

## APPENDIX D

### CALIFORNIA HISTORIC RESOURCE STATUS CODES

(Also available online at <http://www.ohp.parks.ca.gov/pages/1069/files/TAB8.pdf>)

----- Page Left Intentionally Blank -----

## CALIFORNIA HISTORICAL RESOURCE STATUS CODES

(effective as of August 2003)

Available online in a single page format at [http://www.ohp.parks.ca.gov/default.asp?page\\_id=1069](http://www.ohp.parks.ca.gov/default.asp?page_id=1069)

### 1 Properties listed in the National Register (NR) or the California Register (CR)

- 1D Contributor to a district or multiple resource property listed in NR by the Keeper. Listed in the CR.
- 1S Individual property listed in NR by the Keeper. Listed in the CR.
  
- 1CD Listed in the CR as a contributor to a district or multiple resource property by the SHRC
- 1CS Listed in the CR as individual property by the SHRC.
- 1CL Automatically listed in the California Register – Includes State Historical Landmarks 770 and above and Points of Historical Interest nominated after December 1997 and recommended for listing by the SHRC.

### 2 Properties determined eligible for listing in the National Register (NR) or the California Register (CR)

- 2B Determined eligible for NR as an individual property and as a contributor to an eligible district in a federal regulatory process. Listed in the CR.
- 2D Contributor to a district determined eligible for NR by the Keeper. Listed in CR.
- 2D2 Contributor to a district determined eligible for NR by consensus through Section 106 process. Listed in CR.
- 2D3 Contributor to a district determined eligible for NR by Part I Tax Certification. Listed in CR.
- 2D4 Contributor to a district determined eligible for NR pursuant to Section 106 without review by SHPO. Listed in CR.
- 2S Individual property determined eligible for NR by the Keeper. Listed in CR.
- 2S2 Individual property determined eligible for NR by a consensus through Section 106 process. Listed in CR.
- 2S3 Individual property determined eligible for NR by Part I Tax Certification. Listed in CR.
- 2S4 Individual property determined eligible for NR pursuant to Section 106 without review by SHPO. Listed in CR.
  
- 2CB Determined eligible for CR as an individual property and as a contributor to an eligible district by the SHRC.
- 2CD Contributor to a district determined eligible for listing in the CR by the SHRC.
- 2CS Individual property determined eligible for listing in the CR by the SHRC.

### 3 Appears eligible for National Register (NR) or California Register (CR) through Survey Evaluation

- 3B Appears eligible for NR both individually and as a contributor to a NR eligible district through survey evaluation.
- 3D Appears eligible for NR as a contributor to a NR eligible district through survey evaluation.
- 3S Appears eligible for NR as an individual property through survey evaluation.
  
- 3CB Appears eligible for CR both individually and as a contributor to a CR eligible district through a survey evaluation.
- 3CD Appears eligible for CR as a contributor to a CR eligible district through a survey evaluation.
- 3CS Appears eligible for CR as an individual property through survey evaluation.

### 4 Appears eligible for National Register (NR) or California Register (CR) through other evaluation

- 4CM Master List - State Owned Properties – PRC §5024.

### 5 Properties Recognized as Historically Significant by Local Government

- 5D1 Contributor to a district that is listed or designated locally.
- 5D2 Contributor to a district that is eligible for local listing or designation.
- 5D3 Appears to be a contributor to a district that appears eligible for local listing or designation through survey evaluation.
  
- 5S1 Individual property that is listed or designated locally.
- 5S2 Individual property that is eligible for local listing or designation.
- 5S3 Appears to individually eligible for local listing or designation through survey evaluation.
  
- 5B Locally significant both individually (listed, eligible, or appears eligible) and as contributor to a district that is locally listed, designated, determined eligible, or appears eligible through survey evaluation.

**6 Not Eligible for Listing or Designation as specified**

- 6C Determined ineligible for or removed from California Register by SHRC.
- 6J Landmarks or Points of Interest found ineligible for designation by SHRC.
- 6L Determined ineligible for local listing or designation through local government review process; may warrant special consideration in local planning.
- 6T Determined ineligible for NR through Part I Tax Certification process.
- 6U Determined ineligible for NR pursuant to Section 106 without review by SHPO.
- 6W Removed from NR by the Keeper.
- 6X Determined ineligible for the NR by SHRC or Keeper.
- 6Y Determined ineligible for NR by consensus through Section 106 process – Not evaluated for CR or Local Listing.
- 6Z Found ineligible for NR, CR or Local designation through survey evaluation.

**7 Not Evaluated for National Register (NR) or California Register (CR) or Needs Reevaluation**

- 7J Received by OHP for evaluation or action but not yet evaluated.
- 7K Resubmitted to OHP for action but not reevaluated.
- 7L State Historical Landmarks 1-769 and Points of Historical Interest designated prior to January 1998 – Needs to be reevaluated using current standards.
- 7M Submitted to OHP but not evaluated - referred to NPS.
- 7N Needs to be reevaluated (Formerly NR Status Code 4)
- 7N1 Needs to be reevaluated (Formerly NR SC4) – may become eligible for NR w/restoration or when meets other specific conditions.
- 7R Identified in Reconnaissance Level Survey: Not evaluated.
- 7W Submitted to OHP for action – withdrawn.

**Using Status Codes**

**Users of the California Historic Resource Status Codes should keep in mind that the status codes are broad indicators which, in most cases, serve as a starting place for further consideration and evaluations.** Because the assigned status code reflects an *opinion* or *action* taken at a *specific point in time*, the assigned status code may not accurately reflect the resource's eligibility for the National Register, California Register, or local listing or designation at some later time.

Individuals and agencies attempting to identify and evaluate historical resources need to consider the basis for evaluation upon which a particular code was assigned, i.e., date of evaluation, the reason and criteria applied for evaluation, the age of the resource at the time of evaluation, and any changes that may have been made to the resource that would impact its integrity. Keep in mind that:

- Older surveys and evaluations were biased towards architectural values (Criteria C (NR) and 3 (CR)). Resources may not have been evaluated for significance for their association with important event or people or their information potential.
- Identification and evaluation of resources in compliance with Section 106 does not involve evaluations for the California Register or any local designations.
- Because the California Register was not implemented before 1999, relatively few resources in the HRI have been evaluated for eligibility for the California Register.
- Because the National Register generally excludes resources less than fifty years old, resources that were once determined ineligible for the National Register because they were less than 50 years of age, need to be reevaluated for eligibility after they have aged.

- Our understanding of historical significance changes over time. In 2004, there is a greater appreciation and understanding of social and cultural history than in earlier years. Understanding of the importance of cultural landscapes and resources of the recent past is evolving as historic preservationists are grappling with how to recognize and characterize these types of resources.

### **Assigning Status Codes**

In many cases, more than one status code logically could be assigned. Since resources listed in or determined eligible for the National Register are automatically listed in the California Register, it is not necessary to use codes for both the National register and the California Register.

Resources identified and evaluated in local government surveys may appear to be eligible for the National Register or the California Register as well as be a locally designated landmark or eligible for local designation. For local government purposes, it may be desirable to show more than one code. However, when the data is incorporated into the HRI, the code with the lowest initial number (1-5) will be used.

Example:

Codes assigned in survey - 3S/5S1  
Code assigned in data base – 3S

Because resources may meet the criteria for multiple designations, it is important to include that information in the significance statements on the DPR 523B forms or in a narrative evaluation.

### **Highlights of Status Codes Revisions**

The codes revision undertaken in 2003 clarified definitions, consolidated groups of closely related codes, and created new codes to reflect the evaluation of resources for the California Register. **Note that the code elaborations, i.e., 2D2 or 5D1, serve OHP's data management purposes. For CEQA purposes, it is the initial code, 1- 5, that is relevant.**

- Under the broad definition for status code 1, "*Properties listed in the National Register (NR) or the California Register (CR)*," 1D and 1S will continue to signify National Register listing as they have in the past. Additions of 1CD, 1CS, and 1CL will denote resources listed on the California Register by the State Historical Resources Commission, paralleling formal listing on the National Register by the Keeper. Because properties listed in or formally determined eligible for listing in the NR are automatically listed in the California Register, there is no need to assign dual codes.
- The definition of status code 2 is broadened to "*Properties determined eligible for listing in the National Register (NR) or the California Register (CR)*." Just as 2s were previously used and will continue to be used to identify resources formally determined eligible for the National Register through a regulatory process, 2Cs will identify resources formally determined eligible for the California Register by the State Historical Resources Commission.

- The parallel between the resources identified through a survey as appearing eligible for the National Register and those which appear eligible for the California Register are reflected in the 3 codes which are broadly defined as *“Appears eligible for National Register (NR) or California Register (CR) through Survey Evaluation.”*
- Formerly, 4s were assigned through surveys to properties that had the potential, if some circumstance or event was to happen in the future, to become eligible for the National Register. Thus by definition, resources identified as 4s were not eligible for the National Register. Yet under CEQA, they were presumed to be historical resources. OHP will convert all former 4s to either a 7N or 7N1, whichever is appropriate, to signify that these resources need to be reevaluated using current standards and applying both National Register and California Register criteria. Henceforth, a status code of 4 will be broadly defined as *“Appears eligible for National Register (NR) or California Register (CR) through other evaluation,”* and will be used to denote those state owned properties evaluated pursuant to Public Resources Code §5024.
- To facilitate their CEQA reviews and making other land use planning decisions, local governments asked for codes that more clearly identified locally significant properties that are not eligible for either NR or CR. The 5 status codes are broadly defined as *“Properties Recognized as Historically Significant by Local Government.”*

Formerly, status codes 5S3 and 5D3 were used to identify properties that were not eligible for the California Register, National Register or local listing but warranted special consideration in local planning, will be converted to 6L, *“Determined ineligible for local listing or designation through local government review process; may warrant special consideration in local planning.”*

Under the CHRS codes, 5D3 and 5S3 now will be used to identify resources which appear eligible for local designation through a historic resources survey evaluation. 5B is used to identify resources that are locally significant both individually (listed, designated, eligible for listing or designation, or appears eligible for local listing through a survey evaluation) and as a contributor to a district that is locally listed or designated, determined eligible, or appears eligible for local listing through a survey evaluation.

- The broad definition of status code 6 is now *“Not Eligible for Listing or Designation as specified.”* Several of the 6 status codes denote *only* that a resource was evaluated and determined ineligible for the National Register through a regulatory process; as the resource was not evaluated for the California Register or local significance, it should be evaluated, using current standards, for the California Register and/or local designations. Resources formerly identified as not eligible for the National Register may be eligible for the California Register or meet locally established criteria and thus still warrant consideration under CEQA or at a local level under a local ordinance.
- Similarly, resources given a status code 7, have either not been evaluated for the National Register (NR) or the California Register (CR) or need reevaluation. It can not be assumed that they are not historic resources; they need to be evaluated using current standards and criteria.

## **Code Conversions**

At some point in the future, National Register Status Codes entered into the Historic Resources Inventory data base prior to August 2003 will be converted to the revised California Historical Resource Status Codes. In cases where the status code assigned in the past can be converted to more than one new code, the program code will be used to help determine the new status code. (Note: Until the conversion is complete, the old codes will continue to appear in the inventory.)

This information can be found at <http://www.ohp.parks.ca.gov/pages/1069/files/TAB8.pdf>

----- Page Left Intentionally Blank -----