
CITY AUDITOR'S QUARTERLY FRAUD HOTLINE REPORT

Fiscal Year 2020

Quarter 3

**Office of the City
Auditor**

City of San Diego



About the Fraud Hotline:

The Office of the City Auditor administers the City's Fraud Hotline program. The primary objective of the Fraud Hotline is to provide a means for a City employee or resident to confidentially report any activity or conduct—related to or involving City personnel, resources, or operations—for which he or she suspects instances of fraud, waste, or abuse.

The City's Fraud, Waste, and Abuse Hotline is operated pursuant to California Government Code §53087.6. The Statute defines fraud, waste, or abuse as "any activity by a local agency or employee that is undertaken in the performance of the employee's official duties, including activities deemed to be outside the scope of his or her employment, that is in violation of any local, state, or federal law or regulation relating to corruption, malfeasance, bribery, theft of government property, fraudulent claims, fraud, coercion, conversion, malicious prosecution, misuse of government property, or willful omission to perform duty, is economically wasteful, or involves gross misconduct."

The statute also requires that investigations conducted pursuant to its authority be confidential except to issue any report of an investigation that has been substantiated, or to release any findings resulting from a completed investigation that are deemed necessary to serve the interests of the public. In any event, the identity of the individual or individuals reporting the improper government activity, and the subject employee or employees, shall be kept confidential.

NAVEX, an independent third-party provider, accepts calls from City employees and the public at (866) 809-3500 or online at www.sandiego.gov/fraudhotline. Callers can choose to



THE CITY OF SAN DIEGO

DATE: April 16, 2020
TO: Honorable Members of the Audit Committee
FROM: Kyle Elser, Interim City Auditor
SUBJECT: City Auditor's Quarterly Fraud Hotline Report – Fiscal Year 2020 Quarter 3

Reports Received in the Third Quarter of Fiscal Year 2020

During the third quarter of Fiscal Year 2020 (January – March 2020), we received 74 Fraud Hotline reports. We added 10 new reports to be investigated by the Office of the City Auditor and presented 42 reports to the Intake and Review Committee to be referred to City Departments for investigation and resolution. We found that 22 reports were not in the purview of the Fraud Hotline and they were closed; this does not include 33 abusive reports that were submitted by an individual and segregated from the caseload.

Table 1 on the following page summarizes the types of reports received during Fiscal Year 2020.



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TO REPORT FRAUD, WASTE, OR ABUSE, CALL OUR FRAUD HOTLINE: (866) 809-3500



Table 1:**Reports Received in Fiscal Year 2020**

City Auditor Investigations	Qtr 1	Qtr 2	Qtr 3
Abuse	5	2	9
Fraud	1	2	0
Accounting/Audit Irregularities	0	0	1
Subtotal OCA Investigations	6	4	10
City Department Investigations			
Abuse	18	21	23
Discrimination	0	2	1
Employee Relations	0	0	1
Fraud	1	3	1
Safety and Sanitation	3	2	3
Substance Abuse	0	0	1
Theft of Goods/ Services	1	1	1
Theft of Time	4	2	6
Waste	4	5	5
Subtotal Department Investigations	31	36	42
Total Reports Received in Purview of Fraud Hotline	37	40	52
Direct Referrals, Non-City Reports or Reports Not in Purview of Fraud Hotline	16	14	22
Total Reports Received in FY 20	53	54	74

Status of Hotline Reports

A total of 74 reports were filed with the Fraud Hotline between January 1, 2020 and March 31, 2020. We determined that 22 of these reports were not in the purview of the Fraud Hotline, leaving 52 reports that were added to the inventory.

In addition to the receipt of 52 new reports for investigation, 56 City-related reports remained open and unresolved at the end of the previous quarter, resulting in an active inventory of 108 reports during the third quarter of Fiscal Year 2020—more than any prior quarter in the Fraud Hotline's history. **Table 2**, below, summarizes the Fraud Hotline activity for the third quarter of Fiscal Year 2020.

Of the 108 active reports in our inventory, 80 remain open and unresolved, and 28 were closed. One City Auditor investigation was investigated and found to be substantiated, one was closed with corrective action, and four were found to be unsubstantiated or closed with no further action necessary. The allegations made in three Department investigations were found to be substantiated, three were closed with corrective action, and 16 were unsubstantiated or were otherwise resolved.

Table 2:

Status of Fraud Hotline Reports

Report Status	City Auditor Investigations	Referred to Dept.	Total City-Related	Not in Hotline's Purview	Total
Unresolved on 1/1/20 ¹	19	37	56	0	56
Received in 3 rd Quarter	10	42	52	22	74
Subtotal – Active Inventory	29	79	108	22	130
Reports Closed	-6	-22	-28	-22	-50
Substantiated	-1	-3	-4	-0	-4
Corrective Action	-1	-3	-4	-0	-4
Unsubstantiated/other	-4	-16	-20	-22	-42
Unresolved on 3/31/20	23	57	80	0	80

¹ Report 391546828801 was transferred from the Department caseload to the OCA's, as a result the ending balance from the prior quarter and the beginning balance of this quarter.

City Auditor Investigations Summary

Table 3 summarizes the status of the 29 active City Auditor Fraud Hotline investigations during the third quarter of Fiscal Year 2020, including the incident type, report number, date the report was received, a general description of the report, case status, and the final resolution date.

Table 3:

Status of City Auditor Hotline Investigations

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
1	Abuse	505078973101	Received	2/13/20	Substantiated	3/11/20
	An allegation of personal use of City facilities for fundraisers was investigated and determined to be substantiated. City management at the department will no longer approve the use of City facilities for private fundraising events.					
2	Abuse	660321658401	Received	10/22/19	Corrective Action	1/6/20
	An allegation of abuse related to a City department blocking a resident from calling any City extension was investigated and resulted in corrective action. The caller's number was unblocked after being inadvertently being blocked from calling all City extensions.					
3	Abuse	261877906601	Received	4/30/19	Unsubstantiated	1/14/20
	An allegation of abuse related to an Industrial Leave claim denial was investigated and determined to be unsubstantiated.					
4	Abuse	207297268401	Received	2/26/20	Unsubstantiated	3/11/20
	An allegation of improper payroll processing related to a former City employee was investigated and determined to be unsubstantiated.					
5	Fraud	634212740801	Received	10/28/19	No Further Action Necessary	1/8/20
	An allegation of fraud related to a City decision was referred to another government agency for review and possible investigation.					

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
6	Accounting/Audit Irregularities	203019440501	Received	3/12/20	No Further Action Necessary	3/25/20
	An allegation of improper accounting entries was reviewed and determined to be a duplicate of Fraud Hotline report 367598326801.					
7	Abuse	827609647101	Received	1/22/19	Open/Unresolved	
	Allegation of a contract that was not processed according to City policy.					
8	Fraud	696179688501	Received	3/4/19	Open/Unresolved	
	Allegation of fraud, waste, and abuse at a City department related to improper billing for services.					
9	Fraud	732526148501	Received	3/11/19	Open/Unresolved	
	Allegation of fraud perpetrated by City employees.					
10	Abuse	976576095401	Received	3/11/19	Open/Unresolved	
	Allegation of abuse of discretion resulting in a City Charter violation.					
11	Abuse	783186805201	Received	3/18/19	Open/Unresolved	
	Allegation of inaccurate reports being filed by a City department.					
12	Abuse	561079182201	Received	4/12/19	Open/Unresolved	
	Allegation of abuse of discretion by a supervisor.					
13	Safety and Sanitation	403063557501	Received	4/17/19	Open/Unresolved	
	Allegation of unsafe handling of hazardous materials.					
14	Abuse	274212080301	Received	4/23/19	Open/Unresolved	
	Allegation regarding excessive charges for board-up services after a burglary.					
15	Abuse	355963460201	Received	7/1/19	Open/Unresolved	
	Allegation of Public Records Act abuse at a City department.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
16	Abuse	270224416801	Received	8/7/19	Open/Unresolved	
	Allegation of abuse of discretion in hiring at a City department.					
17	Abuse	832218363901	Received	8/16/19	Open/Unresolved	
	Allegation of theft of time and use of City equipment for outside employment.					
18	Abuse	747427064001	Received	8/28/19	Open/Unresolved	
	Allegation of abuse of discretion by management at a City department.					
19	Abuse	687865225601	Received	9/16/19	Open/Unresolved	
	Allegation regarding abuse of discretion and violation of a federal grant.					
20	Fraud	822383404901	Received	11/5/19	Open/Unresolved	
	Allegation of fraud perpetrated by a City employee.					
21	Abuse	437513586001	Received	11/17/19	Open/Unresolved	
	Allegation of abuse regarding performance reviews and raises at a City department.					
22	Abuse	391546828801	Received	12/16/19	Open/Unresolved	
	Allegation of abuse related to multi-color paint crosswalks.					
23	Abuse	591159565501	Received	1/6/20	Open/Unresolved	
	Allegation of abuse of discretion by City employees regarding building code violations.					
24	Abuse	321820624801	Received	1/8/20	Open/Unresolved	
	Allegation regarding contract abuse.					
25	Abuse	564925254301	Received	1/14/20	Open/Unresolved	
	Allegation of the improper release of Fraud Hotline materials in a PRA response.					
26	Abuse	827862942301	Received	2/9/20	Open/Unresolved	
	Allegation of abuse of discretion by DSD employees.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
27	Abuse	353958676401	Received	2/10/20	Open/Unresolved	
	Allegation of abuse of discretion related to a safety report.					
28	Abuse	999900909701	Received	3/25/20	Open/Unresolved	
	Allegation of abuse related to a refund due to a City resident.					
29	Abuse	533786982301	Received	3/25/20	Open/Unresolved	
	Allegation of abuse by City management requiring staff to appear at their City workplaces during social distancing protocols.					

City Department Investigations Summary

The City Auditor, through the Intake and Review Committee, reviews the final resolution of reports investigated by the departments to ensure that the department has taken the proper actions to resolve the report. A report whose allegations cannot be substantiated can still result in the department taking corrective, proactive, or preventative measures to reinforce a policy or procedure, improve internal controls, or to improve City operations.

Table 4 below summarizes the status of the 79 active Fraud Hotline investigations conducted by the Departments during the third quarter of Fiscal Year 2020, including the incident type, a general description of the report, and the case status.

Table 4:

Status of Department-Investigated Fraud Hotline Reports

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
1	Theft of Time	485547780401	Received	5/3/19	Substantiated	2/5/20
	An allegation of theft of time by a City employee was investigated and determined to be substantiated. The department took appropriate corrective action with respect to the identified employee.					
2	Abuse	903047881001	Received	7/24/19	Substantiated	2/5/20
	An allegation of abuse and theft of time by employees visiting a beach on City time was investigated and substantiated. The department took appropriate corrective action with respect to one employee and provided coaching to another employee.					
3	Theft of Time	845616515501	Received	1/5/20	Substantiated	2/5/20
	An allegation of theft of time by a City employee was investigated and determined to be substantiated. The department took appropriate corrective action with respect to the identified employee.					
4	Abuse	786752906201	Received	10/22/19	Corrective Action	1/8/20
	An allegation of personal use of a City vehicle was investigated and could not be substantiated. However, the department reminded staff of City policy regarding break periods and the use of City vehicles and equipment.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
5	Waste	533771681001	Received	12/11/19	Corrective Action	2/5/20
	An allegation of waste related to mailing costs was investigated and resulted in corrective action. The departments updated their mailing lists. Centralized mailing list processing is not currently practicable.					
6	Abuse	212209821801	Received	12/22/19	Corrective Action	2/19/20
	An allegation of waste and abuse related to Get It Done app requests was investigated and resulted in corrective action. A new automated email will improve the intake and monitoring process.					
7	Discrimination	903742239301	Received	3/12/19	Unsubstantiated	1/8/20
	An allegation of salary discrimination at a City department based on protected classes was referred to the Equal Employment Investigations Office, investigated, and determined to be unsubstantiated.					
8	Employee Relations	835965463401	Received	5/30/19	Unsubstantiated	3/18/20
	An allegation regarding unfair treatment by a manager was investigated and determined to be unsubstantiated.					
9	Theft of Time	256518286501	Received	7/3/19	Unsubstantiated	1/8/20
	An allegation of leave abuse was investigated and determined to be unsubstantiated.					
10	Abuse	918609561601	Received	7/7/19	Unsubstantiated	1/8/20
	An allegation of hiring abuse at a City department was investigated and determined to be unsubstantiated.					
11	Abuse	520225563601	Received	10/2/19	Unsubstantiated	2/19/20
	An allegation regarding personal use of a City vehicle was investigated and determined to be unsubstantiated.					
12	Abuse	494359043001	Received	10/25/19	Unsubstantiated	1/8/20
	An allegation of cheating on a promotional examination was investigated and determined to be unsubstantiated.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
13	Safety and Sanitation	674907187101	Received	10/25/19	Unsubstantiated	2/5/20
	An allegation of unsafe driving by a City employee in a City vehicle was investigated and determined to be unsubstantiated.					
14	Safety and Sanitation	401727445901	Received	10/28/19	Unsubstantiated	1/8/20
	An allegation of improper traffic control plan was investigated and determined to be unsubstantiated.					
15	Abuse	310849164201	Received	12/5/19	Unsubstantiated	2/5/20
	An allegation of abuse regarding the improper destruction of public records was investigated and determined to be unsubstantiated.					
16	Fraud	879702454501	Received	12/9/19	Unsubstantiated	1/8/20
	An allegation of fraud regarding a real estate transaction was investigated and determined to be unsubstantiated with respect to the identified issues that were within the City's purview to investigate.					
17	Fraud	265475014301	Received	12/9/19	Unsubstantiated	1/8/20
	An allegation regarding contract fraud by City employees was investigated and determined to be unsubstantiated.					
18	Abuse	734424996401	Received	12/28/19	Unsubstantiated	2/5/20
	An allegation of abuse related to City software configuration was investigated and determined to be unsubstantiated.					
19	Abuse	876230532301	Received	2/10/20	Unsubstantiated	3/18/20
	An allegation of abuse of discretion by a department director was investigated and determined to be unsubstantiated.					
20	Theft of time	855275871201	Received	2/10/20	Unsubstantiated	3/18/20
	An allegation of theft of time by several employees, including a supervisor, was investigated and determined to be unsubstantiated. The employees participated in an approved luncheon as part of the City's Rewards and Recognition program.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
21	Waste	954076374701	Received	6/14/19	No Further Action Necessary	1/8/20
An allegation regarding a Code violation resulting in waste was reviewed and determined not to contain allegations that were within the purview of the Fraud Hotline to investigate since they involved street design issues.						
22	Abuse	264404604301	Received	3/6/20	No Further Action Necessary	3/18/20
An allegation of abuse regarding unclassified management positions was reviewed and resolved with no further action necessary. Charter Section 117 exempts managerial employees from the Classified Service.						
23	Theft of Goods/Services	606060892501	Received	1/5/19	Open/Unresolved	
Allegation of unbilled water provided to a commercial customer.						
24	Abuse	494061703601	Received	9/17/19	Open/Unresolved	
Allegation regarding abuse related to an outside business activity on City time.						
25	Waste	833973894301	Received	10/10/19	Open/Unresolved	
Allegation regarding waste of City resources related to equipment purchases.						
26	Abuse	506860171101	Received	10/14/19	Open/Unresolved	
Allegation regarding hiring abuse.						
27	Abuse	499638669701	Received	10/15/19	Open/Unresolved	
Allegation regarding hiring abuse.						
28	Abuse	691510573801	Received	10/21/19	Open/Unresolved	
Allegation of unprofessional conduct by City employees.						
29	Discrimination	541919456001	Received	10/21/19	Open/Unresolved	
Allegation of discrimination based on race at a City department.						

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
30	Abuse	454551170301	Received	10/22/19	Open/Unresolved	
	Allegation of personal use of City equipment.					
31	Abuse	313639630901	Received	11/6/19	Open/Unresolved	
	Allegation of unprofessional conduct by City employees.					
32	Abuse	239688013201	Received	11/17/19	Open/Unresolved	
	Allegation of abuse related to recycling bin sales in excess of inventory levels.					
33	Abuse	427473603801	Received	11/21/19	Open/Unresolved	
	Allegation of an unfair hiring process.					
34	Abuse	457024775201	Received	12/5/19	Open/Unresolved	
	Allegation of abuse regarding a City contract.					
35	Abuse	258241078401	Received	12/10/19	Open/Unresolved	
	Allegation of abuse related to a hiring process.					
36	Abuse	664157805401	Received	12/11/19	Open/Unresolved	
	Allegation of hiring abuse and discrimination at a City department.					
37	Theft of Goods/Services	785834539601	Received	12/18/19	Open/Unresolved	
	Allegation regarding the personal use of the proceeds from the sale of recycled materials.					
38	Waste	727037457701	Received	12/18/19	Open/Unresolved	
	Allegation of waste due to administrative staff using City vehicles unnecessarily.					
39	Discrimination	878189978401	Received	12/27/19	Open/Unresolved	
	Allegation of discrimination based on race at a City department.					
40	Abuse	494942400601	Received	12/27/19	Open/Unresolved	
	Allegation of abuse of discretion regarding City records.					

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
41	Theft of Time	290017245001	Received	12/31/19	Open/Unresolved	
	Allegation of City staff leaving early on holidays since 2018.					
42	Abuse	469076130401	Received	1/7/20	Open/Unresolved	
	Allegation of abuse related to a residential water customer who did not receive a bill for nearly two years.					
43	Abuse	957986315601	Received	1/9/20	Open/Unresolved	
	An allegation regarding subordinate employees being paid more than their supervisors.					
44	Theft of Time	368860684901	Received	1/9/20	Open/Unresolved	
	Allegation of theft of time by two City employees.					
45	Employee Relations	994987377701	Received	1/9/20	Open/Unresolved	
	Allegation of unfair treatment of an employee by management.					
46	Theft of Time	366323707901	Received	1/10/20	Open/Unresolved	
	Allegation of theft of time by a City employee with improper supervisor approval.					
47	Abuse	327678470601	Received	1/17/20	Open/Unresolved	
	Allegation regarding poor customer service and abuse of discretion.					
48	Abuse	667722170201	Received	1/26/20	Open/Unresolved	
	Allegation of discrimination based on race by a supervisor was referred to the Equal Employment Investigations Office.					
49	Waste	572110648701	Received	1/28/20	Open/Unresolved	
	Allegation of waste related to employee parking program administration.					
50	Theft of Time	674251433401	Received	1/28/20	Open/Unresolved	
	Allegation of theft of time at a City department.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
51	Discrimination	762248742501	Received	2/4/20	Open/Unresolved	
	Allegation of discrimination in hiring.					
52	Waste	343328420801	Received	2/6/20	Open/Unresolved	
	Allegation of waste related to unnecessary overtime.					
53	Abuse	393120254101	Received	2/9/20	Open/Unresolved	
	Allegation of discrimination by a supervisor based on race was referred to the Equal Employment Investigations Office.					
54	Theft of Goods/Services	766159753601	Received	2/10/20	Open/Unresolved	
	Allegation of personal use of City supplies.					
55	Substance Abuse	390046713501	Received	2/12/20	Open/Unresolved	
	Allegation of substance abuse on City time by City employees.					
56	Abuse	583149149501	Received	2/13/20	Open/Unresolved	
	Allegation of overtime abuse by a City employee.					
57	Abuse	277610519401	Received	2/14/20	Open/Unresolved	
	Allegation regarding abuse of discretion related to confined space pay.					
58	Safety and Sanitation	398043510801	Received	2/14/20	Open/Unresolved	
	Allegation regarding a wastewater leak.					
59	Abuse	873846915001	Received	2/14/20	Open/Unresolved	
	Allegation of abuse related to an unauthorized purchase.					
60	Safety and Sanitation	444013525201	Received	2/16/20	Open/Unresolved	
	Allegation related to the response to reports of discarded intravenous needles at City parks.					

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
61	Abuse	647673513701	Received	2/18/20	Open/Unresolved	
	Allegation of abuse of discretion in hiring at a City department.					
62	Abuse	237288470101	Received	2/18/20	Open/Unresolved	
	Allegation of abuse regarding an excessive water bill.					
63	Waste	623981492401	Received	2/18/20	Open/Unresolved	
	Allegation of waste related to a City contract.					
64	Theft of Time	289092161601	Received	2/19/20	Open/Unresolved	
	Allegation of theft of time and overtime abuse.					
65	Abuse	374772583501	Received	2/19/20	Open/Unresolved	
	Allegation of abuse of discretion regarding a City project.					
66	Waste	293802874501	Received	2/19/20	Open/Unresolved	
	Allegation of waste related to an underperforming employee.					
67	Abuse	713267180201	Received	2/27/20	Open/Unresolved	
	Allegation of abuse related to policy violations by family members in a City department.					
68	Abuse	280346533801	Received	3/6/20	Open/Unresolved	
	Allegation of abuse regarding raises and promotions at a City department.					
69	Abuse	893439671601	Received	3/6/20	Open/Unresolved	
	Allegation of abuse regarding favoritism shown to an employee.					
70	Abuse	536669925301	Received	3/6/20	Open/Unresolved	
	Allegation of abuse related to contracted work for City employees at a City facility.					
71	Waste	463751809001	Received	3/9/20	Open/Unresolved	
	Allegation of waste related to costs for a DJ at a City meeting.					

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
72	Fraud	791110891601	Received	3/9/20	Open/Unresolved	
	Allegation of fraud at a City agency.					
73	Abuse	601466128601	Received	3/14/20	Open/Unresolved	
	Allegation of abuse of discretion related to a contract award.					
74	Safety and Sanitation	598648742801	Received	3/17/20	Open/Unresolved	
	Allegation that a meeting with over 80 employees in close proximity contrary to social distancing protocols.					
75	Abuse	672198645001	Received	3/22/20	Open/Unresolved	
	Allegation of abuse of overtime and favoritism at a City department.					
76	Abuse	318709476901	Received	3/25/20	Open/Unresolved	
	Allegation of a business operating in violation of City regulations.					
77	Abuse	488487159101	Received	3/26/20	Open/Unresolved	
	Allegation of abuse related to a Code Enforcement issue.					
78	Abuse	300258366801	Received	3/27/20	Open/Unresolved	
	Allegation regarding a business operating in the City and misleading customers regarding State legal requirements.					
79	Abuse	301978921301	Received	3/28/20	Open/Unresolved	
	Allegation of a sign violation by a business in the City.					

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Not in Purview Reports Summary

Table 5, below, summarizes the results of the 22 Fraud Hotline reports that were received during the third quarter of Fiscal Year 2020, but were determined to be not within the purview of the Fraud Hotline and were closed.

Table 5:

Status of Not in Purview Fraud Hotline Reports

No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
Allegation/Outcome						
1	Miscellaneous	524225090701	Received	1/6/20	No Further Action Necessary	1/8/20
A report regarding no response from a City employee to a request for information was referred to the relevant department for information purposes since there was no allegation of fraud, waste, or abuse.						
2	Abuse	551638902501	Received	1/13/20	No Further Action Necessary	3/18/20
An allegation of abuse of discretion by a City employee did not contain sufficient information to investigate. No response to our request for additional information was provided in over 30 days. Per our procedures, the report was closed.						
3	Theft of Time	353268904101	Received	1/14/20	No Further Action Necessary	3/18/20
An allegation of theft of time by City employees did not contain sufficient information to investigate. No response to our request was received in over 30 days. Per our procedures, the report was closed.						
4	Theft of Time	254478336801	Received	1/15/20	No Further Action Necessary	3/4/20
An allegation of theft of time related to early dismissal prior to City holidays contained similar allegations as report 290017245001. Therefore, this report was closed.						
5	Abuse	632412420301	Received	1/17/20	No Further Action Necessary	2/5/20

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
Allegation/Outcome						
An allegation was closed at the request of the Fraud Hotline reporter after a review by the Fraud Hotline Intake and Review committee.						
6	Waste	781955316601	Received	1/30/20	No Further Action Necessary	3/18/20
An allegation of waste related to a public works project did not contain sufficient information to investigate. No response to our request for details was received in over 30 days. Per our procedures, the report was closed.						
7	Fraud	482260358501	Received	2/2/20	No Further Action Necessary	2/3/20
Duplicate of 895913825901						
8	Abuse	895913825901	Received	2/2/20	No Further Action Necessary	2/3/20
An allegation regarding potential criminal activity outside of the City was directed to the appropriate law enforcement agency.						
9	Abuse	849219589101	Received	2/2/20	No Further Action Necessary	2/3/20
Duplicate of 895913825901						
10	Abuse	279001934301	Received	2/4/20	No Further Action Necessary	2/5/20
An allegation of Citywide abuse of discretion regarding promotions was reviewed by the Fraud Hotline Intake and Review committee and closed with no further action necessary.						
11	Abuse	321696952601	Received	2/12/20	No Further Action Necessary	3/18/20
An allegation regarding unfairly hiring and promoting relatives at a City department did not contain sufficient information to permit an investigation and no response to our request for additional information was received in over 30 days. Per our procedures, the case was closed.						
12	Waste	988012413801	Received	2/12/20	No Further Action Necessary	2/19/20

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
Allegation/Outcome						
An allegation of abuse of light duty was reviewed by the Fraud Hotline Intake and Review committee and closed with no further action necessary based on the information provided.						
13	Waste	250578202101	Received	2/12/20	No Further Action Necessary	2/19/20
An allegation of wasteful staffing levels at a City department was reviewed by the Fraud Hotline Intake and Review committee and closed with no further action necessary based on the information provided.						
14	Abuse	931154076801	Received	2/14/20	No Further Action Necessary	2/19/20
An allegation of abuse of discretion by management targeting a City employee was reviewed by the Fraud Hotline Intake and Review committee and closed with no further action necessary.						
15	Miscellaneous	769085748101	Received	2/18/20	No Further Action Necessary	2/18/20
Duplicate of 237288470101.						
16	Abuse	293472283901	Received	3/2/20	No Further Action Necessary	3/2/20
Duplicate of 389994124101						
17	Abuse	395871540201	Received	3/6/20	No Further Action Necessary	3/6/20
An allegation regarding an improper campaign communication was previously referred to the Ethics Commission and resolved independently of the Fraud Hotline as unsubstantiated.						
18	Waste	531064859701	Received	3/10/20	No Further Action Necessary	3/18/20
Duplicate of Fraud Hotline report 601466128601.						
19	Fraud	242555021901	Received	3/17/20	No Further Action Necessary	3/19/20

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No.	Incident Type	Report #	Received	Date	Status/Outcome	Date
Allegation/Outcome						
	A reporter concerned about a potential criminal matter was referred to the San Diego Police Department.					
20	Miscellaneous	571978843801	Received	3/17/20	No Further Action Necessary	3/17/20
	An allegation regarding a non-City issue was reviewed and closed with a note to the Fraud Hotline reporter.					
21	Fraud	378873268201	Received	3/25/20	No Further Action Necessary	3/25/20
	A Fraud Hotline reporter making an allegation regarding tax fraud was referred to the appropriate agency for assistance.					
22	Miscellaneous	929588686801	Received	3/27/20	No Further Action Necessary	3/30/20
	An allegation regarding a non-City landlord issue was not in the purview of the Fraud Hotline. The reporter was referred to the appropriate agency and the case was closed.					

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Conclusion

The Office of the City Auditor is dedicated to ensuring that all reported claims of fraud, waste, and abuse related to City operations are investigated and properly resolved.

In Fiscal Year 2020, we have applied approximately 2,529 hours administering the Fraud Hotline, coordinating Intake and Review Committee activities, and investigating Fraud Hotline reports. A total of 2,820 hours was budgeted for the year.

I will be prepared to discuss this report at the next available Audit Committee meeting.

Respectfully submitted,



Kyle Elser

Interim City Auditor

cc: Honorable Mayor Kevin Faulconer
 Honorable Members of the City Council
 Honorable Mara Elliott, City Attorney
 Kris Michell, Chief Operating Officer
 Jeff Sturak, Assistant Chief Operating Officer
 Andrea Tevlin, Independent Budget Analyst
