty calendar days of receipt.

**3.4** Additional Costs. Additional Costs are those costs that can be reasonably determined to be related to the Design Professional's errors or omissions, and may include Design Professional, City, or Subcontractor overhead, construction, materials, demolition, and related costs. The Design Professional shall not be paid for the Professional Services required due to the Design Professional's errors or omissions, and the Design Professional shall be responsible for any Additional Costs associated with such errors or omissions. These Additional Costs may be deducted from monies due, or that become due, the Design Professional. Whether or not there are any monies due, or becoming due, the Design Professional's errors or omissions.

**3.5 Eighty Percent Notification.** The Design Professional shall promptly notify the City in writing of any potential cost overruns. Cost overruns include, but are not limited to the following: (1) where anticipated costs to be incurred in the next sixty calendar days, when added to all costs previously incurred, will exceed 80 percent of the maximum compensation for this Agreement; or (2) where the total cost for performance of the Scope of Services (Exhibit A) appears that it may be greater than the maximum compensation for this Agreement.

#### ARTICLE IV DESIGN PROFESSIONAL'S OBLIGATIONS

**4.1 Industry Standards.** The Design Professional agrees that the Professional Services rendered under this Agreement shall be performed in accordance with the standards customarily adhered to by an experienced and competent professional civil engineering firm using the degree of care and skill ordinarily exercised by reputable professionals practicing in the same field of service in the State of California. Where approval by the City, the Mayor or his designee, or other representatives of the City is required, it is understood to be general approval only and does not relieve the Design Professional of responsibility for complying with all applicable laws, codes, and good consulting practices.

#### 4.2 Right to Audit.

**4.2.1** Access. The City retains the right to review and audit, and the reasonable right of access to Design Professional's and any Subcontractor's premises to review and audit the Design Professional's or Subcontractor's compliance with the provisions of this Agreement [City's Right]. The City's Right includes the right to inspectand

photocopy same, and to retain copies, outside of the Design Professional's premises, of any and all Project-related records with appropriate safeguards, if such retention is deemed necessary by the City in its sole discretion. This information shall be kept by the City in the strictest confidence allowed by law.

**4.2.2** Audit. The City's Right includes the right to examine any and all books, records, documents and any other evidence of procedures and practices that the City determines are necessary to discover and verify that the Design Professional or Subcontractor is in compliance with all requirements under this Agreement.

**4.2.2.1 Cost Audit.** If there is a claim for additional compensation or for Additional Services, the City's Right includes the right to examine books, records, documents, and any and all other evidence and accounting procedures and practices that the City determines are necessary to discover and verify all direct and indirect costs, of whatever nature, which are claimed to have been incurred, or anticipated to be incurred.

**4.2.2.2 Accounting Records.** The Design Professional and all Subcontractors shall maintain complete and accurate records in accordance with Generally Accepted Accounting Practices in the industry. The Design Professional and Subcontractors shall make available to the City for review and audit; all Project related accounting records and documents, and any other financial data. Upon the City's request, the Design Professional and Subcontractors shall submit exact duplicates of originals of all requested records to the City.

**4.2.3** City's Right Binding on Subcontractors. The Design Professional shall include the City's Right as described in Section 4.2, in any and all of their subcontracts, and shall ensure that these sections are binding upon all Subcontractors.

**4.2.4 Compliance Required before Mediation or Litigation.** A condition precedent to proceeding with mandatory mediation and further litigation provided for in Article VII is the Design Professional's and Subcontractors full compliance with the provisions of this Section 4.2 within sixty days of the date on which the City mailed a written request to review and audit compliance.

**Insurance.** The Design Professional shall not begin the Professional Services 4.3 under this Agreement until it has: (a) obtained, and provided to the City, insurance certificates and endorsements reflecting evidence of all insurance required in Article IV, Section 4.3.1; however, the City reserves the right to request, and the Design Professional shall submit, copies of any policy upon reasonable request by the City; (b) obtained City approval of each company or companies as required by Article IV, Section 4.3.3; and (c) confirmed that all policies contain the specific provisions required in Article IV, Section 4.3.4 of this Agreement. However, failure to obtain the required documents prior to the Professional Services commencing shall not waive Design Professional's obligation to provide them. City reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by this Agreement, at any time. Design Professional's liabilities, including but not limited to Design Professional's indemnity obligations, under this Agreement, shall not be deemed limited in any way to the insurance coverage required herein. Except as provided for under California law, all policies of insurance required hereunder must provide that the City is entitled to thirty (30) days prior written notice (10 days for cancellation due to non-payment of premium) of cancellation or non-renewal of the policy or policies. Maintenance of specified insurance coverage is a material element of this Agreement and Design Professional's failure to maintain or renew

coverage or to provide evidence of renewal during the term of this Agreement may be treated as a material breach of contract by the City.

Further, the Design Professional shall not modify any policy or endorsement thereto which increases the City's exposure to loss for the duration of this Agreement.

**4.3.1 Types of Insurance.** At all times during the term of this Agreement, the Design Professional shall maintain insurance coverage as follows:

**4.3.1.1 Commercial General Liability.** The Design Professional shall keep in full force and effect Commercial General Liability (CGL) Insurance written on an ISO Occurrence form CG 00 01 07 98 or an equivalent form providing coverage at least as broad which shall cover liability arising from any and all personal injury or property damage in the amount of \$1 million per occurrence and subject to an annual aggregate of \$2 million. There shall be no endorsement or modification of the CGL limiting the scope of coverage for either insured vs. insured claims or contractual liability. All defense costs shall be outside the limits of the policy.

**4.3.1.2 Commercial Automobile Liability.** For all of the Design Professional's automobiles including owned, hired and non-owned automobiles, the Design Professional shall keep in full force and effect, automobile insurance written on an ISO form CA 00 01 12 90 or a later version of this form or an equivalent form providing coverage at least as broad for bodily injury and property damage for a combined single limit of \$1 million per occurrence. Insurance certificate shall reflect coverage for any automobile (any auto). If the Design Professional does not possess owned automobiles then coverage for hired and non-owned automobiles shall be provided.

**4.3.1.3 Workers' Compensation.** For all of the Design Professional's employees who are subject to this Agreement and to the extent required by the applicable state or federal law, the Design Professional shall keep in full force and effect, a Workers' Compensation policy. That policy shall provide a minimum of \$1 million of employees' liability coverage, and the Design Professional shall provide an endorsement that the insurer waives the right of subrogation against the City and its respective elected officials, officers, employees, agents and representatives.

**4.3.1.4 Architects & Engineers Professional Liability.** For all of the Design Professional's employees who are subject to this Agreement, the Design Professional shall keep in full force and effect, Professional Liability coverage for professional liability with a limit of \$1 million per claim and \$2 million annual aggregate. The Design Professional shall ensure both that: (1) the policy retroactive date is on or before the date of commencement of the Professional Services; and (2) the policy will be maintained in force for a period of three years after substantial completion of the Professional Services or termination of this Agreement whichever occurs last. The Design Professional agrees that for the time period defined above, there will be no changes or endorsements to the policy that increase the City's exposure to loss.

**4.3.2 Deductibles.** All deductibles on any policy shall be the responsibility of the Design Professional and shall be disclosed to the City at the time the evidence of insurance is provided.

#### **4.3.3** Acceptability of Insurers.

**4.3.3.1** Except for the State Compensation Insurance Fund, all insurance required by this Agreement shall only be carried by insurance companies with a rating of at least "A–, VI" by A.M. Best Company, that are authorized by the California Insurance Commissioner to do business in the State of California, and that have been approved by the City.

**4.3.3.2** The City will accept insurance provided by non-admitted, "surplus lines" carriers only if the carrier is authorized to do business in the State of California and is included on the List of Approved Surplus Lines Insurers (LASLI list). All policies of insurance carried by non-admitted carriers are subject to all of the requirements for policies of insurance provided by admitted carriers described herein.

#### 4.3.4 Required Endorsements.

The following endorsements to the policies of insurance are required to be provided to the City before any work is initiated under this Agreement.

#### **4.3.4.1** Commercial General Liability Insurance Endorsements.

**ADDITIONAL INSURED**. To the fullest extent allowed by law including but not limited to California Insurance Code Section 11580.04, the policy or policies must be endorsed to include as an Additional Insured the City of San Diego and its respective elected officials, officers, employees, agents and representatives with respect to liability arising out of (a) ongoing operations performed by you or on your behalf, (b) your products, and (c) your work, including but not limited to your completed operations performed by you or on your behalf.

**PRIMARY AND NON-CONTRIBUTORY COVERAGE**. The policy or policies must be endorsed to provide that the insurance afforded by the Commercial General Liability policy or policies is primary to any insurance or self-insurance of the City of San Diego and its elected officials, officers, employees, agents and representatives as respects operations of the Named Insured. Any insurance maintained by the City of San Diego and its elected officials, officers, employees, agents and representatives shall be in excess of Design Professional's insurance and shall not contribute to it.

### 4.3.4.2 Worker's Compensation and Employer's Liability Insurance

#### Endorsements.

**WAIVER OF SUBROGATION**. The Worker's Compensation policy or policies must be endorsed to provide that the insurer will waive all rights of subrogation against the City and its respective elected officials, officers, employees, agents and representatives for losses paid under the terms of this policy or these policies which arise from work performed by the Named Insured for the City.

**4.3.5 Reservation of Rights.** The City reserves the right, from time to time, to review the Design Professional's insurance coverage, limits, deductible and self-insured retentions to determine if they are acceptable to the City. The City will reimburse the Design Professional for the cost of the additional premium for any coverage requested by the City in excess of that required by this Agreement without overhead, profit, or any other markup.

**4.3.6** Additional Insurance. The Design Professional may obtain additional insurance not required by this Agreement.

**4.3.7 Excess Insurance.** All policies providing excess coverage to the City shall follow the form of the primary policy or policies including but not limited to all endorsements.

4.4 **Subcontractors.** The Design Professional's hiring or retaining of any third parties [Subcontractors] to perform services related to the Project [Subcontractor Services] is subject to prior approval by the City. The Design Professional shall list on the Subcontractor List (Exhibit D, Attachment CC) all Subcontractors known to the Design Professional at the time this Agreement is entered. If at any time after this Agreement is entered into, the Design Professional identifies a need for addition, deletion, or substitution of Subcontractor Services, the Design Professional must submit a written notice to the City requesting approval for the change modifying the Subcontractor Services. The Design Professional's written notice shall include a justification, a description of the scope of services, an estimate of all costs/percentage of contract participation for the Subcontractor Services, and an updated Exhibit D, Attachment CC reflecting the requested change(s). The City agrees to consider such requests in good faith.

**4.4.1 Subcontractor Contract.** All contracts entered into between the Design Professional and any Subcontractor shall contain the information as described in Sections 4.6, 4.7, 4.10.2, and 4.18, and shall also provide as follows:

**4.4.1.1** Design Professional shall require the Subcontractor to obtain insurance policies, as described in Section 4.3.1, and those policies shall be kept in full force and effect during any and all work on this Project and for the duration of this Agreement. Furthermore, Subcontractor policy limits, and required endorsements shall be determined by the Design Professional proportionate to the services performed by the Subcontractor.

**4.4.1.2** The Design Professional is obligated to pay the Subcontractor, for Design Professional and City-approved invoice amounts, out of amounts paid by the City to the Design Professional, not later than fourteen working days from the Design Professional's receipt of payment from the City. Nothing in this paragraph shall be construed to impair the right of the Design Professional and any Subcontractor to negotiate fair and reasonable pricing and payment provisions among themselves.

**4.4.1.3** In the case of a deficiency in the performance of Subcontractor Services, the Design Professional shall notify the City in writing of any withholding of payment to the Subcontractor, specifying: (a) the amount withheld; (b) the specific cause under the terms of the subcontract for withholding payment; (c) the connection between the cause for withholding payment and the amount withheld; and (d) the remedial action the Subcontractor must take in order to receive the amount withheld. Once the Subcontractor corrects the deficiency, the Design Professional shall pay the Subcontractor the amount withheld within fourteen working days of the Design Professional's receipt of the City's next payment.

**4.4.1.4** In any dispute between the Design Professional and Subcontractor, the City shall not be made a party to any judicial or administrative proceeding to resolve the dispute. The Design Professional agrees to defend and indemnify the City as described in Article VI of this Agreement in any dispute between the Design Professional and Subcontractor should the City be made a party to any judicial or administrative proceeding to resolve the dispute in violation of this position.

**4.4.1.5** The Subcontractor is bound to the City's Equal Opportunity Contracting Program covenants set forth in Article IV, Section 4.6 and Exhibit D of this Agreement.

**4.4.1.6** The City is an intended beneficiary of any work performed by the Subcontractor for purposes of establishing a duty of care between the Subcontractor and the City.

#### 4.5 Contract Records and Reports.

4.5.1 RESERVED.

#### 4.5.2 RESERVED.

**4.5.3** The Design Professional must submit the following reporting using the City's web-based contract compliance i.e., Prism<sup>®</sup> portal:

**4.5.3.1 Monthly Employment Utilization.** Design Professional and their Subcontractors must submit Monthly Employment Utilization Reporting by the fifth (5th) day of the subsequent month.

**4.5.3.2 Monthly Invoicing and Payments.** Design Professional and their Subcontractors must submit Monthly Invoicing and Payment Reporting by the fifth (5th) day of the subsequent month.

**4.5.3.3** To view the City's online tutorials on how to utilize PRISM<sup>®</sup> for compliance reporting, please visit: <u>http://stage.prismcompliance.com/etc/vendortutorials.htm</u>

Incomplete and/or delinquent reporting may cause payment delays, non-payment of invoice, or both. The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

#### 4.6 Non-Discrimination Requirements.

**4.6.1 Compliance with the City's Equal Opportunity Contracting Program.** The Design Professional shall comply with the City's Equal Opportunity Contracting Program Design Professional Requirements (Exhibit D). The Design Professional shall not discriminate against any employee or applicant for employment on any basis prohibited by law. The Design Professional shall provide equal opportunity in all employment practices. The Design Professional shall ensure that its Subcontractors comply with the City's Equal Opportunity Contracting Program Design Professional Requirements. Nothing in this Section shall be interpreted to hold the Design Professional liable for any discriminatory practice of its Subcontractors.

**4.6.2 Non-Discrimination Ordinance.** The Design Professional shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring or treatment of Subcontractors, vendors or suppliers. The Design Professional shall provide equal opportunity for Subcontractors to participate in subcontracting opportunities. The Design Professional understands and agrees that violation of this clause shall be

considered a material breach of the contract and may result in contract termination, debarment, or other sanctions. This language shall be in contracts between the Design Professional and any Subcontractors, vendors and suppliers.

**4.6.3 Compliance Investigations.** Upon the City's request, the Design Professional agrees to provide to the City, within sixty calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that the Design Professional has used in the past five years on any of its contracts that were undertaken within San Diego County, including the total dollar amount paid by the Design Professional for each subcontract or supply contract. The Design Professional further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance (San Diego Municipal Code sections 22.3501–22.3517) The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Design Professional up to and including contract termination, debarment, and other sanctions for violation of the provisions of the Nondiscrimination in Contracting Ordinance. The Design Professional further understands and agrees that the procedures, remedies and sanctions provided for in the Nondiscrimination Ordinance apply only to violations of said Nondiscrimination Ordinance.

4.7 **Drug-Free Workplace.** By signing this Agreement the Design Professional agrees that it is aware of, and hereby certifies that it agrees to comply with, the City's Drug-Free Workplace requirements set forth in Council Policy 100–17, adopted by San Diego Resolution R-277952 and incorporated into this Agreement by this reference. Council Policy 100–17 is available on line at <a href="https://www.sandiego.gov/city-clerk/officialdocs">https://www.sandiego.gov/city-clerk/officialdocs</a>.

**4.7.1 Design Professional's Notice to Employees.** The Design Professional shall publish a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the work place, and specifying the actions that will be taken against employees for violations of the prohibition.

**4.7.2 Drug-Free Awareness Program**. The Design Professional shall establish a drug-free awareness program to inform employees about : (1) the dangers of drug abuse in the work place; (2) the policy of maintaining a drug-free work place; (3) available drug counseling, rehabilitation, and employee assistance programs; (4) the penalties that may be imposed upon employees for drug abuse violations.

**4.7.3 Posting the Statement.** In addition to Section 4.7.1 above, the Design Professional shall post the drug-free policy in a prominent place.

**4.7.4 Subcontractor's Agreements.** The Design Professional further certifies that each contract for Subcontractor Services for this Project shall contain language that binds the Subcontractor to comply with the provisions of Article IV, Section 4.7 of this Agreement, as required by Sections 2.A.(1) through (3) of Council Policy 100–17. Design Professionals and Subcontractors shall be individually responsible for their own drug-free work place program.

4.8 **Title 24/Americans with Disabilities Act Requirements.** Design Professional has sole responsibility for ensuring that all Project plans and other design services comply with all accessibility requirements under Title 24 of the California Code of Regulations, known as the California Building Code (Title 24), and under the Americans with Disabilities

Act Accessibility Guidelines (ADAAG) in effect at the time the designs are submitted to the City for review. When a conflict exists between Title 24 and ADAAG, the most restrictive requirement shall be followed by Design Professional (i.e., that which provides the most access). Design Professional warrants and certifies that any and all plans and specifications prepared for the City in accordance with this agreement shall meet all requirements under Title 24 and ADAAG. Design Professional understands that while the City will be reviewing Design Professional's designs for compliance in specific and certain areas under Title 24 and ADAAG prior to acceptance of Design Professional's designs, Design Professional understands and agrees that the City's access review process and its acceptance of Design Professional's designs in no way limits the Design Professional's obligations under this agreement to prepare designs that comply with all requirements under Title 24 and ADAAG.

4.9 **Product Endorsement.** The Design Professional acknowledges and agrees to comply with the provisions of City of San Diego Administrative Regulation 95.65, concerning product endorsement. Any advertisement identifying or referring to the City as the user of a product or service requires the prior written approval of the City.

4.10 **Conflict of Interest.** The Design Professional is subject to all federal, state and local conflict of interest laws, regulations, and policies applicable to public contracts and procurement practices, including but not limited to California Government Code sections 1090, et. seq. and 81000, et. seq., and the City of San Diego Ethics Ordinance, codified in the San Diego Municipal Code at sections 27.3501 to 27.3595.

**4.10.1** If, in performing the Professional Services set forth in this Agreement, any member of the Design Professional's organization makes, or participates in, a "governmental decision" as described in Title 2, section 18704 of the California Code of Regulations, or performs the same or substantially all the same duties for the City that would otherwise be performed by a City employee holding a position specified in the department's conflict of interest code, the individual shall be subject to a conflict of interest code requiring the completion of one or more statements of economic interests disclosing the individual's relevant financial interests. The determination as to whether any individual members of the Design Professional's organization must make disclosures of relevant financial interests is set forth in the Determination Form (Exhibit E).

**4.10.1.1** If a determination is made that certain individuals must disclose relevant financial interests, the statements of economic interests shall be made on Fair Political Practices Commission Form 700 and filed with the City Clerk. The individual shall file a Form 700 (Assuming Office Statement) within thirty calendar days of the City's determination that the individuals are subject to a conflict of interest code. Each year thereafter, the individuals shall also file a Form 700 (Annual Statement) on or before April 1, disclosing any financial interests held during the previous calendar year for which the individual was subject to a conflict of interest code. A Form 700 (Leaving Office Statement) shall also be filed when the individual discontinues services under this Agreement.

**4.10.1.2** If the City requires an individual member of the Design Professional's organization to file a statement of economic interests as a result of the Professional Services performed, the individual shall be considered a "City Official" subject to the provisions of the City of San Diego Ethics Ordinance, including the prohibition against lobbying the City for one year following the termination of this Agreement.

**4.10.2** The Design Professional shall establish and make known to its employees and agents appropriate safeguards to prohibit employees from using their

positions for a purpose that is, or that gives the appearance of being, motivated by the desire for private gain for themselves or others, particularly those with whom they have family, business, or other relationships.

**4.10.3** The Design Professional and its Subcontractors having subcontracts amounting to 1% or more of the value of the Professional Services agreed to under this Agreement are precluded from participating in design services on behalf of the contractor, construction management, and any other construction services related in any way to these Professional Services without the prior written consent of the City.

**4.10.4** The Design Professional's personnel employed on the Project shall not accept gratuities or any other favors from any Subcontractors or potential Subcontractors. The Design Professional shall not recommend or specify any product, supplier, or contractor with whom the Design Professional has a direct or indirect financial or organizational interest or relationship that would violate conflict of interest laws, regulations, or policies.

**4.10.5** If the Design Professional violates any conflict of interest law or any of the provisions in this Section 4.10, the violation shall be grounds for immediate termination of this Agreement. Further, the violation subjects the Design Professional to liability to the City for attorneys' fees and all damages sustained as a result of the violation.

4.11 **Mandatory Assistance.** If a third party dispute or litigation, or both, arises out of, or relates in any way to the Professional Services provided under this Agreement, upon the City's request, the Design Professional, its agents, officers, and employees agree to assist in resolving the dispute or litigation. The Design Professional's assistance includes, but is not limited to, providing professional consultations, attending mediations, arbitrations, depositions, trials or any event related to the dispute resolution and/or litigation.

4.12 **Compensation for Mandatory Assistance.** The City will compensate the Design Professional for fees incurred for providing Mandatory Assistance as Additional Services under Section 3.2. If, however, the fees incurred for the Mandatory Assistance are determined, through resolution of the third party dispute or litigation, or both, to be attributable in whole, or in part, to the acts or omissions of the Design Professional, its agents, officers, and employees, the Design Professional shall reimburse the City. The City is then entitled to reimbursement of all fees paid to the Design Professional, its agents, officers, and employees for Mandatory Assistance.

4.13 **Attorney Fees related to Mandatory Assistance.** In providing the City with dispute or litigation assistance, the Design Professional or its agents, officers, and employees may incur expenses and/or costs. The Design Professional agrees that any attorney fees it may incur as a result of assistance provided under Section 4.11 are not reimbursable. The Parties agree this provision does not in any way affect their rights to seek attorney fees under Article VIII, Section 8.8 of this Agreement.

4.14 **Energy Conservation Specifications.** Technological advances in energy conservation devices such as Lighting and Heating, Ventilation, and Air Conditioning (HVAC), enable additional energy savings over that required by the State of California's Energy Efficiency Standards (Title 24, Part 6 of the California Code of Regulations). The Design Professional shall model the energy performance of the building using an acceptable computer model such as Energy Pro, EQuest, DOE-2, Power DOE, HAP 3.22, etc. and present the summary data to the City at or prior to 100 percent design. This analysis should include life cycle cost analysis showing recovery of construction costs through operation and

maintenance costs (e.g., electricity and gas savings.) The Design Professional shall prepare a cost savings matrix that lists each device being considered and one, three, five and ten-year Project savings. The comparison shall include, but not be limited to, the following equipment: Lighting, HVAC, Water Heating, and Motors.

The Design Professional shall contact the SDG&E New Construction Program at (858) 636-5725 or the San Diego Regional Energy Office at (619) 595-5634 to integrate them into the design process to ensure maximum energy performance and access to technical resources. Design Professional shall endeavor to obtain from SDG&E a UTIL-1 (Utility Incentive Worksheet) to estimate energy savings and incentives available based on the design team energy modeling.

4.15 **Notification of Increased Construction Cost.** If, at any time prior to the City's approval of the final plans and specifications, the Design Professional anticipates that the total construction cost will exceed the estimated construction budget, the Design Professional shall immediately notify the City in writing. This written notification shall include an itemized cost estimate and a list of recommended revisions which the Design Professional believes will bring the construction cost to within the estimated construction budget. The City may either: (1) approve an increase in the amount authorized for construction; or (2) delineate a project which may be constructed for the budget amount; or (3) any combination of (1) and (2).

4.16 **Sustainable Building Policy.** The Design Professional shall comply with City Council Policy 900–14 (Sustainable Building Policy) in the performance of the Scope of Services, including but not limited to the requirement that all new or significantly remodeled City facilities shall be designed and constructed to achieve at a minimum the Leadership in Energy and Environmental Design (LEED) "Silver" Level Certification.

4.17 **Design-Build Competition Eligibility.** Any architectural firms, engineering firms, Design Professionals, or individuals retained by the City to assist the City with developing criteria or preparing the preliminary design or the request for proposals for a Design-Build competition shall not be eligible to participate with any Design-Build Entity in that Design-Build competition. Additionally, the City may determine in its sole discretion that a Subcontractor hired to assist with a Design-Build competition, regardless of whether the Subcontractor was hired by the City or hired by an architectural firm, engineering firm, Design Professional, or individual retained by the City, has a competitive advantage and as such is ineligible to participate in that Design-Build competition.

4.18 **Storm Water Management Discharge Control.** Design Professional shall comply with Chapter 4, Article 3, Division 3 of the San Diego Municipal Code, Storm Water Management Discharge Control and the Municipal Storm Water Permit (MS4) Permit, California Regional Water Quality Control Board Order No. R9-2013-0001 (amended by R9-2015-0001 and R9-2015-0100), Storm Water Standards Manual, as amended from time to time, and any and all Best Management Practice (BMP) guidelines and pollution elimination requirements as may be established by the Enforcement Official. Design Professional warrants and certifies that any and all plans, reports, and specifications prepared for the City in accordance with this agreement shall meet all requirements of the San Diego Municipal Code and Storm Water Standards Manual. Design Professional understands that while the City will be reviewing Design Professional 's designs, Design Professional understands and agrees that the City's Storm Water review process and its acceptance of Design Professional 's designs in no way limits the Design Professional 's obligations under this agreement to prepare designs that comply with all requirements of the San Diego Municipal Code and MS4 Permit.

The Design Professional shall review the completed Storm Water Applicability Checklist (DS-560) to confirm the project's appropriate storm water requirements. For all applicable projects, and to the maximum extent practicable, the Design Professional shall incorporate and include Source Control and Low Impact Development (LID) design features or Site Design BMPs on the construction plans. In addition, for Priority Development projects, the Design Professional shall prepare a Storm Water Quality Management Plan in accordance with the requirements of the Storm Water Standards Manual and prepare a BMP plan showing all permanent BMPs, LID designs, hydromodification management plan facilities, and include sufficient details and cross sections for construction.

Design Professional shall attend the Pre-construction meeting. The Project Manager will coordinate with the Design Professional on the inspection of the permanent BMP(s) during installation. Design Professional shall inspect and confirm that the permanent BMP was installed in accordance with the details on the plans and that the permanent BMP functions to meet the requirements of the MS4 Permit. Upon notification by the Project Manager, the Design Professional shall sign and stamp the Permanent BMP Self Certification on the plans or the Permanent BMP Self Certification Form (DS-563) prior to final acceptance by the City.

For projects requiring soil-disturbance work such as geotechnical borings, street coring and potholing as component of the design, the Design Professional shall complete a Minor Water Pollution Control Plan (DS-570), if applicable.

4.19 **ADA Certification.** By signing this Agreement the Design Professional agrees that it is aware of, and hereby certifies that it agrees to comply with, the City's Americans With Disabilities Act Compliance/City Contracts requirements set forth in Council Policy 100–04, adopted by San Diego Resolution R-282153 and incorporated into this Agreement by this reference. Council Policy 100–04 is available at <u>https://www.sandiego.gov/city-clerk/officialdocs</u>.

4.20 **Prevailing Wage Rates.** Prevailing wage rates apply to this Agreement.

Pursuant to San Diego Municipal Code section 22.3019, construction, alteration, demolition, repair and maintenance work performed under this Agreement is subject toState prevailing wage laws. For construction work performed under this Agreement cumulatively exceeding \$25,000 and for alteration, demolition, repair and maintenance work performed under this Agreement cumulatively exceeding \$15,000, the Design Professional and its subconsultants shall comply with State prevailing wage laws including, but not limited to, the requirements listed below.

**4.20.1 Compliance with Prevailing Wage Requirements.** Pursuant to sections 1720 through 1861 of the California Labor Code, the Design Professional and its subconsultants shall ensure that all workers who perform work under this Agreement are paid not less than the prevailing rate of per diem wages as determined by the Director of the California Department of Industrial Relations (DIR). This includes work performed during the design and preconstruction phases of construction including, but not limited to, inspection and land surveying work.

**4.20.1.1** Copies of the prevailing rate of per diem wages also may be found at <u>http://www.dir.ca.gov/OPRL/DPreWageDetermination.htm</u>. The Design Professional and its subconsultants shall post a copy of the prevailing rate of per diem wages determination at each job site and shall make them available to any interested party upon request.

**4.20.1.2** The wage rates determined by the DIR refer to expiration dates. If the published wage rate does not refer to a predetermined wage rate to be paid after the expiration date, then the published rate of wage shall be in effect for the life of this Agreement. If the published wage rate refers to a predetermined wage rate to become effective upon expiration of the published wage rate and the predetermined wage rate is on file with the DIR, such predetermined wage rate shall become effective on the date following the expiration date and shall apply to this Agreement in the same manner as if it had been published in said publication. If the predetermined wage rate refers to one or more additional expiration dates with additional predetermined wage rates, which expiration dates occur during the life of this Agreement, each successive predetermined wage rate shall apply to this Agreement on the date following the expiration date of the previous wage rate. If the last of such predetermined wage rates expires during the life of this Agreement, such wage rates shall apply to the balance of the Agreement.

**4.20.2 Penalties for Violations.** Design Professional and its subconsultants shall comply with California Labor Code section 1775 in the event a worker is paid less than the prevailing wage rate for the work or craft in which the worker is employed. This shall be in addition to any other applicable penalties allowed under Labor Code sections 1720 – 1861.

**4.20.3 Payroll Records.** Design Professional and its subconsultants shall comply with California Labor Code section 1776, which generally requires keeping accurate payroll records, verifying and certifying payroll records, and making them available for inspection. Design Professional shall require its subconsultants to also comply with section 1776. Design Professional and its subconsultants shall submit weekly certified payroll records online via the City's web-based Labor Compliance Program. Design Professional is responsible for ensuring its subconsultants submit certified payroll records to the City.

**4.20.3.1** In addition to the requirements in 4.20.3, the Design Professional and its subconsultants shall also furnish records specified in Labor Code section 1776 directly to the Labor Commissioner in the manner required by Labor Code section 1771.4.

**4.20.4 Apprentices.** Design Professional and its subconsultants shall comply with California Labor Code sections 1777.5, 1777.6 and 1777.7 concerning the employment and wages of apprentices. Design Professional shall be held responsible for the compliance of their subconsultants with sections 1777.5, 1777.6 and 1777.7.

**4.20.5** Working Hours. Design Professional and its subconsultants shall comply with California Labor Code sections 1810 through 1815, including but not limited to: (i) restrict working hours on public works contracts to eight (8) hours a day and forty (40) hours a week, unless all hours worked in excess of eight (8) hours per day are compensated at not less than 1<sup>1</sup>/<sub>2</sub> times the basic rate of pay; and (ii) specify penalties to be imposed on design professionals and subcontractors of \$25 per worker per day for each day the worker works more than eight (8) hours per day and forty (40) hours per week in violation of California Labor Code sections 1810 through 1815.

**4.20.6 Required Provisions for Subcontracts.** Design Professional shall include at a minimum a copy of the following provisions in any contract they enter into with a subconsultant: California Labor Code sections 1771, 1771.1, 1775, 1776, 1777.5, 1810, 1813, 1815, 1860 and 1861.

**4.20.7\_Labor Code Section 1861 Certification.** Design Professional in accordance with California Labor Code section 3700 is required to secure the payment of compensation of its employees and by signing this Agreement, Design Professional certifies that "I am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this Agreement."

**4.20.8 Labor Compliance Program.** The City has its own Labor Compliance Program authorized in August 2011 by the DIR. The City will withhold contract payments when payroll records are delinquent or deemed inadequate by the City or other governmental entity, or it has been established after an investigation by the City or other governmental entity that underpayment(s) have occurred.

**4.20.9 Contractor and Subcontractor Registration Requirements.** This project is subject to compliance monitoring and enforcement by the DIR. A Design Professional or subcontractor shall not be qualified to bid on, be listed in a bid or proposal, subject to the requirements of section 4104 of the Public Contract Code, or engage in the performance of any contract for public work, as defined in this chapter, unless currently registered and qualified to perform public work pursuant to Labor Code section 1725.5 It is not a violation of this section for an unregistered contractor to submit a bid that is authorized by Section 7029.1 of the Business and Professions Code or by Section 10164 or 20103.5 of the Public Contract Code, provided the contractor is registered to perform public work pursuant to Section 1725.5 at the time the contract is awarded.

**4.20.9.1** A Design Professional's inadvertent error in listing a subconsultant who is not registered pursuant to Labor Code section 1725.5 in response to a solicitation shall not be grounds for filing a protest or grounds for considering the bid or proposal non-responsive provided that any of the following apply: (1) the subconsultant is registered prior to proposal due date; (2) within twenty-four hours after the proposal due date, the subconsultant is registered and has paid the penalty registration fee specified in Labor Code section 1725.5; or (3) the subconsultant is replaced by another registered subconsultant pursuant to Public Contract Code section 4107.

**4.20.9.2** By submitting a bid or proposal to the City, Design Professional is certifying that he or she has verified that all subcontractors used on this public work project are registered with the DIR in compliance with Labor Code sections 1771.1 and 1725.5, and Design Professional shall provide proof of registration for themselves and all listed subcontractors to the City at the time of bid or proposal due date or upon request.

**4.20.10 Stop Order**. For Design Professional or its subcontractor(s) engaging in the performance of any public work contract without having been registered in violation of Labor Code sections 1725.5 or 1771.1, the Labor Commissioner shall issue and serve a stop order prohibiting the use of the unregistered Design Professional or unregistered subcontractor(s) on ALL public works until the unregistered Design Professional or unregistered subcontractor(s) is registered. Failure to observe a stop order is a misdemeanor.

**4.20.11 List of all Subcontractors**. The Design Professional shall provide a complete list of subcontractors (regardless of tier) utilized on this Agreement, along with their DIR registration numbers, if applicable, prior to any work being performed on this Agreement, and Design Professional shall provide a complete list of subcontractors, regardless of tier, with each invoice. Additionally, Design Professional shall provide the City with a complete list of all subcontractors utilized on this Agreement, regardless of tier, within ten working days of the completion of the Agreement, along with their DIR registration numbers, if applicable. The City shall withhold final payment to Design Professional until at least thirty (30) days after this information is provided to the City.

**4.20.12** Exemptions for Small Projects. There are limited exemptions for installation, alteration, demolition, or repair work done on projects of \$25,000 or less. The Design Professional shall still comply with Labor Code sections 1720 et. seq. The only recognized exemptions are listed below:

**4.20.12.1 Registration.** The Design Professional will not be required to register with the DIR for small projects. (Labor Code section 1771.1).

**4.20.12.2 Certified Payroll Records.** The records required in Labor Code section 1776 shall be required to be kept and submitted to the City of San Diego, but will not be required to be submitted online with the DIR directly. The Design Professional will need to keep those records for at least three years following the completion of the Agreement. (Labor Code section 1771.4).

**4.20.12.3 List of all Subcontractors.** The Design Professional shall not be required to hire only registered subcontractors and is exempt from submitting the list of all subcontractors that is required in section 4.20.11 above. (Labor Code section 1773.3).

4.21 **Davis-Bacon Requirements** This Agreement shall be subject to the following Davis-Bacon Wage Decision: See **EXHIBIT I**.

#### 1. FEDERAL WAGE REQUIREMENTS FOR FEDERALLY FUNDED PROJECTS:

- 1.1. The successful Design Professional's work shall be required to comply with Executive Order 11246, entitled "Equal Employment Opportunity,", as amended by Executive Order 11375, and as supplemented in Department of Labor regulations (41 CFR chapter 60).
  - **1.2.** This Executive Order pertains to Equal Employment Opportunity regulations and contains significant changes to the regulations including new goals and timetables for women in construction and revised goals and time-tables for minorities in construction.
  - **1.3.** Minimum wage rates for this project have been predetermined by the Secretary of Labor and are set forth in the Decision of the Secretary and bound into the specifications book. Should there be any difference between the state or federal wage rates, including health and welfare funds for any given craft, mechanic, or similar classifications needed to execute the Work, it shall be mandatory upon the Contractor or Subcontractor to pay the higher of the two rates.

The minimum wage rate to be paid by the Contractor and the Subcontractor shall be in accordance with the Federal Labor Standards Provisions (see pages below) and Federal Wage Rates (see Wage Rates below) and General Prevailing Wage Determination made by the State of California, Director of Industrial Relations pursuant to California Labor Code Part 7, Chapter 1, Article 2, Sections 1770, 1773 and 1773.1, whichever is higher.

- **1.4.** A Contractor having 50 or more employees and its Subcontractor having 50 or more employees and who may be awarded a contract of \$50,000 or more will be required to maintain an affirmative action program, the standards for which are contained in the contract.
- **1.5.** To be eligible for award, each Contractor shall comply with the affirmative action requirements which are contained in the contractor.
- **1.6.** Women will be afforded equal opportunity in all areas of employment. However, the employment of women shall not diminish the standards of requirements for the employment of minorities.
- 2. The Aviation Safety and Capacity Expansion Act of 1990, provides that preference be given to steel and manufactured products produced in the United States when funds are expanded pursuant to a grant issued under the Airport Improvement Program.

#### 3. **FEDERAL LABOR STANDARDS PROVISIONS (**Office of the Secretary of Labor 29 CFR 5):

#### Applicability

The Project or Program to which the construction work covered by this contract pertains is being assisted by the United States of America and the following Federal Labor Standards Provisions are included in this Contract pursuant to the provisions applicable to such Federal assistance.

#### 1. Minimum Wages.

(i) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by the Secretary of Labor under the Copeland Act (29 CFR Part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalent thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the Design Professional and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR Part 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under (1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the Design Professional and its subcontractors at the site of the work in a prominent and accessible place where it can easily be seen by the workers.

(ii)(A) The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:

(1) The work to be performed by the classification requested is not performed by a classification in the wage determination;

(2) The classification is utilized in the area by the construction industry; and

(3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.

(B) If the Design Professional and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(C) In the event the Design Professional, the laborers, or mechanics to be employed in the classification, or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

(D) The wage rate (including fringe benefits where appropriate) determined pursuant to subparagraphs (1)(ii) (B) or (C) of this paragraph, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

(iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.

(iv) If the Design Professional does not make payments to a trustee or other third person, the Design Professional may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program: Provided that the Secretary of Labor has found, upon the written request of the Design Professional, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the Design Professional to set aside in a separate account assets for the meeting of obligations under the plan or program.

#### 2. Withholding.

The Federal Aviation Administration or the sponsor shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the Design Professional under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the Design Professional or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of work, all or part of the wages required by the contract, the Federal Aviation Administration may, after written notice to the Design Professional, Sponsor, Applicant, or Owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

#### 3. Payrolls and Basic Records.

(i) Payrolls and basic records relating thereto shall be maintained by the Design Professional during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker; his or her correct classification; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in 1(b)(2)(B) of the Davis-Bacon Act); daily and weekly number of hours worked; deductions made; and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the Design Professional shall maintain records that show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and that show the costs anticipated or the actual costs incurred in providing such benefits. Design Professionals employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

(ii)(A) The Design Professional shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Federal Aviation Administration if the agency is a party to the contract, but if the agency is not such a party, the Design Professional will submit the payrolls to the applicant, Sponsor, or Owner, as the case may be, for transmission to the Federal Aviation Administration. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on weekly transmittals. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g. the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <u>www.dol.gov/whd/forms/wh347instr.htm</u> or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Design Professionals and subcontractors shall maintain the full social security number and current address of each covered worker and shall provide them upon request to the Federal Aviation Administration if the agency is a party to the contract, but if the agency is not such a party, the Design Professional will submit them to the applicant, sponsor, or Owner, as the case may be, for transmission to the Federal Aviation Administration, the Design Professional, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the sponsoring government agency (or the applicant, Sponsor, or Owner).

(B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the Design Professional or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) The payroll for the payroll period contains the information required to be provided under 29 CFR § 5.5(a)(3)(ii), the appropriate information is being maintained under 29 CFR § 5.5(a)(3)(i), and that such information is correct and complete;

(2) Each laborer and mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations 29 CFR Part 3;

(3) Each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

(C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (3)(ii)(B) of this section.

(D) The falsification of any of the above certifications may subject the Design Professional or subcontractor to civil or criminal prosecution under Section 1001 of Title 18 and Section 231 of Title 31 of the United States Code.

(iii) The Design Professional or subcontractor shall make the records required under paragraph (3)(i) of this section available for inspection, copying, or transcription by

authorized representatives of the sponsor, the Federal Aviation Administration, or the Department of Labor and shall permit such representatives to interview employees during working hours on the job. If the Design Professional or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the Design Professional, Sponsor, applicant, or Owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

#### 4. Apprentices and Trainees.

(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the Design Professional's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the Design Professional will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to workat less than the predetermined rate for the work performed unless they are employed

pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination that provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate that is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the Design Professional will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

(iii) Equal Employment Opportunity. The utilization of apprentices, trainees, and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR Part 30.

#### 5. Compliance with Copeland Act Requirements.

The Design Professional shall comply with the requirements of 29 CFR Part 3, which are incorporated by reference in this contract.

#### 6. Subcontracts.

The Design Professional or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR Part 5.5(a)(1) through (10) and such other clauses as the Federal Aviation Administration may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR Part 5.5.

#### 7. Contract Termination: Debarment.

A breach of the contract clauses in paragraph 1 through 10 of this section may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

#### 8. Compliance with Davis-Bacon and Related Act Requirements.

All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR Parts 1, 3, and 5 are herein incorporated by reference in this contract.

#### 9. Disputes Concerning Labor Standards.

Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR Parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the Design Professional (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

#### 10. Certification of Eligibility.

(i) By entering into this contract, the Design Professional certifies that neither it (nor he or she) nor any person or firm who has an interest in the Design Professional's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(ii) No part of this contract shall be subcontracted to any person or firm ineligible foraward of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).

(iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 USC 1001.

**b.** Contract Work Hours and Safety Standards Act. The provisions of this paragraph b are applicable where the amount of the prime contract exceeds \$100,000. As used in this paragraph, the terms "laborers" and "mechanics" include watchmen and guards.

(1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which the individual is employed on such work to work in excess of 40 hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of 40 hours in such workweek.

(2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in subparagraph (b)(1) of this section, the contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in subparagraph (b)(1) of this paragraph, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of 40 hours without payment of the overtime wages required by the clause set forth in sub paragraph (b)(1) of this section.

(3) Withholding for unpaid wages and liquidated damages. The Federal Agency or its designee shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the

same prime contract, or any other Federally-assisted contract subject to the Contract Work Hours and Safety Standards Act which is held by the same prime contractor such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in subparagraph (b)(2) of this section.

(4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in subparagraph (b)(1) through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in subparagraphs (b)(1) through (4) of this section.

C. In addition to the clauses contained in paragraph (b), in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in Sec. 5.1, the Agency Head shall cause or require the contracting officer to insert a clause requiring that the contractor or subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Agency Head shall cause or require the contracting officer to insert in any such contract a clause providing that the records to be maintained under this paragraph shall be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the (write the name of agency) and the Department of Labor, and the contractor or subcontractor will permit such representatives to interview employees during working hours on the job.

#### ARTICLE V FEDERAL REQUIREMENTS

**5.1** This Project is funded by the Federal Aviation Administration (FAA). All Project work and Agreements will be subject to the review and approval of the Federal Aviation Administration (F.A.A.).

**5.2** The Design Professional must maintain an acceptable cost accounting system. The Design Professional agrees to provide the City, the Federal Aviation Administration (F.A.A.) and the Comptroller General of the United States or any of their duly authorized representatives access to any books, documents, papers and records of the Design Professional which are directly pertinent to the specific contract for the purpose of making audit, examination, excerpts and transcriptions. The Design Professional agrees to maintain all books, records and reports required under this contract for a period of not less than three years after final payment is made and all pending matters are closed.

**5.3** The cost principles and procedures for use in the determination of allowable elements of cost will be governed by the Federal Acquisition Regulations in 48 CFR, Chapter 1, Part 31. Said regulations are also applicable to Subcontracts in excess of \$25,000.00.

**5.4** The Design Professional warrants that he/she has not employed or retained any company or person, other than a bona fide employee working for the Consultant, to solicit or secure this Agreement, and that he/she has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage, brokeragefee,

gift, or any other consideration, contingent upon or resulting from the award or formation of this Agreement. For breach or violation of this warranty, the City shall have the right to annul this agreement without liability, or at its discretion to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift, or contingent fee.

**5.5** The Design Professional shall comply with all Federal, State, and Local laws and ordinances applicable to the work. This includes compliance with prevailing wage rates and their payment in accordance with California Labor Code, including but not limited to Sections 1720 and 1771 (see Exhibit H).

**5.6** Neither this Agreement or any part thereof shall be subcontracted, assigned, or transferred by the Design Professional except as otherwise provided forin the Agreement.

**5.7** The Design Professional shall comply with California Government Code section 7550 as follows:

Any document or written report prepared for or under the direction of a State or Local Agency, which is prepared in whole or in part by non-employees of such Agency, shall contain the numbers and dollar amounts of all contracts and subcontracts relating to the preparation of such document or written report; provided, however, that the total cost for work performed by non-employees of the agency exceeds FIVE THOUSAND DOLLARS (\$5,000). The contract and subcontract numbers and dollar amounts shall be contained in a separate section of such document or written report.

When multiple documents or written reports are the subject or product of the contract, the disclosure section may also contain a statement indicating that the total contract amount represents compensation for multiple documents or written reports.

**5.8** All procurement transactions will be conducted in a manner providing fulland open competition consistent with the standards of 49 CFR Part18. Some of the situations considered to be restrictive of competition include, but are not limited to:

(i) Placing unreasonable requirements on firms in order for them to qualify to do business,

(ii) Requiring unnecessary experience and excessive bonding,

(iii) Noncompetitive pricing practices between firms or between affiliated companies,

(iv) Noncompetitive awards to consultants that are on retainer contracts,

(v) Organizational conflicts of interest,

(vi) Specifying only a brand name product instead of allowing an equal product to be offered and describing the performance of other relevant requirements of the procurement, and

(vii) Any arbitrary action in the procurement process.

**5.9** The City will perform a cost analysis of its Agreement with the Design Professional when adequate price competition is lacking, and for sole source procurements, including contract modifications or change orders, unless price reasonableness can be established on the basis of a catalog or market price of a commercial product sold in substantial quantities to the general public or based on prices set by law or regulation. A price analysis will be used in all other instances to determine the reasonableness of the proposed contract price.

**5.10** The City and the Design Professional must on request make available for awarding agency pre-award review procurement documents, such as requests for proposals or invitations for bids, independent cost estimates, or other pertinent documents when:

(i) The City's or the Design Professional's procurement procedures or operation fails to comply with the procurement standards in 49 CFR Section 18.36; or

(ii) The procurement is expected to exceed the simplified acquisition threshold [currently fixed at \$100,000 by 41 U.S.C. 403(11)] and is to be awarded without competition or only one bid or offer is received in response to a solicitation; or

(iii) The procurement, which is expected to exceed the simplified acquisition threshold, specifies a brand name product; or

(iv) The proposed award is more than the simplified acquisition threshold and is to be awarded to other than the apparent low bidder under a sealed bid procurement; or

(v) A proposed contract modification changes the scope of a contractor increases the contract amount by more than the simplified acquisition threshold.

**5.11** The City will use procurement procedures which reflect applicable State and local laws and regulations, provided that the procurements conform to applicable Federal law and standards identified in 49 CFR Section 18.36.

**5.12** Contract modifications are required for any modification in the terms of the original contract that change the cost of the contract; significantly change the character, scope, complexity, or duration of the work; or significantly change the conditions under which the work is required to be performed. A contract modification shall clearly outline the changes made and determine a method of compensation. Federal Aviation Administration (F.A.A.) approval of contract modifications shall be obtained prior to beginning the work, except that in unusual circumstances the Design Professional may be authorized to proceed with work prior to agreement on the amount of compensation and execution of the contract modification, provided the Federal Aviation Administration (F.A.A.) has previously approved the work and has concurred that additional compensation is warranted.

**5.13 General Civil Rights Provisions.** The Design Professional agrees to comply with pertinent statutes, Executive Orders and such rules as are promulgated to ensure that no person shall, on the grounds of race, creed, color, national origin, sex, age, or disability

be excluded from participating in any activity conducted with or benefiting from Federal assistance.

This provision binds the Design Professional and subcontractors from the bid solicitation period through the completion of the contract. This provision is in addition to that required by Title VI of the Civil Rights Act of 1964.

#### 5.13.1 Compliance with Nondiscrimination Requirements:

During the performance of this contract, the Design Professional, for itself, its assignees, and successors in interest (hereinafter referred to as the "Design Professional"), agrees as follows:

- 1. **Compliance with Regulations:** The Design Professional (hereinafter includes consultants) will comply with the Title VI (Appendix 4 of FAA Order 1400.11, Nondiscrimination in Federally-Assisted Programs) List of Pertinent Nondiscrimination Acts and Authorities, as they may be amended from time to time, which are herein incorporated by reference and made a part of this contract.
- 2. **Nondiscrimination:** The Design Professional, with regard to the work performed by it during the contract, will not discriminate on the grounds of race, color, or national origin in the selection and retention of subcontractors, including procurements of materials and leases of equipment. The Design Professional will not participate directly or indirectly in the discrimination prohibited by the Nondiscrimination Acts and Authorities, including employment practices when the contract covers any activity, project, or program set forth in Appendix B of 49 CFR part 21.
- 3. Solicitations for Subcontracts, including Procurements of Materials and Equipment: In all solicitations, either by competitive bidding or negotiation made by the Design Professional for work to be performed under a subcontract, including procurements of materials, or leases of equipment, each potential subcontractor or supplier will be notified by the Design Professional of the Design Professional's obligations under this contract and the Nondiscrimination Acts and Authorities on the grounds of race, color, or national origin.
- 4. **Information and Reports:** The Design Professional will provide all information and reports required by the Acts, the Regulations, and directives issued pursuant thereto and will permit access to its books, records, accounts, other sources of information, and its facilities as may be determined by the sponsor or the Federal Aviation Administration to be pertinent to ascertain compliance with such Nondiscrimination Acts and Authorities and instructions. Where any information required of a contractor is in the exclusive possession of another who fails or refuses to furnish the information, the Design Professional will so certify to the sponsor or the Federal Aviation Administration, as appropriate, and will set forth what efforts it has made to obtain the information.
- 5. **Sanctions for Noncompliance:** In the event of a Design Professional's noncompliance with the non-discrimination provisions of this contract, the sponsor will impose such contract sanctions as it or the Federal Aviation Administration may determine to be appropriate, including, but not limited to:
  - a. Withholding payments to the Design Professional under the contractuntil the Design Professional complies; and/or
  - b. Cancelling, terminating, or suspending a contract, in whole or in part.

6. **Incorporation of Provisions:** The Design Professional will include the provisions of paragraphs one through six in every subcontract, including procurements of materials and leases of equipment, unless exempt by the Acts, the Regulations, and directives issued pursuant thereto. The Design Professional will take action with respect to any subcontract or procurement as the sponsor or the Federal Aviation Administration may direct as a means of enforcing such provisions including sanctions for noncompliance. Provided, that if the Design Professional becomes involved in, or is threatened with litigation by a subcontractor, or supplier because of such direction, the Design Professional may request the sponsor to enter into any litigation to protect the interests of the sponsor. In addition, the Design Professional may request the United States to enter into the litigation to protect the interests of the interests.

**5.13.2 Title VI List of Pertinent Nondiscrimination Acts and Authorities.** During the performance of this contract, the Design Professional, for itself, its assignees, and successors in interest (hereinafter referred to as the "Design Professional") agrees to comply with the following non-discrimination statutes and authorities; including but not limited to:

• Title VI of the Civil Rights Act of 1964 (42 USC § 2000d et seq., 78 stat. 252) (prohibits discrimination on the basis of race, color, national origin);

• 49 CFR part 21 (Non-discrimination in Federally-assisted programs of the Department of Transportation—Effectuation of Title VI of the Civil Rights Act of 1964);

• The Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, (42 USC § 4601) (prohibits unfair treatment of persons displaced or whose property has been acquired because of Federal or Federal-aid programs and projects);

• Section 504 of the Rehabilitation Act of 1973 (29 USC § 794 et seq.), as amended (prohibits discrimination on the basis of disability); and 49 CFR part 27;

• The Age Discrimination Act of 1975, as amended (42 USC § 6101 et seq.) (prohibits discrimination on the basis of age);

• Airport and Airway Improvement Act of 1982 (49 USC § 471, Section 47123), as amended (prohibits discrimination based on race, creed, color, national origin, or sex);

• The Civil Rights Restoration Act of 1987 (PL 100-209) (broadened the scope, coverage and applicability of Title VI of the Civil Rights Act of 1964, the Age Discrimination Act of 1975 and Section 504 of the Rehabilitation Act of 1973, by expanding the definition of the terms "programs or activities" to include all of the programs or activities of the Federal-aid recipients, sub-recipients and contractors, whether such programs or activities are Federally funded or not);

• Titles II and III of the Americans with Disabilities Act of 1990, which prohibit discrimination on the basis of disability in the operation of public entities, public and private transportation systems, places of public accommodation, and certain testing entities (42 USC §§ 12131 – 12189) as implemented by U.S. Department of Transportation regulations at 49 CFR parts 37 and 38;

• The Federal Aviation Administration's Nondiscrimination statute (49 USC § 47123) (prohibits discrimination on the basis of race, color, national origin, and sex);

• Executive Order 12898, Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations, which ensures
nondiscrimination against minority populations by discouraging programs, policies, and activities with disproportionately high and adverse human health or environmental effects on minority and low-income populations;

• Executive Order 13166, Improving Access to Services for Persons with Limited English Proficiency, and resulting agency guidance, national origin discrimination includes discrimination because of limited English proficiency (LEP). To ensure compliance with Title VI, you must take reasonable steps to ensure that LEP persons have meaningful access to your programs (70 Fed. Reg. at 74087 to 74100);

• Title IX of the Education Amendments of 1972, as amended, which prohibits you from discriminating because of sex in education programs or activities (20 USC 1681 et seq).

5.14 **Clean Air/Water Pollution Control**. The Design Professional agrees to comply with all applicable standards, orders, and regulations issued pursuant to the Clean Air Act (42 USC § 740–7671q) and the Federal Water Pollution Control Act as amended (33 USC § 1251-1387). The Design Professional agrees to report any violation to the City immediately upon discovery. The City assumes responsibility for notifying the Environmental Protection Agency (EPA) and the Federal Aviation Administration.

The Design Professional must include this requirement in all subcontracts that exceed \$150,000.

# 5.15 Contract Workhours and Safety Standards Act Requirements

**5.15.1 Overtime Requirements**. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic, including watchmen and guards, in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

**5.15.2** Violation; Liability for Unpaid Wages; Liquidated Damages. In the event of any violation of the clause set forth in paragraph (1) of this clause, the Design Professional and any subcontractor responsible therefor shall be liable for the unpaid wages. In addition, such Design Professional and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (1) of this clause, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (1) of this clause.

**5.15.3 Withholding for Unpaid Wages and Liquidated Damages.** The Federal Aviation Administration (FAA) or the City shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Design Professional or subcontractor under any such contract or any other Federal contract with the same Prime Design Professional, or any other federally assisted contract subject to the

Contract Work Hours and Safety Standards Act, which is held by the same prime Design Professional, such sums as may be determined to be necessary to satisfy any liabilities of such Design Professional or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (2) of this clause.

**5.15.4 Subcontractors.** The Design Professional or subcontractor shall insert in any subcontracts the clauses set forth in paragraphs (5.15.1) through (5.15.4) and also a clause requiring the subcontractor to include these clauses in any lower tier subcontracts. The prime Design Professional shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (5.15.1) through (5.15.4) of this clause.

# 5.16 Debarment.

**5.16.1 Certification of Proposer Regarding Debarment.** By submitting a proposal under this solicitation, the Design Professional certifies that neither it nor its principals are presently debarred or suspended by any Federal department or agencyfrom participation in this transaction.

**5.16.2 Certification of Lower Tier Contractors Regarding Debarment.** The successful bidder, by administering each lower tier subcontract that exceeds \$25,000 as a "covered transaction", must verify each lower tier participant of a "covered transaction" under the project is not presently debarred or otherwise disqualified from participation in this federally assisted project. The successful bidder will accomplish this by:

1. Checking the System for Award Management at website: http://www.sam.gov.

2. Collecting a certification statement similar to the Certification of Offerer /Bidder Regarding Debarment, above.

3. Inserting a clause or condition in the covered transaction with the lower tier contract.

If the Federal Aviation Administration later determines that a lower tier participant failed to disclose to a higher tier participant that it was excluded or disqualified at the time it entered the covered transaction, the FAA may pursue any available remedies, including suspension and debarment of the non-compliant participant.

5.17 **Texting When Driving.** In accordance with Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving", (10/1/2009) and DOT Order 3902.10, "Text Messaging While Driving", (12/30/2009), the Federal Aviation Administration encourages recipients of Federal grant funds to adopt and enforce safety policies that decrease crashes by distracted drivers, including policies to ban text messaging while driving when performing work related to a grant or subgrant.

In support of this initiative, the City encourages the Design Professional to promote policies and initiatives for its employees and other work personnel that decrease crashes by distracted drivers, including policies that ban text messaging while driving motor vehicles while performing work activities associated with the project. The Design Professional must include the substance of this clause in all sub-tier contracts exceeding \$3,500 that involve driving a motor vehicle in performance of work activities associated with the project.

5.18 **Energy Conservation Requirements.** Design Professional and Subcontractor agree to comply with mandatory standards and policies relating to energy efficiency as contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act (42 USC 6201et seq).

5.19 **Equal Opportunity Clause** (41 CFR § 60–1.4, Executive Order 11246). During the performance of this Agreement, the Design Professional agrees as follows:

(1) The Design Professional will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin. The Design Professional will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, sexual orientation, gender identify, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff, or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Design Professional agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

(2) The Design Professional will, in all solicitations or advertisements for employees placed by or on behalf of the Design Professional, state that all qualified applicants will receive considerations for employment without regard to race, color, religion, sex, or national origin.

(3) The Design Professional will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the Design Professional's commitments under this section and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(4) The Design Professional will comply with all provisions of Executive Order11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(5) The Design Professional will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(6) In the event of the Design Professional's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the Design Professional may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(7) The Design Professional will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (7) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The Design Professional will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: Provided, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency the Design Professional may request the United States to enter into such litigation to protect the interests of the United States.

**5.19.1 Affirmative Action Program.** Design Professional assures that it will undertake an affirmative action program as required by 14 CFR Part 152, Subpart E, to ensure that no person shall on the grounds of race, creed, color, national origin, or gender be excluded from participating in any employment activities covered in 14 CFR Part 152, Subpart E. Design Professional assures that no person shall be excluded on these grounds from participating in or receiving the services or benefits of any program or activity covered by this subpart. Design Professional assures that it will require its covered subconsultants to provide assurances to Design Professional that they similarly will undertake affirmative action programs and that they will require assurances from their subconsultants as required by 14 CFR Part 152, Subpart E.

# 5.19.1 EEO Specification - Standard Federal Equal Employment Opportunity Construction Contract Specifications.

1. As used in these specifications:

a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;

b. "Director" means Director, Office of Federal Contract Compliance Programs (OFCCP), U.S. Department of Labor, or any person to whom the Director delegates authority;

c. "Employer identification number" means the Federal social security number used on the Employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941;

d. "Minority" includes:

(1) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);

(2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin regardless of race);

(3) Asian and Pacific Islander (all persons having origins in any of theoriginal peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and

(4) American Indian or Alaskan native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).

2. Whenever the Design Professional, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.

3. If the Design Professional is participating (pursuant to 41 CFR part 60–4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Design Professionals shall be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each contractor or subcontractor participating in an approved plan is individually required to comply with its obligations under the EEO clause and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other contractors or subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.

4. The Design Professional shall implement the specific affirmative action standards provided in paragraphs 7a through 7p of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Design Professional should reasonably be able to achieve in each construction trade in which it has employees in the covered area. Covered construction contractors performing construction work in a geographical area where they do not have a Federal or federally assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Design Professional is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

5. Neither the provisions of any collective bargaining agreement nor the failure by a union with whom the Design Professional has a collective bargaining agreement to refer either minorities or women shall excuse the Design Professional's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.

6. In order for the non-working training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees shall be employed by the Design Professional during the training period and the Design Professional shall have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees shall be trained pursuant to training programs approved by the U.S. Department of Labor.

7. The Design Professional shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Design Professional's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Design Professional shall document these efforts fully and shall implement affirmative action steps at least as extensive as the following: a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Design Professional's employees are assigned to work. The Design Professional, where possible, will assign two or more women to each construction project. The Design Professional shall specifically ensure that all foremen, superintendents, and other onsite supervisory personnel are aware of and carry out the Design Professional's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.

b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Design Professional or its unions have employment opportunities available, and maintain a record of the organizations' responses.

c. Maintain a current file of the names, addresses, and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source, or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Design Professional by the union or, if referred, not employed by the Design Professional, this shall be documented in the file with the reason therefore along with whatever additional actions the Design Professional may have taken.

d. Provide immediate written notification to the Director when the union or unions with which the Design Professional has a collective bargaining agreement has not referred to the Design Professional a minority person or female sent by the Design Professional, or when the Design Professional has other information that the union referral process has impeded the Design Professional's efforts to meet its obligations.

e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Design Professional's employment needs, especially those programs funded or approved by the Department of Labor. The Design Professional shall provide notice of these programs to the sources compiled under 7b above.

f. Disseminate the Design Professional's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Design Professional in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination, or other employment decisions, including specific review of these items, with onsite supervisory personnel such superintendents, general foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.

h. Disseminate the Design Professional's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Design Professional's EEO policy with other contractors and subcontractors with whom the Design Professional does or anticipates doing business.

i. Direct its recruitment efforts, both oral and written, to minority, female, and community organizations, to schools with minority and female students; and to minority and female recruitment and training organizations serving the Design Professional's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Design Professional shall send written notification to organizations, such as the above, describing the openings, screening procedures, and tests to be used in the selection process.

j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer, and vacation employment to minority and female youth both on the site and in other areas of a contractor's workforce.

k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR part 60-3.

l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel, for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.

m. Ensure that seniority practices, job classifications, work assignments, and other personnel practices do not have a discriminatory effect by continually monitoringall personnel and employment related activities to ensure that the EEO policy and the Design Professional's obligations under these specifications are being carried out.

n. Ensure that all facilities and company activities are non-segregated except that separate or single user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.

o. Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.

p. Conduct a review, at least annually, of all supervisor's adherence to and performance under the Design Professional's EEO policies and affirmative action obligations.

8. Contractors are encouraged to participate in voluntary associations, which assist in fulfilling one or more of their affirmative action obligations (7a through 7p). The efforts of a contractor association, joint contractor union, contractor community, or other similar groups of which the Design Professional is a member and participant may be asserted as fulfilling any one or more of its obligations under 7a through 7pof these specifications provided that the Design Professional actively participates in the

group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Design Professional's minority and female workforce participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Design Professional. The obligation to comply, however, is the Design Professional's and failure of such a group to fulfill an obligation shall not be a defense for the Design Professional's noncompliance.

9. A single goal for minorities and a separate single goal for women have been established. The Design Professional, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, if the particular group is employed in a substantially disparate manner (for example, even though the Design Professional has achieved its goals for women generally), the Design Professional may be in violation of the Executive Order if a specific minority group of women is underutilized.

10. The Design Professional shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.

11. The Design Professional shall not enter into any subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.

12. The Design Professional shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination, and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.

13. The Design Professional, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Design Professional fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR part 60–4.8.

14. The Design Professional shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government, and to keep records. Records shall at least include for each employee, the name, address, telephone number, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, contractors shall not be required to maintain separate records. 15. Nothing herein provided shall be construed as a limitation upon the application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g. those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

**5.20 Certification Regarding Lobbying.** The Design Professional certifies by signing and submitting this bid or proposal, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the Design Professional, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

**5.21** Occupational Safety and Health. All contracts and subcontracts that result from this solicitation incorporate by reference the requirements of 29 CFR Part 1910 with the same force and effect as if given in full text. The employer must provide a work environment that is free from recognized hazards that may cause death or serious physical harm to the employee. The employer retains full responsibility to monitor its compliance and their subcontractor's compliance with the applicable requirements of the Occupational Safety and Health Act of 1970 (20 CFR Part 1910). The employer must address any claims or disputes that pertain to a referenced requirement directly with the U.S. Department of Labor – Occupational Safety and Health Administration.

**5.22** Seismic Safety. In the performance of design services, the Design Professional agrees to furnish a building design and associated construction specification that conform to a building code standard that provides a level of seismic safety substantially equivalent to standards as established by the National Earthquake Hazards Reduction Program (NEHRP). Local building codes that model their building code after the current version of the International Building Code (IBC) meet the NEHRP equivalency level for seismic safety. At

the conclusion of the design services, the Design Professional agrees to furnish the City a "certification of compliance" that attests conformance of the building design and the construction specifications with the seismic standards of NEHRP or an equivalent building code.

**5.23** Veteran's Preference. In the employment of labor (excluding executive, administrative, and supervisory positions), the Design Professional and all sub-tier contractors must give preference to covered veterans as defined within Title 49 United States Code Section 47112. Covered veterans include Vietnam-era veterans, Persian Gulf veterans, Afghanistan-Iraq war veterans, disabled veterans, and small business concerns (as defined by 15 USC 632) owned and controlled by disabled veterans. This preference only applies when there are covered veterans readily available and qualified to perform the work to which the employment relates.

# 5.24 Notice of Requirement for Affirmative Action to Ensure Equal Employment Opportunity.

1. The Offeror's or Design Professional's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.

2. The goals and timetables for minority and female participation, expressed in percentage terms for the Contractor's aggregate workforce in each trade on all construction work in the covered area, are as follows:

TimetablesGoals for minority participation for each trade:16.9%Goals for female participation in each trade:6.9%

These goals are applicable to all of the Design Professional's construction work (whether or not it is Federal or federally assisted) performed in the covered area. If the Design Professional performs construction work in a geographical area located outside of the covered area, it shall apply the goals established for such geographical area where the work is actually performed. With regard to this second area, the Design Professional also is subject to the goals for both its federally involved and non-federally involved construction.

The Design Professional's compliance with the Executive Order and the regulations in 41 CFR Part 60–4 shall be based on its implementation of the Equal Opportunity Clause, specific affirmative action obligations required by the specifications set forth in 41 CFR 60– 4.3(a) and its efforts to meet the goals. The hours of minority and female employment and training must be substantially uniform throughout the length of the contract, and in each trade, and the Design Professional shall make a good faith effort to employ minorities and women evenly on each of its projects. The transfer of minority or female employees or trainees from Design Professional to Design Professional or from project to project for the sole purpose of meeting the Design Professional's goals shall be a violation of the contract, the Executive Order and the regulations in 41 CFR Part 60–4. Compliance with the goals will be measured against the total work hours performed. 3. The Design Professional shall provide written notification to the Director of the Office of Federal Contract Compliance Programs (OFCCP) within 10 working days of award of any construction subcontract in excess of \$10,000 at any tier for construction work under the contract resulting from this solicitation. The notification shall list the name, address, and telephone number of the subcontractor; employer identification number of the subcontract; estimated dollar amount of the subcontract; estimated starting and completion dates of the subcontract; and the geographical area in which the subcontract is to be performed.

4. As used in this notice and in the contract resulting from this solicitation, the "covered area" is the state of California, County of San Diego, and city of San Diego

**5.25 Disadvantaged Business Enterprises. Contract Assurance (CFR § 26.13)** – The Design Professional or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The Design Professional shall carry out applicable requirements of 49 CFR part 26 in the award and administration of Department of Transportation-assisted contracts. Failure by the Design Professional to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the Owner deems appropriate, which may include, but is not limited to:

- 1) Withholding monthly progress payments;
- 2) Assessing sanctions;
- 3) Liquidated damages; and/or
- 4) Disqualifying the Design Professional from future bidding as non-responsible.

**5.25.1 Prompt Payment (CFR §26.29)** – The Design Professional agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than [specify number] days from the receipt of each payment the Design Professional receives from the City of San Diego. The Design Professional agrees further to return retainage payments to each subcontractor within [specify the same number as above] days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment from the above referenced time frame may occur only for good cause following written approval of the [Name of Recipient]. This clause applies to both DBE and non-DBE subcontractors.

**5.26** Federal Fair Labor Standards Act (Federal Minimum Wage). All contracts and subcontracts that result from this solicitation incorporate by reference the provisions of 29 CFR part 201, the Federal Fair Labor Standards Act (FLSA), with the same force and effect as if given in full text. The FLSA sets minimum wage, overtime pay, recordkeeping, and child labor standards for full and part-time workers.

The Design Professional has full responsibility to monitor compliance to the referenced statute or regulation. The Design Professional must address any claims or disputes that arise from this requirement directly with the U.S. Department of Labor – Wage and Hour Division.

**5.27** Certification of Design Professional Regarding Tax Delinquency and Felony Convictions. The Design Professional must complete the two certification statements in Exhibit J (Certification of Design Professional Regarding Tax Delinquency and Felony Convictions) of this Agreement. The Design Professional agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision and Exhibit I for certification in all lower tier subcontracts.

**5.28** Trade Restriction Certification. By submission of an offer, the Design Professional certifies that with respect to this solicitation and any resultant contract, the Design Professional –

- 1) is not owned or controlled by one or more citizens of a foreign country included in the list of countries that discriminate against U.S. firms as published by the Office of the United States Trade Representative (USTR);
- 2) has not knowingly entered into any contract or subcontract for this project with a person that is a citizen or national of a foreign country included on the list of countries that discriminate against U.S. firms as published by the USTR; and
- 3) has not entered into any subcontract for any product to be used on the Federal project that is produced in a foreign country included on the list of countries that discriminate against U.S. firms published by the USTR.

This certification concerns a matter within the jurisdiction of an agency of the United States of America and the making of a false, fictitious, or fraudulent certification may render the maker subject to prosecution under Title 18 USC Section 1001.

The Design Professional must provide immediate written notice to the Owner if the Design Professional learns that its certification or that of a subcontractor was erroneous when submitted or has become erroneous by reason of changed circumstances. The Design Professional must require subcontractors provide immediate written notice to the Design Professional if at any time it learns that its certification was erroneous by reason of changed circumstances.

Unless the restrictions of this clause are waived by the Secretary of Transportation in accordance with 49 CFR 30.17, no contract shall be awarded to an Design Professional or subcontractor:

- 1) who is owned or controlled by one or more citizens or nationals of a foreign country included on the list of countries that discriminate against U.S. firms published by the USTR or
- 2) whose subcontractors are owned or controlled by one or more citizens or nationals of a foreign country on such USTR list or
- 3) who incorporates in the public works project any product of a foreign country on such USTR list.

Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by this provision. The knowledge and information of a contractor is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

The Design Professional agrees that, if awarded a contract resulting from this solicitation, it will incorporate this provision for certification without modification in all lower tier subcontracts. The Design Professional may rely on the certification of a prospective subcontractor that it is not a firm from a foreign country included on the list of countries that discriminate against U.S. firms as published by USTR, unless the Design Professional has knowledge that the certification is erroneous.

This certification is a material representation of fact upon which reliance was placed when making an award. If it is later determined that the Design Professional or subcontractor knowingly rendered an erroneous certification, the Federal Aviation Administration (FAA) may direct through the Owner cancellation of the contract or subcontract for default at no cost to the Owner or the FAA.

# 5.29 Prohibition of Segregated Facilities.

(a) The Design Professional agrees that it does not and will not maintain or provide for its employees any segregated facilities at any of its establishments, and that it does not and will not permit its employees to perform their services at any location under its control where segregated facilities are maintained. The Design Professional agrees that a breach of this clause is a violation of the Equal Employment Opportunity clause in this contract.

(b) "Segregated facilities," as used in this clause, means any waiting rooms, work areas, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees that are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, or national origin because of written or oral policies or employee custom. The term does not include separate or single-user rest rooms or necessary dressing or sleeping areas provided to assure privacy between the sexes.

(c) The Design Professional shall include this clause in every subcontract and purchase order that is subject to the Equal Employment Opportunity clause of this contract.

**5.30 Copeland "Anti-Kickback" Act.** Design Professional must comply with the requirements of the Copeland "Anti-Kickback" Act (18 USC 874 and 40 USC 3145), as supplemented by Department of Labor regulation 29 CFR part 3. Design Professional and subcontractors are prohibited from inducing, by any means, any person employed on the project to give up any part of the compensation to which the employee is entitled. The Design Professional and each Subcontractor must submit to the Owner, a weekly statement on the wages paid to each employee performing on covered work during the prior week. Owner must report any violations of the Act to the Federal Aviation Administration.

#### ARTICLE VI INDEMNIFICATION

**6.1 Indemnification.** Other than in the performance of design professional services which shall be solely as addressed in Section 6.2 below, to the fullest extent permitted by law, Design Professional shall defend (with legal counsel reasonably acceptable to the City), indemnify and hold harmless the City and its officers, agents, departments, officials, and employees [Indemnified Parties] from and against all claims, losses, costs, damages, injuries (including, without limitation, injury to or death of an employee of Design

Professional or its Subcontractors), expense and liability of every kind, nature and description (including, without limitation, incidental and consequential damages, court costs, attorneys' fees, litigation expenses and fees of expert consultants or expert witnesses incurred in connection therewith and costs of investigation) that arise out of, pertain to, or relate to, directly or indirectly, in whole or in part, any services performed under this Agreement by the Design Professional, any Subcontractor, anyone directly or indirectly employed by them, or anyone that they control. The Design Professional's duty to defend, indemnify, protect and hold harmless shall not include any claims or liabilities arising from the active negligence, sole negligence or sole willful misconduct of the Indemnified Parties.

# 6.2 Design Professional Services Indemnification and Defense.

**6.2.1 Design Professional Services Indemnification.** To the fullest extent permitted by law (including, without limitation, California Civil Code Section 2782.8), with respect to the performance of design professional services, Design Professional shall indemnify and hold harmless the City, its officers, or employees, from all claims, demands or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.

**6.2.2 Design Professional Services Defense.** Parties will work in good faith to procure applicable insurance coverage for the cost of any defense arising from all claims, demands or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.

**6.3 Insurance.** The provisions of this Article are not limited by the requirements of Section 4.3 related to insurance.

**6.4 Enforcement Costs.** The Design Professional agrees to pay any and allcosts the City incurs enforcing the indemnity and defense provisions set forth in this Article.

#### ARTICLE VII MEDIATION

**7.1 Mandatory Non-binding Mediation.** With the exception of Sections 2.5–2.7 of this Agreement, if a dispute arises out of, or relates to this Agreement, or the breach thereof, and if said dispute cannot be settled through normal contract negotiations, prior to the initiation of any litigation, the Parties agree to attempt to settle the dispute in an amicable manner, using mandatory mediation under the Construction Industry Mediation Rules of the American Arbitration Association (AAA) or any other neutral organization agreed uponbefore having recourse in a court of law.

**7.2 Mandatory Mediation Costs.** The expenses of witnesses for either side shall be paid by the Party producing such witnesses. All other expenses of the mediation, including required traveling and other expenses of the mediator [Mediator], and the cost of any proofs or expert advice produced at the direct request of the Mediator, shall be borne equally by the Parties, unless they agree otherwise.

**7.3** Selection of Mediator. A single Mediator that is acceptable to both Parties shall be used to mediate the dispute. The Mediator will be knowledgeable in construction aspects and may be selected from lists furnished by the AAA or any other agreed upon Mediator. To initiate mediation, the initiating Party shall serve a Request for Mediation on the opposing Party. If the Mediator is selected from a list provided by AAA, the initiating

Party shall concurrently file with AAA a "Request for Mediation" along with the appropriate fees, a list of three requested Mediators marked in preference order, and a preference for available dates.

**7.3.1** If AAA is selected to coordinate the mediation, within ten working days from the receipt of the initiating Party's Request for Mediation, the opposing Party shall file the following: a list of preferred Mediators listed in preference order after striking any Mediators to which they have any factual objection, and a preference for available dates. If the opposing Party strikes all of initiating Party's preferred Mediators, opposing Party shall submit a list of three preferred Mediators listed in preference order to initiating Party and Administrator. Initiating Party shall file a list of preferred Mediators listed in preference order, after striking any Mediator to which they have any factual objection. This process shall continue until both sides have agreed upon a Mediator.

**7.3.2** The Administrator will appoint or the Parties shall agree upon the highest, mutually preferred Mediator from the individual Parties' lists who is available to serve within the designated time frame.

**7.3.3** If the Parties agree not to use AAA, then a Mediator, date and place for the mediation shall be mutually agreed upon.

**7.4 Conduct of Mediation Sessions.** Mediation hearings will be conducted in an informal manner and discovery will not be allowed. All discussions, statements, or admissions will be confidential to the Party's legal position. The Parties may agree to exchange any information they deem necessary.

**7.4.1** Both Parties must have an authorized representative attend the mediation. Each representative must have the authority to recommend entering into a settlement. Either Party may have attorney(s) or expert(s) present. Upon reasonable demand, either Party may request and receive a list of witnesses and notification whether attorney(s) will be present.

**7.4.2** Any agreements resulting from mediation shall be documented in writing. All mediation results and documentation, by themselves, shall be "non-binding" and inadmissible for any purpose in any legal proceeding, unless such admission is otherwise agreed upon, in writing, by both Parties. Mediators shall not be subject to any subpoena or liability and their actions shall not be subject to discovery.

## ARTICLE VIII INTELLECTUAL PROPERTY RIGHTS

**8.1** Work For Hire. All original designs, plans, specifications, reports, documentation, and other informational materials, whether written or readable by machine, originated or prepared exclusively for the City pursuant to this Agreement (Deliverable Materials) is "work for hire" under the United States Copyright law and shall become the sole property of the City and shall be delivered to the City upon request. The Contractor, including its employees, and independent Subcontractor(s), shall not assert any commonlaw or statutory patent, copyright, trademark, or any other intellectual proprietary right to the City to the Deliverable Materials.

**8.2. Rights in Data.** All rights including, but not limited to publication(s), registration of copyright(s), and trademark(s) in the Deliverable Materials, developed by the

Contractor, including its employees, agents, talent and independent Subcontractors pursuant to this Agreement are the sole property of the City. The Design Professional, including its employees, agents, talent, and independent Subcontractor(s), may not use any such Deliverable Materials mentioned in this article for purposes unrelated to Design Professional's work on behalf of the City without prior written consent of the City.

**8.3 Intellectual Property Rights Assignment.** Design Professional, its employees, agents, talent, and independent Subcontractor(s) agree to promptly execute and deliver, upon request by City or any of its successors or assigns at any time and without further compensation of any kind, any power of attorney, assignment, application for copyright, patent, trademark or other intellectual property right protection, or other papers or instruments which may be necessary or desirable to fully secure, perfect or otherwise protect to or for the City, its successors and assigns, all right, title and interest in and to the content of the Deliverable Materials; and cooperate and assist in the prosecution of any action or opposition proceeding involving said rights and any adjudication of the same.

**8.4 Moral Rights.** Design Professional, its employees, agents, talent, and independent Subcontractor(s) hereby irrevocably and forever waives, and agrees never to assert, any Moral Rights in or to the Deliverable Materials which Design Professional, its employees, agents, talent, and independent Subcontractor(s), may now have or which may accrue to Design Professional, its employees, agents, talent, and independent Subcontractor(s)' benefit under U.S. or foreign copyright laws and any and all other residual rights and benefits which arise under any other applicable law now in force or hereafter enacted. The term "Moral Rights" shall mean any and all rights of paternity or integrity in or to the Deliverable Materials and the right to object to any modification, translation or use of said content, and any similar rights existing under judicial or statutory law of any country in the world or under any treaty, regardless of whether or not such right is denominated or referred to as a moral right.

**8.5 Subcontracting.** In the event that Design Professional utilizes a Subcontractor(s) for any portion of the Work that is in whole or in part of the specified Deliverable Materials to the City, the agreement between Design Professional and the Subcontractor [Subcontractor Agreement] shall include a statement that identifies that the Deliverable Materials/Work product as a "work-for hire" as defined in the Act and that all intellectual property rights in the Deliverable Materials/Work product, whether arising in copyright, trademark, service mark or other belongs to and shall vest solely with the City. Further, the Subcontractor Agreement shall require that the Subcontractor, if necessary, shall grant, transfer, sell and assign, free of charge, exclusively to the City, all titles, rights and interests in and to said Work/Deliverable Materials, including all copyrights and other intellectual property rights. City shall have the right to review any Subcontractor agreement for compliance with this provision. Any subcontract in excess of \$25,000.00 entered into as a result of this contract, shall contain all provisions stipulated in this contract to be applicable to the subcontractors.

**8.6 Publication Design.** Professional may not publish or reproduce any Deliverable Materials, for purposes unrelated to Design Professional's work on behalf of the City without prior written consent of the City.

**8.7** Intellectual Property Warranty and Indemnification. Design Professional represents and warrants that any materials or deliverables, including all Deliverable Materials, provided under this contract are either original, not encumbered and do not infringe upon the copyright, trademark, patent or other intellectual property rights of any

third party, or are in the public domain. If Deliverable Materials provided hereunder become the subject of a claim, suit or allegation of copyright, trademark or patent infringement, City shall have the right, in its sole discretion, to require Design Professional to produce, at Design Professional's own expense, new non-infringing materials, deliverables or Works as a means of remedying any claim of infringement in addition to any other remedy available to the City under law or equity. Design Professional further agrees to indemnify and hold harmless the City, its elected officials, officers, employees and agents from and against any and all claims, actions, costs, judgments or damages of any type alleging or threatening that any materials, deliverables, supplies, equipment, services, Deliverable Materials, or Works provided under this contract infringe the copyright, trademark, patent or other intellectual property or proprietary rights of any third party (Third Party Claims of Infringement). If a Third Party Claim of Infringement is threatened or made before Design Professional receives payment under this contract, City shall be entitled, upon written notice to Design Professional, to withhold some or all of such payment.

**8.8 Enforcement Costs.** The Design Professional agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in Article 8, including but not limited to, attorneys' fees.

#### ARTICLE IX MISCELLANEOUS

**9.1 Notices.** In all cases where written notice is required under this Agreement, service shall be deemed sufficient if the notice is deposited in the United States mail, postage paid. Proper notice shall be effective on the date it is mailed, unless provided otherwise in this Agreement. For the purpose of this Agreement, unless otherwise agreed in writing, notice to the City shall be addressed to: Public Works Department, c/o Tina Huang, <u>THuang@sandiego.gov</u>, MS 908A, 525 B Street, San Diego, CA 92101, and notice to the Design Professional shall be addressed to: C&S Engineers, Bran Saull, 2355 Northside Drive, Suite 350 San Diego, CA 92108, <u>BSaull@cscos.com</u>

**9.2 Headings.** All article headings are for convenience only and shall not affect the interpretation of this Agreement.

**9.3 Non-Assignment.** The Design Professional shall not assign the obligations under this Agreement, whether by express assignment or by sale of the company, nor any monies due or to become due, without the City's prior written approval. Any assignment in violation of this paragraph shall constitute a Default and is grounds for immediate termination of this Agreement, at the sole discretion of the City. In no event shall any putative assignment create a contractual relationship between the City and any putative assignee.

**9.4 Independent Contractors.** The Design Professional and any Subcontractors employed by the Design Professional shall be independent contractors and not agents of the City. Any provisions of this Agreement that may appear to give the City any right to direct the Design Professional concerning the details of performing the Professional Services, or to exercise any control over such performance, shall mean only that the Design Professional shall follow the direction of the City concerning the end results of the performance.

**9.5 Design Professional and Subcontractor Principals for Professional Services.** It is understood that this Agreement is for unique Professional Services. Retention of the Design Professional's Professional Services is based on the particular professional expertise

of the following members of the Design Professional's organization: Brian Saull, Crystal Garcia, Lori Steiner, Lance McIntosh, Michelle Auge, Ken Gethers, JR Cameron–Carter, Bart Peters, Kelly Moulton [Project Team]. Accordingly, performance of Professional Services under this Agreement may not be delegated to other members of the Design Professional's organization or to Subcontractors without the prior written consent of the City. It is mutually agreed that the members of the Project Team are the principal persons responsible for delivery of all Professional Services and may not be removed from the Project Team without the City's prior written approval. Removal of any member of the Project Team without notice and approval by the City may be considered a default of the terms and conditions of this Agreement by the Design Professional. In the event any member of the Project Team becomes unavailable for any reason, the City must be consulted as to any replacement. If the City does not approve of a proposed replacement, the City reserves the right, after consultation with the Design Professional, to require any of the Design Professional's employees or agents to be removed from performance of the Scope of Services.

**9.6** Additional Design Professionals or Contractors. The City reserves the right to employ, at its own expense, such additional Design Professionals or contractors as the City deems necessary to perform work or to provide the Professional Services on the Project.

**9.7 Employment of City Staff.** This Agreement may be unilaterally and immediately terminated by the City, at its sole discretion, if the Design Professional employs an individual who, within the last twelve months immediately preceding such employment did, in the individual's capacity as an officer or employee of the City, participate in, negotiate with, or otherwise have an influence on the recommendation made to the City Council or Mayor in connection with the selection of the Design Professional.

**9.8 Covenants and Conditions.** All provisions of this Agreement expressed as either covenants or conditions on the part of the City or the Design Professional, shall be deemed to be both covenants and conditions.

**9.9 Compliance with Controlling Law.** The Design Professional shall comply with all laws, ordinances, regulations, and policies of the federal, state, and local governments applicable to this Agreement, including California Labor Code section 1720 relating to the payment of prevailing wages during the design and preconstruction phases of a project, including inspection and land surveying work. In addition, the Design Professional shall comply immediately with all directives issued by the City or its authorized representatives under authority of any laws, statutes, ordinances, rules, or regulations. The laws of the State of California shall govern and control the terms and conditions of this Agreement.

**9.10 Jurisdiction**. The jurisdiction and applicable laws for any suit or proceeding concerning this Agreement, the interpretation or application of any of its terms, or any related disputes shall be in accordance with the laws of the State of California without regard to the conflicts or choice of law provisions thereof.

**9.11 Successors in Interest.** This Agreement and all rights and obligations created by this Agreement shall be in force and effect whether or not any Parties to the Agreement have been succeeded by another entity, and all rights and obligations created by this Agreement shall be vested and binding on any Party's successor in interest.

**9.12 Integration.** This Agreement and the Exhibits and references incorporated into this Agreement fully express all understandings of the Parties concerning the matters covered in this Agreement. No change, alteration, amendment, or modification of the terms Federal Design Long Form Page 49 of 53 Revised 03-08-19

or conditions of this Agreement, and no verbal understanding of the Parties, their officers, agents, or employees shall be valid unless made in the form of a written change agreed to in writing by both Parties. All prior negotiations and agreements are merged into this Agreement.

**9.13 Counterparts.** This Agreement may be executed in counterparts, which when taken together shall constitute a single signed original as though all Parties had executed the same page.

**9.14 No Waiver.** No failure of either the City or the Design Professional to insist upon the strict performance by the other of any covenant, term or condition of this Agreement, nor any failure to exercise any right or remedy consequent upon a breach of any covenant, term, or condition of this Agreement, shall constitute a waiver of any such breach of such covenant, term or condition. No waiver of any breach shall affect or alter this Agreement, and each and every covenant, condition, and term hereof shall continue in full force and effect without respect to any existing or subsequent breach.

**9.15** Severability. The unenforceability, invalidity, or illegality of any provision of this Agreement shall not render any other provision of this Agreement unenforceable, invalid, or illegal.

**9.16 Municipal Powers.** Nothing contained in this Agreement shall be construed as a limitation upon the powers of the City as a chartered city of the State of California.

**9.17 Drafting Ambiguities.** The Parties agree that they are aware that they have the right to be advised by counsel with respect to the negotiations, terms and conditions of this Agreement, and the decision of whether or not to seek advice of counsel with respect to this Agreement is a decision which is the sole responsibility of each Party. This Agreement shall not be construed in favor of or against either Party by reason of the extent to which each Party participated in the drafting of the Agreement.

**9.18 Conflicts Between Terms.** If an apparent conflict or inconsistency exists between the main body of this Agreement and the Exhibits, the main body of this Agreement shall control. If a conflict exists between an applicable federal, state, or local law, rule, regulation, order, or code and this Agreement, the law, rule, regulation, order, or code shall control. Varying degrees of stringency among the main body of this Agreement, the Exhibits, and laws, rules, regulations, orders, or codes are not deemed conflicts, and the most stringent requirement shall control. Each Party shall notify the other immediately upon the identification of any apparent conflict or inconsistency concerning this Agreement.

**9.19 Design Professional Evaluation.** City will evaluate Design Professional's performance of Professional Services on the Project using the Consultant EvaluationForm (Exhibit F).

**9.20 Exhibits Incorporated.** All Exhibits referenced in this Agreement are incorporated into the Agreement by this reference.

**9.21** Survival of Obligations. All representations, indemnifications, warranties and guarantees made in, required by or given in accordance with this Agreement, as well as all continuing obligations indicated in this Agreement, shall survive, completion and acceptance of the Professional Services and termination or completion of the Agreement.

**9.22 Contractor Standards.** This Agreement is subject to the Contractor Standards clause of the Municipal Code Chapter 2, Article 2, Division 30 adopted by Ordinance No. O-20316. All consultants are required to complete the Contractor Standards Pledge of Compliance included herein as Exhibit G.

**9.23** Equal Benefits Ordinance. Unless an exception applies, Design Professional shall comply with the Equal Benefits Ordinance (EBO) codified in the San Diego Municipal Code (§22.4304(f)). Failure to maintain equal benefits is a material breach of this Agreement. By signing this Agreement, Design Professional certifies that Design Professional is aware of, and will comply with, this City-mandated clause throughout the duration of the Agreement.

**9.24 Public Records.** By Signing this Agreement the Design Professional agrees that it is aware that the contents of this Agreement and any documents pertaining to the performance of the Agreement requirements/Scope of Services resulting from this Agreement are public records, and therefore subject to disclosure unless a specific exemption in the California Public Records Act applies.

If the Design Professional submits information **clearly marked** confidential or proprietary, the City of San Diego (City) may protect such information and treat it with confidentiality only to the extent permitted by law. However, it will be the **responsibility of the Design Professional** to provide to the City the specific legal grounds on which the City can rely in withholding information requested under the California Public Records Act, should the City choose to withhold such information.

General references to sections of the California Public Records Act will not suffice. Rather, the Design Professional must provide a **specific and detailed legal basis**, **including applicable case law** that **clearly establishes** the requested information is exempt from the disclosure requirements of the California Public Records Act.

If the Design Professional does not provide a specific and detailed legal basis for withholding the requested information within a time specified by the City, the City will release the information as required by the California Public Records Act and the **Design Professional will hold the City harmless** for release of this information.

It will be the **Design Professional's obligation to defend**, at Design Professional's expense, any legal actions or challenges seeking to obtain from the City any information requested under the California Public Records Act withheld by the City at the Design Professional's request. Furthermore, the Design Professional shall **indemnify** the City and **hold it harmless** for any claim or liability, and **defend any action** brought against the City, resulting from the City's refusal to release information requested under the Public Records Act withheld at Design Professional's request.

Nothing in this Agreement creates any obligation for the City to notify the Design Professional or obtain the Design Professional's approval or consent before releasing information subject to disclosure under the California Public Records Act.

**9.25** Equal Pay Ordinance. Unless an exception applies, Design Professional shall comply with the Equal Pay Ordinance (EPO) codified in the San Diego Municipal Code (SDMC) at section 22.4801 through 22.4809. Design Professional shall require all of its subconsultants to certify compliance with the EPO in their written subcontracts. Design Professional must post a notice informing its employees of their rights under the EPO in their workplace or job site. By signing this Agreement with the City of San Diego, Design

Professional acknowledges the EPO requirements and pledges ongoing compliance with the requirements of SDMC Division 48, section 22.4801 et seq., throughout the duration of this Agreement

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**IN WITNESS WHEREOF**, this Agreement is executed by the City of San Diego, acting by and through its Mayor, pursuant to San Diego Municipal Code Section 22.3207, authorizing such execution, and by the Design Professional pursuant to C&S Engineers Inc.'s signature authority document.

I HEREBY CERTIFY I can legally bind C&S Engineers Inc. and that I have read all of this Agreement, this <u>15th</u> day of <u>February</u>, <u>2023</u>.

Michael D. Hotaling Chief Executive Officer

Dated this 16th

day of \_\_\_\_\_

2023

THE CITY OF SAN DIEGO Mayor or Designee

Βv Cindy Crocker

Principal Contract Specialist Purchasing & Contracting

I HEREBY APPROVE the form of the foregoing Agreement this \_\_\_\_ day of ,2023 .

MARA W. ELLIOTT, City Attorney

By

Dana Fairchild Deputy City Attorney

Federal Design Long Form

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# DESIGN PROFESSIONAL AGREEMENT EXHIBITS

## **EXHIBIT A**

# **SCOPE OF SERVICES**

#### Project Title: Runway 8R/26L Rehabilitation Airport Name: Brown Field Municipal Airport Services Provided: Design and Construction

#### **Project Description:**

The CONSULTANT shall provide required services to design the Runway 8R/26L Rehabilitation project (the "Project"). The Project will be performed and constructed by the City of San Diego ("CITY") with grant assistance from the Federal Aviation Administration (FAA) Airport Improvement Program (AIP).

Brown Field Municipal Airport (Airport) is a general aviation facility owned and operated by the City of San Diego (CITY). The scope for the Project includes the following as depicted in the drawing shown below:

- Rehabilitation of Runway 8R/26L (3185 ft x 75 ft), not including the blast pads beyond the runway ends.
- Rehabilitation of Taxiway G1 between Runway 8R/26L and Taxiway G.
- Realignment of Taxiway C between Runway 8R/26L and Taxiway G to be at a 90-degree angle, including demolition of the existing taxiway and construction of the taxiway at the new alignment. This will also include the relocation of associated taxiway edge lights and signage.
- Demolition of existing excess pavement outside the shoulders of Taxiway B on both sides of the taxiway, north of Runway 8R/26L.
- Demolition of existing narrow strip of pavement between Runway 8R/26L and Runway 8L/26R.
- Restriping all impacted pavement.
- Restriping the blast pad east of the Runway 26L end.



The project area is within and adjacent to environmentally sensitive lands. Therefore, the Project also includes accompanying environmental services.

Services to be provided by the CONSULTANT shall include project management, civil, electrical, and geotechnical engineering services, as applicable, required to accomplish the following items ("Basic Services"):

Assumptions used in preparation of this proposal are included on the last page.

#### **PROJECT MANAGEMENT PHASE**

The CONSULTANT shall aid the CITY by acting as its liaison and Project coordinator with FAA during the Project's design. The specific services to be provided or furnished for this Phase of the Project are the following:

- 1. During the Design Phase, to aid the CITY by acting as its liaison and Project coordinator with the funding agencies.
- 2. The CONSULTANT shall evaluate the feasibility of the budget established by the CITY, based upon the CONSULTANT's experience as a design professional, and keep the CITY apprised during each phase of the Project of the results of such evaluation. The CONSULTANT shall advise the CITY as to options available for reducing construction costs to stay within the budget, if it appears likely that contractor bid prices will exceed this budget.
- 3. Perform project management duties such as project planning, invoice preparation, schedule coordination and coordination of design team.
- 4. Provide to the CITY monthly project status reports.
- 5. Schedule coordination CONSULTANT shall provide continued coordination so that project schedules are met for each phase of work included in this contract. Particular phases of design may be delayed by the FAA review process.
- 6. The design schedule can be found attached, in Schedule C.

#### **ENVIRONMENTAL SERVICES PHASE**

The CONSULTANT will provide the following environmental services for the project:

- Perform a Categorical Exclusion (CATEX) and Preliminary 7460 Investigation for the CITY. This CATEX will be conducted pursuant to the National Environmental Policy Act (NEPA), FAA Order 1050.1F, FAA Order 5050.4B, and Council on Environmental Quality (CEQ) requirements. To that end, the following tasks will be performed:
  - a. CONSULTANT will research readily available data for the following resource categories, as outlined under FAA SOP 5.00:
    - Natural Historic Preservation Act (NHPA) resources;
    - Department of Transportation Act: Section 4(f) and 6(f) resources;
    - Natural, Ecological or Scenic resources
      - Threatened or Endangered Species
      - Fish and Wildlife Coordination Act
      - Wetlands and Other Waters of the US
      - Floodplains
      - o Coastal Resources
      - o National Marine Sanctuaries

- o Wilderness Areas
- o Farmland
- Energy Supply and Natural Resources
- o Wild and Scenic Rivers
- o Solid Waste Management;
- Disruption of an Established Community;
- Environmental Justice;
- Surface Transportation;
- Noise;

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- Air Quality;
- Water Quality;
- Highly Controversial on Environmental Grounds;
- Inconsistent with Federal, State, Tribal or Local Law; and
- Light Emissions, Visual Effects, and Hazardous Materials
- Public Involvement
- Indirect/Secondary/Induced Impacts

This element includes research of historical documentation provided by the CITY or published by resource agencies on the existing environmental conditions of the proposed project site. This information will be used in the development of the CATEX form.

- b. Complete the required CATEX form for submittal to the FAA. This task includes the documentation of the potential impacts for each resource category, as dictated by the CATEX form and the FAA reviewer. This task assumes that the environmental impacts do not trigger any significance thresholds, per FAA guidance. If thresholds are found to be triggered by the proposed actions, then the Scope of Services will have to be amended to account for additional analysis and documentation requirements.
- c. An electronic version of the text will be provided for review and comment. Once all comments have been addressed, the CATEX will be submitted to the FAA Los Angeles Airports District Office (ADO).
- d. Using preliminary site plans and structure dimensions, CONSULTANT will conduct a preliminary airspace review of the proposed.
- 2. Conduct surveys for the presence/absence of the burrowing owl (Athene cunicularia). The surveys will be conducted in accordance with The California Department of Fish and Wildlife (CDFW) Staff Report on Burrowing Owl Mitigation issued March 7, 2012, which recommends four-visits during the nesting season with specific timing of the surveys. A report of the survey results will be submitted to the CITY, in the case of a positive survey, a copy of the report would be submitted to the CDFW.
- 3. Conduct a LIDAR survey within 100 feet of the project footprint. This task includes survey control and LIDAR mapping. Survey control entails performing a field survey of eight aerial targets. Survey of aerial targets will be in NAD 83 Zone 6 for horizontal control, and NGVD29 vertical control. LIDAR mapping data will be collected using an unmanned aerial vehicle (UAV; i.e., drone) and will provide a .17' contour map as an AutoCAD file in .DWG format. The field ground surveys will be performed over two separate mobilizations, or as required or allowed by Airport regulations and management. The UAV LIDAR flight will be flown as a single mobilization.
- 4. Conduct a site visit to identify the location and extent of vernal pools within 100 feet of the project footprint. If sensitive vernal pool plant species are identified during the survey they will be documented. Any pools within the survey area that are already identified in the CITY's Vernal Pool Habitat Conservation Plan (VPHCP) will not be remapped and the VPHCP will be relied

upon for their locations and species information.

- 5. Based on the results of the field work described in #4 and the LIDAR survey described in #3, a map will be produced showing any new vernal pools identified during the survey and their watersheds (watershed mapping is limited to portions of the watershed within 100 ft if the proposed footprint/within the LIDAR survey boundary) and locations of any existing mapped pools. Map and associated shape files to be provided to the CITY. This task does not include preparation of any report, completion of fairy shrimp surveys, rare plant surveys, or other focused surveys, or a formal jurisdiction delineation.
- 6. Prepare a biological resources letter report for submittal to the CITY. This report will describe the survey methods employed, present the results of the fieldwork, assess the potential for additional sensitive resources to occur on the site, identify regulatory issues related to the resources on the site, calculate project impacts, and recommend potential mitigation measures per CITY requirements. The report will rely on biological resources data compiled for the Brown Field Municipal Airport Master Plan EIR, together with the results of the burrowing owl surveys and vernal pool mapping. No field surveys are included in this task. Grading site plan (See Schematic Design Phase Task #10) will be analyzed for impacts based on City of San Diego requirements and thresholds. This task assumes analysis of only one version of the site plan and only one round of minor revisions. Major or multiple revisions may require additional authorization.
- 7. Prepare a revegetation plan for the project, consistent with the City of San Diego's Biology Guidelines and Landscape Standards. The 11"x17" revegetation plan sheet will include notes for the maintenance and monitoring schedule, success criteria, protection of biological resources, seasonal restrictions, brush management zones, a detailed map of panting areas, and a plant legend. The revegetation plan will be revised to address up to two rounds of minor comments from the CITY. If major revisions or more than two rounds of revisions are need, additional authorization may be required. Final version of revegetation plan will be sent to the CITY.

#### **SCHEMATIC DESIGN PHASE (30%)**

The Schematic Design Phase is intended to identify and evaluate alternatives to provide cost-effective and practical solutions for the work items identified. The CONSULTANT will evaluate alternatives through contacts with local authorities, review of the pre-application, field investigations, and a practical design approach. The Project's design will take advantage of local knowledge and experience and will utilize expertise from recent construction projects in an effort to design a cost-effective Project. The specific services to be provided or furnished for this Phase of the Project are the following:

- 1. Schedule and conduct a pre-design meeting with the CITY and FAA to review the scope of services and become familiar with the Project requirements and operational concerns during the Project's construction.
- 2. Acquire and review record documents (such as plans, specifications, reports, and studies) to become familiar with data that is available for the Project.
- 3. Perform a preliminary Project site inspection to further familiarize the design team with Project areas.
- 4. Prepare preliminary plans identifying required topographic field surveys, subsurface soils investigations, and other field investigative programs. Develop a schedule of completion of required surveys and investigations to minimize interference with airport and tenant operations. Coordinate schedule with CITY and supervise programs at the Project site as necessary.
- 5. Acquire the necessary topographic survey of and utility data for, the Project site, including related office computations and drafting. Survey files must follow the City's Engineering and Capital Projects Surveying Checklist. The scope of services assumes that the survey will not be required to

follow FAA AC 150/5300-16, 17 and 18. If it is determined by FAA to be necessary, the cost of these services will be paid for under the allowance for Additional Services.

- 6. Acquire the necessary soils and existing pavement investigation data, including borings, pavement cores, and test pits, as well as field and laboratory tests, to identify existing pavement conditions and subsurface soil characteristics. This project will have approximately 4 pavement cores, and 27 borings at 10 feet. Samples collected from the test pits will have the following tests ran on them: mechanical sieve analysis, natural moisture content, specific gravity atterberg limits, standard/modified proctor, and one-point laboratory CBR. A 7460 will be submitted to FAA for the drill rig mast height prior to any drilling.
- 7. Analyze data obtained from subsurface soils and existing pavement investigation program and determine properties of existing pavement and soil materials. Document results of program, existing conditions, and recommendations in the design report.
- 8. Develop pavement design in accordance with FAA advisory circular 150/5320-6, latest edition. Pavement design to include three (3) alternatives for pavement rehabilitation or new construction with asphalt concrete. Design will include documentation of traffic use of the pavement provided by the CITY or from the most recent Airport Master Plan and extrapolated for the 20-year design period. CONSULTANT will use FAA software FAARFIELD to perform design analysis.
- 9. Prepare life-cycle cost analysis for pavement design alternatives.
- 10. Develop two (2) options for grading and drainage modifications of the adjacent infield areas to prevent storm water from entering runway. The option selected by the City will be evaluated for biological impacts. (See Environmental Services Phase Task #6)
- 11. Perform hydrology study per City standards.
- 12. Develop a Storm Water Applicability Checklist.
- 13. Develop schematic designs, including preliminary pavement horizontal geometric layouts. Prepare roll plans displaying schematic designs.
- 14. Develop an outline of general and technical specifications FAA AC 150/5370-10 or other sources as applicable.
- 15. Prepare schematic opinion of probable construction costs for each major element of the Project.
- 16. Identify potential Modifications to FAA Standards as potentially applicable for specifications or other FAA Advisory Circulars.
- 17. Develop a draft construction safety phasing plan (CSPP) that endeavors to limit interference by the Project's construction with airport and tenant operations. The scope of services assumes that FAA will perform Safety Risk Management (SRM) review based on the draft CSPP, and that a Safety Risk Management Panel (SRMP) and safety risk mitigation will <u>not</u> be necessary. If SRMP is determined by FAA to be necessary, the cost of these services will be paid for under the allowance for Additional Services.
- 18. Develop a Schematic Engineer's Design Report documenting items such as design concepts, assumptions, and alternative designs.
- 19. Perform an internal quality control review on all design documents.
- 20. Submit schematic design to the CITY for their review. Submittal shall include 30% Construction Drawings, a preliminary opinion of probable construction cost, specification outline, Preliminary Engineer's Design Report, draft CSPP, and a subsurface geotechnical report. Submittal will consist of electronic files only, and no hardcopies will be provided.

21. Schedule and conduct a schematic design review meeting with the CITY to review the schematic design and potential for Modifications to FAA Standards.

#### **PRELIMINARY DESIGN PHASE (60%)**

The services to be performed during this Phase consist generally of services required to furnish the CITY with a set of Preliminary Plans, Specifications, and Engineer's Report.

The specific services to be provided or furnished for this Phase of the Project are the following:

- 1. Using applicable forms or FAA AGIS tool, submit requests for Modifications to FAA Standards if needed.
- 2. Conduct site inspections to verify topographic survey and other Project-related existing physical features and facilities, inventory pavement distresses and lighting features.
- 3. Finalize horizontal pavement geometrical layouts and place sufficient information on drawings to layout proposed pavements in field during construction.
- 4. Develop preliminary three-dimensional design in order to develop pavement centerline profiles, typical sections, and other grading details for the proposed work.
- 5. Develop preliminary drainage designs, including computation of surface water runoff volumes, and layout facilities necessary to accommodate expected flows.
- 6. Develop preliminary airfield lighting layouts, including light fixture spacing and cable circuitry requirements. Investigate existing electrical system capacities and perform preliminary design of electrical power distribution and control systems.
- 7. Develop preliminary airfield marking layouts.
- 8. Develop preliminary designs of structural Project elements, such as catch basins, manholes, and culverts.
- 9. Update draft CSPP based on preliminary design.
- 10. Develop a draft storm water pollution prevention plan, soil erosion control plan, and storm water quality management plan that encompasses all phases of the project.
- 11. Prepare preliminary Contract Drawings (approximately 60% complete) providing sufficient detail for review of design concepts by the CITY.
- 12. Develop supplemental general specifications using FAA standards from FAA AC 150/5370-10.
- 13. Develop technical specifications expected to be required for the proposed work using FAA standards from FAA AC 150/5370-10.
- 14. Update opinion of probable construction cost to reflect the outcomes of preliminary Project design.
- 15. Update Engineer's Design Report documenting items such as design concepts, assumptions, and alternative designs. Identify conflicts with or deviations from FAA standards for design items, and request a waiver from the FAA if necessary.
- 16. Perform an internal quality control review on all design documents.
- 17. Submit preliminary design documents to the City for their review and comment. Submittal shall include 60% Construction Drawings (one (1) full and four (4) half size sets), opinion of probable construction cost, specifications, Engineer's Design Report (five (5) copies), and CSPP.
- 18. Schedule and conduct a preliminary design review meeting to discuss and resolve City comments.

#### FINAL DESIGN PHASE (90% and Final)

The services included under this Phase shall generally consist of services required to furnish the CITY with a complete set of Contract Documents for the Project, including Final Plans, Specifications, Engineer's Design Report, and opinion of probable construction costs. Services to be performed or furnished during this Phase may include revising the preliminary submittal information to comply with CITY and FAA comments and then completion of the final design. Plans and Specifications, suitable for unit price bidding, will be completed; final design will be coordinated with the CITY and FAA a complete set of bid documents will be furnished to the CITY and FAA. A final opinion of probable construction cost and the final Design Report will also be prepared and submitted. A final Construction Safety and Phasing Plan will be included as part of the Contract Documents.

The specific services to be provided or furnished for this Phase of the Project are the following:

- 1. Finalize three-dimensional design in order to finalize pavement centerline profiles, typical sections, and other grading details for the proposed work.
- 2. Finalize pavement designs.
- 3. Detail and finalize pavement keyways, rehabilitation details and pavement sections.
- 4. Finalize drainage designs and layouts and detail pipe and drainage structure installations.
- 5. Finalize structure designs and detail Portland cement concrete, reinforcing bar, and other related installations.
- 6. Finalize airfield lighting layouts, electrical power distribution and system designs, and detail installations.
- 7. Finalize pavement marking layouts, and detail installations.
- 8. Prepare final Contract Drawings on 22" x 34" or 11" x 17" Paper. It is anticipated that the final drawings will consist of the following sheets:

Approx. Number of Sheets	Name
1	Cover Sheet
1	Quantities for Canvass of Bids and Index of Drawings
1	General Notes and Legend
1	Site Plan
1	Survey Control Plan
5	Construction Safety Phasing Plans
1	Construction Safety Phasing Details
5	Existing Conditions and Demolition Plans
1	Demolition Details
5	Temporary Erosion and Sediment Control Plans
1	Temporary Erosion and Sediment Control Details
5	Geometry Plans
5	Grading Plans
3	Runway and Taxiway Profiles
5	Drainage Plans
1	Typical Sections
1	Pavement Details
5	Paving Elevations Plans
1	Drainage Details
5	Marking Plans

2	Marking Details
5	Lighting and Signage Plans
3	Lighting and Signage Details
4	Cross Sections

- 9. Perform a detailed quantity takeoff of all bid items to be included on the Contract Drawings and in the General Specifications of the Contract Documents.
- 10. Finalize General Specifications
- 11. Finalize written Technical Specifications for all construction materials and installations. FAA and CALTRANS standard technical specifications shall be used whenever possible, with supplemental specifications developed by the CONSULTANT.
- 12. Finalize CSPP and include in Specifications and on the Contract Drawings.
- 13. Prepare final opinion of probable construction costs based upon the actual bid items and quantity takeoffs.
- 14. Finalize design report to be consistent with the final design.
- 15. Prepare soil erosion control plan, stormwater pollution control plan, and stormwater quality management plan and submit required documents to comply with soil erosion stormwater permit requirements for construction projects. The scope of services does not include any associated permit fees.
- 16. Submit both the construction safety phasing plan and 7460 form electronically to the FAA.
- 17. Perform final internal quality control review on all design documents.
- 18. Submit project for required permits and address comments received from permit agencies until project design is approved. CITY will reimburse consultant for permit fees.
- 22. Submit draft final documents to the CITY and FAA for final review and comment. Submittal shall include 90% Construction Drawings, opinion of probable construction cost, specifications, Engineer's Design Report, and CSPP. Submittal will consist of electronic files only, and no hardcopies will be provided.
- 19. Schedule and conduct draft final review meeting with the CITY and FAA to discuss and resolve final comments.
- 20. Submit bid documents to CITY for bidding purposes. Bid documents shall consist of the Contract Drawings and Specifications. Submittal will consist of electronic files only, and no hardcopies will be provided.

## **BID ALTERNATE DEVELOPMENT PHASE**

The services included under this phase of the Project include design the following additional elements of work under this phase:

- Rehabilitation of small section of Taxiway G near the future intersection of Taxiway C.
- Upgrading the runway edge light fixtures to LED, including all necessary electrical upgrades as required.

The design of these elements will be included as bid alternates, included in the same bid package as the rest of the work and incorporated into all submittals.

#### **BID PHASE**

The Bid Phase is that time frame between completion of the design process and beginning of actual construction when the CITY publicly advertises and receives bids, awards contracts to the lowest responsible bidder, and executes a construction contract to perform the work with the successful contractor(s). The CONSULTANT shall assist the CITY during this Phase as required.

The specific services to be provided or furnished for this Phase of the Project are the following:

- 1. Receive and respond as required to questions from potential bidders regarding the Contract Documents.
- 2. Attend the a pre-bid meeting and advise the CITY on matters relating to design. Conduct a project walk-though, if requested.
- 3. Prepare addenda to the bid documents after advertisement and prior to bidding as required upon the CITY's approval.
- 4. After bidding is complete, prepare conformed copies of contract drawings. Electronic copies (including AutoCAD) will be submitted to the CITY, and no hardcopies will be provided.
- 5. Prepare final design cross-sections and digital terrain model files to provide to successful bidder.

#### CONSTRUCTION SERVICES PHASE (TIME AND MATERIALS BASIS)

The services included under this Phase shall generally consist of providing administrative services during construction.

The specific services to be provided or furnished for this Phase of the Project are the following:

- 1. Attend the pre-construction meeting and two (2) half day construction partnering meetings.
- 2. Prepare Construction Management Plan according to FAA requirements.
- 3. Review Contractor submittals, shop drawing, test data, etc. for conformance with the contract documents.
- 4. Prepare supplemental drawings and change orders necessary to execute the work properly within the intended scope. Assist the CITY in resolving Contractor claims and disputes.
- 5. Provide responses to Contractor questions and Requests for Information (RFI's).
- 6. Visit site six (6) times during construction activities.
- 7. Conduct Quality Assurance field and laboratory testing of subgrade, base, and asphalt materials only as required by the plans and specifications for the Project. Final reports presenting the test results and findings will be prepared. Electronic copies of the final reports will be submitted to the CITY, and no hardcopies will be provided. This work is assumed to include the following tests:
  - Testing of subgrade and base to include compaction, sand equivalent, sieve analysis, sieve analysis of aggregates, R-value, and in-place density testing using the Nuclear Gage Method
  - Testing of asphalt to include soil/aggregate proctor value, aggregate sieve analysis, asphalt Hveem, and asphalt extraction/gradation.
- 8. Provide construction surveying as required by the plans and specifications for the Project and as required to accomplish the contract work. This work is assumed to include the following:
  - Establishing control for construction staking and providing demolition limits
  - Rough grade staking for pavement reconstruction at 20-foot stations with offsets to the edge and grading outside the pavement areas

- A 20-foot by 20-foot bluetop grid for fine subgrade aggregate base (approximately 22,800 SF)
- Certification of a 20-foot by 20-foot grid for subgrade aggregate base (approximately 22,800 SF)
- A 10-foot by 10-foot bluetop grid for the first lift of P-401 asphalt (approximately 342,300 SF)
- Certification of a 10-foot by 10-foot grid for the first lift of P-401 asphalt (approximately 342,300 SF)
- A 10-foot by 10-foot bluetop grid for the second lift of P-401 asphalt (approximately 342,300 SF)
- Certification of a 10-foot by 10-foot grid for the second lift of P-401 asphalt(approximately 342,300 SF)
- Edge lighting layout
- Striping layout
- Office calculations and coordination
- As-built survey of the construction area using certification shots for a 10-foot by 10-foot grid on pavement areas, lighting, grading, and striping
- Provide a CAD file of the as-built survey

## POST- CONSTRUCTION SERVICES PHASE (TIME AND MATERIALS BASIS)

The specific services to be provided or furnished for this Phase of the Project are the following:

- 1. Attend Pre-Final and Final Inspection Walkthroughs. Assist in developing a punch list of items for the Contractor to complete.
- 2. Prepare record drawings based on the Contractor-provided "red-line" drawings and any change orders approved during construction. Electronic copies (including AutoCAD) will be submitted to the CITY, and no harcopies will be provided.

## **DESIGN ASSUMPTIONS**

The conditions and considerations in developing the scope and fee for the project described above include:

- 1. CITY will supply survey CAD files of the airport.
- 2. As-built drawings and/or CAD files are available and will be provided prior to field visit.
- 3. The CITY will handle all grant coordination with FAA, including any FAA applications and reimbursement requests.
- 4. Access to the site for inspection/survey can be performed during day hours and will be coordinated by the CITY. CITY will coordinate the necessary escort for the CONSULTANT and subcontractors to access the restricted areas located within "movement" areas of the airport. No-fee access to the site is provided.
- 5. No badging or training necessary and if needed we will be escorted to the investigation location.
- 6. Cost evaluations will be based on bid prices from recent project at the airport and other similar regional airports.
- 7. Uploading the as-built data on the AGIS website will not be required.
- 8. Preparation of one (1) bid package for public bidding.
- 9. Underground utilities such as natural gas, sanitary sewer, and water will not be impacted.
- 10. CITY will advertise the Project and issuance of bid documents.

- 11. The upgrade of the runway edge lights to LED will include replacement of the light fixtures only. The existing cable can remain in place.
- 12. City will reimburse CONSULTANT for government agency processing and permit fees.
- 13. Government agency fees for processing and permits are to be provided by CITY.
- 14. Prevailing wage rules apply to field work.
- 15. Soil and groundwater are not contaminated.
- 16. No additional pavement repair work shall be designed outside the footprint of the proposed project limits.
- 17. Costs associated with public meetings, biological surveys not specifically described above, and/or permit preparation and processing ("additional work") are not included within the scope of services.
- 18. Once preparation of the biological resources report has begun, no changes to the project design will occur such that additional burrowing owl surveys, major revisions to the project description, or re-analysis of any environmental issue will be required.
- 19. Construction surveying includes a total of 816 field hours and 105 office hours.
- 20. For construction services, the subgrade and base quality assurance material testing includes three site visits to collect initial samples of on-site and imported fill materials, 160 manhours for field observation and testing, and up to eight additional incidental site visits.
- 21. For construction services, the asphalt quality assurance material testing includes seven weeks (280 hours) for a technician to be on-site.
- 22. During construction, quality control testing during construction will be completed by the Contractor.

#### **DESIGN EXCLUSIONS (AIRFIELD/CIVIL)**

The scope and fee for the project described above excludes the following items, which can be provided for an additional fee, if requested:

- 1. Boundary survey.
- 2. Right-of-way or other deed research.
- 3. The placement of boundary monumentation.
- 4. Instigations and/or soil sampling for contaminants, hazardous material testing, and remediation design.
- 5. Underground utility locating (soft digs, potholing, etc.)
- 6. Underground utility inspections using a video camera.
- 7. Drainage studies, including hydrology or hydraulics unless otherwise identified above in scope of work.
- 8. Landscaping and irrigation design.
- 9. Lighting and photometric design, with the exception of runway and taxiway edge lights.
- 10. Commutations, security and access control systems, and/or fiber optic design.
- 11. Underground utility design including natural gas, sanitary sewer, and water.

- 12. Structural engineering unless otherwise specified in this scope of services.
- 13. Off-site (off-airport) engineering unless otherwise specified in this scope of services.
- 14. Obstruction removal for penetrations to FAA TERPS surfaces.
- 15. Updating FAA publications.
- 16. Full time construction management services including, but not limited to, daily field inspection; scheduling construction meetings; processing Contractor invoices; materials testing outside of subgrade, base, and asphalt materials; and quality assurance services unless otherwise specified in this scope of services.
- 17. Post construction design, including site-specific control measures, site design measures, and treatment measures (Low Impact Development techniques).
- 18. QSP services or amendments to the SWPPP based on changes in disturbed soil areas or changes in construction phasing and logistics plan.
- 19. Any services not specifically identified in this scope of work are not anticipated and excluded.
- 20. Unless requested, no hardcopies will be provided, only electronical submittals to be used.

#### END OF EXHIBIT A

# **COMPENSATION AND FEE SCHEDULE**
# EXHIBIT B



# Compensation and Fee Schedule

PROJECT NAME:	Runway 8R/26L Rehabilitation
PROJ DESCRIPTION: F	Rehabilitation of Runway 8R/26L
CLIENT:	City of San Diego

DATE:	5/5/2022
A/E:	C & S ENGINEERS, INC.

I. DIRECT SALARY ,	OVERHEAD & PROFIT COSTS (LUMP SUM):					
		RATE OF PAY				
	TITLE	(\$/HR)	@	HOURS		COST
A.	Administrative Assistant	\$ 79.00	х	32	=	\$2,528
В.	Designer	\$ 100.00	Х	0	=	\$0
C.	Senior Designer	\$ 131.00	Х	430	=	\$56,330
D.	Staff Engineer	\$ 116.00	х	344	=	\$39,904
E.	Project Engineer	\$ 174.00	Х	588	=	\$102,312
F.	Sr. Project Engineer	\$ 210.00	Х	340	=	\$71,400
G.	Managing Engineer	\$ 226.00	Х	192	=	\$43,392
н	Department Engineer	\$ 263.00	Х	78	=	\$20,514
I.	Director	\$ 220.00	Х	3	=	\$660
J.	Senior Consultant	\$ 130.00	Х	0	=	\$0
К.	Principal Consultant	\$ 180.00	Х	76	=	\$13,680
L	Consultant	\$ 110.00	х	16	=	\$1,760
				TOTAL ESTIM	ATE OF LABOR:	\$352,480.00
II. SERVICES BY OT	HERS (LUMP SUM):					
А.	Geotechnical Investigation					\$80,564.00
В.	Topographic Survey for Design					\$16,150.00
С.	Drainage Design					\$99,145.00
D.	Biological Consulting					\$58,900.00

TOTAL SERVICES BY OTHERS:

\$254,759.00

#### III. DIRECT SALARY , OVERHEAD & PROFIT COSTS (TIME & MATERIALS):

		RATE OF PAY				
	TITLE	(\$/HR)	@	HOURS		COST
Α.	Administrative Assistant	\$ 79.00	х	6	=	\$474
В.	Designer	\$ 100.00	х	0	=	\$0
C.	Senior Designer	\$ 131.00	х	120	=	\$15,720
D.	Staff Engineer	\$ 116.00	х	24	=	\$2,784
E.	Project Engineer	\$ 174.00	х	260	=	\$45,240
F.	Sr. Project Engineer	\$ 210.00	Х	48	=	\$10,080
G.	Managing Engineer	\$ 226.00	Х	124	=	\$28,024
н	Department Engineer	\$ 263.00	Х	2	=	\$526

I.       Director       \$ 220.00       X       0       =       \$0         J.       Senior Consultant       \$ 130.00       X       0       =       \$0         K.       Principal Consultant       \$ 180.00       X       0       =       \$0         L       Consultant       \$ 110.00       X       0       =       \$0	L	Consultant	\$ 110.00	~		- MATE OF LABOR:	\$102,848.00
J. Senior Consultant \$130.00 X 0 = \$0		Consultant	\$ 110.00	x	0	_	\$0
	K.	Principal Consultant	\$ 180.00	х	0	=	\$0
I. Director \$220.00 X 0 = \$0	J.	Senior Consultant	\$ 130.00	х	0	=	\$0
	I.	Director	\$ 220.00	Х	0	=	\$0

#### IV. SERVICES BY OTHERS (TIME & MATERIALS):

C.	QA Materials Testing - Asphalt	 \$63,510.00
D.	Construction Surveying	\$313,100.00
В.	QA Materials Testing - Soil/Base	\$40,228.70
С.	QA Materials Testing - Asphalt	\$63,510.00
Α.	Drainage Construction Services	\$14,365.00

V. ALLOWANCES:		
А.	Additional Services	\$90,300.00
В.	Agency Review & Permit Processing Fees	\$10,000
	TOTAL ESTIMATE OF ALLOWANCES:	\$100,300.00
VI. TOTALS:		
	TOTAL LUMP SUM ENGINEERING SERVICES :	\$607,239.00
	TOTAL TIME & MATERIALS ENGINEERING SERVICES :	\$534,051.70
	TOTAL ALLOWANCES :	\$100,300.00

OVERALL TOTAL ENGINEERING SERVICES : \$1,241,590.70

# TIME SCHEDULE

### EXIBIT C

#### TIME SCHEDULE

Project Title: Runway 8R/26L Rehabilitation

Airport Name: Brown Field Municipal Airport

### Schedule:

NTP – Spring 2023

Environmental Permitting, Design & City Reviews - 14 months

Bidding & Award – 26 months

Construction – 20 months

NOTE: All work must be completed by the Agreement's expiration date stated in Section 2.1

### EQUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP)

### **CONSULTANT REQUIREMENTS**

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I. City's Equal Opportunity Commitment. The City of San Diego (City) is strongly committed to equal opportunity for employees and Subcontractors of Consultants doing business with the City. The City encourages its Consultants to share this commitment. Consultants are encouraged to take positive steps to diversify and expand their Subcontractor solicitation base and to offer consulting opportunities to all eligible Subcontractors. Consultants are encouraged to take positive steps to diversify and expand their subcontractor solicitation base and to offer opportunities to all eligible Subcontractor and supplier solicitation base and to offer opportunities to all eligible business firms.

# Failure to submit the required EOCP documentation indicated below shall result in a determination of the Consultant being non-responsive.

- **II.** Nondiscrimination in Contracting Ordinance. All Consultants doing business with the City, and their Subcontractors, must comply with requirements of the City's *Nondiscrimination in Contracting Ordinance*, San Diego Municipal Code Sections 22.3501 through 22.3517.
  - A. <u>Disclosure of Discrimination Complaints (Attachment AA)</u>. As part of its bid or proposal, Consultant shall provide to the City a list of all instances within the past ten (10) years where a complaint was filed or pending against Consultant in a legal or administrative proceeding alleging that Consultant discriminated against its employees, Subcontractors, vendors, or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.

B. <u>Contract Language</u>. The following language shall be included in contracts for City projects between the Consultant and any Subcontractors, vendors, and suppliers:

Consultant shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring, or treatment of subcontractors, vendors, or suppliers. Consultant shall provide equal opportunity for Subcontractors to participate in opportunities. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

- C. <u>Contract Disclosure Requirements</u>. Upon the City's request, Consultant agrees to provide to the City, within sixty (60) calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that Consultant has used in the past five (5) years on any of its contracts that were undertaken within County of San Diego, including the total dollar amount paid by Consultant for each subcontract or supply contract. Consultant further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance, Municipal Code Sections 22.3501 through 22.3517. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Consultant up to and including contract termination, debarment and other sanctions.
- **III.** Equal Employment Opportunity Outreach Program. Consultants shall comply with requirements of San Diego Municipal Code Sections 22.2701 through 22.2707. Consultants shall submit with their proposal a Work Force Report for approval by the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP).
  - A. <u>Nondiscrimination in Employment</u>. Consultant shall not discriminate against any employee or applicant for employment on any basis prohibited by law. Contractor shall provide equal opportunity in all employment practices. Consultants shall ensure that their subcontractors comply with this program. Nothing in this Section shall be interpreted to hold a Consultant liable for any discriminatory practice of its subcontractors.
  - B. <u>Work Force Report</u>. If based on a review of the Work Force Report (Attachment BB) submitted an EOCP staff Work Force Analysis determines there are under representations when compared to County Labor Force Availability data, then the Consultant will also be required to submit an Equal Employment Opportunity (EEO) Plan to the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP) for approval.

<u>Equal Employment Opportunity Plan</u>. If an Equal Employment Opportunity Plan is required, the Program Manager of EOCP will provide a list of plan requirements to Consultant.

### IV. Maintaining Participation Levels.

A. Consultants are required to achieve and maintain the DBE participation levels throughout the duration of the goods, services, or consultant contract.

- B. If the City modifies the original specifications, the Consultant shall make reasonable efforts to maintain the SLBE or ELBE participation for which the bid discount or additional points were awarded. The City must approve in writing the reduction in DBE participation levels.
- C. The Consultant shall notify and obtain written approval from the City in advance of any reduction in subcontract scope, termination, or substitution for a designated DBE subcontractor.
- D. Consultant's failure to maintain DBE participation levels as specified in the goods, services, or consultant contract shall constitute a default and grounds for debarment under Chapter 2, Article 2, Division 8, of the San Diego Municipal Code.

### VI. Definitions.

**Commercially Useful Function:** a Disadvantaged Business Enterprise (DBE) performs a commercially useful function when it is responsible for execution of the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the DBE shall also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quantity and quality, ordering the material, and installing (where applicable) and paying for the material itself.

To determine whether an DBE is performing a commercially useful function, an evaluation will be performed of the amount of work subcontracted, normal industry practices, whether the amount the DBE firm is to be paid under the contract is commensurate with the work it is actually performing and the DBE credit claimed for its performance of the work, and other relevant factors. Specifically, a DBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of meaningful and useful DBE participation, when in similar transactions in which DBE firms do not participate, there is no such role performed.

**Disadvantaged Business Enterprise (DBE)**: a certified business that is (1) at least fifty-one (51%) owned by socially and economically Disadvantaged Individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more socially and economically Disadvantaged Individuals; and (2) whose daily business operations are managed and directed by one or more socially and economically disadvantaged owners. Disadvantaged Individuals include Black Americans, Hispanic Americans, Asian Americans, and other minorities, or individual found to be disadvantaged by the Small Business Administration pursuant to Section 8 of the Small Business Reauthorization Act.

**Disabled Veteran Business Enterprise (DVBE):** a certified business that is (1) at least fifty-one percent (51%) owned by one or more disabled veterans; and (2) business operations must be managed and controlled by one or more disabled veterans. Disabled Veteran is a veteran of the U.S. military, naval, or air service; the veteran must have a service-connected disability or at least 10% or more; and the veteran must reside in California. The firm shall be certified by the State of California's Department of General Services, Office of Small and Minority Business.

**Emerging Business Enterprise (EBE):** a business whose gross annual receipts do not exceed the amount set by the City Manager, and which meets all other criteria set forth in the regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review

the threshold amount for EBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace.

**Emerging Local Business Enterprise (ELBE):** a Local Business Enterprise that is also an Emerging Business Enterprise.

**Local Business Enterprise (LBE):** a firm having a Principal Place of Business and a Significant Employment Presence in San Diego County, California, that has been in operation for 12 consecutive months and a valid business tax certificate. This definition is subsumed within the definition of Small Local Business Enterprise.

**Minority Business Enterprise (MBE):** a certified business that is (1) at least fifty-one percent (51%) owned by one or more minority individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more minority individuals; and (2) whose daily business operations are managed and directed by one or more minorities owners. Minorities include the groups with the following ethnic origins: African, Asian Pacific, Asian Subcontinent, Hispanic, Native Alaskan, Native American, and Native Hawaiian.

**Other Business Enterprise (OBE):** any business which does not otherwise qualify as Minority, Woman, Disadvantaged or Disabled Veteran Business Enterprise.

**Principal Place of Business:** a location wherein a firm maintains a physical office and through which it obtains no less than fifty percent (50%) of its overall customers or sales dollars.

**Significant Employee Presence:** no less than twenty-five percent (25%) of a business's total number of employees are domiciled in San Diego County.

**Small Business Enterprise (SBE):** a business whose gross annual receipts do not exceed the amount set by the City Manager, and that meets all other criteria set forth in regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review the threshold amount for SBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace. A business certified as a DVBE by the State of California, and that has provided proof of such certification to the City Manager, shall be deemed to be an SBE.

**Small Local Business Enterprise (SLBE):** a Local Business Enterprise that is also a Small Business Enterprise.

**Women Business Enterprise (WBE):** a certified business that is (1) at least fifty-one percent (51 %) owned by a woman or women, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more women; and (2) whose daily business operations are managed and directed by one or more women owners.

### VII. Certifications.

The City accepts certifications of MBE, WBE, DBE or DVBE from the following certifying agencies:

Current certification by the State of California Department of Transportation (CALTRANS) as DBE.

Current MBE or WBE certification from the California Public Utilities Commission.

DVBE certification is received from the State of California's Department of General Services, Office of Small and Minority Business.

Current certification by the City of Los Angles as DBE, WBE or MBE.

Current certification by the U.S. Small Business Association as SDB, WOSB, SDVOSB, or Hubzone.

Subcontractors' valid proof of certification status e.g., copy of MBE, WBE, DBE, or DVBE certification must be submitted with RFP. MBE, WBE, DBE, or DVBE certifications are listed for informational purposes only.

### VIII. List of Attachments.

- AA. Disclosure of Discrimination Complaints
- **BB.** Work Force Report
- CC. Subcontractors List

### DISCLOSURE OF DISCRIMINATION COMPLAINTS

As part of its proposal, the Design Professional must provide to the City a list of all instances within the past 10 years where a complaint was filed or pending against the Design Professional in a legal or administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.

### CHECK ONE BOX ONLY.

- The undersigned certifies that within the past 10 years the Design Professional has NOT been the subject of a complaint or pending action in a legal administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers.
- The undersigned certifies that within the past 10 years the Design Professional has been the subject of a complaint or pending action in a legal administrative proceeding alleging that Design Professional discriminated against its employees, subcontractors, vendors or suppliers. A description of the status or resolution of that complaint, including any remedial action taken and the applicable dates is as follows:

DATE OF CLAIM	LOCATION	DESCRIPTION OF CLAIM	LITIGATION (Y/N)	STATUS	RESOLUTION/REMEDIAL ACTION TAKEN

Design Professional Name C&S Engineers, Inc.

Certified By Michael Hotaling Name Signature

Title Chief Executive Officer

Date December 22, 2022

**USE ADDITIONAL FORMS AS NECESSARY** 



City of San Diego EQUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP)

1200 Third Avenue • Suite 200 • San Diego, CA 92101 Phone: (619) 236-6000 • Fax: (619) 236-5904

# **WORK FORCE REPORT**

### **ADMINISTRATIVE**

The objective of the *Equal Employment Opportunity Outreach Program*, San Diego Municipal Code Sections 22.3501 through 22.3517, is to ensure that contractors doing business with the City, or receiving funds from the City, do not engage in unlawful discriminatory employment practices prohibited by State and Federal law. Such employment practices include, but are not limited to unlawful discrimination in the following: employment, promotion or upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rate of pay or other forms of compensation, and selection for training, including apprenticeship. Contractors are required to provide a completed *Work Force Report (WFR)*.

NO OTHER FORMS WILL BE ACCEPTED

		CONTRACTOR	IDENTIFICATIO	N		
Type of Contractor:	Construction	□Vendor/Supplier	Financial In	stitution	□ Lessee/Le	essor
	🖂 Consultant	🗆 Grant Recipient	☐ Insurance C	ompany	□ Other	
Name of Company:	C&S Engineers, Inc.					
AKA/DBA: C&S C	ompanies					
Address (Corporate	Headquarters, where ap	plicable): <u>499 Col. Ei</u>	leen Collins Blvd.			
City: Syracuse		County: Onon	daga	State:	New York	Zip: 13212
Telephone Number:	(315) 455-2000 / (8	377)277-6583	FAX Number: (315	) 455-	9667	
Name of Company of	CEO: Michael Hotaling	2				
Address(es), phone a	and fax number(s) of co	ompany facilities locate	ed in San Diego Cour	nty (if diff	ferent from abo	ve):
Address: 2355 Nort	hside Drive, Suite 350					
City: San Diego		County: San E	Diego	State:	California	Zip: 92108
Telephone Number:	(619) 296-9373	FAX Number:	: (619) 284-2207		Email:	
Type of Business:			Type of License:			
The Company has a	ppointed: John F. Spi	na				
as its Equal Employ:	ment Opportunity Offic	er (EEOO). The EEO	O has been given aut	hority to	establish, disser	minate, and enforce
equal employment a	nd affirmative action po	olicies of this company	7. The EEOO may be	contacte	d at:	
Address: 499 Col. E	ileen Collins Blvd., S	yracuse, NY 13212				
Telephone Number:	(315))455-2000	FAX Number:	: (315 )455-9667		Email: jsp	ina@cscos.com
		🗴 One San Diego (	County (or Most Lo	ocal Cou	nty) Work Foi	rce - Mandatory
		$\square$ Branch Work Fo	• 、			2
		☐ Managing Office				
Check the l	box above that applies the	00				
	separate Work Force Re		ing branchas Combi	no WFRs	if more than on	a branch par county
Submit a s	epurule work rorce Re	epori jor uli purileipul	ing brunches. Combin	te WINS	ij more inun on	e brunen per county.
I, the undersigned re	presentative of C&S I	Engineers, Inc.				
			(Firm Nar	ne)		
San Diego		, California		_ hereby	certify that inf	formation provided
(C	ounty)	(State)	)			
herein is true and co	rect/ This document w	vas executed on this	day	y of	ecember	, 20 <b>22</b>
	AM		John F. S	Spina		
(Au	uthorized Signature)		(Prin	t Authori	zed Signature)	

### WORK FORCE REPORT – NAME OF FIRM: C&S Engineers, Inc.

# OFFICE(S) or BRANCH(ES): San Diego, CA

INSTRUCTIONS: For each occupational category, indicate number of males and females in every ethnic group. Total columns in row provided. Sum of all totals should be equal to your total work force. Include all those employed by your company on either a full or part-time basis. The following groups are to be included in ethnic categories listed in columns below:

- (1) Black, African-American
- Hispanic, Latino, Mexican-American, Puerto Rican (2)
- Asian, Pacific Islander (3)
- (4) American Indian, Eskimo
- (5) Asian Pacific (1) (2) (4) Hispanic or African-(3) American (6) (7) Other Ethnicities OCCUPATIONAL CATEGORY Caucasian American Latino Asian Indian Islander (F) (F) (F)  $(\mathbf{F})$  $(\mathbf{F})$ (M) (F) (M) (F)  $(\mathbf{M})$ (M)(M)M  $(\mathbf{M})$ 1 Management & Financial 3 5 1 Professional 2 1 1 2 5 1 A&E, Science, Computer Technical 1 Sales 1 1 1 Administrative Support Services Crafts **Operative Workers** Transportation Laborers\* \*Construction laborers and other field employees are not to be included on this page 1 2 1 0 0 0 11 7 0 3 0 0 1 Totals Each Column 26 Grand Total All Employees Indicate by Gender and Ethnicity the Number of Above Employees Who Are Disabled 0 0 0 0 0 0 0 0 0 0 0 0 0 0 Disabled Non-Profit Organizations Only: Board of Directors Volunteers Artists
- (5) Filipino, Asian Pacific Islander
- (6) White, Caucasian
- (7) Other ethnicity; not falling into other groups

DATE:

COUNTY: San Diego



# **Work Force Report**

### **HISTORY**

The Work Force Report (WFR) is the document that allows the City of San Diego to analyze the work forces of all firms wishing to do business with the City. We are able to compare the firm's work force data to County Labor Force Availability (CLFA) data derived from the United States Census. CLFA data is a compilation of lists of occupations and includes the percentage of each ethnicity we track (American Indian or Alaska Native, Asian, Black or African-American, Native Hawaiian or Pacific Islander, White, and Other) for each occupation. Currently, our CLFA data is taken from the 2010 Census. In order to compare one firm to another, it is important that the data we receive from the consultant firm is accurate and organized in the manner that allows for this fair comparison.

#### WORK FORCE & BRANCH WORK FORCE REPORTS

When submitting a WFR, especially if the WFR is for a specific project or activity, we would like to have information about the firm's work force that is actually participating in the project or activity. That is, if the project is in San Diego and the work force is from San Diego, we want a San Diego County Work Force Report<sup>1</sup>. By the same token, if the project is in San Diego, but the work force is from another county, such as Orange or Riverside County, we want a Work Force Report from that county<sup>2</sup>. If participation in a San Diego project is by work forces from San Diego County and, for example, from Los Angeles County and from Sacramento County, we ask for separate Work Force Reports representing your firm from each of the three counties.

### MANAGING OFFICE WORK FORCE

Equal Opportunity Contracting may occasionally ask for a Managing Office Work Force (MOWF) Report. This may occur in an instance where the firm involved is a large national or international firm but the San Diego or other local work force is very small. In this case, we may ask for both a local and a MOWF Report<sup>1, 3</sup>. In another case, when work is done only by the Managing Office, only the MOWF Report may be necessary.<sup>3</sup>

### **TYPES OF WORK FORCE REPORTS:**

Please note, throughout the preceding text of this page, the superscript numbers one <sup>1</sup>, two <sup>2</sup> & three <sup>3</sup>. These numbers coincide with the types of work force report required in the example. See below:

- <sup>1</sup>One San Diego County (or Most Local County)
  - Work Force Mandatory in most cases
- <sup>2</sup> Branch Work Force \*
- <sup>3</sup> Managing Office Work Force
- \*Submit a separate Work Force Report for all participating branches. Combine WFRs if more than one branch per county.

### **RACE/ETHNICY CATEGORIES**

American Indian or Alaska Native – A person having origins in any of the peoples of North and South America (including Central America) and who maintains tribal affiliation or community attachment.

Asian – A person having origins in any of the peoples of the Far East, Southeast Asia, or the Indian subcontinent including, for example, Cambodia, China, India, Japan, Korea, Malaysia, Pakistan, the Philippine Islands, Thailand, and Vietnam.

**Black or African American** – A person having origins in any of the Black racial groups of Africa.

**Native Hawaiian or Pacific Islander** – A person having origins in any of the peoples of Hawaii, Guam, Samoa, or other Pacific Islands.

**White** – A person having origins in any of the peoples of Europe, the Middle East, or North Africa.

**Hispanic or Latino** – A person of Cuban, Mexican, Puerto Rican, South or Central American, or other Spanish culture or origin.

## **Exhibit A: Work Force Report Job categories-Administration**

Refer to this table when completing your firm's Work Force Report form(s).

### **Management & Financial**

Advertising, Marketing, Promotions, Public Relations, and Sales Managers Business Operations Specialists Financial Specialists Operations Specialties Managers Other Management Occupations Top Executives

### Professional

Art and Design Workers Counselors, Social Workers, and Other **Community and Social Service Specialists** Entertainers and Performers, Sports and Related Workers Health Diagnosing and Treating Practitioners Lawyers, Judges, and Related Workers Librarians, Curators, and Archivists Life Scientists Media and Communication Workers Other Teachers and Instructors **Postsecondary Teachers** Primary, Secondary, and Special Education School Teachers **Religious Workers** Social Scientists and Related Workers

### Architecture & Engineering, Science, Computer

Architects, Surveyors, and Cartographers Computer Specialists Engineers Mathematical Science Occupations Physical Scientists

### Technical

Drafters, Engineering, and Mapping Technicians Health Technologists and Technicians Life, Physical, and Social Science Technicians Media and Communication Equipment Workers

### Sales

Other Sales and Related Workers Retail Sales Workers Sales Representatives, Services Sales Representatives, Wholesale and Manufacturing Supervisors, Sales Workers

### Administrative Support

Financial Clerks Information and Record Clerks Legal Support Workers

EOC Work Force Report (rev. 03/2018)

Material Recording, Scheduling, Dispatching, and Distributing Workers Other Education, Training, and Library Occupations Other Office and Administrative Support Workers Secretaries and Administrative Assistants Supervisors, Office and Administrative Support Workers

### Services

**Building Cleaning and Pest Control Workers Cooks and Food Preparation Workers** Entertainment Attendants and Related Workers Fire Fighting and Prevention Workers First-Line Supervisors/Managers, Protective Service Workers Food and Beverage Serving Workers **Funeral Service Workers** Law Enforcement Workers Nursing, Psychiatric, and Home Health Aides **Occupational and Physical Therapist** Assistants and Aides Other Food Preparation and Serving Related Workers **Other Healthcare Support Occupations** Other Personal Care and Service Workers Other Protective Service Workers Personal Appearance Workers Supervisors, Food Preparation and Serving Workers Supervisors, Personal Care and Service Workers Transportation, Tourism, and Lodging Attendants

### Crafts

Construction Trades Workers Electrical and Electronic Equipment Mechanics, Installers, and Repairers Extraction Workers Material Moving Workers Other Construction and Related Workers Other Installation, Maintenance, and Repair Occupations Plant and System Operators Supervisors of Installation, Maintenance, and Repair Workers Supervisors, Construction and Extraction Workers Vehicle and Mobile Equipment Mechanics, Installers, and Repairers Woodworkers

### **Operative Workers**

Àssemblers and Fabricators Communications Equipment Operators Food Processing Workers Metal Workers and Plastic Workers Motor Vehicle Operators Other Production Occupations Printing Workers Supervisors, Production Workers Textile, Apparel, and Furnishings Workers

### Transportation

Air Transportation Workers Other Transportation Workers Rail Transportation Workers Supervisors, Transportation and Material Moving Workers Water Transportation Workers

### Laborers

Agricultural Workers Animal Care and Service Workers Fishing and Hunting Workers Forest, Conservation, and Logging Workers Grounds Maintenance Workers Helpers, Construction Trades Supervisors, Building and Grounds Cleaning and Maintenance Workers Supervisors, Farming, Fishing, and Forestry Workers

# SUBCONTRACTOR PARTICIPATION LIST

This list shall include the name and complete address of all Subcontractors who qualify as **DBEs**. Consultants must also list participation by any SLBE, ELBE MBE, WBE, DBVE and OBE firms.

Subcontractors shall be used in the percentages listed. **NOTE:** If percentages are listed as a range, the **minimum number identified** in the range will be used to calculate overall subcontractor DBE participation.

No changes to this Participation List will be allowed without prior written City approval. The Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

NAME AND ADDRESS SUBCONTRACTORS	SCOPE OF SERVICES	PERCENT OF CONTRACT	DBE (MBE/WBE SLBE/ELBE DVBE/OBE*)	WHERE CERTIFIED**
Alta Land Surveying, Inc. 9517 Grossmont Summit Dr., La Mesa, CA 91941	Land Surveying	Est. 5-8%	DBE/MBE/SLBE	CUCP
Allied Geotechnical Engineers, Inc. 9500 Cuyamaca St., Suite 102, Santee, CA 92071	Geotechnical, geology & environmental	Est. 8-12%	ELBE, S/M/DBE and DBE Micro	City of San Diego, LA Metro, CUCP and CA DGS
West Coast Civil, Inc. 10650 Treena St., Suite 104, San Diego, CA 92131	Civil Engineering	Est. 5-10%	MBE/SLBE	City of San Diego/CPUC
HELIX Environmental Planning, Inc. 7578 El Cajon Blvd., La Mesa, CA 91942	Environmental	Est. 3-5%	N/A	N/A
Barnett Quality Control Services, Inc. dba NOVA Services, Inc. 4373 Viewridge Ave., Suite B San Diego, CA 92123	QA Testing	Est. 5-8%	SLBE DVBE, SBE	City of San Diego State of California

List of Abbreviations:

Certified Disadvantaged Business Enterprise	DBE
Small Local Business Enterprise	SLBE*
Emerging Local Business Enterprise	ELBE*
Certified Minority Business Enterprise	MBE*
Certified Woman Business Enterprise	WBE*
Certified Disabled Veteran Business Enterprise	DVBE*
Other Business Enterprise	OBE*

\* Listed for informational purposes only.

# **INSTRUCTION SHEET FOR**

# DISCLOSURE DETERMINATION FOR CONSULTANT (Form CC-1671)

Use the "Disclosure Determination for Consultant" form (CC-1671) to report the disclosure requirement for any consultant hired to provide services to the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego's jurisdiction.

2 California Code of Regulations defines a "consultant" as an individual who, pursuant to a contract with a state or local government agency, either makes a governmental decision or serves in a staff capacity with the state or local government agency and in that capacity participates in making a governmental decision.

The "Disclosure Determination for Consultant" form is completed for all consultants under contract with the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego's jurisdiction. Please follow the step-by-step directions:

- 1. List the department, board, commission or agency requesting the consultant service.
- 2. List the consulting company. If known, also list the individual(s) who will be providing the consultant services.
- 3. List the mailing address.
- 4. List the e-mail address of individual(s) providing the consultant service.
- 5. Provide the date the individual(s) will start providing the consultant service.
- 6. List all duties/responsibilities the consultant will have. This list will enable you to determine the disclosure requirement for the consultant.
- 7. Determine the consultant's disclosure category. Your consultant should be required to disclose only those economic interests which could potentially create a conflict of interest as he/she performs his/her contractual obligations. For ideas about possible disclosure categories, review those in your department's, board's, commission's or agency's conflict of interest code, available at:

www.sandiego.gov/city-clerk/elections/eid/codes.shtml

Please fill out the entire "Disclosure Determination for Consultant" form, and have it signed by the appropriate authority. (Individuals with signing authority are described in your conflict of interest code as part of the disclosure requirement for Consultants.) Forward the original form to the City Clerk's Office, MS 2A.

# **DISCLOSURE DETERMINATION FOR CONSULTANT**

\*Must be signed by department director, agency president or other individual authorized by the appropriate conflict of interest code regarding consultants.

1.	Department / Bo Name:	ard / Commission / Agency	Public Works Department/AEP
2.	Name of Specific Consultant & Company:		C&S Engineers, Inc.
3.	Address, City, S	tate, ZIP	2355 Northside Drive, Suite 350 San Diego, CA 92108
4.	Project Title (as Council Action"	shown on 1472, "Request for )	Brown Field Runway 8R/26L Rehab
5.	Consultant Dutie	es for Project:	Design and construction support services
6.	Disclosure Deter	mination [ <b>select applicable disclo</b>	sure requirement]:
		ltant <u>will not</u> be "making a governi ty." No disclosure required.	mental decision" or "serving in a staff
			- or -
	Consul the Cit	ltant is required to file a Statement	tal decision" or "serving in a staff capacity." of Economic Interests with the City Clerk of as required by law. [Select consultant's
		Full: Disclosure is required pursu appropriate Conflict of Interest C	uant to the broadest disclosure category in the ode.
		Limited: Disclosure is required to economic interests the consultation	o a limited extent. [List the specific nt is required to disclose.]

<u>By:</u> Jan 2 Jason Grani/ Assistant Deputy Director E&CP [Date] *ized signature affixed, please forward the original form to* the City Clerk's Office, MS 2A. Keep a copy with the contract.

6/29/22

### **DEFINITION OF "CONSULTANT"**

2 California Code of Regulations defines a "consultant" as an individual who, pursuant to a contract with a state or local government agency:

- (A) Makes a governmental decision whether to:
  - 1. Approve a rate, rule or regulation;
  - 2. Adopt or enforce a law;
  - 3. Issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order, or similar authorization or entitlement;
  - 4. Authorize the City to enter into, modify, or renew a contract provided it is the type of contract that requires City approval;
  - 5. Grant City approval to a contract that requires City approval and to which the City is a party, or to the specifications for such a contract;
  - 6. Grant City approval to a plan, design, report, study, or similar item;
  - 7. Adopt, or grant City approval of, policies, standards, or guidelines for the City, or for any subdivision thereof; or
- (B) Serves in a staff capacity with the City and in that capacity participates in making a governmental decision as defined in Regulation 18702.2 or performs the same or substantially all the same duties for the City that would otherwise be performed by an individual holding a position specified in the City's Conflict of Interest Code.

An individual "serves in a staff capacity" if he or she performs substantially all the same tasks that normally would be performed by staff member of a governmental entity. In most cases, individuals who work on only one project or a limited range of projects for an agency are not considered to be working in a "staff capacity." The length of the individual's service to the agency is relevant. Also, the tasks over the relevant period of time must be substantially the same as a position that is or should be specified in the City's conflict of interest code.

An individual "participates in making a governmental decision" if he or she: (1) negotiates, without substantive review, with a governmental entity or private person regarding the decision; or (2) advises or makes recommendations to the decision-maker, by conducting research or an investigation, preparing or presenting a report, analysis or opinion which requires the exercise of judgment on the part of the individual and the individual is attempting to influence the decision.

1/28/2006

#### CITY OF SAN DIEGO CONSULTANT PERFORMANCE EVALUATION

The purpose of this form is to evaluate the consultant's performance and will be retained by Public Works Contracts for five years to provide historical data to City staff when selecting consultants.

Section I PROJECT INFORM	IATION				
1. PROJECT DATA		2. CONSU	<b>JLTANT DATA</b>		
1a. Project (title, location):	2a. Name, addr	ess, phone	& email of Co	nsultant:	
1b. Brief Description:	2b. Consultant'	s Project M	anager:		
1c. Contract Amount: \$ WBS/IO:	Phone: ( Email:	)			
3. CITY DEPART	MENT RESPONSI	BLE			
3a. Department (include Division):	3b. Project Mar address):	ager (name	e, address, pho	one & email	
Deputy Director:	Phone: ( Email:	)			
Section II SPECIFIC RAT	INGS				
PERFORMANCE EVALUATION		EXCELLENT	SATISFACTORY	UN- SATISFACTORY	N/A
1. Quality of Report, Study, Plans, Specifications, etc. [Deli	verables] of Scop	e as noted:			
• Deliverables submitted were complete in all respects.					
• All comments and review requests were adequately incorporated into Deliverables.					
The Deliverables were properly formatted and well-coor					
• Writing style/presentation and terminology was clear an straightforward with adequate backup provided.					
2. Ability to adhere to contract schedule, budget, and overa	, 1	ses as note	d:		
• Deliverables prepared in accordance with the agreed upo					
• Consultant alerted the City to possible schedule problems well in advance of delays.					
• Consultant suggested solutions there were cost effective, were provided in a timely manner.	appropriate and				
• The Consultant provided responses to RFI's/emails/requeetc. in a timely manner.	est for proposals,				
3. Ability to manage project team, Subconsultants, and coo	ordinate with City	y staff as no	oted:	•	
• The Consultant was reasonable and fair during negotiations of the Agreement and/or on Task Orders.					
• The Consultant followed direction and chain of responsibility.					
• The Consultant reviewed and analyzed Subconsultant De oversaw their work in an appropriate manner.	eliverables and				
• The Consultant provided adequate support/attendance d	luring meetings.				

## **EXHIBIT F**

Section II SPECIFIC RATINGS Continue	d			
PERFORMANCE EVALUATION	EXCELLENT	SATISFACTORY	UN- SATISFACTORY	N/A
4. Ability to manage responsibilities in the regulatory/approval process as n	oted:			
• The Consultant researched and adhered to the necessary Federal/State/City code/regulations & requirements needed for the Deliverable.				
• The Consultant advise the City the necessary regulatory restrictions that needed to be adhered to.				
5. Quality of Construction/Design Support as noted:				
• The drawings/plans reflected existing conditions accurately.				
• The Consultant provided direction/support to the Resident Engineer and work cooperatively with them.				
• The Consultant provide adequate support for As-Built drawings.				
• Change orders due to design deficiencies were kept to a minimum.				
Section III SUPPLEMENTAL INFORMATION				

(Please ensure to attach additional documentation as needed.)

(Supporting documentation attached: Yes 🗌 No 🗌 )

Section IV	FINAL R	ATING					
	4. OVERALL RATING						
Consultant Rating	Excellent	Satisfactory	Unsatisfactory				
	5. AUTHORIZ	ING SIGNATURES					
5a. Project Manager							
Name		Signature		Date			
5b. Deputy Director							
Name		Signature		Date			
5c. Provided to Consultant							
Name	e of Recipient	Signature	İ	Date Provided			
Consultant Concurrence*: Yes [ *Note: Consultant has the right details.		of this evaluation. Ple	ase refer to SDMC 22	.0811(a) for more			

# City of San Diego CONTRACTOR STANDARDS Pledge of Compliance

The City of San Diego has adopted a Contractor Standards Ordinance (CSO) codified in section 22.3004 of the San Diego Municipal Code (SDMC). The City of San Diego uses the criteria set forth in the CSO to determine whether a bidder or proposer has the capacity to fully perform the contract requirements and the business integrity to justify the award of public funds. This completed Contractor Standards Pledge of Compliance (Pledge of Compliance) signed under penalty of perjury must be submitted with each bid and proposal. If an informal solicitation process is used, the bidder must submit this completed Pledge of Compliance to the City prior to execution of the contract. All responses must be typewritten or printed in ink. If an explanation is requested or additional space is required, Respondents must provide responses on Attachment "A" to the Pledge of Compliance and sign each page. Failure to submit a signed and completed Pledge of Compliance may render the bid or proposal non-responsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed Pledge of Compliance is a public record and information contained within will be available for public review except to the extent that such information is exempt from disclosure pursuant to applicable law.

### A. BID/PROPOSER/SOLICITATION TITLE:

H197070

C&S Engineers, Inc.

RFP for Civil Engineering Services for City of San Diego Design of Brown Field Runway 8R/26L Rehab for the Public Works Department

# B. BIDDER PROPOSER INFORMATION

C&S Engineers, Inc.

Legal Name 2355 Northside Dr., Suite 350, S	an Diego CA 92108	DBA		
Street Address	City	State	Zip	
Brian Saull, Managing Engineer	(619) 819-2267			
Contact Person, Title	Phone	Fax		

Provide the name, identity, and precise nature of the interest\* of all persons who are directly or indirectly involved\*\* in this proposed transaction (SDMC § 21.0103). Use additional pages if necessary.

\* The precise nature of the interest includes:

- the percentage ownership interest in a party to the transaction,
- the percentage ownership interest in any firm, corporation, or partnership that will receive funds from the transaction,
- the value of any financial interest in the transaction,
- any contingent interest in the transaction and the value of such interest should the contingency be satisfied, and
- any philanthropic, scientific, artistic, or property interest in the transaction.

\*\* Directly or indirectly involved means pursuing the transaction by:

- communicating or negotiating with City officers or employees,
- submitting or preparing applications, bids, proposals or other documents for purposes of contracting with the City, or

### EXHIBIT G

# • directing or supervising the actions of persons engaged in the above activity.

Michael Hotaling	Chief Executive Officer
Name	Title/Position
San Diego, CA City and State of Residence Community Outreach Interest in the transaction	Employer (if different than Bidder/Proposer)
Lance McIntosh Name Phoenix, AZ	Western Pacific Region Dept. Manager Title/Position
City and State of Residence Principal-In-Charge Interest in the transaction	Employer (if different than Bidder/Proposer)
Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	
Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	
Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	
Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	
Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	
Use Attachme	nt "A" if additional pages are necessary.

#### C. OWNERSHIP AND NAME CHANGES:

1. In the past five (5) years, has your firm changed its name?

🗌 Yes 🛛 🐰 No

If **Yes**, use Attachment "A" to list all prior legal and DBA names, addresses, and dates each firm name was used. Explain the specific reasons for each name change.

2. In the past five (5) years, has a firm owner, partner, or officer operated a similar business?

Yes X No

If **Yes**, use Attachment "A" to list names and addresses of all businesses and the person who operated the business. Include information about a similar business only if an owner, partner, or officer of your firm holds or has held a similar position in another firm.

### D. BUSINESS ORGANIZATION/STRUCTURE:

Indicate the organizational structure of your firm. Fill in only one section on this page. Use Attachment "A" if more space is required.

 X Corporation

 Date incorporated:

 04 / 23 / 1929

 State of incorporation:

 New York

List corporation's current officers:

	President:	Aileen Maguire Meyer
	Vice Pres.:	
	Secretary:	Matthew J. Wenham
	Treasurer:	John T. Camp
Is your firm a publicly traded corpora	ition? <b>Yes</b>	ΧΝο
If <b>Yes</b> , name those who own ten perce	ent (10%) or n	nore of the corporation's stocks:

Limited Liability Company

 Date formed:
 /
 /
 State of formation:

 List names of members who own ten percent (10%) or more of the company:

### EXHIBIT G

List names of all firm partners:	Date formed: / _/	State of formation:
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:	List names of all firm partners	s:
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:		
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:		
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:		
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:		
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:		
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:		
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:		
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:		
List all firms you have been an owner, partner or officer with during the past five (5) years. I not include ownership of stock in a publicly traded company:	<b>Sole Proprietorship</b> Date s	started://
not include ownership of stock in a publicly traded company:		
☐ Joint Venture Date formed:/ List each firm in the joint venture and its percentage of ownership:		
List each firm in the joint venture and its percentage of ownership:	±	
List each firm in the joint venture and its percentage of ownership:		
List each firm in the joint venture and its percentage of ownership:		
List each firm in the joint venture and its percentage of ownership:		
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List each firm in the joint venture and its percentage of ownership:		
List each firm in the joint venture and its percentage of ownership:		
	List each firm in the joint vent	ture and its percentage of ownership:
	,	
	,	

### E. FINANCIAL RESOURCES AND RESPONSIBILITY:

Is your firm preparing to be sold, in the process of being sold, or in negotiations to be sold?
 Yes X No

If **Yes**, use Attachment "A" to explain the circumstances, including the buyer's name and principal contact information.

2. In the past five (5) years, has your firm been denied bonding?

If **Yes**, use Attachment "A" to explain specific circumstances; include bonding company name.

3. In the past five (5) years, has a bonding company made any payments to satisfy claims made against a bond issued on your firm's behalf or a firm where you were the principal?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances.

4. In the past five (5) years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances.

5. Within the last five (5) years, has your firm filed a voluntary petition in bankruptcy, been adjudicated bankrupt, or made a general assignment for the benefit of creditors?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances.

6. Please provide the name of your principal financial institution for financial reference. By submitting a response to this Solicitation Contractor authorizes a release of credit information for verification of financial responsibility.

Name of Bank:	M&T Bank		
Point of Contact:	Camille Bellamy		
Address: 10	1 S. Salina Street, 8th Floor, Syracuse NY 13202		
Phone Number: _315-424-5054			

7. By submitting a response to a City solicitation, Contractor certifies that he or she has sufficient operating capital and/or financial reserves to properly fund the requirements identified in the solicitation. At City's request, Contractor will promptly provide to City a copy of Contractor's most recent balance sheet and/or other necessary financial statements to substantiate financial ability to perform.

### F. PERFORMANCE HISTORY:

1. In the past five (5) years, has your firm been found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for defaulting or breaching a contract with a government agency?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances.

2. In the past five (5) years, has a public entity terminated your firm's contract for cause prior to contract completion?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances and provide principal contact information.

3. In the past five (5) years, has your firm entered into any settlement agreement for any lawsuit that alleged contract default, breach of contract, or fraud with or against a public entity?

X Yes 🗌 No

If **Yes**, use Attachment "A" to explain specific circumstances.

Public Works Contracts – Contractor Standards Pledge of Compliance 4. Is your firm currently involved in any lawsuit with a government agency in which it is alleged that your firm has defaulted on a contract, breached a contract, or committed fraud?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances.

5. In the past five (5) years, has your firm, or any firm with which any of your firm's owners, partners, or officers is or was associated, been debarred, disqualified, removed, or otherwise prevented from bidding on or completing any government or public agency contract for any reason?

Yes X No

If Yes, use Attachment "A" to explain specific circumstances.

6. In the past five (5) years, has your firm received a notice to cure or a notice of default on a contract with any public agency?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances and how the matter resolved.

7. Performance References:

Please provide a minimum of three (3) references familiar with work performed by your firm which was of a similar size and nature to the subject solicitation within the last five (5) years.

**Company Name:** County of Los Angeles Department of Public Works

Contact Name and Phone Number: Jason Morgan (626) 300-4608

Contact Email: jmorgan@ladpw.org

Address: 900 South Fremont Ave., A-9 East, Alhambra, CA 91803

Contract Date: March 29, 2016

Contract Amount: \$3 million

Requirements of Contract: On-Call

Company Name: Imperial County Airport

Contact Name and Phone Number: Jenell Guerrero (760) 604-2162

Contact Email: JenellGuerrero@co.imperial.ca.us

Address: 1099 Airport Rd., Imperial, CA 92251

Contract Date: May 16, 2017

Contract Amount: \$250,000

Requirements of Contract: On-Call

Company Name: County of San Diego

Contact Name and Phone Number: Robert Torres and (858) 495-5146

Contact Email: robert.torres@sdcounty.ca.gov

Address: 5555 Overland Ave., San Diego, CA 92123

Contract Date: August 21, 2014

Contract Amount: \$5 million

Requirements of Contract: On-Call

### G. COMPLIANCE:

1. In the past five (5) years, has your firm or any firm owner, partner, officer, executive, or manager been criminally penalized or found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for violating any federal, state, or local law in performance of a contract, including but not limited to, laws regarding health and safety, labor and employment, permitting, and licensing laws?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances surrounding each instance. Include the name of the entity involved, the specific infraction(s) or violation(s), dates of instances, and outcome with current status.

In the past five (5) years, has your firm been determined to be non-responsible by a public entity?
 Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances of each instance. Include the name of the entity involved, the specific infraction, dates, and outcome.

### H. BUSINESS INTEGRITY:

1. In the past five (5) years, has your firm been convicted of or found liable in a civil suit for making a false claim or material misrepresentation to a private or public entity?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances of each instance. Include the entity involved, specific violation(s), dates, outcome and current status.

2. In the past five (5) years, has your firm or any of its executives, management personnel, or owners been convicted of a crime, including misdemeanors, or been found liable in a civil suit involving the bidding, awarding, or performance of a government contract?

Yes X No

If **Yes**, use Attachment "A" to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.

3. In the past five (5) years, has your firm or any of its executives, management personnel, or owners been convicted of a federal, state, or local crime of fraud, theft, or any other act of dishonesty?

Yes X No

Public Works Contracts – Contractor Standards Pledge of Compliance If **Yes**, use Attachment "A" to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.

### I. WAGE COMPLIANCE:

In the past five (5) years, has your firm been required to pay back wages or penalties for failure to comply with the federal, state or local prevailing, minimum, or living wage laws?

Yes X No

If **Yes**, use Attachment "A" to explain the specific circumstances of each instance. Include the entity involved, the specific infraction(s), dates, outcome, and current status.

### J. STATEMENT OF SUBCONTRACTORS:

Please provide the names and information for all subcontractors used in the performance of the proposed contract, and what portion of work will be assigned to each subcontractor. Subcontractors may not be substituted without the written consent of the City. Use Attachment "A" if additional pages are necessary. If no subcontractors will be used, please check here  $\Box$  Not Applicable.

Company Name: Helix Environmental Planning

Contact Name and Phone Number: Michael Schwerin, CEO; 619-462-1515

Contact Email: MikeS@helixepi.com

Address: 7578 El Cajon Blvd. Suite 200, La Mesa CA 91942

Contract Date: TBD

Sub-Contract Dollar Amount: ~ \$58,900.00

Requirements of Contract: Support for NEPA Compliance

What portion of work will be assigned to this subcontractor:  $\sim 4.7\%$ 

Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? (Check One) Yes No X

If **Yes**, Contractor must provide valid proof of certification with the response to the bid or proposal.

### K. STATEMENT OF AVAILABLE EQUIPMENT:

List all necessary equipment to complete the work specified using Attachment "A". In instances where the required equipment is not owned by the Contractor, Contractor shall explain how the equipment will be made available before the commencement of work. The City of San Diego reserves the right to reject any response when, in its opinion, the Contractor has not demonstrated he or she will be properly equipped to perform the work in an efficient, effective manner for the duration of the contract period.

If no equipment is necessary to complete the work specified, please check here  $\overline{X}$  Not Applicable.

### L. TYPE OF SUBMISSION: This document is submitted as:

**⊢**Pledge of Compliance Initial submission.

OR

X Update to prior Pledge of Compliance dated \_\_\_\_\_\_\_ 05 / 6 /2022

### Complete all questions and sign below.

Under penalty of perjury under the laws of the State of California, I certify that I have read and understand the questions contained in this Pledge of Compliance, that I am responsible for completeness and accuracy of the responses contained herein, and that all information provided is true, full and complete to the best of my knowledge and belief. I agree to provide written notice to the Purchasing Agent within five (5) business days if, at any time, I learn that any portion of this Pledge of Compliance requires an updated response. Failure to timely provide the Purchasing Agent with written notice is grounds for Contract termination.

I, on behalf of the firm, further certify that I and my firm will comply with the following provisions of SDMC section 22.3004:

(a) I and my firm will comply with all applicable local, State and Federal laws, including health and safety, labor and employment, and licensing laws that affect the employees, worksite or performance of the contract.

(b) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of receiving notice that a government agency has begun an investigation of me or my firm that may result in a finding that I or my firm is or was not in compliance with laws stated in paragraph (a).

(c) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of a finding by a government agency or court of competent jurisdiction of a violation by the Contractor of laws stated in paragraph (a).

(d) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of becoming aware of an investigation or finding by a government agency or court of competent jurisdiction of a violation by a subcontractor of laws stated in paragraph (a).

(e) I and my firm will cooperate fully with the City during any investigation and agree to respond to a request for information within ten (10) working days.

Failure to sign and submit this form with the bid/proposal shall make the bid/proposal nonresponsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed Pledge of Compliance is submitted.

Vielan D. Michael Hotaling, Chief Executive Officer December 22, 2022 Name and Title Date

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered. If not using this Attachment "A", please check here 
Not Applicable.

F.3: Meadow Valley Contractors, Inc., v. C & S Engineers, Inc., et al. (AZ Superior Ct., Maricopa Co.; Case No.: CV2015-013325): C&S performed design services for the Springerville, AZ for the rehab of a runway. Plaintiff filed the referenced lawsuit against C&S when it failed to meet the project paving specifications. C&S asserted that the contractor's means and methods were at issue. Work has since been completed by a different contractor with the same specifications. Trial was held in October 2017. In August 2018, the parties agreed on a post-trial settlement and a satisfaction of judgment and release was filed. The matter is closed.

Village of Johnson City, et al. v. C & S Engineers, Inc., et al. (New York Supreme Court, Broome County; Index No. 2011/001832): In 2011, a wall forming part of a secondary wastewater treatment cell at a sewage treatment plant in Vestal, NY, failed, spilling the cell's contents onto the adjacent ground and into a creek. While not the designer of the wall, C&S had retained a subconsultant who performed structural design. Settlement terms were reached in September 2022. The matter is now closed.

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Michael Hotaling, Chief Executive Officer

Print Name, Title

December 22, 2022 Date

Public Works Contracts – Contractor Standards Pledge of Compliance

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered. If not using this Attachment "A", please check here 
Not Applicable.

F.4: Village of Johnson City et al., v. C & S Engineers, Inc., et al.: On May 16, 2011, a wall forming part of a secondary wastewater treatment cell at the Binghamton-Johnson City Joint Sewage Treatment Plant in Vestal, New York, failed, spilling its contents onto the adjacent ground and into a creek. The City of Binghamton, for itself, the Village of Johnson City, and the Joint Sewage Board, then sued C & S Engineers and many others to recover damages in the matter, which allegedly total some \$20 million. The parties initially agreed to toll the litigation and mediate the case. The initial mediation session was held in Albany, New York, on March 2-3, 2015; a second mediation session was convened on August 31 and ran through September 2, 2015. Although the mediation, which was conducted by Philip Bruner, Esq., an eminent construction matter mediator and arbitrator, did not result in settlement, the plaintiffs' positions were clarified C&S and its insurer decided to settle with Delta Engineers, Architects and Land Surveyors, DPLC, C & S Engineers' structural engineering subconsultant for the project, to avoid further depletion of Delta's insurance limits by attorney's fees. C & S Engineers assumed the defense of Delta. Further adjudication of the case was reassigned to Judge Jeffrey Tait, who held a mediation session during the week of March 22, 2021, another was held with a professional mediator on April 4 2022 and a third with the Judge on May 25, 2022 in Binghamton. On the eve of trial, this matter has been settled with all parties.

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Michael Hotaling, Chief Executive Officer

Print Name, Title

December 22, 2022 Date

Public Works Contracts – Contractor Standards Pledge of Compliance

10 of 10

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here 🗌 Not Applicable.

J. Statement of Subcontractors:

Company Name: Allied Geotechnical Engineers, Inc.
Contact Name and Phone Number: Sani Sutanto / (619) 449-5900
Contact Email: S_sutanto@alliedgeo.org
Address: 9500 Cuyamaca St., Suite 102, Santee, CA 92071
Contract Date: TBD
Sub-Contract Dollar Amount: ~ \$184,302.70
Requirements of Contract: Geotechnical, geology & environmental
What portion of work will be assigned to this subcontractor: ~ 14.8%
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE?Yes, ELBE, S/M/DBE and DBE Micro
Company Name: West Coast Civil, Inc.
Contact Name and Phone Number: Sean McCarty / (858) 869-1332
Contact Email: sean@westcoastcivil.com
Address: 10650 Treena St., Suite 104, San Diego, CA 92131
Contract Date: TBD
Sub-Contract Dollar Amount: ~ \$113,510.00
Requirements of Contract: Civil Engineering
What portion of work will be assigned to this subcontractor: ~ 9.1%
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? Yes, MBE/SLBE

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Michael Hotaling, Chief Executive Officer

Print Name, Title

Signature

December 22, 2022 Date

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here 🗌 Not Applicable.

J. Statement of Subcontractors: Company Name: Alta Land Surveying, Inc. Contact Name and Phone Number: Miguel Martinez / (619) 713-2582 Contact Email: miguel@altalandsurveying.com Address: 9517 Grossmont Summit Dr., La Mesa, CA 91941 Contract Date: TBD Sub-Contract Dollar Amount: ~ \$329,250.00 Requirements of Contract: Land Surveying What portion of work will be assigned to this subcontractor: ~ 26.5% Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? Yes, DBE/MBE/SLBE Company Name: Barnett Quality Control Services, Inc. dba NOVA Services, Inc. Contact Name and Phone Number: Dave Wozniak / (858) 864-6223 Contact Email: dwozniak@usa-nova.com Address: 4373 Viewridge Ave., Suite B San Diego, CA 92123 Contract Date: TBD Sub-Contract Dollar Amount: ~\$63,510.00 Requirements of Contract: QA Pavement Testing What portion of work will be assigned to this subcontractor:  $\sim 5\%$ Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? SLBE, DVBE, SBE

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Michael Hotaling, Chief Executive Officer	Minhaul De Attaline	December 22, 2022
Print Name, Title	Signature	Date

### CALIFORNIA LABOR CODE

## EXISTING LAW

### ' 1771. Payment of general prevailing rate

Except for public works projects of one thousand dollars (\$1,000) or less, not less than the general prevailing rate of per diem wages for work of a similar character in the locality in which the public work is performed, and not less than the general prevailing rate of per diem wages for holiday and overtime work fixed as provided in this chapter, shall be paid to all workers employed on public works.

This section is applicable only to work performed under contract, and is not applicable to work carried out by a public agency with its own forces. This section is applicable to contracts let for maintenance work.

#### AMENDMENT

### ' 1720. Public works; use of public funds

As used in this chapter, "public works" means:

- (a) Construction, alteration, demolition, or repair work done under contract and paid for in whole or in part out of public funds, except work done directly by any public utility company pursuant to order of the Public Utilities Commission or other public authority. <u>For purposes of this</u> <u>subdivision, "construction" includes work performed during the design and preconstruction</u> <u>phases of construction including, but not limited to, inspection and land surveying work.</u>
- (b) Work done for irrigation, utility, reclamation, and improvement districts, and other districts of this type. "public works" shall not include the operation of the irrigation or drainage system of any irrigation or reclamation district, except as used in Section 1778 relating to retainingwages.
- (c) Street sewer, or other improvement work done under the direction and supervision or by the authority of any officer or public body of the state, or of any political subdivision or district thereof, whether the political subdivision or district operates under a freeholder's charter or not.
- (d) The laying of carpet done under a building lease-maintenance contract and paid for out of public funds.
- (e) The laying of carpet in a public building done under contract and paid for in whole or in part out of public funds.
- (f) Public transportation demonstration projects authorized pursuant to Section 143 of the Streets and Highways Code.

(Amended by Stats.1989, c. 278, '1, eff. Aug. 7, 1989; Stats.2000, c. 881 (S.B.1999), '1.)

"General Decision Number: CA20230001 01/13/2023

Superseded General Decision Number: CA20220001

State: California

Construction Types: Building, Heavy (Heavy and Dredging), Highway and Residential

County: San Diego County in California.

BUILDING CONSTRUCTION PROJECTS; DREDGING PROJECTS (does not include hopper dredge work); HEAVY CONSTRUCTION PROJECTS (does not include water well drilling); HIGHWAY CONSTRUCTION PROJECTS; RESIDENTIAL CONSTRUCTION PROJECTS (consisting of single family homes and apartments up to and including 4 stories)

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(2)-(60).

If the contract is entered  . Executive Order 14026
into on or after January 30,   generally applies to the
2022, or the contract is   contract.
renewed or extended (e.g., an  . The contractor must pay
option is exercised) on or   all covered workers at
after January 30, 2022:   least \$16.20 per hour (or
the applicable wage rate
listed on this wage
determination, if it is
higher) for all hours
spent performing on the
contract in 2023.
If the contract was awarded on. Executive Order 13658
or between January 1, 2015 and generally applies to the
January 29, 2022, and the   contract.
contract is not renewed or  . The contractor must pay all
extended on or after January   covered workers at least
30, 2022:   \$12.15 per hour (or the
applicable wage rate listed
on this wage determination,
if it is higher) for all
hours spent performing on
that contract in 2023.
that contract in 2025.
The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at http://www.dol.gov/whd/govcontracts.

Modification Number Publication Date 0 01/06/2023 1 01/13/2023

ASBE0005-002 07/04/2022

Rates Fringes

Asbestos Workers/Insulator	
(Includes the application of	
all insulating materials,	
protective coverings,	
coatings, and finishes to all	
types of mechanical systems)\$ 49.58	25.27
Fire Stop Technician	
(Application of Firestopping	
Materials for wall openings	
and penetrations in walls,	
floors, ceilings and curtain	
walls)\$ 32.09	.66

ASBE0005-004 07/04/2022

Rates Fringes

\_\_\_\_\_

Asbestos Removal worker/hazardous material handler (Includes preparation, wetting, stripping, removal, scrapping, vacuuming, bagging and disposing of all insulation materials from mechanical systems, whether they contain asbestos or not)....\$ 23.52 13.37

\_\_\_\_\_

BOIL0092-003 01/01/2021

Rates Fringes

BOILERMAKER		\$ 46.03	38.81	
BRCA0004-008 11/0	01/2022			
1	Rates	Fringes		
BRICKLAYER; MA				19.00
BRCA0018-004 06/0				
]	Rates	Fringes		
MARBLE FINISHER TILE FINISHER TILE LAYER	\$	32.44	12.54	
BRCA0018-010 09/0	01/2022			
]	Rates	Fringes		
TERRAZZO FINISH TERRAZZO WORKI				
CARP0213-003 07/0	1/2021			
]	Rates	Fringes		
Drywall (1) Work on wood construction of sin family residences, apartments or cond under four stories Drywall Installer/ Drywall Stocker/S	gle Iominiums Lather\$	32.14	16.28 8.62	
CARP0619-002 07/0	1/2021			
J	Rates	Fringes		
Drywall (2) All other work Drywall Installer/ Drywall Stocker/S			16.28 8.62	
CARP0619-003 07/0	1/2021			
]	Rates	Fringes		
<ul> <li>CARPENTER <ul> <li>(1) Bridge</li> <li>(2) Commercial B</li> <li>(3) Heavy &amp; High</li> <li>(4) Residential Ca</li> </ul> </li> </ul>	uilding way	\$ 46.30 \$ 51.40	28 16.28 16.28 16.28	

file:///C/Users/FRomero/OneDrive% 20-% 20 City% 20 of% 20 San% 20 Diego/Archive% 2009-27-06/Davis-Bacon% 20 Regs/ca1(1).txt [2/9/2023 1:47:30 PM]

EXHIBIT I

 (5) Residential

 Insulation Installer......\$ 24.16
 15.76

 PILEDRIVERMAN......\$ 51.53
 16.28

#### CARP0619-004 07/01/2021

## Rates Fringes

#### Diver

(1) Wet	\$ 831.20	16.28
(2) Standby	\$ 444.24	16.28
(3) Tender	\$ 436.24	16.28
(4) Assistant Te	ender\$ 412.24	16.28

Amounts in ""Rates' column are per day

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## CARP0721-001 07/01/2021

Rates Fringes
Modular Furniture Installer......\$ 21.85
7.15

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## CARP1607-004 07/01/2021

	Rates	Fringe	es
MILLWRIGHT		\$ 51.90	16.48
ELEC0569-001 06	/01/2021		
	Rates	Fringe	es
Electricians (Tunne Cable Splicer Electrician Electricians: (All O Work, Including 4 S Residential)	\$ 54 \$ 53.6 ther		3%+14.88 %+14.88

Cable Splicer	\$ 48.40	3% + 14.88
Electrician	\$ 47.65	3%+14.88

ELEC0569-004 06/01/2021

Rates Fringes

ELECTRICIAN (Sound & Communications Sound Technician)......\$ 35.20 13.84 SCOPE OF WORK Assembly, installation, operation, service and maintenance of components or systems as used in closed circuit television, amplified master television distribution, CATV on private property, intercommunication, burglar alarm, fire alarm, life support and all security alarms, private and public telephone and related telephone interconnect, public address, paging, audio, language, electronic, background music system less than line voltage or any system acceptable for class two wiring for private, commercial, or industrial use furnished by leased wire, freuency modulation or other recording devices, electrical apparatus by means of which electricity is applied to the amplification, transmission, transference, recording or reproduction of voice, music, sound, impulses and video. Excluded from this Scope of Work transmission, service and maintenance of background music. All of the above shall include the installation and transmission over fiber optics.

ELEC0569-005 06/01/2021

Rates Fringes

Sound & Communications

Sound Technician.....\$ 35.20 13.84 SCOPE OF WORK Assembly, installation, operation, service and maintenance of components or systems as used in closed circuit television, amplified master television distribution, CATV on private property, intercommunication, burglar alarm, fire alarm, life support and all security alarms, private and public telephone and related telephone interconnect, public address, paging, audio, language, electronic, background music system less than line voltage or any system acceptable for class two wiring for private, commercial, or industrial use furnished by leased wire, freuency modulation or other recording devices, electrical apparatus by means of which electricity is applied to the amplification, transmission, transference, recording or reproduction of voice, music, sound, impulses and video. Excluded from this Scope of Work transmission, service and maintenance of background music. All of the above shall include the installation and transmission over fiber optics.

SOUND TECHNICIAN: Terminating, operating and performing final check-out

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ELEC0569-006 06/06/2022

Work on street lighting; traffic signals; and underground systems and/or established easements outside of buildings

Rates Fringes

Traffic signal, street light<br/>and underground work<br/>Utility Technician #1.....\$ 38.67<br/>Utility Technician #2.....\$ 30.109.11<br/>8.85

## STREET LIGHT & TRAFFIC SIGNAL WORK:

EXHIBIT I

UTILITY TECHNICIAN #1: Installation of street lights and traffic signals, including electrical circuitry, programmable controller, pedestal-mounted electrical meter enclosures and laying of pre-assembled cable in ducts. The layout of electrical systems and communication installation including proper position of trench depths, and radius at duct banks, location for manholes, street lights and traffic signals.

UTILITY TECHNICIAN #2: Distribution of material at jobsite, installation of underground ducts for electrical, telephone, cable TV land communication systems. The setting, leveling, grounding and racking of precast manholes, handholes and transformer pads.

## ELEC0569-008 08/30/2021

Rates Fringes

\_\_\_\_\_

ELECTRICIAN (Residential, 1-3 Stories).....\$ 37.28 7.98

ELEC1245-001 06/01/2022

Rates Fringes

22.58

#### LINE CONSTRUCTION (1) Lineman; Cable splicer..\$ 64.40

(2) Equipment specialist	
(operates crawler	
tractors, commercial motor	
vehicles, backhoes,	
trenchers, cranes (50 tons	
and below), overhead &	
underground distribution	
line equipment)\$ 50.00	21.30
(3) Groundman\$ 38.23	20.89
(4) Powderman\$ 51.87	18.79

HOLIDAYS: New Year's Day, M.L. King Day, Memorial Day, Independence Day, Labor Day, Veterans Day, Thanksgiving Day and day after Thanksgiving, Christmas Day

\* ELEV0018-001 01/01/2023

Rates Fringes

ELEVATOR MECHANIC......\$ 63.95 37.335+a+b

## FOOTNOTE:

a. PAID VACATION: Employer contributes 8% of regular hourly

# rate as vacation pay credit for employees with more than 5 years of service, and 6% for 6 months to 5 years of service.

b. PAID HOLIDAYS: New Year's Day, Memorial Day, Independence Day, Labor Day, Veterans' Day, Thanksgiving Day, Friday after Thanksgiving, and Christmas Day.

#### ENGI0012-003 07/01/2022

Rates Fringes

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OPERATOR: Power Equipment	
(All Other Work)	
GROUP 1\$ 51.90	30.70
GROUP 2\$ 52.68	30.70
GROUP 3\$ 52.97	30.70
GROUP 4\$ 54.46	30.70
GROUP 5\$ 48.96	25.25
GROUP 6\$ 54.68	30.70
GROUP 8\$ 54.79	30.70
GROUP 9\$ 49.29	25.25
GROUP 10\$ 54.91	30.70
GROUP 11\$ 49.41	25.25
GROUP 12\$ 55.08	30.70
GROUP 13\$ 55.18	30.70
GROUP 14\$ 55.21	30.70
GROUP 15\$ 55.29	30.70
GROUP 16\$ 55.41	30.70
GROUP 17\$ 55.58	30.70
GROUP 18\$ 55.68	30.70
GROUP 19\$ 55.79	30.70
GROUP 20\$ 55.91	30.70
GROUP 21\$ 56.08	30.70
GROUP 22\$ 56.18	30.70
GROUP 23\$ 56.29	30.70
GROUP 24\$ 56.41	30.70
GROUP 25\$ 56.58	30.70
OPERATOR: Power Equipment	
(Cranes, Piledriving &	
Hoisting)	
GROUP 1\$ 53.25	30.70
GROUP 2\$ 54.03	30.70
GROUP 3\$ 54.32	30.70
GROUP 4\$ 54.46	30.70
GROUP 5\$ 54.68	30.70
GROUP 6\$ 54.79	30.70
GROUP 7\$ 54.91	30.70
GROUP 8\$ 55.08	30.70
GROUP 9\$ 55.25	30.70
GROUP 10\$ 56.25	30.70
GROUP 11\$ 57.25	30.70
GROUP 12\$ 58.25	30.70
GROUP 13\$ 59.25	30.70

**OPERATOR:** Power Equipment

	1 1		
(Tunnel Wo	rk)		
GROUP	1\$	5 54.53	30.70
GROUP	2	5 54.82	30.70
GROUP	3§	5 54.96	30.70
GROUP	4	5 55.18	30.70
GROUP	5\$	55.29	30.70
GROUP	6	55.41	30.70
GROUP	7	5 55.71	30.70

### PREMIUM PAY:

\$3.75 per hour shall be paid on all Power Equipment Operator work on the followng Military Bases: China Lake Naval Reserve, Vandenberg AFB, Point Arguello, Seely Naval Base, Fort Irwin, Nebo Annex Marine Base, Marine Corp Logistics Base Yermo, Edwards AFB, 29 Palms Marine Base and Camp Pendleton

Workers required to suit up and work in a hazardous material environment: \$2.00 per hour additional. Combination mixer and compressor operator on gunite work shall be classified as a concrete mobile mixer operator.

## SEE ZONE DEFINITIONS AFTER CLASSIFICATIONS

## POWER EQUIPMENT OPERATORS CLASSIFICATIONS

GROUP 1: Bargeman; Brakeman; Compressor operator; Ditch Witch, with seat or similar type equipment; Elevator operator-inside; Engineer Oiler; Forklift operator (includes loed, lull or similar types under 5 tons; Generator operator; Generator, pump or compressor plant operator; Pump operator; Signalman; Switchman

GROUP 2: Asphalt-rubber plant operator (nurse tank operator); Concrete mixer operator-skip type; Conveyor operator; Fireman; Forklift operator (includes loed, lull or similar types over 5 tons; Hydrostatic pump operator; oiler crusher (asphalt or concrete plant); Petromat laydown machine; PJU side dum jack; Screening and conveyor machine operator (or similar types); Skiploader (wheel type up to 3/4 yd. without attachment); Tar pot fireman; Temporary heating plant operator; Trenching machine oiler

GROUP 3: Asphalt-rubber blend operator; Bobcat or similar type (Skid steer); Equipment greaser (rack); Ford Ferguson (with dragtype attachments); Helicopter radioman (ground); Stationary pipe wrapping and cleaning machine operator

GROUP 4: Asphalt plant fireman; Backhoe operator (mini-max or similar type); Boring machine operator; Boxman or mixerman (asphalt or concrete); Chip spreading machine operator; Concrete cleaning decontamination machine operator; Concrete Pump Operator (small portable); Drilling machine operator, small auger types (Texoma super economatic or similar types - Hughes 100 or 200 or similar types drilling depth of 30' maximum); Equipment greaser (grease truck); Guard rail post driver operator; Highline cableway signalman; Hydra-hammer-aero stomper; Micro Tunneling (above ground tunnel); Power concrete curing machine operator; Power concrete saw operator; Power-driven jumbo form setter operator; Power sweeper operator; Rock Wheel Saw/Trencher; Roller operator (compacting); Screed operator (asphalt or concrete); Trenching machine operator (up to 6 ft.); Vacuum or much truck

GROUP 5: Equipment Greaser (Grease Truck/Multi Shift).

GROUP 6: Articulating material hauler; Asphalt plant engineer; Batch plant operator; Bit sharpener; Concrete joint machine operator (canal and similar type); Concrete planer operator; Dandy digger; Deck engine operator; Derrickman (oilfield type); Drilling machine operator, bucket or auger types (Calweld 100 bucket or similar types - Watson 1000 auger or similar types - Texoma 330, 500 or 600 auger or similar types - drilling depth of 45' maximum); Drilling machine operator; Hydrographic seeder machine operator (straw, pulp or seed), Jackson track maintainer, or similar type; Kalamazoo Switch tamper, or similar type; Machine tool operator; Maginnis internal full slab vibrator, Mechanical berm, curb or gutter(concrete or asphalt); Mechanical finisher operator (concrete, Clary-Johnson-Bidwell or similar); Micro tunnel system (below ground); Pavement breaker operator (truck mounted); Road oil mixing machine operator; Roller operator (asphalt or finish), rubber-tired earth moving equipment (single engine, up to and including 25 yds. struck); Self-propelled tar pipelining machine operator; Skiploader operator (crawler and wheel type, over 3/4 yd. and up to and including 1-1/2 yds.); Slip form pump operator (power driven hydraulic lifting device for concrete forms); Tractor operator-bulldozer, tamper-scraper (single engine, up to 100 h.p. flywheel and similar types, up to and including D-5 and similar types); Tugger hoist operator (1 drum); Ultra high pressure waterjet cutting tool system operator; Vacuum blasting machine operator

GROUP 8: Asphalt or concrete spreading operator (tamping or finishing); Asphalt paving machine operator (Barber Greene or similar type); Asphalt-rubber distribution operator; Backhoe operator (up to and including 3/4 yd.), small ford, Case or similar; Cast-in-place pipe laying machine operator; Combination mixer and compressor operator (gunite work); Compactor operator (self-propelled); Concrete mixer operator (paving); Crushing plant operator; Drill Doctor; Drilling machine operator, Bucket or auger types (Calweld 150 bucket or similar types - Watson 1500, 2000 2500 auger or similar types - Texoma 700, 800 auger or similar types -

EXHIBIT I

drilling depth of 60' maximum); Elevating grader operator; Grade checker; Gradall operator; Grouting machine operator; Heavy-duty repairman; Heavy equipment robotics operator; Kalamazoo balliste regulator or similar type; Kolman belt loader and similar type; Le Tourneau blob compactor or similar type; Loader operator (Athey, Euclid, Sierra and similar types); Mobark Chipper or similar; Ozzie padder or similar types; P.C. slot saw; Pneumatic concrete placing machine operator (Hackley-Presswell or similar type); Pumpcrete gun operator; Rock Drill or similar types; Rotary drill operator (excluding caisson type); Rubber-tired earth-moving equipment operator (single engine, caterpillar, Euclid, Athey Wagon and similar types with any and all attachments over 25 yds. up to and including 50 cu. yds. struck); Rubber-tired earth-moving equipment operator (multiple engine up to and including 25 yds. struck); Rubber-tired scraper operator (self-loading paddle wheel type-John Deere, 1040 and similar single unit); Selfpropelled curb and gutter machine operator; Shuttle buggy; Skiploader operator (crawler and wheel type over 1-1/2 yds. up to and including 6-1/2 yds.); Soil remediation plant operator; Surface heaters and planer operator; Tractor compressor drill combination operator; Tractor operator (any type larger than D-5 - 100 flywheel h.p. and over, or similar-bulldozer, tamper, scraper and push tractor single engine); Tractor operator (boom attachments), Traveling pipe wrapping, cleaning and bendng machine operator; Trenching machine operator (over 6 ft. depth capacity, manufacturer's rating); trenching Machine with Road Miner attachment (over 6 ft depth capacity): Ultra high pressure waterjet cutting tool system mechanic; Water pull (compaction) operator

## GROUP 9: Heavy Duty Repairman

GROUP 10: Drilling machine operator, Bucket or auger types (Calweld 200 B bucket or similar types-Watson 3000 or 5000 auger or similar types-Texoma 900 auger or similar types-drilling depth of 105' maximum); Dual drum mixer, dynamic compactor LDC350 (or similar types); Monorail locomotive operator (diesel, gas or electric); Motor patrol-blade operator (single engine); Multiple engine tractor operator (Euclid and similar type-except Quad 9 cat.); Rubber-tired earth-moving equipment operator (single engine, over 50 yds. struck); Pneumatic pipe ramming tool and similar types; Prestressed wrapping machine operator; Rubber-tired earth-moving equipment operator (single engine, over 50 yds. struck); Rubber tired earth moving equipment operator (multiple engine, Euclid, caterpillar and similar over 25 yds. and up to 50 yds. struck), Tower crane repairman; Tractor loader operator (crawler and wheel type over 6-1/2 yds.); Woods mixer operator (and similar Pugmill equipment)

GROUP 11: Heavy Duty Repairman - Welder Combination, Welder - Certified.

GROUP 12: Auto grader operator; Automatic slip form operator; Drilling machine operator, bucket or auger types (Calweld, auger 200 CA or similar types - Watson, auger 6000 or similar types - Hughes Super Duty, auger 200 or similar types - drilling depth of 175' maximum); Hoe ram or similar with compressor; Mass excavator operator less tha 750 cu. yards; Mechanical finishing machine operator; Mobile form traveler operator; Motor patrol operator (multi-engine); Pipe mobile machine operator; Rubber-tired earth- moving equipment operator (multiple engine, Euclid, Caterpillar and similar type, over 50 cu. yds. struck); Rubber-tired self- loading scraper operator (paddle-wheel-auger type self-loading - two (2) or more units)

GROUP 13: Rubber-tired earth-moving equipment operator operating equipment with push-pull system (single engine, up to and including 25 yds. struck)

GROUP 14: Canal liner operator; Canal trimmer operator; Remote- control earth-moving equipment operator (operating a second piece of equipment: \$1.00 per hour additional); Wheel excavator operator (over 750 cu. yds.)

GROUP 15: Rubber-tired earth-moving equipment operator, operating equipment with push-pull system (single engine, Caterpillar, Euclid, Athey Wagon and similar types with any and all attachments over 25 yds. and up to and including 50 yds. struck); Rubber-tired earth-moving equipment operator, operating equipment with push-pull system (multiple engine-up to and including 25 yds. struck)

GROUP 16: Rubber-tired earth-moving equipment operator, operating equipment with push-pull system (single engine, over 50 yds. struck); Rubber-tired earth-moving equipment operator, operating equipment with push-pull system (multiple engine, Euclid, Caterpillar and similar, over 25 yds. and up to 50 yds. struck)

GROUP 17: Rubber-tired earth-moving equipment operator, operating equipment with push-pull system (multiple engine, Euclid, Caterpillar and similar, over 50 cu. yds. struck); Tandem tractor operator (operating crawler type tractors in tandem - Quad 9 and similar type)

GROUP 18: Rubber-tired earth-moving equipment operator, operating in tandem (scrapers, belly dumps and similar types in any combination, excluding compaction units - single engine, up to and including 25 yds. struck)

GROUP 19: Rotex concrete belt operator (or similar types); Rubber-tired earth-moving equipment operator, operating in

**EXHIBIT I** 

tandem (scrapers, belly dumps and similar types in any combination, excluding compaction units - single engine, Caterpillar, Euclid, Athey Wagon and similar types with any and all attachments over 25 yds.and up to and including 50 cu. yds. struck); Rubber-tired earth-moving equipment operator, operating in tandem (scrapers, belly dumps and similar types in any combination, excluding compaction units - multiple engine, up to and including 25 yds. struck)

GROUP 20: Rubber-tired earth-moving equipment operator, operating in tandem (scrapers, belly dumps and similar types in any combination, excluding compaction units single engine, over 50 yds. struck); Rubber-tired earth-moving equipment operator, operating in tandem (scrapers, belly dumps, and similar types in any combination, excluding compaction units - multiple engine, Euclid, Caterpillar and similar, over 25 yds. and up to 50 yds. struck)

GROUP 21: Rubber-tired earth-moving equipment operator, operating in tandem (scrapers, belly dumps and similar types in any combination, excluding compaction units multiple engine, Euclid, Caterpillar and similar type, over 50 cu. yds. struck)

GROUP 22: Rubber-tired earth-moving equipment operator, operating equipment with the tandem push-pull system (single engine, up to and including 25 yds. struck)

GROUP 23: Rubber-tired earth-moving equipment operator, operating equipment with the tandem push-pull system (single engine, Caterpillar, Euclid, Athey Wagon and similar types with any and all attachments over 25 yds. and up to and including 50 yds. struck); Rubber-tired earth-moving equipment operator, operating with the tandem push-pull system (multiple engine, up to and including 25 yds. struck)

GROUP 24: Rubber-tired earth-moving equipment operator, operating equipment with the tandem push-pull system (single engine, over 50 yds. struck); Rubber-tired earth-moving equipment operator, operating equipment with the tandem push-pull system (multiple engine, Euclid, Caterpillar and similar, over 25 yds. and up to 50 yds. struck)

GROUP 25: Concrete pump operator-truck mounted; Rubber-tired earth-moving equipment operator, operating equipment with the tandem push-pull system (multiple engine, Euclid, Caterpillar and similar type, over 50 cu. yds. struck)

## CRANES, PILEDRIVING AND HOISTING EQUIPMENT CLASSIFICATIONS

GROUP 1: Engineer oiler; Fork lift operator (includes loed,

lull or similar types)

GROUP 2: Truck crane oiler

GROUP 3: A-frame or winch truck operator; Ross carrier operator (jobsite)

GROUP 4: Bridge-type unloader and turntable operator; Helicopter hoist operator

GROUP 5: Hydraulic boom truck; Stinger crane (Austin-Western or similar type); Tugger hoist operator (1 drum)

GROUP 6: Bridge crane operator; Cretor crane operator; Hoist operator (Chicago boom and similar type); Lift mobile operator; Lift slab machine operator (Vagtborg and similar types); Material hoist and/or manlift operator; Polar gantry crane operator; Self Climbing scaffold (or similar type); Shovel, backhoe, dragline, clamshell operator (over 3/4 yd. and up to 5 cu. yds. mrc); Tugger hoist operator

GROUP 7: Pedestal crane operator; Shovel, backhoe, dragline, clamshell operator (over 5 cu. yds. mrc); Tower crane repair; Tugger hoist operator (3 drum)

GROUP 8: Crane operator (up to and including 25 ton capacity); Crawler transporter operator; Derrick barge operator (up to and including 25 ton capacity); Hoist operator, stiff legs, Guy derrick or similar type (up to and including 25 ton capacity); Shovel, backhoe, dragline, clamshell operator (over 7 cu. yds., M.R.C.)

GROUP 9: Crane operator (over 25 tons and up to and including 50 tons mrc); Derrick barge operator (over 25 tons up to and including 50 tons mrc); Highline cableway operator; Hoist operator, stiff legs, Guy derrick or similar type (over 25 tons up to and including 50 tons mrc); K-crane operator; Polar crane operator; Self erecting tower crane operator maximum lifting capacity ten tons

GROUP 10: Crane operator (over 50 tons and up to and including 100 tons mrc); Derrick barge operator (over 50 tons up to and including 100 tons mrc); Hoist operator, stiff legs, Guy derrick or similar type (over 50 tons up to and including 100 tons mrc), Mobile tower crane operator (over 50 tons, up to and including 100 tons M.R.C.); Tower crane operator and tower gantry

GROUP 11: Crane operator (over 100 tons and up to and including 200 tons mrc); Derrick barge operator (over 100 tons up to and including 200 tons mrc); Hoist operator, stiff legs, Guy derrick or similar type (over 100 tons up to and including 200 tons mrc); Mobile tower crane operator (over 100 tons up to and including 200 tons mrc)

GROUP 12: Crane operator (over 200 tons up to and including 300 tons mrc); Derrick barge operator (over 200 tons up to and including 300 tons mrc); Hoist operator, stiff legs, Guy derrick or similar type (over 200 tons, up to and including 300 tons mrc); Mobile tower crane operator (over 200 tons, up to and including 300 tons mrc); Mobile tower smc)

GROUP 13: Crane operator (over 300 tons); Derrick barge operator (over 300 tons); Helicopter pilot; Hoist operator, stiff legs, Guy derrick or similar type (over 300 tons); Mobile tower crane operator (over 300 tons)

## TUNNEL CLASSIFICATIONS

GROUP 1: Skiploader (wheel type up to 3/4 yd. without attachment)

GROUP 2: Power-driven jumbo form setter operator

GROUP 3: Dinkey locomotive or motorperson (up to and including 10 tons)

GROUP 4: Bit sharpener; Equipment greaser (grease truck); Slip form pump operator (power-driven hydraulic lifting device for concrete forms); Tugger hoist operator (1 drum); Tunnel locomotive operator (over 10 and up to and including 30 tons)

GROUP 5: Backhoe operator (up to and including 3/4 yd.); Small Ford, Case or similar; Drill doctor; Grouting machine operator; Heading shield operator; Heavy-duty repairperson; Loader operator (Athey, Euclid, Sierra and similar types); Mucking machine operator (1/4 yd., rubber-tired, rail or track type); Pneumatic concrete placing machine operator (Hackley-Presswell or similar type); Pneumatic heading shield (tunnel); Pumpcrete gun operator; Tractor compressor drill combination operator; Tugger hoist operator (2 drum); Tunnel locomotive operator (over 30 tons)

GROUP 6: Heavy Duty Repairman

GROUP 7: Tunnel mole boring machine operator

## ENGINEERS ZONES

\$1.00 additional per hour for all of IMPERIAL County and the portions of KERN, RIVERSIDE & SAN BERNARDINO Counties as defined below:

That area within the following Boundary: Begin in San Bernardino County, approximately 3 miles NE of the intersection of I-15 and the California State line at that point which is the NW corner of Section 1, T17N,m R14E, San Bernardino Meridian. Continue W in a straight line to that point which is the SW corner of the northwest quarter of Section 6, T27S, R42E, Mt. Diablo Meridian. Continue North to the intersection with the Invo County Boundary at that point which is the NE corner of the western half of the northern quarter of Section 6, T25S, R42E, MDM. Continue W along the Inyo and San Bernardino County boundary until the intersection with Kern County, as that point which is the SE corner of Section 34, T24S, R40E, MDM. Continue W along the Inyo and Kern County boundary until the intersection with Tulare County, at that point which is the SW corner of the SE quarter of Section 32, T24S, R37E, MDM. Continue W along the Kern and Tulare County boundary, until that point which is the NW corner of T25S, R32E, MDM. Continue S following R32E lines to the NW corner of T31S, R32E, MDM. Continue W to the NW corner of T31S, R31E, MDM. Continue S to the SW corner of T32S, R31E, MDM. Continue W to SW corner of SE quarter of Section 34, T32S, R30E, MDM. Continue S to SW corner of T11N, R17W, SBM. Continue E along south boundary of T11N, SBM to SW corner of T11N, R7W, SBM. Continue S to SW corner of T9N, R7W, SBM. Continue E along south boundary of T9N, SBM to SW corner of T9N, R1E, SBM. Continue S along west boundary of R1E, SMB to Riverside County line at the SW corner of T1S, R1E, SBM. Continue E along south boundary of T1s, SBM (Riverside County Line) to SW corner of T1S, R10E, SBM. Continue S along west boundary of R10E, SBM to Imperial County line at the SW corner of T8S, R10E, SBM. Continue W along Imperial and Riverside county line to NW corner of T9S, R9E, SBM. Continue S along the boundary between Imperial and San Diego Counties, along the west edge of R9E, SBM to the south boundary of Imperial County/California state line. Follow the California state line west to Arizona state line, then north to Nevada state line, then continuing NW back to start at the point which is the NW corner of Section 1, T17N, R14E, SBM

#### \$1.00 additional per hour for portions of SAN LUIS OBISPO, KERN, SANTA BARBARA & VENTURA as defined below:

That area within the following Boundary: Begin approximately 5 miles north of the community of Cholame, on the Monterey County and San Luis Obispo County boundary at the NW corner of T25S, R16E, Mt. Diablo Meridian. Continue south along the west side of R16E to the SW corner of T30S, R16E, MDM. Continue E to SW corner of T30S, R17E, MDM. Continue S to SW corner of T31S, R17E, MDM. Continue E to SW corner of T31S, R18E, MDM. Continue S along West side of R18E, MDM as it crosses into San Bernardino Meridian numbering area and becomes R30W. Follow the west side of R30W, SBM to the SW corner of T9N, R30W, SBM. Continue E along the south edge of T9N, SBM to the Santa Barbara County and Ventura County boundary at that point whch is the SW corner of Section 34.T9N, R24W, SBM, continue S along the Ventura County line to that point which is the SW corner of the SE quarter of Section 32, T7N, R24W, SBM. Continue E along the south edge of T7N, SBM to the SE corner to T7N, R21W,

SBM. Continue N along East side of R21W, SBM to Ventura County and Kern County boundary at the NE corner of T8N, R21W. Continue W along the Ventura County and Kern County boundary to the SE corner of T9N, R21W. Continue North along the East edge of R21W, SBM to the NE corner of T12N, R21W, SBM. Continue West along the north edge of T12N, SBM to the SE corner of T32S, R21E, MDM. [T12N SBM is a think strip between T11N SBM and T32S MDM]. Continue North along the East side of R21E, MDM to the Kings County and Kern County border at the NE corner of T25S, R21E, MDM, continue West along the Kings County and Kern County Boundary until the intersection of San Luis Obispo County. Continue west along the Kings County and San Luis Obispo County boundary until the intersection with Monterey County. Continue West along the Monterey County and San Luis Obispo County boundary to the beginning point at the NW corner of T25S, R16E, MDM.

\$2.00 additional per hour for INYO and MONO Counties and the Northern portion of SAN BERNARDINO County as defined below:

That area within the following Boundary: Begin at the intersection of the northern boundary of Mono County and the California state line at the point which is the center of Section 17, T10N, R22E, Mt. Diablo Meridian. Continue S then SE along the entire western boundary of Mono County, until it reaches Inyo County at the point which is the NE corner of the Western half of the NW quarter of Section 2, T8S, R29E, MDM. Continue SSE along the entire western boundary of Inyo County, until the intersection with Kern County at the point which is the SW corner of the SE 1/4 of Section 32, T24S, R37E, MDM. Continue E along the Inyo and Kern County boundary until the intersection with San Bernardino County at that point which is the SE corner of section 34, T24S, R40E, MDM. Continue E along the Inyo and San Bernardino County boundary until the point which is the NE corner of the Western half of the NW quarter of Section 6, T25S, R42E, MDM. Continue S to that point which is the SW corner of the NW quarter of Section 6, T27S, R42E, MDM. Continue E in a straight line to the California and Nevada state border at the point which is the NW corner of Section 1, T17N, R14E, San Bernardino Meridian. Then continue NW along the state line to the starting point, which is the center of Section 18, T10N, R22E, MDM.

#### REMAINING AREA NOT DEFINED ABOVE RECIEVES BASE RATE

\* ENGI0012-004 08/01/2022

Rates Fringes

OPERATOR: Power Equipment (DREDGING)

#### **EXHIBIT I**

.\$ 61.60 32.50	(1) Leverman
\$ 55.63 32.50	(2) Dredge dozer
.\$ 55.52 32.50	(3) Deckmate
ern	(4) Winch operator
\$ 54.97 32.50	winch on dredge)
	(5) Fireman-Oiler,
	Deckhand, Bargem
\$ 54.43 32.50	Leveehand
\$ 55.04 32.50	(6) Barge Mate
\$ 55.52 32.50 ern \$ 54.97 32.50 \$ 54.43 32.50	<ul> <li>(3) Deckmate</li> <li>(4) Winch operator</li> <li>winch on dredge)</li> <li>(5) Fireman-Oiler,</li> <li>Deckhand, Bargem</li> <li>Leveehand</li> </ul>

\* IRON0229-001 01/01/2023

Rates Fringes

IRONWORKER	
Fence Erector\$ 41.28	25.66
Ornamental, Reinforcing	
and Structural\$46.20	34.30

#### PREMIUM PAY:

\$6.00 additional per hour at the following locations:

China Lake Naval Test Station, Chocolate Mountains Naval Reserve-Niland, Edwards AFB, Fort Irwin Military Station, Fort Irwin Training Center-Goldstone, San Clemente Island, San Nicholas Island, Susanville Federal Prison, 29 Palms - Marine Corps, U.S. Marine Base - Barstow, U.S. Naval Air Facility - Sealey, Vandenberg AFB

\$4.00 additional per hour at the following locations:

Army Defense Language Institute - Monterey, Fallon Air Base, Naval Post Graduate School - Monterey, Yermo Marine Corps Logistics Center

\$2.00 additional per hour at the following locations:

Port Hueneme, Port Mugu, U.S. Coast Guard Station - Two Rock

LABO0089-001 07/01/2022

Rates Fringes

LABORER (BUILDING and all other Residential Construction) Group 1.....\$ 37.68 22.44 Group 2.....\$ 38.37 22.44 Group 3.....\$ 39.12 22.44 Group 4.....\$ 39.98 22.44 Group 5.....\$ 41.60 22.44

## LABORER (RESIDENTIAL

CONSTRUCTION - See definition below) (1) Laborer.....\$ 35.58 20.77 (2) Cleanup, Landscape, Fencing (Chain Link & Wood).\$ 34.29

RESIDENTIAL DEFINITION: Wood or metal frame construction of single family residences, apartments and condominums excluding (a) projects that exceed three stories over a garage level, (b) any utility work such as telephone, gas, water, sewer and other utilities and (c) any fine grading work, utility work or paving work in the future street and public right-of-way; but including all rough grading work at the job site behind the existing right of way

20.77

## LABORER CLASSIFICATIONS

GROUP 1: Cleaning and handling of panel forms; Concrete Screeding for Rought Strike-off; Concrete, water curing; Demolition laborer; Flagman; Gas, oil and/or water pipeline laborer; General Laborer; General clean-up laborer; Landscape laborer; Jetting laborer; Temporary water and air lines laborer; Material hoseman (walls, slabs, floors and decks); Plugging, filling of Shee-bolt holes; Dry packing of concrete; Railroad maintenance, Repair Trackman and road beds, Streetcar and railroad construction trac laborers; Slip form raisers; Slurry seal crews (mixer operator, applicator operator, squeegee man, Shuttle man, top man), filling of cracks by any method on any surface; Tarman and mortar man; Tool crib or tool house laborer; Window cleaner; Wire Mesh puling-all concrete pouring operations

GROUP 2: Asphalt Shoveler; Cement Dumper (on 1 yard or larger mixer and handling bulk cement); Cesspool digger and installer; Chucktender; Chute man, pouring concrete, the handling of the cute from ready mix trucks, such as walls, slabs, decks, floors, foundations, footings, curbs, gutters and sidewalks; Concrete curer-impervious membrane and form oiler; Cutting torch operator (demoliton); Guinea chaser; Headboard man-asphlt; Laborer,

packing rod steel and pans; membrane vapor barrier installer; Power broom sweepers (small); Riiprap, stonepaver, placing stone or wet sacked concrete; Roto scraper and tiller; Tank sealer and cleaner; Tree climber, faller, chain saw operator, Pittsburgh Chipper and similar type brush shredders; Underground laborers, including caisson bellower

GROUP 3: Buggymobile; Concrete cutting torch; Concrete cutting torch; Concrete pile cutter; Driller, jackhammer, 2 1/2 feet drill steel or longer; Dri Pak-it machine; High sealer (including drilling of same); Hydro seeder and similar type; Impact wrench, mult-plate; Kettlemen, potmen

and mean applying asphalt, lay-kold, creosote, line caustic and similar type materials (applying means applying, dipping, brushing or handling of such materials for pipe wrapping and waterproofing); Operators of pneumatic, gas, electric tools, vibratring machines, pavement breakers, air blasting, come-along, and similar mechanical tools not separately classified herein; Pipelayers back up man coating, grouting, making of joints, sealing, caulking, diapering and inclduing rubber gasket joints, pointing and any and all other services; Rotary Scarifier or multiple head concrete chipping scaarifier; Steel header board man and guideline setter; Tampers, Barko, Wacker and similar type; Trenching machine, handpropelled

GROUP 4: Asphalt raker, luterman, ironer, apshalt dumpman and asphalt spreader boxes (all types); Concrete core cutter (walls, floors or ceilings), Grinder or sander; Concrete saw man; cutting walls or flat work, scoring old or new concrete; Cribber, shorer, lagging, sheeting and trench bracing, hand-guided lagging hammer; Laser beam in connection with laborer's work; Oversize concrete vibrator operator 70 pounds and over; Pipelayer performing all services in the laying, installation and all forms of connection of pipe from the point of receiving pipe in the ditch until completion of oepration, including any and all forms of tubular material, whether pipe, metallic or non-metallic, conduit, and any other stationary type of tubular device used for the conveying of any substance or element, whether water, sewage, solid, gas, air or other product whatsoever and without regard to the nature of material from which the tubular material is fabricated; No joint pipe and stripping of same; Prefabricated manhole installer; Sandblaster (nozzleman), Porta shot-blast, water blasting

GROUP 5: Blasters Powderman-All work of loading holes, placing and blasting of all pwder and explosives of whatever type, regardless of method used for such loading and placing; Driller-all power drills, excluding jackhammer, whether core, diamond, wagon, track, multiple unit, and any and all other types of mechanical drills without regard to the form of motive power.

LABO0089-002 11/01/2020

Rates Fringes

\_\_\_\_\_

LABORER (MASON TENDER).........\$ 33.00 19.23

LABO0089-004 07/01/2022

HEAVY AND HIGHWAY CONSTRUCTION

\_\_\_\_\_

Laborers:

Group 1	\$ 38.80	22.44
Group 2	\$ 39.27	22.44
Group 3		22.44
Group 4		22.44
Group 5	\$ 43.58	22.44

## LABORER CLASSIFICATIONS

GROUP 1: Laborer: General or Construction Laborer, Landscape Laborer. Asphalt Rubber Material Loader. Boring Machine Tender (outside), Carpenter Laborer (cleaning, handling, oiling & blowing of panel forms and lumber), Concrete Laborer, Concrete Screeding for rough strike-off, Concrete water curing. Concrete Curb & Gutter laborer, Certified Confined Space Laborer, Demolition laborer & Cleaning of Brick and lumber, Expansion Joint Caulking; Environmental Remediation, Monitoring Well, Toxic waste and Geotechnical Drill tender, Fine Grader, Fire Watcher, Limbers, Brush Loader, Pilers and Debris Handlers. flagman. Gas Oil and Water Pipeline Laborer. Material Hoseman (slabs, walls, floors, decks); Plugging, filling of shee bolt holes; Dry packing of concrete and patching; Post Holer Digger (manual); Railroad maintenance, repair trackman, road beds; Rigging & signaling; Scaler, Slip-Form Raisers, Filling cracks on any surface, tool Crib or Tool House Laborer, Traffic control (signs, barriers, barricades, delineator, cones etc.), Window Cleaner

GROUP 2: Asphalt abatement; Buggymobile; Cement dumper (on 1 vd. or larger mixers and handling bulk cement); Concrete curer, impervious membrane and form oiler; Chute man, pouring concrete; Concrete cutting torch; Concrete pile cutter; driller/Jackhammer, with drill steel 2 1/2 feet or longer; Dry pak-it machine; Fence erector; Pipeline wrapper, gas, oil, water, pot tender & form man; Grout man; Installation of all asphalt overlay fabric and materials used for reinforcing asphalt; Irrigation laborer; Kettleman-Potman hot mop, includes applying asphalt, lay-klold, creosote, lime caustic and similar types of materials (dipping, brushing, handling) and waterproofing; Membrane vapor barrier installer; Pipelayer backup man (coating, grouting, making of joints, sealing caulkiing, diapering including rubber basket joints, pointing); Rotary scarifier, multiple head concrete chipper; Rock slinger; Roto scraper & tiller; Sandblaster pot tender; Septic tank digger/installer; Tamper/wacker operator; Tank scaler & cleaner; Tar man & mortar man; Tree climber/faller, chainb saw operator, Pittsburgh chipper & similar type brush shredders.

GROUP 3: Asphalt, installation of all frabrics; Buggy Mobile

## EXHIBIT I

Man, Bushing hammer; Compactor (all types), Concrete Curer - Impervious membrane, Form Oiler, Concrete Cutting Torch, Concrete Pile Cutter, Driller/Jackhammer with drill steel 2 1/2 ft or longer, Dry Pak-it machine, Fence erector including manual post hole digging, Gas oil or water Pipeline Wrapper - 6 ft pipe and over, Guradrail erector, Hydro seeder, Impact Wrench man (multi plate), kettleman-Potman Hot Mop includes applying Asphalt, Lay-Kold, Creosote, lime caustic and similar types of materials (dipping, brushing or handling) and waterproofing. Laser Beam in connection with Laborer work. High Scaler, Operators of Pneumatic Gas or Electric Tools, Vibrating Machines, Pavement Breakers, Air Blasting, Come-Alongs and similar mechanical tools, Remote-Controlled Robotic Tools in connection with Laborers work. Pipelayer Backup Man (Coating, grouting, m makeing of joints, sealing, caulking, diapering including rubber gasket joints, pointing and other services). Power Post Hole Digger, Rotary Scarifier (multiple head concrete chipper scarifier), Rock Slinger, Shot Blast equipment (8 to 48 inches), Steel Headerboard Man and Guideline Setter, Tamper/Wacker operator and similar types, Trenching Machine hand propelled.

GROUP 4: Any worker exposed to raw sewage. Asphalt Raker, Luteman, Asphalt Dumpman, Asphalt Spreader Boxes, Concrete Core Cutter, Concrete Saw Man, Cribber, Shorer, Head Rock Slinger. Installation of subsurface instrumentation, monitoring wells or points, remediation system installer; Laborer, asphalt-rubber distributor bootman; Oversize concrete vibrator operators, 70 pounds or over. Pipelayer, Prfefabricated Manhole Installer, Sandblast Nozzleman (Water Balsting-Porta Shot Blast), Traffic Lane Closure.

GROUP 5: Blasters Powderman-All work of loading holes, placing and blasting of all powder and explosives of whatever type, regardless of method used for such loading and placing; Horizontal directional driller, Boring system, Electronic traking, Driller: all power drills excluding jackhammer, whether core, diamond, wagon, track, multiple unit, and all other types of mechanical drills without regard to form of motive power. Environmental remediation, Monitoring well, Toxic waste and Geotechnical driller, Toxic waste removal. Welding in connection with Laborer's work.

LABO0300-005 08/01/2022

Rates Fringes

Asbestos Removal Laborer......\$ 39.23 23.28

SCOPE OF WORK: Includes site mobilization, initial site

cleanup, site preparation, removal of asbestos-containing material and toxic waste, encapsulation, enclosure and disposal of asbestos- containing materials and toxic waste by hand or with equipment or machinery; scaffolding, fabrication of temporary wooden barriers and assembly of decontamination stations.

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LABO0345-001 07/01/2022

Rates Fringes

## LABORER (GUNITE)

GROUP 1	\$ 48.50	21.37
GROUP 2	\$ 47.55	21.37
GROUP 3	\$ 44.01	21.37

FOOTNOTE: GUNITE PREMIUM PAY: Workers working from a Bosn'n's Chair or suspended from a rope or cable shall receive 40 cents per hour above the foregoing applicable classification rates. Workers doing gunite and/or shotcrete work in a tunnel shall receive 35 cents per hour above the foregoing applicable classification rates, paid on a portal-to-portal basis. Any work performed on, in or above any smoke stack, silo, storage elevator or similar type of structure, when such structure is in excess of 75'-0"" above base level and which work must be performed in whole or in part more than 75'-0"" above base level, that work performed above the 75'-0"" level shall be compensated for at 35 cents per hour above the applicable classification wage rate.

## **GUNITE LABORER CLASSIFICATIONS**

GROUP 1: Rodmen, Nozzlemen

GROUP 2: Gunmen

GROUP 3: Reboundmen

LABO1184-001 07/01/2022

Rates Fringes

\_\_\_\_\_

Laborers: (HORIZONTAL	
DIRECTIONAL DRILLING)	
(1) Drilling Crew Laborer\$ 40.69	18.25
(2) Vehicle Operator/Hauler.\$ 40.86	18.25
(3) Horizontal Directional	
Drill Operator\$ 42.71	8.25
(4) Electronic Tracking	
Locator\$ 44.71 18.	.25
Laborers: (STRIPING/SLURRY	

SE	A	L)
DL.	<b></b>	<b>L</b> )

GROUP 1	\$ 41.90	21.32
GROUP 2	\$ 43.20	21.32
GROUP 3	\$ 45.21	21.32
GROUP 4	\$ 46.95	21.32

## LABORERS - STRIPING CLASSIFICATIONS

GROUP 1: Protective coating, pavement sealing, including repair and filling of cracks by any method on any surface in parking lots, game courts and playgrounds; carstops; operation of all related machinery and equipment; equipment repair technician

GROUP 2: Traffic surface abrasive blaster; pot tender removal of all traffic lines and markings by any method (sandblasting, waterblasting, grinding, etc.) and preparation of surface for coatings. Traffic control person: controlling and directing traffic through both conventional and moving lane closures; operation of all related machinery and equipment

GROUP 3: Traffic delineating device applicator: Layout and application of pavement markers, delineating signs, rumble and traffic bars, adhesives, guide markers, other traffic delineating devices including traffic control. This category includes all traffic related surface preparation (sandblasting, waterblasting, grinding) as part of the application process. Traffic protective delineating system installer: removes, relocates, installs, permanently affixed roadside and parking delineation barricades, fencing, cable anchor, guard rail, reference signs, monument markers; operation of all related machinery and equipment; power broom sweeper

GROUP 4: Striper: layout and application of traffic stripes and markings; hot thermo plastic; tape traffic stripes and markings, including traffic control; operation of all related machinery and equipment

LABO1414-003 08/03/2022

Rates Fringes

LABORER PLASTER CLEAN-UP LABORER....\$ 38.92 PLASTER TENDER.....\$ 41.47 23.32

Work on a swing stage scaffold: \$1.00 per hour additional.

Work at Military Bases - \$3.00 additional per hour: Coronado Naval Amphibious Base, Fort Irwin, Marine Corps Air Station-29 Palms, Imperial Beach Naval Air Station, Marine

EXHIBIT I

Corps Logistics Supply Base, Marine Corps Pickle Meadows, Mountain Warfare Training Center, Naval Air Facility-Seeley, North Island Naval Air Station, Vandenberg AFB.

#### PAIN0036-001 07/01/2020

Rates Fringes

Painters: (Including Lead Abatement)

Datement)		
(1) Repaint (excludes	San	
Diego County)	\$ 29.59	17.12
(2) All Other Work	\$ 33.12	17.24

REPAINT of any previously painted structure. Exceptions: work involving the aerospace industry, breweries, commercial recreational facilities, hotels which operate commercial establishments as part of hotel service, and sports facilities.

## PAIN0036-010 09/01/2022

	Rates	Fringes		
DRYWALL FINISI (1) Building & H Construction (2) Residential Construction (W apartments, singl homes and multi up to and includ stories)	leavy \$ 39. ood frame le family i-duplexes ing four	54	21.50 70	
PAIN0036-012 10/	01/2022			
	Rates	Fringes		
GLAZIER	\$ 47	7.90	20.71	
PAIN0036-019 06/	01/2022			
	Rates	Fringes		
SOFT FLOOR LAY	(ER	\$ 34.7	7	17.89
PLAS0200-005 08/	/03/2022			
	Rates	Fringes		
PLASTERER	\$	47.37	19.64	4

## NORTH ISLAND NAVAL AIR STATION, COLORADO NAVAL AMPHIBIOUS BASE, IMPERIAL BEACH NAVAL AIR STATION: \$3.00 additional per hour.

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PLAS0500-001 07/01/2018

Rates Fringes

## CEMENT MASON/CONCRETE FINISHER

GROUP 1	\$ 26.34	19.77
GROUP 2	\$ 27.99	19.77
GROUP 3	\$ 30.07	21.12

CEMENT MASONS - work inside the building line, meeting the following criteria:

GROUP 1: Residential wood frame project of any size; work classified as Type III, IV or Type V construction; interior tenant improvement work regardless the size of the project; any wood frame project of four stories or less.

GROUP 2: Work classified as type I and II construction

GROUP 3: All other work

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PLUM0016-006 09/01/2022

Rates Fringes

PLUMBER, PIPEFITTER,	
STEAMFITTER	
Camp Pendleton; Vandenberg	
Air Force Base\$ 59.68 26.26	
Work ONLY on new additions	
and remodeling of	
commercial buildings,	
bars, restaurants, and	
stores not to exceed 5,000	
sq. ft. of floor space\$ 53.51 25.28	
Work ONLY on strip malls,	
light commercial, tenant	
improvement and remodel	
work\$ 40.95 23.61	
All other work except work	
on new additions and	
remodeling of bars,	
restaurant, stores and	
commercial buildings not	
to exceed 5,000 sq. ft. of	
floor space and work on	
strip malls, light	

commercial, tena improvement and work	l remodel	26.	26
PLUM0016-011 09	/01/2022		
	Rates	Fringes	
PLUMBER/PIPEFI' Residential		6 2	2.18
PLUM0345-001 09	/01/2022		
	Rates	Fringes	
PLUMBER Landscape/Irriga Sewer & Storm I			25.65 23.03
ROOF0045-001 07	/01/2022		
	Rates	Fringes	
ROOFER	\$ 39.	90	11.19
* SFCA0669-001 02	1/01/2023		
	Rates	Fringes	
SPRINKLER FITTI	ER	\$ 44.99	25.72
SHEE0206-001 07/	01/2020		
	Rates	Fringes	
SHEET METAL W Camp Pendleton. Except Camp Pen Sheet Metal Tech	\$ 4 ndleton	\$ 40.62	29.55 29.55 9.49
SHEET METAL TE a. Existing residentian multi-family, where separate system b. It including tracts. c. It not exceeding five s each unit is heated of motels are excluded metal, heating and a	al buildings each unit is New single New multi-f tories of liv or cooled by . d. LIGHT	, both sing s heated an family res family res ing space a separate COMME	gle and nd/or cooled by a idential buildings idential buildings, in height, provided e system. Hotels and ERCIAL WORK: Au

multi-family, where each unit is heated and/or cooled by a separate system b. New single family residential buildings including tracts. c. New multi-family residential buildings, not exceeding five stories of living space in height, provided each unit is heated or cooled by a separate system. Hotels and motels are excluded. d. LIGHT COMMERCIAL WORK: Any sheet metal, heating and air conditioning work performed on a project where the total construction cost, excluding land, is under \$1,000,000 e. TENANT IMPROVEMENT WORK: Any work necessary to finish interior spaces to conform to the occupants of commercial buildings, after completion of the building shell TEAM0166-001 07/01/2022

	Rates	Fringes	
		-	
Truck drivers:			
GROUP 1	\$ 28	8.15	24.82
GROUP 2	\$ 38	8.74	24.82
GROUP 3	\$ 38	8.94	24.82
GROUP 4	\$ 39	9.14	24.82
GROUP 5	\$ 39	9.34	24.82
GROUP 6	\$ 39	9.83	24.82
GROUP 7	\$42	1.34	24.82

FOOTNOTE: HAZMAT PAY: Work on a hazmat job, where hazmat certification is required, shall be paid, in addition to the classification working in, as follows: Levels A, B and C - +\$1.00 per hour. Workers shall be paid hazmat pay in increments of four (4) and eight (8) hours.

#### TRUCK DRIVER CLASSIFICATIONS

GROUP 1: Fuel Man, Swamper

GROUP 2: 2-axle Dump Truck, 2-axle Flat Bed,Concrete Pumping Truck, Industrial Lift Truck, Motorized Traffic Control, Pickup Truck on Jobsite

GROUP 3: 2-axle Water Truck, 3-axle Dump Truck, 3-axle Flat Bed, Erosion Control Nozzleman, Dump Crete Truck under 6.5 yd, Forklift 15,000 lbs and over, Prell Truck, Pipeline Work Truck Driver, Road Oil Spreader, Cement Distributor or Slurry Driver, Bootman, Ross Carrier

GROUP 4: Off-road Dump Truck under 35 tons 4-axles but less than 7-axles, Low-Bed Truck & Trailer, Transit Mix Trucks under 8 yd, 3-axle Water Truck, Erosion Control Driver, Grout Mixer Truck, Dump Crete 6.5yd and over, Dumpster Trucks, DW 10, DW 20 and over, Fuel Truck and Dynamite, Truck Greaser, Truck Mounted Mobile Sweeper 2-axle Winch Truck

GROUP 5: Off-road Dump Truck 35 tons and over, 7-axles or more, Transit Mix Trucks 8 yd and over, A-Frame Truck, Swedish Cranes

GROUP 6: Off-Road Special Equipment (including but not limited to Water Pull Tankers, Athey Wagons, DJB, B70 Wuclids or like Equipment)

GROUP 7: Repairman

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at

https://www.dol.gov/agencies/whd/government-contracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (ii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

## Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

## Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

1.) Has there been an initial decision in the matter? This can

be:

- \* an existing published wage determination
- \* a survey underlying a wage determination
- \* a Wage and Hour Division letter setting forth a position on a wage determination matter
- \* a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

> Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

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## END OF GENERAL DECISIO"

#### **CERTIFICATION OF OFFERER/BIDDER REGARDING TAX DELINQUENCY AND FELONY CONVICTIONS**

The Design Professional must complete the following two certification statements. The Design Professional must indicate its current status as it relates to tax delinquency and felony conviction by inserting a checkmark ( $\checkmark$ ) in the space following the applicable response. The Design Professional agrees that, if awarded a contract resulting from this solicitation, it will incorporate this Exhibit for certification in all lower tier subcontracts.

#### Certifications

The Design Professional represents that it  $\frac{is}{is}$  () is not (X) a corporation that has any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

1)

The Design Professional represents that it is ( ) is not (X ) is not a corporation that was convicted of a criminal violation under any Federal law within the preceding 24 months.

#### <sup>2)</sup>Note

If a Design Professional responds in the affirmative to either of the above representations, the Design Professional is ineligible to receive an award unless the sponsor has received notification from the agency suspension and debarment official (SDO) that the SDO has considered suspension or debarment and determined that further action is not required to protect the Government's interests. The Design Professional therefore must provide information to the owner about its tax liability or conviction to the Owner, who will then notify the FAA Airports District Office, which will then notify the agency's SDO to facilitate completion of the required considerations before award decisions are made.

#### **Term Definitions**

**Felony conviction:** Felony conviction means a conviction within the preceding twenty four (24) months of a felony criminal violation under any Federal law and includes conviction of an offense defined in a section of the U.S. code that specifically classifies the offense as a felony and conviction of an offense that is classified as a felony under18 U.S.C. § 3559.

**Tax Delinquency**: A tax delinquency is any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted, or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability.

By:

Michael D. Hotaling/ CEO

Name/Title]

December 22, 2022

[Date]

## Gil, Angelica

From:	pwc100@dir.ca.gov
Sent:	Wednesday, February 21, 2023 12:58 PM
То:	Gil, Angelica; PWD-PWC-Contracts
Subject:	[EXTERNAL] Project Creation

\*\*This email came from an external source. Be cautious about clicking on any links in this email or opening attachments.\*\*

Hello,

Thank you for using the PWC-100 online application.

This email confirms the submission of a new project titled "Design of Brown Field Runway 8R/26L Rehab" that was created on 21 Feb 2023 and assigned **DIR Project ID 453117**.

Please share this **DIR Project ID** number with each contractor on the project. They will need this number to submit certified payroll records into DIR's Electronic Certified Payroll Reporting(eCPR) database.

Thank you for your submission

Sincerely,

Public Works Program Division of Labor Standards Enforcement Department of Industrial Relations State of California

## **Project Information**

FORM						
	Form Type:	PWC-100	Project Award Date:	2/20/2023		
AWARDIN	G BODY INFORMATIO	N				
	Name:	City of San Diego Public Works Contracts	Primary Contact:	Public Works Person		
	Address:	1010 Second Ave	Primary Email:	PWD-PWC-Contracts@sandiego.gov		
		Suite 1400	Work Phone:	6195333635		
		San Diego,CA 92101				
PROJECT INFORMATION						
	Project Name:	Design of Brown Field Runway 8R/26L Rehab	Project #:	H197070		
	Brief Description:	Design of Brown Field Runway 8R/26L Rehab	Contract #:	H197070		
	Contract Amount:	\$1241590.70	Number of Prime Contract	tors: 1		
	Total Project Cost:	\$1241590.70				
	Alternative Model:	None Apply				
	Description of Location:	san diego	County:	SAN DIEGO		
	Project Information 2					

			•			
PWC-100						
	<b>Project Name:</b> Design of Runway 8R/26L Rehab	Brown Fie <b>l</b> d	Project #: H197070	<b>Contract #:</b> H197070	Status: New Submission	
<b>PROJECT</b>	NFORMATION					
Project	Dates					
	First Advertised Bid:	6/12/2020		Estimated or Actual Start:	2/20/2023	
	Estimated or Actual Completion:	2/20/2028				

#### Propositions

Propositions	
Will this project receive (or has it received) any funding from Proposition 84 (The Safe Drinking Water, Water Quality and Supply, Flood Control, River and Coastal Protection Bond Act of 2006)?	No
Will this project receive (or has it received) any funding from Proposition 39 (California Clean Energy Jobs Act of 2012)?	No
Compliance and Agreements:	
Is language included in the Contract Award to effectuate the requirements of Section 1771, 1774 - 1776, 1777.5, 1813 and 1815 of the Labor Code?	Yes
Will you operate a DIR-Approved Labor Compliance Program(LCP) for this project?	Yes

Is there a Project Labor Agreement (PLA) associated with this project?

## **Contractor Information**

No

Project Manager								
Email Address		Name		Title V	Work Phone			
bsaull@cscos.com		Bran Saull		Project Manager 6	619-819-2267			
General Contractor1								
CSLB/0 Numbe	Certificate r	NAME		Address	Email		Classification	
100004	4026	WEST COAST CIVIL, INC.		9740 APPALOOSA ROAD SUITE 200 SAN DIEGO, CA 92131	ANTHONY@WESTCOASTCIVIL	COM	SURVEYORS	
100000	7909	BARNETT QUALITY CON SERVICES	FROL	4373 VIEWRIDGE AVE, STE B SAN DIEGO, CA 92123	DJBARNETT@USA-NOVA.COM	1	SURVEYORS	
100000	7910	ALTA LAND SURVEYING,	INC.	9517 GROSSMONT SUMMIT DRIVE LA MESA, CA 91941	JAMIE@ALTALANDSURVEYING	G.COM	SURVEYORS	
100001	5953	HELIX ENVIRONMENTAL PLANNING, INC.		7578 EL CAJON BOULEVARD LA MESA, CA 91942	KANIKAM@HELIXEPI.COM		SURVEYORS	
100000	8099	C & S ENGINEERS, INC.		2355 NORTHSIDE DRIVE, SUITE 350 SAN DIEGO, CA 92108	MSTERIA@CSCOS.COM		SURVEYORS	
100000	9383	ALLIED GEOTECHNICAL ENGINEERS, INC.		9500 CUYAMACA STREET, SUITE 102 SANTEE, CA 92071	S_SUTANTO@ALLIEDGEO.OR	G	SURVEYORS	